Silicon etch rate enhancement by traces of metal

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Silicon etch rate enhancement by traces of metal

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We report the effect of nickel and tungsten contamination on the etch behavior of silicon. This is studied in a molecular beam setup, where silicon is etched by XeF$_2$ and Ar$^+$ ions. The etch process is directly monitored by the SiF$_4$ reaction products which leave the surface. The effect of contamination appears very pronounced after the ion beam is switched off: it leads to a temporary enhancement of the spontaneous etch rate on a time scale of 500 s. With traces of contamination on the order of 0.01 ML, the etch rate may be enhanced by a factor of 2 for W and somewhat less for Ni. It is concluded that the contamination moves into the silicon by diffusion to vacancies created by the Ar$^+$ ions. For 1 keV Ar$^+$ ions the contamination moves to a depth of 25 Å, comparable to the penetration depth of the ions. After etching a 170 Å thick layer, the catalytic effect of contamination is reduced to less than 5%. A simple model, which describes the measured effect of contamination very well, indicates that only 3% of the contamination is removed when a monolayer of silicon is etched away. Besides this catalytic effect there are indications that contamination can also lower the etch rate under certain conditions, because of the formation of silicides. From the measurements no conclusions could be drawn about the underlying mechanism of etch rate enhancement. © 1999 American Vacuum Society. [S0734-2101(99)01103-3]

I. INTRODUCTION

During the production of an integrated circuit, a wafer can suffer several types of contamination which may influence the next step in the process and the final reliability of the device. As dimensions of features are still decreasing, damage to the wafer becomes more critical. The main form of contamination consists of traces of metal, sputtered from walls or electrodes, which are deposited on the surface of a wafer. Depending on the type of reactor and materials used for electrodes, traces of Ca, Fe, Zn, Cr, Mn, Ni, Cu, and K in the range from 0.01 to 0.4 ML may be found on the wafer after etching. Even after extensive cleaning some of these contaminants remain on the surface. Another source of contamination consists of solutions used for, e.g., resist development which may leave traces of Na and K on the surface. The increasing concern about contaminants is illustrated by the development of sensitive equipment to detect even smaller traces of contamination. The increasing concern about contaminants is illustrated by the development of sensitive equipment to detect even smaller traces of contamination. As we focus on the effect of contamination on the etch behavior, the intentional doping of silicon with, e.g., B or P may also be regarded as contamination of the wafer. Contamination can have different effects on the etch behavior. Some contaminants (e.g., B$_2^+$) reduce the etch rate, but most contaminants (K, Na,$^{23}$ Cu,$^{5,6}$ and P$^+$) increase the etch rate. The effect of metal contamination has so far only been studied for Cu on silicon. Some monolayers of Cu may increase the etch rate of silicon by F$_2$ by a factor of 100 at temperatures above 60 °C. At room temperature no significant effect was reported. The contamination of Cu can also result in anisotropic etching. The effect of tungsten contamination has been described briefly in an appendix of an article by Vugts et al. and the authors initially attributed the effect to damage-enhanced etching.

In this study we report on the effect of nickel contamination on the etch behavior of silicon by XeF$_2$ and Ar$^+$ ions at room temperature. The effect of contamination is found to be very pronounced when the Ar$^+$ ions are switched off. Under clean conditions, the etch rate drops to the spontaneous etch level within several seconds after the Ar$^+$ ions have been switched off. In the presence of contamination, the etch rate also drops initially, but then increases temporarily and reaches the steady state situation of spontaneous etching again on a time scale of 500 s.

In Sec. II the experimental setup and the sources of contamination are described. In Sec. III, the effect of nickel contamination is studied as function of XeF$_2$ flux, ion flux and ion energy. As a function of these parameters, the effect of the contamination changes. This observed behavior is explained in Sec. IV by a diffusion process of the contaminants to vacancies produced by the Ar$^+$ ions in the silicon. This mechanism is simulated with a simple model which describes the observed behavior very well. Furthermore, previously measured contamination effects of tungsten are compared with those caused by nickel. This leads to a consistent picture of the influence of metal contamination. In Sec. VI the conclusions are summarized.

II. EXPERIMENTAL SETUP

A. Apparatus

The multiple-beam setup is described in detail by Vugts et al. We limit ourselves to a brief description of the key features used in this study. The silicon sample is placed at the intersection of the XeF$_2$ beam and the Ar$^+$ beam in an UHV chamber (10$^{-8}$...
The SiF$_4$ source is attached to the electrically insulated sample holder and 45°, respectively, with respect to the surface normal. The emission of secondary electrons.

The SiF$_4$ signal yields the production coefficient $\delta$ by

$$ R = \Phi(\text{SiF}_4) = \frac{\delta}{2} \Phi_j(\text{XeF}_2). $$

For Si(100) the etching of 1 ML corresponds to a depth of 1.38 Å. Equation (3) only holds for spontaneous etching at room temperature. For ion-assisted etching SiF$_2$ is also produced and this has to be taken into account in the F-atom mass balance.

B. Sources of contamination

1. Nickel

The nickel retainer plate used to clamp the silicon sample (Fig. 1) was found to act as a source of Ni contaminants, since Ni is sputtered from this cover under ion bombardment. The sputter yield is 1.7 atoms/ion for Ni when bombarding with 1 keV Ar$^+$ ions at normal incidence. Ni$^+$ ions will also be sputtered and by applying a negative bias (−100 V in our experiments), these ions can be deflected towards the silicon. The nickel contamination was confirmed by low-energy ion scattering measurements as a diagnostic tool (see Sec. III). The measurements were performed in a setup similar to the energy resolved ion scattering spectrometry (ERISS) setup with 5 keV Ne$^+$ ions. With this method only the top layer of the sample is analyzed and a low ion current is being used to prevent damage to the sample. Deeper layers have been analyzed after the silicon has been sputtered by Ne$^+$ ions with a higher current.

2. Tungsten

The source of tungsten contamination (reported previously) is an ionization gauge. The tungsten filament of the gauge reacts with residual XeF$_2$ which results in the formation of WF$_6$. This process is enhanced by electron and ion impact. Thus a background of WF$_6$ is formed in the vessel and WF$_6$ can be deposited on the Si, although the gauge is completely out of sight of the sample. No WF$_6^+$ signal in the mass spectrometer was reported, but the signal is probably below detection limit. The contamination by WF$_6$ can be eliminated by a liquid N$_2$ vessel which acts as a cryopump for both XeF$_2$ and WF$_6$. In contrast to the Ni contamination, which is produced only if the ion beam is on, this W is ever present when the ionization gauge is switched on. During the measurements presented here, the ionization gauge was always switched off and the liquid N$_2$ vessel was filled.

III. EXPERIMENTAL RESULTS

A. Etch behavior

Figure 2 shows the response of the production coefficient $\delta$ when the Ar$^+$ ions are switched off. In the upper graph no contamination is present and, within a few seconds, the production coefficient $\delta$ drops to the production coefficient measured before the ion bombardment. However, in the presence of nickel contamination (see Sec. III C), the SiF$_4$ production is temporarily enhanced (lower graph of Fig. 2). On a time scale of 500 s the spontaneous value is recovered. For the

measurements described here, the production coefficient \( \delta_0 \) of SiF\(_4\) for spontaneous etching in a steady-state situation was measured to be

\[
\delta_0 = 0.15 \pm 0.03.
\] (4)

In Fig. 3 the influence of the XeF\(_2\) flux is shown for 2 and 3 ML/s. In these measurements the sample was bombarded with ions for 60 s. To compare the different fluxes, the time axis \( t \) is replaced by the total dose \( D \) of XeF\(_2\) that reached the sample after the ions have been switched off

\[
D = \Phi_{\text{XeF}_2} \times t.
\] (5)

From the measurements it is seen that the temporary enhancement appears for both fluxes at the same dose of XeF\(_2\). The maximum enhancement during the experiments differs with time and sample. From the measurements of the beam shape, it is concluded that this can be explained by differences in the shape of the ion beam caused by differences in the argon gas feed in the ion source.

We conclude that the source of the enhancement is located in a layer that needs to be removed by etching before the enhancement disappears. It is easily calculated that the maximum enhancement is reached after etching 18±5 ML (25 Å) and that the effect of contamination is reduced to less than 5% after 120±15 ML (170 Å) has been etched away.

In Fig. 4 the influence of the ion current is shown. The sample was bombarded with ions for 180 s. It thus follows that the maximum enhancement is reached after a number of Si layers has been removed, ranging from 30 ML for the highest currents to almost zero at 1.4 \( \mu \)A. The maximum enhancement, however, drops for higher ion currents. For the highest ion current, \( \delta \) even drops below the spontaneous value \( \delta_0 \) immediately after the ions have been switched off.

The influence of the ion bombardment time \( T_{\text{ion}} \) on the etch rate enhancement is shown in Fig. 5. These measurements were done for an ion current of 6 \( \mu \)A. The maximum
enhancement increases with increasing bombardment time, but appears after about the same dose of XeF₂.

The influence of ion energy is shown in Fig. 6. The measurements were done with an ion current of 6 μA during 60 s. It is clearly shown that the point of maximum enhancement moves deeper into the silicon for higher energy. Also, directly after switching off the ions, the etch rate drops below the spontaneous rate for the 2.5 keV ions. The same effect was measured for the highest ion current as shown in Fig. 4.

B. Measurements of the ion beam shape

In order to confirm the sputtering of nickel from the retainer plate, the shape of the ion beam is analyzed in a separate experiment. This is done by mounting a wire (double wound 0.5 mm Ni wire) vertically on the sample holder instead of a silicon sample. The wire is electrically insulated from the sample holder and placed 2 mm above it. The current of the ion beam hitting the wire (in the order of nA) is measured with an electrometer. In Fig. 7 the shape of the ion beam is shown. The beam has a Gaussian central peak with a width of 5 mm and a very broad background. The asymmetric dip in ion current around \( x = -8 \) mm is explained by the influence of secondary electrons. These electrons are emitted in forward direction with respect to the ion beam, incident at 45°, from the sample holder behind the scanning wire. These electrons thus have a maximum influence on the total measured current when the ion beam is on the left of the scanning wire and the electrons are “reflected” to the wire. From this beam profile, we see that about 50% of the ions hits the nickel retainer plate, since the ion beam is much wider than the exposed silicon sample with a diameter of 5 mm. In later experiments, the broad wings of the ion beam profile could be reduced by using a higher argon pressure in the ion source and improving the focus and width of the ion beam.

C. Surface analysis

An etched Si sample used for the measurements described in the previous section was taken out for surface analysis. This sample was etched for 1000 s at a XeF₂ flow of 3 ML/s and a 1 keV ion beam of 6.0 μA, after the surface was cleaned from previous runs by spontaneous etching. The XeF₂ flow and ion current were stopped simultaneously. Low-energy ion scattering spectroscopy (LEIS) measurements showed no contamination on the surface. A repeated measurement after sputtering a layer with a depth of an estimated 30 Å showed a nickel concentration of approximately 0.01 ML. This nickel contamination together with the beam shape confirms the earlier conclusion that sputtered nickel from the retainer plate causes the observed etch behavior displayed in Figs. 3–6.
IV. DISCUSSION

A. Mechanism of enhancement by contamination

In the previous section, the measurements show that the appearance of the enhancement clearly depends on the ion bombardment. The effect of ions on contaminants deposited on a surface of silicon has been described by Hart et al.16 After deposition of 0.13 ML Cu on Si, they found that copper, when bombarded with 20 keV Ne ions, moves into the silicon as deep as 600 Å instead of being sputtered. When successively bombarding this silicon with 800 eV Ar ions, they found that copper moves back towards to surface to a depth of less than 125 Å. From these results it was concluded that the copper moves into the silicon by the mechanism of enhanced diffusion by defect production, these defects being created in the silicon by the energetic ions. The copper atoms thus migrate to depths comparable to the penetration depth of the incident ions.

This mechanism of enhanced diffusion presumably also causes the nickel contamination to move into the silicon. In order to further corroborate this conjecture, we developed a model. To simulate the vacancy distribution for 1 keV Ar ions on Ni, they found that copper, when bombarded with 20 keV Ne ions, moves into the silicon as deep as 600 Å instead of being sputtered. When successively bombarding this silicon with 800 eV Ar ions, they found that copper moves back towards to surface to a depth of less than 125 Å. From these results it was concluded that the copper moves into the silicon by the mechanism of enhanced diffusion by defect production, these defects being created in the silicon by the energetic ions. The copper atoms thus migrate to depths comparable to the penetration depth of the incident ions.

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To obtain more insight in the process of etching in the presence of contamination, the measurements were simulated with a simple model. The nickel diffusion is assumed to be fast enough to follow the movement of the surface. The average vacancy distribution is used. A steady-state distribution is reached when $d_{\text{max}} < d_{\text{max}}$. The depth $d_{\text{max}}$ is thus used as the distribution

B. Model

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function for the Ni contaminants. The total etch rate is given by the sum of the spontaneous etch rate [Eq. (3)] and the enhancement due to the nickel contamination. The enhancement is assumed to be linear in the amount of nickel on the surface with a proportionally factor $\alpha$. In our simulation the etch rate $R_i$ for monolayer $i$ depends on the amount of nickel $C_i$ in this monolayer. The etch time of this monolayer is equal to $1/(ML)/R_i$. It is assumed that the nickel is removed from the surface with an efficiency $\gamma$, with $0<\gamma<1$. The total amount of nickel $C_i$ on the surface of monolayer $i$ is thus equal to the sum of the amount of nickel diffused in monolayer $i$ and the fraction $(1-\gamma)C_{i-1}$, which remains on the surface after monolayer $i-1$ has been etched. The total amount of nickel diffused into the silicon is $C_{tot}$. This results in the following equations:

$$C_i = (1-\gamma)C_{i-1} + C_{tot}(v(i)) \times 1(ML),$$

$$R_i = \frac{\delta}{2 + \alpha C_i} \Phi_i(XeF_2),$$

$$t_i = t_{i-1} + 1(ML)/R_i.$$

With Eqs. (7)–(9), the etching of the contaminated layers is simulated in a computer program. To compare this model with the measurements we effectively have two independent fitting parameters: the nickel removal efficiency $\gamma$ and the total amount of nickel $C_{tot}$ diffused into the silicon. We will now compare the various experimental observations with the results of this model.

1. Nickel contamination

With this model of Eqs. (7)–(9) the data of Fig. 5 with nickel contamination as a function of the ion bombardment time $T_{ion}$ were simulated. These measurements were done for the highest ion current and thus should best match the assumption of fast diffusion. In the simulation it is further assumed that the total amount of nickel $C_{tot}$ is proportional to the ion bombardment time $T_{ion}$. The result of the simulations is shown in Fig. 10 (dotted line). The removal efficiency is set at $\gamma = 0.035$ throughout. As can be seen in the figure, the model simulates the experimental data well with only two free parameters to describe all three curves.

2. Tungsten contamination

The model may also be applied to the measurements with tungsten contamination (Fig. 8 of Ref. 9). These measurements were performed with 0.5 keV Ar$^+$ ions and a XeF$_2$ flux of 0.6 ML/s. In the simulation the vacancy distribution for 0.5 keV Ar$^+$ ions was used. During these experiments, no steady-state vacancy distribution is reached for low ion bombardment times and this has been taken into account in the simulations. In Fig. 11 the experimental data$^9$ and the model are presented (the $y$ axis of Fig. 8 of Ref. 9 is rescaled to $\delta$ with other data from the article in order to compare the results of W contamination with those of Ni contamination). For these measurements the maximum enhancement saturates in time and the parameter $C_{tot}$ is adjusted in such a way as to get the same maximum enhancement for each bombardment time. The removal efficiency is held constant at $\gamma = 0.035$, which describes the decrease of the etch rate again well for long ion bombardment times.

It is seen that the position of maximum enhancement is not reproduced very well by the model. This can be explained by a limited diffusion of the tungsten causing it to be closer to the surface. One reason is that less vacancies are produced for 0.5 keV Ar$^+$ ions than for 1 keV ions. Also the diffusion coefficient may be lower for tungsten. For longer bombardment times, however, the contamination has more time to move deeper into the silicon and move closer to the penetration depth of the ions. With a steady-state vacancy concentration, increasing the bombardment time does not change the vacancy concentration. Consequently, in this case when contamination moves deeper into the silicon, this is only a diffusion phenomenon with a constant diffusion coefficient. In Fig. 11 it can be seen that the positions of maxi-
mum enhancement (in XeF₂ dose) as measured and calculated with the model match better for longer bombardment times, confirming the diffusion model as the underlying mechanism of contamination transport.

Possible reaction products for W and Ni to leave the surface are WF₆ and NiF₂. As both metals show the same removal efficiency of \( \gamma = 0.035 \), we conclude that the volatility of WF₆ and the nonvolatility of NiF₂ do not influence the data. However, it cannot be excluded that the metals leave the surface as other reaction products.

C. Decrease in etch rate by contamination

In Figs. 4 and 6 it was shown that immediately after the ions have been switched off, the production coefficient drops below the spontaneous value \( \delta \), for high ion currents and high ion energy. Also the maximum enhancement decreased. This might indicate the formation of some kind of blocking layer on top of the silicon, which decreases the etch rate and the diffusion of nickel in the silicon. This process may be related to the formation of Ni₃Si, whereas the enhancement is related to atomic nickel. The product Ni₃Si is already formed at 200 °C and its heat of formation is \(-46.9 \text{ kJ/mol}\). Verdonck et al. postulated from their experiments that the energy to form the silicide is provided by the ion bombardment. Selamoglu et al. concluded from their measurements that fluorine might be able to extract Si from silicides and leave (in their experiment) atomic Cu on the surface.

In our experiment, silicides might block the production of SiF₄, thus accounting for the low production coefficient immediately after the ions have been switched off. Once some Si has been extracted from the silicides, the production coefficient \( \delta \) increases again and the Ni concentration deeper into the silicon will enhance \( \delta \) even more.

These data do not provide direct evidence for the formation of silicides, but they do show that a blocking layer is possibly formed under conditions when more energy is transferred to the surface by the ions, i.e., conditions where silicides are more likely to form.

D. Difference between W and Ni contamination

From the measurements with W and Ni contamination, the enhancement due to W contamination is seen to be much larger (see Figs. 10 and 11). In order to discuss the difference between W and Ni contamination, we need to know the concentration of Ni and W.

We can estimate an upper limit for the tungsten flux from the partial pressure of WF₆. We assume a partial pressure of tungsten of \( 10^{-9} \text{ mbar} \) (one tenth of the vessel pressure) as an upper limit. This results in a tungsten flux of \( 5.8 \times 10^{-5} \text{ ML/s} \). After 25 s a maximum of \( 1.4 \times 10^{-3} \text{ ML} \) will be deposited on the surface (when the sticking coefficient is unity). This concentration results in a maximum enhancement of the production coefficient of \( \delta = 0.22 \).

The nickel concentration after \( T = 1000 \text{ s} \) was measured to be 0.01 ML at a depth of 20 ML. During this process, however, the nickel will diffuse into the silicon as described previously. We assume that no nickel was sputtered. As 20 ML is the penetration depth of the ions we conclude that 0.01 ML is a lower limit of the total amount of nickel in the silicon as more nickel may be present below the surface. Extrapolating the measured linear dependence between nickel concentration and ion bombardment time, we estimate the total concentration of nickel after 100 s ion bombardment at \( 1 \times 10^{-3} \text{ ML} \) as a lower limit. This concentration results in a maximum reaction probability of 0.18.

From these considerations we have to conclude that tungsten enhances the etch rate more than nickel. From our measurements nothing can be concluded about differences in the diffusion coefficients for tungsten and nickel.

E. Mechanism of enhancement by metal contamination

So far, only the mechanism of diffusion of the contamination has been discussed. In this section we will discuss the mechanism of the enhancement of the etch rate. Almost all impurities in silicon enhance the etch rate (see Sec. I). The most extensive study on the effect and mechanism of contamination was done in the case of F₂ etching of silicon in the presence of copper contamination. Here, a 100-fold enhancement was measured for temperatures higher than 80 °C. Since almost no enhancement was measured in etching with atomic fluorine, a possible mechanism suggested by the authors is that Cu catalyzes the fluorination of the silicon

\[
2 \text{Cu} + \text{F}_2 \rightarrow 2(\text{Cu-F}),
\]

\[
\text{Cu-F} + \text{Si} \rightarrow (\text{Si-F}) + \text{Cu}.
\]

On the other hand, a mechanism involving silicide formation is favored by the same authors (Mucha et al. in Ref. 20), although the authors could not distinguish between these two possible catalytic cycles

\[
(\text{Cu})_x + (\text{Si})_y \rightarrow (\text{CuSi})_z,
\]

\[
(\text{CuSi})_s + \text{F}_2 \rightarrow (\text{Cu})_l + (\text{SiF}),
\]

where the subscript \( s \) indicates that the species are bound to the silicon surface. In the second reaction step of Eq. (11), Si is extracted from the silicide as shown by Selamoglu. As a next step, (SiF) will react with more fluorine to form final products.

Our results, however, show an enhancement at room temperature in etching with XeF₂, which is comparable to etching with atomic F. Under these circumstances no enhancement was reported by Selamoglu et al. This might be explained by the fact that their measurement of the etch rate by interferometry is not accurate enough to measure a small enhancement. Calculations by Chou et al. show that Cu forms initial stages of silicides at room temperature and weakens the bonds between surface and underlying silicon atoms on Si(111). This could explain very well our results. The difference in enhancement by W and Ni can be explained by a difference in weakening of bonds.
Another difference is that our contamination diffuses into the silicon, whereas the Cu contamination of Selamoglu et al. was put on the surface of the silicon (by deposition, by spontaneous plating from solution or by physical rubbing of the silicon with the metal). Their measurements showed no enhancement at 185 °C for Si rubbed with Ni. This may indicate that only contamination diffused into the silicon can enhance the etch rate. This can be explained by strain around the contaminant induced by the different dimensions of the contaminants, changing the lattice parameter. This stress may cause silicon bonds to break more easily and react with the fluorine. In this case, contamination catalyzes the formation of final products from fluorinated silicon. This fluorinated silicon is connected to the silicon bulk with bonds under stress. The initial fluorination takes place at dangling bonds at the surface and these bonds are not influenced by strain in the lattice. This mechanism explains both the fact that the etch rate is enhanced for a variety of contaminants and that W enhances the etch rate more than Ni because of its bigger size, thus inducing more stress in the lattice.

The mechanisms discussed in this section explain the etch rate enhancement from a totally different catalytic effect of the contamination. From our measurements we cannot distinguish between the various mechanisms, but the enhancement by silicide formation is favored.

V. REVIEW OF EARLIER WORK

In this section the effect of metal contamination on earlier publications by our group is reviewed. As shown in the present article, the enhancement in silicon etch rate is not due to damage-enhanced etching as previously concluded, but due to W contamination from the ionization gauge. The conclusions regarding this enhancement should therefore be disregarded. In the other articles the ionization gauge was always switched off and the liquid nitrogen vessel was filled, thus eliminating the contamination by tungsten.

We stumbled across the nickel contamination after measurements with Ar\(^+\) ions had been done without any signs of contamination. It was found that the imperfect focusing of the ion beam (Fig. 7) resulted in sputtering and subsequent deposition of nickel. By adjusting the inlet pressure of Ar into the ion source, a well focused ion beam was produced and previously published results were reproduced. We thus conclude that all previous articles by our group are not influenced by nickel contamination.

VI. CONCLUSIONS

We found that tungsten and nickel contamination migrates in silicon during ion-assisted etching of silicon with XeF\(_2\) and Ar\(^+\) ions. The mechanism of this migration is enhanced diffusion by the production of vacancies by the Ar\(^+\) ions. As the diffusion coefficient increases with the vacancy concentration, higher ion currents move the contamination deeper into the silicon. The maximum depth for the contamination is the penetration depth of the ions. From the experiments it is concluded that nickel is migrated to a depth of 25 Å for 1 keV Ar\(^+\) ions, in good agreement with the ion penetration depth. When etching, the contamination accumulates at the surface and enhances the spontaneous etch rate of silicon. A simple model simulates this observed behavior of enhancement for high ion currents well. Comparison of contamination with nickel and tungsten reveals that tungsten enhances the etch rate most. Traces of tungsten less than 0.01 ML may enhance the etch rate by a factor of 2. Two mechanisms for the catalytic effect of contamination are proposed, but from the experiments no distinction between the two can be made.

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