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Monte Carlo simulations of temperature-programmed desorption spectra

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We show how to obtain good quantitative data on the energetics of surface reactions by fitting results of dynamic Monte Carlo simulations to results of kinetic experiments. In particular, we can obtain numerical values for various lateral interactions by simulating temperature-programmed desorption spectra and fitting the simulated spectra to the experimental ones using evolution strategies. We illustrate the procedure by determining nearest-, next-nearest-, and next-next-nearest-neighbor interactions for CO on Rh(100).

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I. INTRODUCTION

Temperature-programmed desorption (TPD) is one of the most widely used techniques in heterogeneous catalysis and surface science.1 It has been used to show the importance of lateral interactions for the kinetics of surface reactions, but so far it has not been completely successful in obtaining quantitative data for these interactions. One can often get a reasonable fit of an experimental TPD spectrum with a single parameter for the lateral interactions with the rate equation

\[ \frac{d\theta}{dt} = -\nu e^{-E_{\text{act}}/k_B T} \theta \left( 1 + (e^{\phi_{NN}/k_B T} - 1)^Z \right) \phantom{1} \]

for simple desorption of an atom or molecule that has an interaction \( \phi_{NN} \) with each of its \( Z \) nearest neighbors. This mean-field approximation leads to

\[ \frac{d\theta}{dt} = -\nu e^{-E_{\text{act}}/k_B T} \theta \left( 1 + (e^{\phi_{NN}/k_B T} - 1)^Z \right) \phantom{1} \]

for simple desorption of an atom or molecule that has an interaction \( \phi_{NN} \) with each of its \( Z \) nearest neighbors. If the interaction is small, then Eq. (1) is a good approximation of Eq. (2) with \( B = Z \phi_{NN} \). An advantage of this model is that it can easily be extended to more complicated reactions and to models with more lateral interaction parameters. It has been used successfully to explain oscillations in NO hydrogenations.2,3 A weak point of the model is that the absence of correlation in the occupation of sites is contradictory to the presence of lateral interactions. Even at high temperatures when there is no long-range order, there is still short-range order (i.e., correlation), and Eq. (2) does not hold.

Correlation can be included by using pair approximations, such as the quasichemical approximation or even more sophisticated approximations.4–6 Although these approximations clearly improve on mean field, they are still approximations. Density-functional theory (DFT) calculations for a number of systems have shown that there are generally more than one interaction of appreciable magnitude between adsorbates.7–10 Analyses of the heat of adsorption as a function of coverage,11 phase diagrams of adlayers,12,13 and other experiments point to the same fact. These lateral interactions can differ substantially and, as will be shown, can determine different properties of a system. It is not clear then that the approximations mentioned above are always reliable.

An accurate description of the kinetics of surface reactions with lateral interactions can be given by dynamic, or kinetic, Monte Carlo (DMC) simulations.14–19 We will show in this paper that it is possible to determine numerical values for various substantially different lateral interaction parameters with DMC simulations of TPD. This is obvious of great benefit. If lateral interactions can be obtained from such a widely used technique as TPD, it may be possible to obtain a large amount of quantitative data on these interactions for many systems. Apart from the lateral interactions our method also yields the activation energy and prefactor for desorption. It also gives error estimates that indicate how relevant a specific lateral interaction is for the kinetics. We will illustrate the method on CO desorption from Rh(100).20 We will determine lateral interactions between nearest, next-nearest, and next-next nearest neighbors. There is a strong repulsion between neighboring sites. This repulsion leads to an ordered \( c(2 \times 2) \) structure of the adlayer even at the temperatures where desorption takes place, but it hardly affects the spectra, which are determined by the next- and next-next-nearest-neighbor interactions.

II. COMPUTATIONAL DETAILS

A. The model for CO/Rh(100)

CO adsorbs onto top sites of Rh(100) for the coverages below \( \theta = 0.5 \) that we will look at.20 This means that we use a square grid for the adsorption sites. For the fit of the TPD spectra a grid size of \( 256 \times 256 \) was used. There are two processes; CO can desorb or it can diffuse by hopping to a neighboring site if that site is vacant. For both processes we write the rate constant \( k \) as

\[ k = \nu e^{-E_{\text{act}}/k_B T}. \phantom{1} \]
The activation energy is written as \( E_{\text{act}} = E_{\text{act}}^{(0)} + \Delta E_{\text{act}} \), where \( E_{\text{act}}^{(0)} \) is the activation energy without lateral interactions and \( \Delta E_{\text{act}} \) is the change due to lateral interactions. We assume a Brønsted-Polanyi relation \( \Delta E_{\text{act}} = \alpha (\Delta E_f - \Delta E_i) \), with \( \Delta E_f \) (\( \Delta E_i \)) the effect of the lateral interactions on the adsorption energy of CO after (before) the reaction has taken place.\(^2\) For desorption we have assumed a late barrier; \( \alpha = 1 \) (see Fig. 1). Because there are no lateral interactions in the gas phase we have \( \Delta E_f = 0 \). We have assumed that the lateral interactions are pairwise additive so that \( \Delta E_i = \sum \delta_i \phi_{kl} \), with the summation \( k \) over all sites involved in the reaction (just one for desorption), the summation \( l \) over all surrounding sites (12 sites for desorption), \( \phi_{kl} \) the lateral interaction between adsorbates at sites \( k \) and \( l \), and \( \delta_k \) and \( \delta_l \) equal to 1 if the site is occupied and 0 otherwise. We have included nearest-neighbor \( \phi_{NN} \), next-nearest-neighbor \( \phi_{NNN} \), and next-next-nearest-neighbor interactions \( \phi_{NNNN} \) (see Fig. 2). Positive values indicate repulsion and negative ones attraction.

We expect three-particle interactions with at most one pair of CO molecules at nearest-neighbor sites to be negligible (see Fig. 2). Three-particle interactions with two pairs of CO molecules being nearest neighbors may be of a similar magnitude as weak pair interactions.\(^3\) However, the nearest-neighbor pair interactions \( \phi_{NN} \) will be shown to be so large that such configurations are very unlikely. Therefore we have neglected all three- and more-particle interactions. We have also assumed that the prefactors are not affected by the lateral interactions. This agrees with what has been found for similar systems: CO on Ni(100), Cu(100), and Pd(100) at low coverages,\(^2\) CO also adsorbs at bridge position when the coverage is above 0.5 ML (monolayer).\(^2\) This is a much more complicated situation, because the number of kinetic parameters is more than double the number of kinetic parameters for low coverages. Therefore we have only looked at coverage \( \theta \leq 0.5 \).

Diffusion of CO is very fast. If realistic diffusion rates will be used, almost all computer time would be spent on the diffusion. Fortunately, the rate constant for diffusion can be reduced drastically without affecting the results of a simulation. The reason for this is that the main role of diffusion is to equilibrate the adlayer. This can be accomplished with a reduced diffusion as follows (see also Fig. 3). The effect of lateral interactions on the activation energy for diffusion is given by the same expressions as for the activation energy for desorption. Differences are that we have an intermediate barrier, \( \alpha = 1/2 \), \( \Delta E_f / 2 \neq 0 \) but is given by \( \Delta E_f = \sum \delta_i \phi_{kl} \), and there are more sites involved. (The summation over \( k \) is over two sites and the one over \( l \) is over 16 sites.) The activation energy \( E_{\text{act}}^{(0)} \) for diffusion was chosen as the minimal value that gives \( E_{\text{act}} = E_{\text{act}}^{(0)} + \Delta E_{\text{act}} \geq 0 \) for all possible configurations of the CO molecules. The value was determined for each simulation separately. By taking a minimal value for \( E_{\text{act}}^{(0)} \) the variation of the diffusion rate as a function of temperature was minimized. Note that changing \( E_{\text{act}}^{(0)} \) does not change the equilibrium of the adlayer in any way (see Fig. 3). This depends only on \( \Delta E_{\text{act}} \) and the way that \( \Delta E_{\text{act}} \) is affected by the lateral interactions (see above) ensures that the different adlayer configurations occur with a proper probability given by a Boltzmann factor. The prefactor for diffusion was also given a minimal value, but large enough so that
and the computer time for simulating a TPD spectrum scales as
\( \Delta E_i \). The list is traversed and changes are made to the
configuration corresponding to the occurring reactions. The
activation energy \( E_{a}^{(0)} \) affects only this height.

The adlayer was equilibrated at all times. This value of the
prefactor was determined experimentally by varying it and
determining the range for which the results did not change.
Together with the way that the activation energy is chosen,
this ensures that a minimal fraction of the simulation time is
spent on the diffusion.

B. Dynamic Monte Carlo

We have used DMC to simulate the evolution of the adlayer using the CARLOS code.\textsuperscript{23} Our method can be derived
from first principles, and gives exact results for the model
that we use for CO on Rh(100).\textsuperscript{17,18} There are numerous
DMC algorithms that can be used, which all give statistically
exactly the same results.\textsuperscript{16,24,18} All DMC algorithms generate
an ordered list of times at which a reaction takes place, and
for each time in that list the reaction that occurs at that time.
A DMC simulation starts with some chosen initial configuration.
The list is traversed and changes are made to the
configuration corresponding to the occurring reactions. The
various algorithms differ in how the reaction times are com-
puted, how a reaction of a particular type is chosen, and how
it is determined where on the surface a reaction takes place.
We have used the first-reaction method in all our simulations,
because this method gives exact results also when the rate
constants vary in time as in a TPD experiment.\textsuperscript{15,16,18,24,25}

C. Evolution strategies

The simulated spectra can be very noisy because we are
using stochastic simulations. The noise can be reduced but
only at the cost of an increase in computer time. The noise
scales as \( O(L^{-1}) \), with \( L \) the linear dimension of the grid,
and the computer time for simulating a TPD spectrum scales
as \( O(L^2 \ln L) \) with the first-reaction method.\textsuperscript{16} The method
that we have chosen to fit the simulated spectra to the exper-
imental ones is evolution strategy (ES).\textsuperscript{26,27} This method
is able to deal with noisy data. In addition, it is a method that
does not get trapped in the first local optimum that is en-
countered. It is a method from the field of evolutionary
computation.\textsuperscript{23} It shares many characteristics with the better
known method genetic algorithms,\textsuperscript{28} but ES seems better
suited to optimize sets of real numbers.\textsuperscript{27} (We also tried Pow-
well’s method and simulated annealing to fit the experimental
spectra.\textsuperscript{29} Powell’s method managed to converge in spite of
the noise, but generally gave bad fits, because it got trapped
in the nearest local minimum. Simulated annealing gave fits
of the same quality as ES, but seemed to be somewhat less
efficient.)

For each set of kinetic parameters (prefactor, activation
energy, and lateral interactions) we computed \( \chi^2 = \sum_{i=1}^{N_{exp}} \chi^2/\mu_i \), where \( i \) stands for TPD spectra with
different initial coverage, \( N_{exp} \) is the number of such spectra, \( s_i \) is
an error estimate, and \( \chi^2 \) is the difference between the experi-
mental and the simulated spectrum defined as
\[
\chi_i^2 = \frac{1}{N_{sample}} \sum_{j=1}^{N_{sample}} \left[ r_{ij}^{(exp)} - r_{ij}^{(sim)} \right]^2,
\]
where \( r_{ij}^{(exp)} \) and \( r_{ij}^{(sim)} \) stand for desorption rates in the ex-
periment and the simulation, respectively. The sum is over
different temperatures \( T_0 + j \Delta T \). The error estimates \( s_i \) were
determined by assuming that the errors are mainly due to the
numerical noise in the simulations. We did 101 simulations
with the same initial conditions and kinetic parameters. For
each subsequent pair of simulations we calculated \( \chi_i^2 \), and
for \( s_i^2 \) we took the average of \( \chi_i^2/2 \) over the 100 pairs. (There
is a factor 1/2, because we are calculating \( \chi_i^2 \) from two simu-
lations, whereas in the fit there is only one simulation.) We
looked at \( N_{exp}=8 \) different initial coverages, and we used
\( T_0=250 \text{ K}, N_{sample}=401, \) with \( \Delta T=1 \text{ K} \), and a heating rate
of 5 K/sec.

The ES that we have used works with a set of pairs
\( (x_i, \sigma_i) \), with \( i=1,2,...,\mu \), where \( x_i \) and \( \sigma_i \) are vectors of
real numbers and \( \mu \) is the number of pairs. The set is called
a population and the pairs can be considered genetic mater-
ial. The components of the vector \( x \) are the kinetic param-
eters that we want to determine. To minimize \( \chi^2 \) we generate
a new population, which we hope contains better kinetic pa-
rameters than the original one. We generate a new population
by first generating \( \lambda \) offspring by randomly choosing two
pairs \( (x_i, \sigma_i) \) and \( (x_j, \sigma_j) \) and making a so-called interme-
diate crossover \( \{(x_k, x_{k+1}), (\sigma_k, \sigma_{k+1})\}/2 \). Then we mutate
each of the \( \lambda \) offspring as follows: \( x_{a} \rightarrow x_{a} + N(\sigma_{a}) \) and
\( \sigma_{a} \rightarrow \sigma_{a} + \exp[N(\Delta \sigma)] \), where \( \sigma \) indicates a component of the
vector and \( N \) is a random number drawn from a Gaussian
distribution centered at the origin and a width given by the
argument. The quantity \( \Delta \sigma \) is a parameter of the method.
Finally for each of the \( \lambda \) offspring we compute \( \chi^2 \) by doing
a set of DMC simulations. The new population will then
consist of the \( \mu \) pairs from the \( \lambda \) offspring with the lowest
\( \chi^2 \) (comma selection). We have typically generated 50 popu-
lations during a single run with \( \mu=32 \), \( \lambda=64 \), and \( \Delta \sigma =0.5 \). A single run consisted of about 25 000 DMC simu-
lations. This took at most only a little over 2 days on a 600
Mhz Pentium III PC.
III. RESULTS AND DISCUSSION

There are two kinds of errors when we fit the experimental spectra. We have errors because our DMC is a stochastic method and we may have errors because our model of the lateral interactions may be deficient. The total error is an unknown combination of the errors of both types. We are mainly interested in possible shortcomings of our model of the lateral interactions. To get an idea of the errors caused by the DMC simulations we have done some preliminary fits to obtain lateral interactions. The best preliminary fit was obtained with $\phi_{\text{NN}} \approx 25$ kJ/mol, $\phi_{\text{NNN}} \approx 1.3$ kJ/mol, and $\phi_{\text{NNNN}} \approx 1.0$ kJ/mol with the prefactor and the activation energy fixed at experimental values of $\nu_{\text{des}} = 6.3 \times 10^3$ sec$^{-1}$ and $E_{\text{act}} = 13.7$ kJ/mol. We then generated TPD spectra with these parameters using DMC and a grid of size $10^4$ to minimize the noise of the simulations. We then tried to fit these simulated spectra in exactly the same way as we fitted the experimental spectra. Because the simulated spectra could, in principle, be fitted exactly, the errors were due only to the stochastic nature of the DMC simulations. The best fit to the simulated spectra was found to be $\nu_{\text{des}} = 9.28 \times 10^3$ sec$^{-1}$, $E_{\text{act}} = 139$ kJ/mol, $\phi_{\text{NN}} = 17$ kJ/mol, $\phi_{\text{NNN}} = 1.4$ kJ/mol, and $\phi_{\text{NNNN}} = 1.0$ kJ/mol with $\chi^2 = 2.9$. We see that the fit is reasonable for the prefactor and the activation energy, but bad for $\phi_{\text{NN}}$, and excellent for $\phi_{\text{NNN}}$ and $\phi_{\text{NNNN}}$. We will show below why this is the case. More important here is the value of $\chi^2$. If the fit of the experimental spectra gives a much higher value, then this would point to shortcomings of our model for the lateral interactions.

Figure 4 shows a typical result for the convergence of the evolutionary computation. The lines show the error estimate $\chi^2$ for the best set of kinetic parameters for CO desorption from Rh(100) in the subsequent populations and the average of all sets in each population.

that the agreement is very good. Our best set of kinetic parameters is $\nu_{\text{des}} = 1.435 \times 10^{12}$ sec$^{-1}$, $E_{\text{act}} = 121$ kJ/mol, $\phi_{\text{NN}} = 24$ kJ/mol, $\phi_{\text{NNN}} = 1.1$ kJ/mol, and $\phi_{\text{NNNN}} = 0.9$ kJ/mol with $\chi^2 = 9.3$, which should be compared to 3.9, which is the value that is obtained by trying to fit simulated spectra (see above). We note that, as $\chi^2$ is a quadratic form, the error is only about 50% larger, because of shortcomings of our model and experimental errors. This means that the model for the lateral interactions is acceptable.

The procedure does not always converge to exactly the same minimum. In fact, we can get an even better fit than the one mentioned above for small values of $\phi_{\text{NN}}$. For example, $\nu_{\text{des}} = 6.31 \times 10^3$ sec$^{-1}$, $E_{\text{act}} = 137$ kJ/mol, $\phi_{\text{NN}} = 2.4$ kJ/mol, $\phi_{\text{NNN}} = 1.3$ kJ/mol, and $\phi_{\text{NNNN}} = 1.5$ kJ/mol gives $\chi^2 = 8.7$. The drawback of this set is that it allows adlayer structures at low temperature that are not found experimentally. Up to coverages of 0.5 ML a c(2\times2) structure is found. This points to strong repulsion between CO molecules at nearest-neighbor sites. The set above with small $\phi_{\text{NN}}$ yields a (\sqrt{2}\times\sqrt{2})-2O structure at low temperature. The set of kinetic parameters with high $\phi_{\text{NN}}$ value was obtained with constraints that made the c(2\times2) structure more stable than a number of other possible structures. Such constraints can easily be included in ES.

If the next-nearest-neighbor interaction is neglected, then the fit becomes a bit less good with $\chi^2 = 11.2$ and $\nu_{\text{des}} = 2.04 \times 10^{12}$ sec$^{-1}$, $E_{\text{act}} = 123$ kJ/mol, $\phi_{\text{NN}} = 13$ kJ/mol, and $\phi_{\text{NNN}} = 2.0$ kJ/mol. Note that the prefactor and the activation energy for an isolated molecule is almost the same as for the best fit. The nearest-neighbor interaction is quite different for reasons that we will discuss below. The next-nearest-neighbor interaction is about equal to the sum of $\phi_{\text{NN}}$ and $\phi_{\text{NNN}}$ of the best fit. This is an indication that this
is an important quantity determining the spectra.

We have repeated the fit a number of times, looked at the statistics of the results, and derived estimates for the accuracy of the kinetic parameters. The results of this procedure are \( \ln(n_{\text{des}}/\text{sec}^{-1}) = 12.2^{+0.7}_{-0.2} \), \( E_{\text{act}}^{(0)} = 121^{+7}_{-1} \) kJ/mol, \( \phi_{\text{NN}} = 24^{+8}_{-5} \) kJ/mol, \( \phi_{\text{NNNN}} = 1.1^{+0.4}_{-0.2} \) kJ/mol, and \( \phi_{\text{NNNNNN}} = 0.9^{+0.3}_{-0.2} \) kJ/mol. These are best values with one-sided standard deviations. We see that the errors for the prefactor \( n_{\text{des}} \) and the activation energy \( E_{\text{act}}^{(0)} \) are quite substantial. The main cause for this is a compensation effect; a TPD spectrum changes very little when the activation energy and the prefactor are decreased or increased simultaneously.\(^{30}\) Experimental values are found in the range \( \ln(n_{\text{des}}/\text{sec}^{-1}) = 12.9 - 16.3 \) and \( E_{\text{act}}^{(0)} = 134 - 149 \) kJ/mol.\(^{20}\) The most recent values are \( n_{\text{des}} = 10^{13.8^{+0.2}_{-0.2}} \) sec\(^{-1} \) and \( E_{\text{act}}^{(0)} = 137^{+2}_{-2} \) kJ/mol in the low coverage limit. The agreement with our result is reasonable. In fact, the desorption rate constant for an isolated molecule is the same for the experimental values and the best-fit values at \( T = 500 \) K, which is the peak maximum temperature at low coverage (see Fig. 5). Measurements of the heat of adsorption gave a value of 118\( \pm \)4 kJ/mol, which is also in good agreement with our best-fit values if we assume that CO adsorption is not or only weakly activated.\(^{11}\)

The large error in \( \phi_{\text{NN}} \) is due to the weak dependence of the TPD spectra on this lateral interaction. The value of \( \phi_{\text{NN}} \) is large and the CO molecules will avoid occupying neighboring sites. This lateral interaction only affects how easy it is for the adlayer to rearrange itself when CO molecules start desorbing, but when a CO molecule desorbs it rarely has a nearest neighbor, so there is no direct effect on the TPD spectra. This means that one cannot really determine the value of \( \phi_{\text{NN}} \) from TPD. Although the interaction between nearest neighbors need not be known precisely, one should not prevent CO molecules occupying nearest-neighbor position altogether. For \( \phi_{\text{NN}} \to \infty \) we find a best fit with \( \chi^2 = 11.3 \). This is clearly higher than our best fit, although not much. Apparently the adlayer wants to move the CO molecules apart. This is easier when there is a small probability that the CO can become nearest neighbors.

The errors in the other lateral interactions are much smaller, because they do affect the TPD spectra substantially. This differs from calculating the lateral interactions with DFT. There strong lateral interactions can be determined quite accurately, but the weak lateral interactions have much larger relative errors.\(^{9}\) Coverage-dependent measurements of the heat of adsorption gave \( \phi_{\text{NN}} = 9 \pm 1 \) kJ/mol, \( \phi_{\text{NNNN}} = 1.0 \pm 0.5 \) kJ/mol, and \( \phi_{\text{NNNNNN}} = -1.0 \pm 0.5 \) kJ/mol.\(^{11}\) The nearest-neighbor interaction differs substantially for reasons mentioned above. The next-nearest-neighbor interaction is in excellent agreement, but the next-next-nearest-neighbor interaction has a different sign. Attractive interactions between adsorbates at next-next-nearest-neighbor positions have been used to explain island formation.\(^{31}\) However, if \( \phi_{\text{NNNNNN}} \) is negative, then \( \phi_{\text{NNNN}} \) should probably be larger, as their sum was found to be very sensitive to the shift of the peaks in the TPD spectra. As there are no details in Ref. 11 on how the lateral interactions are obtained, we cannot properly comment on the origin of the difference between our results and those of that study.

### IV. SUMMARY

We have shown that temperature-programmed desorption spectra contain information on the lateral interactions in a system. This information can be extracted and numerical values for lateral interactions can be obtained from these spectra by accurately modeling the surface processes using dynamic, or kinetic, Monte Carlo simulations. Because these simulations are stochastic and the simulated spectra are therefore noisy, we have used evolution strategies to fit the simulated spectra to the experimental ones. We have illustrated the procedure with CO desorption from Rh(100). We have obtained the prefactor and the activation energy for the desorption and the nearest-, next-nearest-, and next-next-nearest-neighbor interactions. The TPD spectra show that the nearest-neighbor interaction is strongly repulsive. It leads to the \( c(2 \times 2) \) structure for coverages below 0.5 ML even at the temperature where desorption takes place, but it has only a small effect of the TPD spectra. This also means that the numerical value for the nearest-neighbor interaction can only be determined with a large error. The TPD spectra depend much more on the next- and next-next-nearest-neighbor interactions. These are much smaller, but can also be determined much more accurately. Numerical values for the lateral interactions are \( \phi_{\text{NN}} = 24 \) kJ/mol, \( \phi_{\text{NNNN}} = 1.1 \) kJ/mol, and \( \phi_{\text{NNNNNN}} = 0.9 \) kJ/mol with \( n_{\text{des}} = 1.435 \times 10^{15} \) sec\(^{-1} \), \( E_{\text{act}}^{(0)} = 121 \) kJ/mol, for the prefactor and the activation energy for desorption of an isolated CO molecule. Because of the prevalence of TPD, the procedure introduced in this paper will enable us to obtain accurate numerical values for lateral interaction for many adsorbates on many substrates.

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7. C. Stampfl, H.J. Kreuzer, S.H. Payne, H. Pfünér, and M. Scheffler,
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22 CARLOS is a general-purpose program, written in C by J. J. Lukkien, for simulating reactions on surfaces that can be represented by regular grids; an implementation of the first-reaction method, the variable stepsize method, and the random selection method, http://wwwpa.win.tue.nl/johanl/carlos/