Translating workflow nets to BPEL

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Wil M.P. van der Aalst and Kristian Bisgaard Lassen
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Translating Workflow Nets to BPEL

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Abstract. The Business Process Execution Language for Web Services (BPEL) has emerged as the de-facto standard for implementing processes. Although intended as a language for connecting web services, its application is not limited to cross-organizational processes. It is expected that in the near future a wide variety of process-aware information systems will be realized using BPEL. While being a powerful language, BPEL is difficult to use. Its XML representation is very verbose and only readable for the trained eye. It offers many constructs and typically things can be implemented in many ways, e.g., using links and the flow construct or using sequences and switches. As a result only experienced users are able to select the right construct. Several vendors offer a graphical interface that generates BPEL code. However, the graphical representations are a direct reflection of the BPEL code and not easy to use by end-users. Therefore, we provide a mapping from Workflow Nets (WF-nets) to BPEL. This mapping builds on the rich theory of Petri nets and can also be used to map other languages (e.g., UML, EPC, BPMN, etc.) onto BPEL.

Keywords: BPEL4WS, Petri nets, workflow management, business process management.

1 Introduction

After more than a decade of attempts to standardize workflow languages (cf. [6, 41]), it seems that the Business Process Execution Language for Web Services (BPEL4WS or BPEL for short) [13] is emerging as the de-facto standard for executable process specification. Systems such as Oracle BPEL Process Manager, IBM WebSphere Application Server Enterprise, IBM WebSphere Studio Application Developer Integration Edition, and Microsoft BizTalk Server 2004 support BPEL, thus illustrating the practical relevance of this language.

Interestingly, BPEL was intended initially for cross-organizational processes in a web services context: “BPEL4WS provides a language for the formal specification of business processes and business interaction protocols. By doing so, it extends the Web Services interaction model and enables it to support business transactions.” (see page 1 in [13]). However, it can also be used to support intra-organizational processes. The authors of BPEL [13] envision two possible uses of the language: “Business processes can be described in two ways. Executable business processes model actual behavior of a participant in a business interaction. Business protocols, in contrast, use process descriptions that specify the mutually visible message exchange behavior of each of the parties involved in the protocol, without revealing their internal behavior. The process descriptions for business protocols are called abstract processes. BPEL4WS is meant to
be used to model the behavior of both executable and abstract processes.” In this paper we focus on the use of BPEL as an execution language.

BPEL is an expressive language [52] (i.e., it can specify highly complex processes) and is supported by many systems. Unfortunately, BPEL is not a very intuitive language. Its XML representation is very verbose and there are many, rather advanced, constructs. Clearly, it is at another level than the graphical languages used by the traditional workflow management systems (e.g., Staffware, FileNet, COSA, Lotus Domino Workflow, SAP Workflow, etc.). This is the primary motivation of this paper. How to generate BPEL code from a graphical workflow language?

The modeling languages of traditional workflow management systems are executable but at the same time they appeal to managers and business analysts. Clearly, managers and business analysts will have problems understanding BPEL code. As a Turing complete language BPEL can do, well, anything, but to do this it uses two styles of modeling: graph-based and structured. This can be explained by looking at its history: BPEL builds on IBM’s WSFL (Web Services Flow Language) [37] and Microsoft’s XLANG (Web Services for Business Process Design) [46] and combines accordingly the features of a block structured language inherited from XLANG with those for directed graphs originating from WSFL. As a result simple things can be implemented in two ways. For example a sequence can be realized using the sequence or flow elements, a choice based on certain data values can be realized using the switch or flow elements, etc. However, for certain constructs one is forced to use the block structured part of the language, e.g., a deferred choice [8] can only be modeled using the pick construct. For other constructs one is forced to use the links, i.e., the more graph-based oriented part of the language, e.g., two parallel processes with a one-way synchronization require a link inside a flow. In addition, there are very subtle restrictions on the use of links: “A link MUST NOT cross the boundary of a while activity, a serializable scope, an event handler or a compensation handler... In addition, a link that crosses a fault-handler boundary MUST be outbound, that is, it MUST have its source activity within the fault handler and its target activity within a scope that encloses the scope associated with the fault handler. Finally, a link MUST NOT create a control cycle, that is, the source activity must not have the target activity as a logically preceding activity, where an activity A logically precedes an activity B if the initiation of B semantically requires the completion of A. Therefore, directed graphs created by links are always acyclic.” (see page 64 in [13]). All of this makes the language complex for end-users. Therefore, there is a need for a “higher level” language for which one can generate intuitive and maintainable BPEL code.

Such a “higher level” language will not describe certain implementation details, e.g., particularities of a given legacy application. This needs to be added to the generated BPEL code. Therefore, it is important that the generated BPEL code is intuitive and maintainable. If the generated BPEL code is unnecessary complex or counter-intuitive, it cannot be extended or customized.

Note that tools such as Oracle BPEL Process Manager and IBM WebSphere Studio offer graphical modeling tools. However, these tools reflect directly the BPEL code, i.e.,

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3 Since BPEL offers typical constructs of programming languages, e.g., loops and if-the-else constructs, and XML data types it is easy to show that BPEL is Turing complete.
the designer needs to be aware of structure of the XML document and required BPEL constructs. For example, to model a deferred choice in the context of a parallel process [8] the user needs to add a level to the hierarchy (i.e., a pick defined at a lower level than the flow). Moreover, subtle requirements such as links not creating a cycle still apply in the graphical representation. Therefore, it is interesting to look at a truly graph-based language with no technological-oriented syntactical restrictions and see whether it is possible to generate BPEL code.

In this paper we use a specific class of Petri nets, named WorkFlow nets (WF-nets) [1-3], as a source language to be mapped onto the target language BPEL. There are several reasons for selecting Petri nets as a source language. It is a simple graphical language with which a strong theoretical foundation. Petri nets can express all the routing constructs present in existing workflow languages [4,21,49] and enforce no technological-oriented syntactical restrictions (e.g., no loops). Note that WF-nets are classical Petri nets without data, hierarchy, time and other extensions. Therefore, their applicability is limited. However, we do not propose WF-nets as the language to be used by end-users; we merely use it as the theoretical foundation. It can capture the control-flow structures present in other graphical languages, but it abstracts from other aspects such as data flow, work distribution, etc. Using a real-life example, we will show that the mapping from WF-nets to BPEL presented in this paper can also be used to map Colored Petri Nets (CPNs) onto BPEL. Similarly, the mapping can be used as a basis for translations from other source languages such as UML activity diagrams [26], Event-driven Process Chains (EPCs) [30,44], and the Business Process Modeling Notation (BPMN) [51]. Moreover, the basic ideas can also be used to map graph-based languages onto other (partly) block-structured languages.

The remainder of this paper is organized as follows. First, we provide an overview of related work. Then, we present some preliminaries including the BPEL language (Section 3.1), Petri nets (Section 3.2), WF-nets (Section 3.3), and soundness (Section 3.4). Then, in Section 4, we show the how and when WF-nets can be decomposed into components. These decomposition results are used in Section 5 to map WF-nets onto BPEL. Finally, in Section 6, we present a case study, and in Section 7 we conclude the paper with some conclusions.

2 Related Work

Since the early nineties workflow technology has matured [24] and several textbooks have been published, e.g., [7,16,28,38]. During this period many languages for modeling workflows have been proposed, i.e., languages ranging from generic Petri-net-based languages to tailor-made domain-specific languages. The Workflow Management Coalition (WfMC) has tried to standardize workflow languages since 1994 but failed to do so [21]. XPDL, the language proposed by the WfMC, has semantic problems [4] and is rarely used. In a way BPEL [13] succeeded in doing what the WfMC was aiming at. However, BPEL is really at the implementation level rather than the workflow modeling level or the requirements level (thus providing the motivation for this paper).

Several attempts have been made to capture the behavior of BPEL [13] in some formal way. Some advocate the use of finite state machines [22,23], others process
algebras [20, 36], and yet others abstract state machines [18, 19] or Petri nets [42, 40, 45, 48]. For a detailed analysis of BPEL based on the workflow patterns [8] we refer to [52].

The work reported in this paper is also related to the various tools and mappings used to generate BPEL code being developed in industry. Tools such as the IBM WebSphere Choreographer and the Oracle BPEL Process Manager offer a graphical notation for BPEL. However, this notation directly reflects the code and there is no intelligent mapping as shown in this paper. This implies that users have to think in terms of BPEL constructs (e.g., blocks, syntactical restrictions on links, etc.). More related is the work of Steven White that discusses the mapping of BPMN onto BPEL [50] and the work by Jana Koehler and Rainer Hauser on removing loops in the context of BPEL [35]. Note that none of these publications provides a mapping of some (graphical) process modeling language onto BPEL: [50] merely presents the problem and discusses some issues using examples and [35] focusses on only one piece of the puzzle.

The work presented in this paper is related to [9] where we describe a case study where for a new bank system requirement are mapped onto Colored Workflow Nets (a subclass of Colored Petri Nets) which are then implemented using BPEL in the IBM WebSphere environment (cf. Section 6).

3 Preliminaries

This section provides the preliminaries used to map WF-nets onto BPEL.

3.1 Business Process Execution Language for Web Services (BPEL)

As indicated in the introduction, BPEL [13] intends to support the modeling of two types of processes: executable and abstract processes. An abstract, (not executable) process is a business protocol, specifying the message exchange behavior between different parties without revealing the internal behavior for anyone of them. An executable process, which is also the focus of this paper, specifies the execution order between a number of activities constituting the process, the partners involved in the process, the messages exchanged between these partners, and the fault and exception handling specifying the behavior in cases of errors and exceptions.

A BPEL process itself is a kind of flow-chart, where each element in the process is called an activity. An activity is either a primitive or a structured activity. The set of primitive activities contains: invoke, invoking an operation on some web service; receive, waiting for a message from an external source; reply, replying to an external source; wait, waiting for some time; assign, copying data from one place to another; throw, indicating errors in the execution; terminate, terminating the entire service instance; and empty, doing nothing.

To enable the presentation of complex structures the following structured activities are defined: sequence, for defining an execution order; switch, for conditional routing; while, for looping; pick, for race conditions based on timing or external triggers; flow, for parallel routing; and scope, for grouping activities to be treated by the same fault-handler. Structured activities can be nested and combined in arbitrary
ways. Within activities executed in parallel the execution order can further be controlled by the usage of links (sometimes also called control links, or guarded links), which allows the definition of directed graphs. The graphs too can be nested but must be acyclic and satisfy other subtle requirements as indicated in the introduction.

A detailed or complete description of BPEL is out-of-the-scope of this paper. For more details, the reader is referred to [13] and various web sites such as: http://www.oasis-open.org/committees/tc_home.php?wg_abbrev=wsbpel.

3.2 Petri nets

This section introduces the basic Petri net terminology and notations. Readers familiar with Petri nets can skip this section.

The classical Petri net is a directed bipartite graph with two node types called places and transitions. The nodes are connected via directed arcs. Connections between two nodes of the same type are not allowed. Places are represented by circles and transitions by rectangles.

Definition 1 (Petri net). A Petri net is a triple $(P, T, F)$:

- $P$ is a finite set of places,
- $T$ is a finite set of transitions ($P \cap T = \emptyset$),
- $F \subseteq (P \times T) \cup (T \times P)$ is a set of arcs (flow relation)

Note that we do not consider multiple arcs from one node to another. In the context of workflow procedures it makes no sense to have other weights, because places correspond to conditions.

Elements of $P \cup T$ are called nodes. A node $x$ is an input node of another node $y$ iff there is a directed arc from $x$ to $y$ (i.e., $(x, y) \in F$). Node $x$ is an output node of $y$ iff $(y, x) \in F$. For any $x \in P \cup T$, $\nabla x = \{ y \mid (x, y) \in F \}$ and $x^{\nabla} = \{ y \mid (x, y) \in F \}$; the superscript $N$ may be omitted if clear from the context.

The projection and union of a Petri net are defined as follows.

Definition 2 (Projection). Let $PN = (P, T, F)$ and $PN' = (P', T', F')$ be a Petri nets and $X \subseteq P \cup T$ a set of nodes. $PN|_X = (P \cap X, T \cap X, F \cap (X \times X))$ is the projection of $PN$ onto $X$. $PN \cup PN' = (P \cup P', T \cup T', F \cup F')$ is the union of $PN$ and $PN'$.

At any time a place contains zero or more tokens, drawn as black dots. The state, often referred to as marking, is the distribution of tokens over places, i.e., $M \in P \rightarrow \mathbb{N}$. We will represent a state as follows: $1p_1 + 2p_2 + 1p_3 + 0p_4$ is the state with one token in place $p_1$, two tokens in $p_2$, one token in $p_3$ and no tokens in $p_4$. We can also represent this state as follows: $p_1 + 2p_2 + p_3$. To compare states we define a partial ordering. For any two states $M_1$ and $M_2$, $M_1 \leq M_2$ iff for all $p \in P$: $M_1(p) \leq M_2(p)$.

The number of tokens may change during the execution of the net. Transitions are the active components in a Petri net: they change the state of the net according to the following firing rule:

(1) A transition $t$ is said to be enabled iff each input place $p$ of $t$ contains at least one token.
(2) An enabled transition may fire. If transition \( t \) fires, then \( t \) consumes one token from each input place \( p \) of \( t \) and produces one token for each output place \( p \) of \( t \).

Given a Petri net \( (P, T, F) \) and a state \( M_1 \), we have the following notations:

- \( M_1 \xrightarrow{t} M_2 \): transition \( t \) is enabled in state \( M_1 \) and firing \( t \) in \( M_1 \) results in state \( M_2 \)
- \( M_1 \rightarrow M_2 \): there is a transition \( t \) such that \( M_1 \xrightarrow{t} M_2 \)
- \( M_1 \xrightarrow{\sigma} M_n \): the firing sequence \( \sigma = t_1 t_2 t_3 \ldots t_{n-1} \) leads from state \( M_1 \) to state \( M_n \) via a (possibly empty) set of intermediate states \( M_2, \ldots M_{n-1} \), i.e., \( M_1 \xrightarrow{t_1} M_2 \xrightarrow{t_2} \ldots \xrightarrow{t_{n-1}} M_n \)

A state \( M_n \) is called reachable from \( M_1 \) (notation \( M_1 \xrightarrow{\ast} M_n \)) iff there is a firing sequence \( \sigma \) such that \( M_1 \xrightarrow{\sigma} M_n \). Note that the empty firing sequence is also allowed, i.e., \( M_1 \xrightarrow{\ast} M_1 \).

We use \( (PN, M) \) to denote a Petri net \( PN \) with an initial state \( M \). A state \( M' \) is a reachable state of \( (PN, M) \) iff \( M \xrightarrow{\ast} M' \).

Let us define some standard properties for Petri nets. First, we define properties related to the dynamics of a Petri net, then we give some structural properties.

**Definition 3 (Live).** A Petri net \( (PN, M) \) is live iff, for every reachable state \( M' \) and every transition \( t \in T \) there is a state \( M'' \) reachable from \( M' \) which enables \( t \).

A Petri net is structurally live if there exists an initial state such that the net is live.

**Definition 4 (Bounded, safe).** A Petri net \( (PN, M) \) is bounded iff for each place \( p \in P \) there is a natural number \( n \) such that for every reachable state the number of tokens in \( p \) is less than \( n \). The net is safe iff for each place the maximum number of tokens does not exceed 1.

A Petri net is structurally bounded if the net is bounded for any initially state.

For \( PN = (P, T, F) \) we also define some standard structural properties.

**Definition 5 (Strongly connected).** A Petri net is strongly connected iff, for every pair of nodes (i.e., places and transitions) \( x \) and \( y \), there is a path leading from \( x \) to \( y \).

**Definition 6 (Free-choice).** A Petri net is a free-choice Petri net iff, for every two transitions \( t_1 \in T \) and \( t_2 \in T \), \( \bullet t_1 \cap \bullet t_2 \neq \emptyset \) implies \( \bullet t_1 = \bullet t_2 \).

**Definition 7 (State machine).** A Petri net is state machine iff each transition has at most one input place and at most one output place, i.e., for all \( t \in T \): \( |\bullet t| \leq 1 \) and \( |t \bullet| \leq 1 \).

**Definition 8 (Marked graph).** A Petri net is marked graph iff each place has at most one input transition and at most one output transition, i.e., for all \( p \in P \): \( |\bullet p| \leq 1 \) and \( |p \bullet| \leq 1 \).

See [15,43] for a more elaborate introduction to these standard notions.
3.3 WF-nets

A Petri net which models the control-flow dimension of a workflow, is called a Work­Flow net (WF-net, [1]). In WF-net the transitions correspond to activities. Some of the transitions represent "real activities" while others are added for routing purposes (i.e., similar to the structured activities in BPEL). Places correspond to pre- and post­conditions of these activities. It should be noted that a WF-net specifies the dynamic behavior of a single case (i.e., process instance in BPEL terms) in isolation.

Definition 9 (WF-net). A Petri net \( PN = (P, T, F) \) is a WF-net (Workflow net) if and only if:

(i) There is one source place \( i \in P \) such that \( \bullet i = \emptyset \).
(ii) There is one sink place \( o \in P \) such that \( o\bullet = \emptyset \).
(iii) Every node \( x \in P \cup T \) is on a path from \( i \) to \( o \).

A WF-net has one input place \((i)\) and one output place \((0)\) because any case handled by the procedure represented by the WF-net is created when it enters the WFM system and is deleted once it is completely handled by the system, i.e., the WF-net specifies the life­cycle of a case. The third requirement in Definition 9 has been added to avoid "dangling activities and/or conditions", i.e., activities and conditions which do not contribute to the processing of cases.

Given the definition of a WF-net it is easy derive the following properties [3].

Proposition 1 (Properties of WF-nets). Let \( PN = (P, T, F) \) be Petri net.

- If \( PN \) is a WF-net with source place \( i \), then for any place \( p \in P: \bullet p \neq \emptyset \) or \( p = i \), i.e., \( i \) is the only source place.
- If \( PN \) is a WF-net with sink place \( o \), then for any place \( p \in P: p\bullet \neq \emptyset \) or \( p = o \), i.e., \( o \) is the only sink place.
- If \( PN \) is a WF-net and we add a transition \( t^* \) to \( PN \) which connects sink place \( o \) with source place \( i \) (i.e., \( \bullet t^* = \{o\} \) and \( t^*\bullet = \{i\} \)), then the resulting Petri net is strongly connected.
- If \( PN \) has a source place \( i \) and a sink place \( o \) and adding a transition \( t^* \) which connects sink place \( o \) with source place \( i \) yields a strongly connected net, then every node \( x \in P \cup T \) is on a path from \( i \) to \( o \) in \( PN \) and \( PN \) is a WF-net.

3.4 Soundness

In this section we summarize some of the basic results for WF-nets presented in [1–3].

The three requirements stated in Definition 9 can be verified statically, i.e., they only relate to the structure of the Petri net. However, there is another requirement which should be satisfied:

For any case, the procedure will terminate eventually and the moment the pro­cedure terminates there is a token in place \( o \) and all the other places are empty.

Moreover, there should be no dead activities, i.e., it should be possible to execute an arbitrary transition by following the appropriate route though the WF-net. These two additional requirements correspond to the so-called soundness property [2].
Definition 10 (Sound). A procedure modeled by a WF-net $PN = (P, T, F)$ is sound if and only if:

(i) For every state $M$ reachable from state $i$, there exists a firing sequence leading from state $M$ to state $o$. Formally:\(^4\)

$$\forall_M (i \rightarrow M) \Rightarrow (M \rightarrow o)$$

(ii) State $o$ is the only state reachable from state $i$ with at least one token in place $o$. Formally:

$$\forall_M (i \rightarrow M \land M \geq o) \Rightarrow (M = o)$$

(iii) There are no dead transitions in $(PN, i)$. Formally:

$$\forall_{t \in T} \exists_{M, M'} i \rightarrow M \overset{t}{\rightarrow} M'$$

Note that the soundness property relates to the dynamics of a WF-net. The first requirement in Definition 10 states that starting from the initial state (state $i$), it is always possible to reach the state with one token in place $o$ (state $o$). If we assume a strong notion of fairness, then the first requirement implies that eventually state $o$ is reached. Strong fairness means that in every infinite firing sequence, each transition fires infinitely often. The fairness assumption is reasonable in the context of WFM: All choices are made (implicitly or explicitly) by applications, humans or external actors. Clearly, they should not introduce an infinite loop. Note that the traditional notions of fairness (i.e., weaker forms of fairness with just local conditions, e.g., if a transition is enabled infinitely often, it will fire eventually) are not sufficient. See [2, 33] for more details. The second requirement states that the moment a token is put in place $o$, all the other places should be empty. The last requirement states that there are no dead transitions (activities) in the initial state $i$.

Given a WF-net $PN = (P, T, F)$, we want to decide whether $PN$ is sound. In [1] we have shown that soundness corresponds to liveness and boundedness. To link soundness to liveness and boundedness, we define an extended net $\overline{PN} = (\overline{P}, \overline{T}, \overline{F})$. $\overline{PN}$ is the Petri net obtained by adding an extra transition $t^*$ which connects $o$ and $i$. The extended Petri net $\overline{PN} = (\overline{P}, \overline{T}, \overline{F})$ is defined as follows: $\overline{P} = P$, $\overline{T} = T \cup \{t^*\}$, and $\overline{F} = F \cup \{(o, t^*), (t^*, i)\}$. In the remainder we will call such an extended net the short-circuited net of $PN$. The short-circuited net allows for the formulation of the following theorem.

**Theorem 1**. A WF-net $PN$ is sound if and only if $(\overline{PN}, i)$ is live and bounded.

**Proof.** See [1]. \(\Box\)

This theorem shows that standard Petri-net-based analysis techniques can be used to verify soundness.

Sometimes we require a WF-net to be safe, i.e., no marking reachable from $(PN, i)$ marks a place twice. Although safeness is defined with respect to some initial marking, we extend it to WF-nets and simply state that a WF-net is safe or not.

\(^4\)Note that there is an overloading of notation: the symbol $i$ is used to denote both the place $i$ and the state with only one token in place $i$ (see Section 3.2).
In literature there exist many variants of the “classical” notion of soundness used here. Juliane Dehnert uses the notion of relaxed soundness where proper termination is possible but not guaranteed [14, 17]. The main idea is that the scheduler of the workflow system should avoid problems like deadlocks etc. In [34] Ekkart Kindler et al. define variants of soundness tailored towards interorganizational workflows. Kees van Hee et al. [27] define a notion of soundness where multiple tokens in the source place are considered. A WF-net is $k$-sound if it “behaves well” when there are $k$ tokens in place $i$, i.e., no deadlocks and in the end there are $k$ tokens in place $o$. Robert van der Toom uses the same concept in [47]. In [11, 5] stronger notions of soundness are used and places have to be safe. Another notion of soundness is used in [31, 32] where there is not a single sink place but potentially multiple sink transitions. See [47] for the relation between these variants of the same concept. Other references using (variants of) the soundness property include [25, 39]. For simplicity we restrict ourselves to the classical notion of soundness described in Definition 10.

4 Decomposing a WF-net into Components

After introducing the preliminaries we focus on the actual problem: mapping WF-nets onto BPEL. As indicated in the introduction, it is important that the generated BPEL code is intuitive and maintainable. If the generated BPEL code is unnecessary complex or counter-intuitive, it cannot be extended or customized. Therefore, we try to map parts of the WF-net onto BPEL constructs that fit best. For example, a sequence of transitions connected through places should be mapped onto a BPEL sequence. We aim at recognizing “sequences”, “switches”, “picks”, “while’s”, and “flows” where the most specific construct has our preference, e.g., for a sequence we prefer to use the sequence element over the flow element even though both are possible. We aim at an iterative approach where the WF-net is reduced by packaging parts of the network into suitable BPEL constructs.

We would like to stress that our goal is not to provide just any mapping of WF-nets onto BPEL. Note that a large class of of WF-nets can be mapped directly onto a BPEL flow construct. However, such a translation results in unreadable BPEL code. Instead we would like to map a graph-based language like WF-nets onto a hierarchical decomposition of specific BPEL constructs. For example, if the WF-net contains a sequence of transitions (i.e., activities) this should be mapped onto the more specific sequence construct rather than the more general (and more verbose) flow construct. Hence, our goal is to generate readable and compact code.

To map WF-nets onto (readable) BPEL code, we need to transform a graph structure to a block structure. For this purpose we use components. A component should be seen as a selected part of the WF-net that has a clear start and end. One can think of it as subnet satisfying properties similar to a WF-net. However, unlike a WF-net, a component may start and/or end with a transition, i.e., WF-nets are “place bordered” while components may be “place and/or transition bordered”. The goal is to map components onto “BPEL blocks”. For example, a component holding a purely sequential structure should be mapped onto a BPEL sequence while a component holding a parallel structure should be mapped onto a flow.
Section 5 describes the mapping of components in the WF-net to BPEL constructs. However, before describing the mapping, this section formalizes the notion of components and analyzes some of their properties.

**Definition 11 (Component).** Let $PN = (P, T, F)$ be a WF-net. $C$ is a component of $PN$ if and only if

(i) $C \subseteq P \cup T,$
(ii) there exists different source and sink nodes $i_C, o_C \in C$ such that
- $\bullet (C \setminus \{i_C\}) \subseteq C \setminus \{o_C\},$
- $(C \setminus \{o_C\}) \bullet \subseteq C \setminus \{i_C\},$ and
- $(o_C, i_C) \not\in F.$

Note that any component contains at least a place and a transition. A component is trivial if it only contains one transition (and one or two places). Note that trivial components contain two or three nodes.

As indicated above components may be “place and/or transition bordered”. The following definition provides some notations and terminology to deal with components having a transition as source or sink node.

**Definition 12.** Let $PN = (P, T, F)$ be a WF-net and let $C$ be a component of $PN$ with source $i_C$ and sink $o_C$. We introduce the following notations and terminology:

- $C$ is a PP-component if $i_C \in P$ and $o_C \in P,$
- $C$ is a TT-component if $i_C \in T$ and $o_C \in T,$
- $C$ is a PT-component if $i_C \in P$ and $o_C \in T,$
- $C$ is a TP-component if $i_C \in T$ and $o_C \in P,$
- $\overline{C} = C \setminus \{i_C, o_C\},$
- $PN|_C =$
  - $PN|_C$ if $i_C \in P$ and $o_C \in P,$
  - $PN|_C \cup (\{p(i,C), \{i_C\}, \{p(i,C), i_C\}\}) \cup (\{p(o,C), \{o_C\}, \{o_C, p(o,C)\}\})$ if $i_C \in T$ and $o_C \in T,$
  - $PN|_C \cup (\{p(o,C), \{o_C\}, \{o_C, p(o,C)\}\})$ if $i_C \in P$ and $o_C \in T,$
  - $PN|_C \cup (\{p(i,C), \{i_C\}, \{p(i,C), i_C\}\})$ if $i_C \in T$ and $o_C \in P.$
- $[PN]$ is the set of non-trivial components of $PN$, i.e., all components containing two or more transitions.

$PN|_C$ transforms a component into a place-bordered component, i.e., a classical WF-net. In case of a TP-component or PT-component one place needs to be added. In case of a TT-component two places need to be added: $p(i,C)$ are $p(o,C)$. Using the following lemma we will show that the result is indeed a WF-net.

**Lemma 1.** Let $PN = (P, T, F)$ be a WF-net. Components of $PN$ are uniquely defined by their source and sink nodes, i.e., for any two components $C_1, C_2$: $C_1 = C_2$ if and only if $i_{C_1} = i_{C_2}$ and $o_{C_1} = o_{C_2}.$

Note that $p(i,C)$ are $p(o,C)$ are the (fresh) identifiers of the places added to make a transition bordered component place bordered.
Proof. Clearly, $C_1 = C_2$ implies $i_{C_1} = i_{C_2}$ and $o_{C_1} = o_{C_2}$. Now assume that $i_{C_1} = i_{C_2}$ and $o_{C_1} = o_{C_2}$ but there is a node $x \in C_1 \setminus C_2$. This is not possible because $x$ must be on a path from $i_{C_1}$ to $o_{C_2}$ and therefore also on a path from $i_{C_2}$ to $o_{C_2}$ and in $C_2$. Similarly, there cannot be a node in $x \in C_2 \setminus C_1$, and therefore, $C_1$ and $C_2$ coincide.

The next theorem not only shows that $PN|_{\|C}$ results in a WF-net, but that, provided the initial WF-net is safe and sound, the component is also safe a sound. This result will be used to prove the compositional nature of safe and sound WF-nets and, consequently, allow us to incrementally transform a “componentized” WF-net into a block-structured BPEL specification.

**Theorem 2.** Let $PN = (P, T, F)$ be a WF-net and $C$ is a component of $PN$.

- $PN|_{\|C}$ is a WF-net.
- If $PN$ is safe and sound, then $PN|_{\|C}$ is safe and sound.

**Proof.** First, we prove that $PN|_{\|C}$ is a WF-net. Assume $C$ is a $PP$-component. $i_C$ is the source place of $PN|_{\|C}$ because $(C \setminus \{o_C\}) \subseteq C \setminus \{i_C\}$. $i_C$ is not the output node of any node $x \in C$ because if $x \in (C \setminus \{o_C\})$ then $x \subseteq C \setminus \{i_C\}$ and if $x = o_C$ then $(o_C, i_C) \notin F$. Similarly, $o_C$ can be shown to be a sink place of $PN|_{\|C}$. Every node $x \in C$ is on a path from $i_C$ to $o_C$ in $PN|_{\|C}$. Since $PN$ is a WF-net there is a path from the source node to the sink node visiting $x$ in $PN$. Clearly, this path also visits $i_C$ and $o_C$. If $C$ is not a $PP$-component, the same argumentation can be used. However, a dummy node is added before the source node $i_C$ and/or after the sink node $o_C$.

Second, we prove that $PN|_{\|C}$ is safe and sound if $PN$ is safe and sound. This result can be obtained by applying Theorem 3.4 in [3]. Let $PN = (P, T, F)$ be safe and sound. Assume $C$ is a $PP$-component. Consider the subnet $PN|_{\|C} = (P_1, T_1, F_1)$. Create another Petri net $PN' = (P_2, T_2, F_2)$ resulting from replacing the nodes in $C$ (cf. Definition 12) by a transition $t^+$. Note that the only overlap between $PN|_{\|C}$ and $PN'$ is $\{i_C, o_C\}$. If in $PN$ a transition in $i_C$ fires, then it should be possible to fire a transition in $o_C$ because of the liveness of the original net. If a transition in $o_C$ fires, the places in $C$ should become empty. If the places in $C$ are not empty after firing a transition in $o_C$ then there are two possibilities: (1) it is possible to move the subnet to a state such that a transition in $o_C$ can fire (without firing transitions in $T \setminus C$) or (2) it is not possible to move to such a state. In the first case, the place $o_C$ in $PN$ is not safe. In the second case, a token is trapped in the subnet or the subnet is not safe the moment a transition in $i_C$ fires. Hence, $PN|_{\|C}$ sound and safe. If $C$ is not a $PP$-component, the same argumentations can be used.

Soundness and safeness are desirable properties. Theorem 2 shows that these desirable properties are propagated to any component in the net. A similar result holds in the other direction. To prove this we define function $fold$ that replaces a component by a single transition. This function will play a crucial role in the next section where we incrementally replace components by BPEL code.

**Definition 13 (Fold).** Let $PN = (P, T, F)$ be a WF-net and let $C$ be a non trivial component of $PN$ (i.e., $C \in [PN]$). Function $fold$ replaces $C$ in $PN$ by a single transition $t_C$, i.e., $fold(PN, C) = (P', T', F')$ with:
- \( P' = P \setminus \overline{C}, \)
- \( T' = (T \setminus C) \cup \{t_C\}, \)
- \( F' = (F \cap ((P' \times T') \cup (T' \times P'))) \cup \{(p, t_C) | p \in P \cap \{(i_C \cup \bullet i_C) \cup \{(t_C, p) | p \in P \cap \{o_C \cup \circ C \bullet \}\} \}.

Note that folding can also be defined for trivial components. However, in the result \( \text{fold}(PN, C) \) the only transition in \( C \) is renamed to \( t_C \) without changing the net structure. Figure 1 illustrates the basic idea of function \( \text{fold} \). For the moment, please ignore the illustration behind each of the transitions \( t_C \) for the moment: It symbolizes the BPEL code attached to \( t_C \) describing component \( C \) (as will be explained later). Note that Figure 1 shows each of the four possible component types: PP, TP, PT, and TT.

Now we can formulate the main result of this section. Using the \( \text{fold} \) operator it is possible to replace a component by a single transition. The resulting Petri net is again a WF-net. Moreover, desirable properties such as soundness and safeness are not affected by folding or unfolding the component.
Theorem 3. Let $PN = (P,T,F)$ be a WF-net and let $C \in \mathcal{PN}$ be a non-trivial component.

- $\text{fold}(PN,C)$ is a WF-net.
- $PN$ is safe and sound if and only if both $PN|_C$ and $\text{fold}(PN,C)$ are safe and sound.

Proof. It is easy to see that $\text{fold}(PN,C)$ is indeed a WF-net. Folding $C$ does not remove source place $i$ or sink place $o$ of $PN$. Moreover, folding does not introduce any new source of sink nodes. It also does not disable any paths from $i$ to $o$: any path in $PN$ through $C$ is still possible in $\text{fold}(PN,C)$ by going through transition $t_C$.

Assume $PN$ is safe and sound. Theorem 2 already showed that in this case $PN|_C$ is safe and sound. Remains to prove that $\text{fold}(PN,C)$ is safe and sound. Here we can use the same line of reasoning as in the proof of Theorem 2. Component $C$ in $PN$ becomes “active” if one of its transitions fires. Then there may be several internal steps in $C$ executed in parallel with the rest of $PN$ but eventually $o_C$ (if $o_C$ is a place) or the places in $o_C\bullet$ (if $o_C$ is a transition) get marked. Since $PN$ is safe, $C$ can be activated only once. Hence, if one abstracts from the internal states of $C$, $PN$ and $\text{fold}(PN,C)$ have identical behaviors and clearly $\text{fold}(PN,C)$ is safe and sound. In other words: since the subnet which corresponds to $t_C$ behaves like a transition which may postpone the production of tokens, we can replace the subnet by $t_C$ without changing dynamic properties such as safeness and soundness. This can be shown more formally by establishing a relation between the markings of $(PN,i)$ and $(\text{fold}(PN,C),i)$. Let $M_1$ be a marking of $(PN,i)$ and let $M_2$ be a marking of $(\text{fold}(PN,C),i)$. $M_1$ corresponds to $M_2$ if and only if (1) $M_1 = M_2$ (i.e., the component is not activated) or (2) $M_1(p) = M_2(p)$ for all $p \notin P \cap (\{i_C\} \cup \bullet i_C)$ and $M_1(p) = M_2(p) + 1$ if $(M_1 \cap C) \neq \emptyset$ then 1 else 0 for all $p \in P \cap (\{i_C\} \cup \bullet i_C)$.

Assume both $PN|_C$ and $\text{fold}(PN,C)$ are safe and sound. We can use a similar approach to show that $PN$ is safe and sound. Again the states in $PN$ can be related to states in $PN|_C$ and $\text{fold}(PN,C)$. The subnet of $PN$ corresponding to $t_C$ can only postpone the production of tokens on the output places of $t_C$ and therefore cannot invalidate properties such as safeness and soundness. \hfill \Box

Theorem 3 shows that desirable properties such as safeness and soundness are not affected by the folding or unfolding of components. Assume we have a component $C$ having not only a Petri net representation $PN|_C$ but also an equivalent BPEL representation. If we associate the BPEL representation to some activity $t_C$, we can replace $C$ by $t_C$ without changing safeness and soundness.

The focus of Theorem 3 is on safeness and soundness. However, as the proof of this theorem suggest, it is possible to make a much more direct relationship between the states of the folded and unfolded net. As is shown in [11] notions such as branching bisimulation can be used reason about the observational equivalence of the folded and unfolded net. However, we do not show this here because it only makes sense to formalize this if there is a manageable formalization of BPEL. At this point in time such as formalization does not exist. There have been several approaches using finite state machines [22, 23], process algebras [20, 36], abstract state machines [18, 19], and Petri nets [42, 40, 45, 48]. However, these formalizations are either incomplete or very
complicated. Since we are not attempting to provide a formal semantics for BPEL, we can take a more pragmatic approach. We simply map WF-nets onto BPEL and restrict ourselves to a simple subset of BPEL.

5 Mapping WF-nets onto BPEL

This section introduces the mapping from WF-nets onto BPEL. First, we discuss possible annotations of transitions to refer to primitive BPEL activities. Second, we describe the algorithm used to generate BPEL code. Finally, we present an example.

The basic idea of the approach was already shown in Figure 1. The idea is to start with an annotated WF-net where each transition is labeled with references to primitive activities such as invoke (invoking an operation on some web service), receive (waiting for a message from an external source), reply (replying to an external source), wait (waiting for some time), assign (copying data from one place to another), throw (indicating errors in the execution), and empty (doing nothing). Taking this as starting point, a component in the annotated WF-net is mapped onto BPEL code. The component $C$ is replaced by transition $t_C$ whose inscription (cf. Figure 1) describes the BPEL code associated to the whole component. This process is repeated until there is just a single transition whose inscription corresponds to the BPEL specification of the entire process. How this can be done is detailed in the remainder.

5.1 Annotating WF-nets

For the translation to BPEL the nature of choices is important, i.e., a place with multiple output transitions can be mapped onto a pick or a switch. Similarly, it is important to annotate transitions to which the primitive activities they refer to. Therefore, we annotate places with information on the nature of choices and transitions with references to primitive activities such as invoke, receive, reply, wait, assign, throw, and empty.

**Definition 14 (Annotated WF-net).** $PN = (P, T, F, \tau_P, \tau_G, \tau_{MA}, \tau_T)$ is annotated WF-net if and only if:

(i) $(P, T, F)$ is a WF-net.

(ii) $\tau_P$ is a function with domain $\text{dom}(\tau_P) = \{p \in P \mid |p \bullet| \geq 2\}$ such that for all $p \in \text{dom}(\tau_P)$: $\tau_P(p) \in \{\text{explicit}, \text{implicit}\}$,

(iii) $\tau_G$ is a function with domain $\text{dom}(\tau_G) = \{t \in T \mid \exists p \in \cdot \; p \in \text{dom}(\tau_P) \land \tau_P(p) = \text{explicit}\}$ such that for all $t \in \text{dom}(\tau_G)$: $\tau_G(t)$ is a boolean expression (i.e. the guard of one of the alternatives in an explicit choice),

(iv) $\tau_{MA}$ is a function with domain $\text{dom}(\tau_{MA}) = \{t \in T \mid \exists p \in \cdot \; p \in \text{dom}(\tau_P) \land \tau_P(p) = \text{implicit}\}$ such that for all $t \in \text{dom}(\tau_{MA})$: $\tau_{MA}(t)$ is a string describing a message (in case of a message trigger, i.e., the BPEL onMessage construct) or a time trigger (i.e., the BPEL onAlarm construct). Note that a time trigger has a for attribute (to specify a timeout) and/or an until attribute (to specify a deadline).
(v) \( \tau_T \) is a function with domain \( T \) such that for all \( t \in T \): \( \tau_T(t) \in \{\text{receive, reply, wait, empty, \ldots}\} \).

We do not associate any semantics to these annotations and just use them to guide the generation of BPEL code. Moreover, we assume that for any \( p \in \text{dom}(\tau_P) \), the output transitions \( t \in p \) have guards, where \( \tau_P(p) = \text{explicit} \), that are mutually exclusive and together cover all possibilities for guards. In any “context”: \( \forall t_1, t_2 \in p \cdot (\tau_G(t_1) \land \tau_G(t_2)) \Rightarrow (t_1 = t_2) \) and \( \exists t \in p \cdot \tau_G(t) \). In the rest of the paper we will use the shorthand \( \text{expr} \) on an arc from place \( p \), where \( \tau_P(p) = \text{explicit} \), to transition \( t \) for the annotation \( \tau_G(t) = \text{expr} \).

Output transitions \( t \in p \) where \( \tau_P(p) = \text{implicit} \) have a label \( \tau_{MA}(t) \) describing the trigger to select this specific branch in a choice situation. The pick construct in BPEL allows for two types of triggers: message triggers and time triggers. In case of a message trigger, \( \tau_{MA}(t) \) denotes the message expected (e.g., the corresponding operation attribute). In case of a time trigger, \( \tau_{MA}(t) \) denotes the attributes expected by the onAlarm construct. There may be a for attribute denoting the timeout (i.e., a duration expression) and/or an until attribute denoting the deadline (i.e., an absolute time). In the rest of the paper we will use the shorthand \( \text{msg1, msg2, \ldots, to1, to2, \ldots, dl1, dl2, \ldots, (to1, dl1), etc.} \) to denote \( \tau_{MA}(t) \) in the figures.

We assume all definitions for WF-nets to be defined for annotated WF-nets.

Note that we abstract from the actual BPEL syntax here. One can think of the range of function \( \tau_T \) as the set of possible primitive activities; the default \( \tau_T \) of a transition \( t \) is invoke. The algorithm does not depend on the precise syntax of these activities and therefore we consider this just to be a label (e.g., a piece of text). The focus is on the control-flow, e.g., we do not do any checking on variables. This focus is justified by our starting point: an annotated WF-net.

5.2 Algorithm

As indicated before and partly illustrated by Figure 1, our approach iteratively folds an annotated WF-net into a WF-net with just one transition whose label contains the complete BPEL specification. The algorithm starts with the complete WF-net and in each step part of this net (i.e., a component) is replaced by a single transition whose label captures the behavior of the component in terms of BPEL. This is repeated until no further folding is possible, i.e., there is just one activity left. Initially transitions correspond to primitive BPEL activities. However, while folding the WF-net, the “composite transitions” refer to structured BPEL activities (i.e., containing primitive activities).

Again we would like to stress that our goal is not to provide just any mapping of WF-nets onto BPEL (cf. Section 4). The mapping should be compact and intuitive. In a way, the mapping should try to select the BPEL constructs an experienced BPEL designer would use. Therefore, we do a kind of “pattern matching”, i.e., we scan the WF-net for components that can be mapped onto a suitable structured BPEL activity. The structured activities BPEL relevant for this paper are: sequence, switch (for conditional routing), while (for looping), pick (for race conditions based on timing or external triggers), and flow (for parallel subprocesses). The flow construct can also be used to model sequential and conditional processes. Therefore, it is possible to
use the flow construct instead of the sequence and switch constructs. However, as indicated before, we try to use the most compact and intuitive construct. Clearly, a sequence in the WF-net should be mapped onto a sequence rather than a flow.

![Choice Net](a) and ![While Net](b) inspired by the switch, pick and while in BPEL.

Figure 2. Two subclasses (while net and choice net) inspired by the switch, pick and while in BPEL.

To be able to automate the “pattern matching” required to spot components that can be easily mapped onto a suitable BPEL component, we define three subclasses of Petri nets. The first subclass we define is the choice net, i.e., a fragment corresponding to switch or pick in BPEL.

**Definition 15.** A Petri net $PN = (P, T, F)$ is a choice net if and only if $P$ contains two places, say $p_1$ and $p_2$, such that $F = \{(p_1, t) | t \in T\} \cup \{(t, p_2) | t \in T\}$.

In a choice net there is a source place and sink place and an arbitrary number of transitions connecting these places. The second subclass is the while net. As the name suggests, this will be mapped onto the BPEL while construct. This is the only type of looping BPEL supports.

**Definition 16.** A Petri net $PN = (P, T, F)$ is a while net if and only if $P$ contains one place, say $p$, and $T$ contains three transitions, say $t_1$, $t_2$, and $t_3$, such that $F = \{(t_1, p), (p, t_2), (t_2, p), (p, t_3)\}$.

Both the while net and choice net are shown in Figure 2. The third subclass is the class of well-structured nets. This class is inspired by the class of SWF-nets defined in [12]. Components corresponding to this class will be mapped onto the BPEL flow construct.

**Definition 17.** A Petri net $PN = (P, T, F)$ is well-structured if and only if the following three properties hold:

- For all $p \in P$ and $t \in T$ with $(p, t) \in F$: $|p \cdot | > 1$ implies $|\cdot t| = 1$.
- For all $p \in P$ and $t \in T$ with $(p, t) \in F$: $|\cdot t| > 1$ implies $|\cdot p| = 1$. 
- There are no cycles (i.e., for all $x \in P \cup T$: $(x, x) \notin F^*$).\(^6\)

This class is characterized by Figure 3. This figure shows two constructs not allowed in a well-structured Petri net. The left one corresponds to the requirement that for all $(p, t) \in F$: $|p \bullet t| > 1$ implies $| \bullet t | = 1$. This corresponds to a restricted form of free-choice nets [15] (cf. Definition 6). The right hand construct corresponds to the requirement that for all $(p, t) \in F$: $| \bullet t | > 1$ implies $| p | = 1$. This requirement can be seen as complementary to the free-choice requirement. It enforces a fixed set of predecessors that needs to be synchronized. The third requirement of Definition 17 (i.e., no cycles) is not shown graphically. The three requirements are triggered by the limitations of the BPEL flow construct, this construct does not allow for loops, non-free choice behavior or a variable set of predecessors that needs to be synchronized.

![Figure 3. Two constructs not allowed in a well-structured Petri net.](image)

Using the three classes just defined and standard notions such as state machines (Definition 7) and marked graphs (Definition 8), we classify different types of components corresponding to the sequence, flow, switch, pick, and while constructs in BPEL.

**Definition 18.** Let $PN = (P, T, F, \tau_P, \tau_G, \tau_{MA}, \tau_T)$ be an annotated WF-net and let $C$ be a component of $PN$.

- $C$ is a SEQUENCE-component if $PN ||_C$ is both a state machine and marked graph,
- $C$ is a maximal SEQUENCE-component if $C$ is a SEQUENCE-component and there is no other SEQUENCE-component $C'$ such that $C \subseteq C'$,
- $C$ is a SWITCH-component if $PN ||_C$ is a choice net and $\tau_P(i_C) = explicit$,
- $C$ is a PICK-component if $PN ||_C$ is a choice net and $\tau_P(i_C) = implicit$,
- $C$ is a WHILE-component if $PN ||_C$ is a while net and $\tau_P(p) = explicit$ (where $p \in P \cap C$),
- $C$ is a FLOW-component if $PN ||_C$ is well-structured and for all $p \in C \cap dom(\tau_P)$ is $\tau_P(p) = explicit$, and
- $C$ is a maximal FLOW-component if $C$ is a FLOW-component and there is no other FLOW-component $C'$ such that $C \subseteq C'$.

\(^6\) $F^*$ is the transitive closure of $F$, i.e., $(x, y) \in F^*$ if there is a path from $x$ to $y$ in the net.
Before presenting the algorithm to transform an annotated WF-net into a BPEL specification, we illustrate the mapping for each of the components mentioned in Definition 18 using simple examples.

Figure 4. Examples of the SEQUENCE-component and its corresponding BPEL expression.

Clearly, the SEQUENCE-component allows for the most straightforward mapping onto BPEL. Since it is both a state machine and marked graph there are no choices and there is no parallelism, i.e., things are executed in a fixed order. Figure 4 shows two examples where a sequence of two transitions is mapped onto a single transition $t_c$ bearing the BPEL. The example on the left is a place-bordered component (a PP-component) while the example on the left is a transition-bordered component (a TI-component). The other two possible cases, i.e., a PT-component or a TP-component, can be mapped in a similar way. Note the we assume that the initial transitions are mapped onto an invoke activity. However, based on the annotation this could be any primitive BPEL activity.

Next we focus on the mapping of a FLOW-component to the BPEL flow construct. Figure 5 and 6 shows two examples. The first example shown in Figure 5 depicts a transition bordered component with one initial and one final activity and two parallel activities. The second example in Figure 6 shows a FLOW-component bordered by places. People familiar with the BPEL construct will be able to see that this mapping is indeed correct.

In the two FLOW-component examples we use the shorthands Any and All for the boolean expression specified in the joinCondition of an activity joining mul-
Figure 5. Examples of the FLOW-component and its corresponding BPEL expression.
\[ \tau_p(p_1) = \tau_p(p_2) = \text{explicit} \]

\[ \tau_t(t_d) = \text{empty} \]

\[ \tau_p(p_d) = \text{explicit} \]

Figure 6. Example of a FLOW-component and its corresponding BPEL expression.
multiple links. Assume an activity is target for the links link1, ..., linkN then the expression Any is shorthand for bpws:getLinkStatus('link1') or ... or bpws:getLinkStatus('linkN') and the expression And is shorthand for bpws:getLinkStatus('link1') and ... and bpws:getLinkStatus('linkN'). Any correspond to a logical OR and All to a logical AND, both of the incoming links.

The two examples shown in Figure 5 and 6 trigger the question of how to map any FLOW-component onto a flow? To explain how this works we need to revisit Definition 17. Let \( T \) be the set of transitions (i.e., activities) in the component. These are all inserted in the flow construct. Then we need to add links, specify the link conditions (if needed), and specify the join condition in case of multiple target links. Let \( L \subseteq T \times T \) be the set of links and \( \text{join} \in T \not\rightarrow \{\text{All}, \text{Any}\} \) the join condition. To correctly map a FLOW-component onto a flow activity, \( L = \{(t_1, t_2) \in T \times T \mid t_1 \cdot \cap \cdot t_2 \neq \emptyset\} \). \( \text{dom(}\text{join}\text{)} = \{t \in T \mid \exists_{t_1, t_2 \in T} (t_1, t) \in L \land (t_2, t) \in L \land t_1 \neq t_2\} \), i.e., only activities with multiple incoming links have a join condition. Transitions with multiple input places correspond to activities with a join condition set to \text{All}, i.e., \( \text{join}(t) = \text{All} \) if \( |\cdot t| \geq 2 \). All other transitions in \( \text{dom(}\text{join}\text{)} \) correspond to activities with a join condition set to \text{Any}. The conditions on the transitions involved in an explicit choice are mapped onto link conditions, i.e., a link \((t_1, t_2) \in L \) has a condition \( \tau_G(t_2) \) if and only if \( t_2 \in \text{dom}(\tau_G) \) (cf. Definition 14).

In the case of a PP- or PT-component of type FLOW where \(|i_C \cdot| > 1\) we need to perform an additional place and transition before mapping it onto a BPEL flow. For a FLOW-component \( C \) of type PP or TP with source place \( i_C \) add a new place \( p \) and a new transition \( t \) such that \( \bullet t = \{i_C\} \) and \( t \bullet = \{p\} \). The output transitions of \( i_C \) in the original net are now the output transitions of \( p \). This transformation preserves the WF-net properties of the original \( C \). The reason for this transformation is that \( i_C \) in the original \( C \) may have had multiple input arcs, but activities translated from transitions in \( i_C \bullet \) could not be guarded in the BPEL specification since guards are specified from a source to a target. Since there is no preceding activity from any of the translated transition in \( i_C \bullet \) such guards can not be specified in BPEL. By injecting a transition with the empty annotation, a place and arcs as described previously, we can now guard the activities of the translated transitions of \( i_C \bullet \). In Figure 6 we show how this transformation works. Note that the activities \( t_1, t_2 \) and \( t_5 \) could not be guarded against the expressions of the arcs from \( i_C \) if the empty task \( t_d \) had not been added.

It is easy to verify that the mapping just described is correct (assuming the FLOW-component is safe and sound, i.e., the conditions of Theorem 3 are satisfied). First all, the graph structure of a FLOW-component is acyclic. Second, a closer inspection of Definition 17 shows that if there is a place \( p \) connecting \( t_1 \) to \( t_2 \) (i.e., \((t_1, t_2) \in L\)), then \( p \) is the only place connecting \( t_1 \) to \( t_2 \), and it is the only input place of \( t_2 \) or \( |\bullet p| = |p \cdot| = 1 \). If \( t_2 \) has multiple input places, then the join condition is set to \text{All}. This is correct since in the FLOW-component all preceding activities (i.e., \( \bullet (\bullet t_2) \)) need to complete because \(|\bullet p| = |p \cdot| = 1 \). If \( t_2 \) has only one input place \( p \), but \( p \) has multiple output transitions (i.e., \(|\bullet p| \geq 2 \)), then the join condition is set to \text{Any}. This is correct since in the FLOW-component only one of the preceding activities needs to complete. Note that it is important that the FLOW-component is safe and sound. As a result precisely one of the preceding activities will and can complete. It is interesting to
see that the class of well-structured Petri net can be mapped onto the flow construct in BPEL. However, we will only advocate the mapping of such FLOW-components onto the flow construct if it is not possible to map it onto one of the other structured activities (e.g., sequence or switch).

Figure 7 illustrates the mapping of choice nets, i.e., a SWITCH-component is mapped onto a switch and a PICK-component is mapped onto a pick. Given the above, the mapping is fairly straightforward. In a choice net there is one source place and one sink place. These are connected by transitions each representing an alternative activity. If the nature of the choice is explicit (i.e., a SWITCH-component), the net fragment is mapped onto a switch where \( \tau_G(t) \) is the condition of the case corresponding to transition \( t \). If the nature of the choice is implicit (i.e., a PICK-component), the net fragment is mapped
onto a pick construct where $\tau_{MA}$ specifies the messages, timeouts and deadlines for the PICK-component (if present). In Figure 7 there are two possible messages that may arrive (msg1 and msg2) and there is one onAlarm with a deadline (d11) and and timeout (t01).

Figure 8. Example of the WHILE-component and its corresponding BPEL expression.

Finally, we show the mapping of the WHILE-component onto the BPEL while construct (cf. Figure 8). Note that the real loop is only formed by place $p$ and transition $t_2$. However, to model the entry and exit of the loop we need to consider $t_1$ and $t_3$. This is reflected in the BPEL translation. The while construct is embedded in a sequence. The guards of $t_2$ and $t_3$ (i.e., $\tau_G(t_2) \land \neg \tau_G(t_3)$) are in the condition used in the while construct. (Note that the $\neg \tau_G(t_3)$ part is not needed if the guards, i.e., $\tau_G(t_2)$ and $\tau_G(t_3)$, are indeed mutually exclusive.)

After showing the mapping of each of the components mentioned in Definition 18, we can present the algorithm to translate an annotated WF-net onto BPEL. The basic idea of the algorithm is to take a component, provide the BPEL translation, and fold the net. This is repeated until a WF-net with just one transition is obtained. Besides the standard transitions (sequence etc.), the user can add transactions to a component library and add them on-the-fly.

Definition 19 (Algorithm). Let $PN = (P, T, F, \tau_P, \tau_G, \tau_{MA}, \tau_T)$ be a safe and sound annotated WF-net.

(i) $X := PN$

(ii) while $[X] \neq \emptyset$ (i.e., $X$ contains a non-trivial component)$^7$

(iii-a) If there is a maximal SEQUENCE-component $C \in [X]$, select it and goto (vi).

$^7$ Note that this is the case as long as $X$ is not reduced to a WF-net with just a single transition.
(iii-b) If there is a SWITCH-component $C \in [X]$, select it and goto (vi).

(iii-c) If there is a PICK-component $C \in [X]$, select it and goto (vi).

(iii-d) If there is a WHILE-component $C \in [X]$, select it and goto (vi).

(iii-e) If there is a maximal FLOW-component $C \in [X]$, select it and goto (vi).

(iv) If there is a component $C \in [X]$ that appears in the component library, select it and goto (vi).

(v) Select a component $C \in [X]$ to be manually mapped onto BPEL and add it to the component library.

(vi) Attach the BPEL translation of $C$ to $t_C$ as illustrated in Figure 1.

(vii) $X := \text{fold}(PN, C)$ and return to (ii).

(viii) Output the BPEL code attached to the transition in $X$.

The actual translation of components is done in step (vi) followed by the folding in step (vii). The component to be translated/folded is selected in steps (iii). If there is still a sequence remaining in the net, this is selected. A maximal sequence is selected to keep the translation as compact and simple as possible. Only if there are no sequences left in the WF-net, other components are considered. The next one in line is the SWITCH-component followed by the PICK-component and the WHILE-component. Given the fact that SWITCH-, PICK- and WHILE-components are disjoint, the order of steps (iii-b), (iii-c), and (iii-d) is irrelevant. Finally, maximal FLOW-components are considered.

Not every net can be reduced into SEQUENCE-, SWITCH-, PICK-, WHILE- and FLOW-components. (We will show an example to illustrate this later in this section.) Therefore, steps (iv) and (v) have been added. The basic idea is to allow for ad-hoc translations. These translations are stored in a component library. If the WF-net cannot be reduced any further using the standard SEQUENCE-, SWITCH-, PICK-, WHILE- and FLOW-components, then the algorithm searches the component library (note that it only has to consider the network structure and not the specific names and annotations). If the search is successful, the stored BPEL mapping can be applied (modulo renaming of nodes and annotations). If there is not a matching component, a manual translation can be provided and stored in the component library for future use.

Note that the algorithm described in Definition 19 uses function $\text{fold}$ defined in Section 4. We will not give a formal proof of the correctness of the algorithm. However, the results in Section 4 (Theorem 3 in particular) provide insights into the correctness of the approach. Note that we do not give a formal proof because manageable formal semantics of BPEL are missing (cf. discussion in Section 4).

The appendix describes an elaborate example illustrating the approach. It shows how in a step-by-step fashion a complex WF-net can be transformed into a BPEL specification. Note that this example also illustrates steps (iv) and (v) by presenting a component which cannot be reduced using the standard rules. See more in [10].

6 Case Study: Generating BPEL template code for a Bank System

In another paper we have reported on a case study where Colored Petri Nets (CPNs) are used in the development of a new bank system [9]. The new bank system is named the Adviser Portal (AP) and is being developed by Bankdata (a Danish company providing
software solutions for banks). AP has been bought by 15 Danish banks and will be used by thousands of bank advisers in hundreds of bank branches. Its main goal is to increase the efficiency and quality of bank advisers’ work. In the context of the development of AP we mapped Petri nets onto BPEL using the algorithm presented in this paper.

In [9] it is shown that one can use a two step approach to go from a requirements model to an implementation of the new system. The initial requirements model is presented as an executable CPN [29] whose sole purpose is to specify, validate, and elicit user requirements (independent of the target language). In the first translation step, a workflow model is derived from the requirements model. This model is represented in terms of a so-called Colored Workflow Net (CWN), which is a generalization of the classical workflow nets to CPN. In the second translation step, the CWN is translated into implementation code.

The focus of [9] is on the case study and the two step approach rather than the mapping. In fact the mapping of Petri nets to BPEL plays only a minor role in the paper and only the result is mentioned. Therefore, we do not elaborate on details of the case study here and focus on the actual mapping onto BPEL. We also do not show the requirements CPN model and use the CWN as a starting point.

Figure 9 shows the CWN for the blanc loan process. This is the process describing how bank advisers give advice to customers enquiring about getting a so-called blanc loan. A blanc loan is a simple type of loan, which can be granted without requiring the customer to provide any security. This is in contrast to, e.g., mortgage credits and car loans. As indicated, we do not elaborate any further on the actual meaning of all steps in the process. Instead we focus on the translation to BPEL. However, before doing so we describe the notation used in Figure 9. A CWN is a CPN model [29] restricted to the workflow domain and can be seen as a high-level version of the traditional Workflow Nets (WF-nets) [1–3]. A CWN covers the control-flow perspective, the resource perspective, and the data/case perspective, but abstracts from implementation details and language/application specific issues. In a CWN there are three types of places: places of type Case to denote the state of a case (i.e., process instance), places of type Resource to denote resources (e.g., workers), and places of type CxR denoting the combination of a resources and cases. Figure 9 shows the type of each place. Like in a WF-net there is a source place (place Start of type Case) and a sink place (the three places End, Reject 1 and Reject 2 are fused into one place of type Case). Transitions denote (primitive) activities and the arc inscriptions show the routing logic and resource allocation rules. For example, transition Make decision requires a resource with role adviser. After executing this activity, the resource is returned and one of the four output places of type Case is selected, i.e., Make decision is a so-called XOR-split.

It is not yet possible to automatically transform a CWN like the one shown in Figure 9 onto BPEL. However, one can follow a well-defined procedure to map a CWN onto BPEL [9]. The key issue is that CWNs can be used to semi-automatically generate BPEL code. It is possible to fully automatically generate template code. However, to come to a full implementation, programmers must manually complete the work, e.g., by providing the “glue” to existing applications and data structures.
初识O

input (e1);
output (e2);
action (set_att (e1, "status", S"grant"));

初始化资源(O)

Resource

[has_att (c2, "status", S"grant")]

Grant given

Case

input (r, e1);
output (e2);
action (set_att (e1, "status", S"established"));

Initial Resources(O)

Res 2

Resource

[has_att (c2, "status", S"rejection")]

Recommend

Case

input (r, e1, i2);
output (c2);
action (makeDecision (c1, i2));

initial Resources(O)

r

Recommendation given

Case

input (r, c1, i4);
output (c2);
action (makeDecision (c1, i4));

Initil Cases(O)

Case

input (r, c1);
output (c2);
action (makeDecision (c1, i4));

reject 1

End state

Case

Waiting for information

Case

Finalize loan

Case

input (r, c1);
output (c2);
action (set_att (c1, "status", S"grant"));

input (r, c1);
output (c2);
action (set_att (c1, "status", S"established"));

Loan finalized

Case

input (r, c1);
output (c2);
action (set_att (c1, "status", S"grant"));

print and establish

Case

input (r, c1);
output (c2);
action (set_att (c1, "status", S"established"));

End state

Case

Figure 9. CWN model of a bank loan process.
Figure 10. WF model of a blanc loan process.
To generate the template code, first a translation from CWNs to WF-nets is needed. This can be done by abstracting from data and resources. However, in order to capture the control-flow XOR-splits such as Make decision need to be followed by a choice place. This place is labeled to reflect the explicit nature (i.e., it will be mapped onto a switch or a link in a flow). An XOR-split is translated into a transition with one output place which is called Choice $i$, where $i$ is a number making the name unique in the WF-net. This place and its output transitions represent the XOR-split. The transitions following this split are routing transitions indicated in the examples by a dashed rectangle. These routing transitions are named in such a way that it is clear which real activities are connected by them. In the annotated WF-net the choice place, say Choice 1, is given the annotation $\tau_P(\text{Choice } i) = \text{explicit}$. Each routing transition $t$ has the boolean expression $\tau_G(t) = \text{expr}$, derived from the XOR-split in the CWN. Moreover, $\tau_G(t) = \text{empty}$ denoting that $t$ does not correspond to a real activity and has been added for routing purposes only. Figure 10 shows the resulting WF-net.

Using the algorithm presented in Section 5, we can automatically generate the BPEL code. Again we first look for maximal SEQUENCE-components. Figure 10 shows the maximal SEQUENCE-components. Each of these components is folded into a single transition labeled with the appropriate BPEL code (i.e., a sequence activity). The snippets of BPEL code can be seen in listings 1, 2, 3 and 4.

**Listing 1. Fragment F1**

```
<sequence>
  <receive name="Receive"/>
  <invoke name="Simulate"/>
  <invoke name="MakeDecision"/>
</sequence>
```

**Listing 2. Fragment F2**

```
<sequence>
  <empty name="Choice_1_To_Recommendation_given"/>
  <invoke name="Recommend"/>
</sequence>
```

**Listing 3. Fragment F3**

```
<sequence>
  <empty name="Choice_1_To_Waiting_for_information"/>
  <invoke name="Get information"/>
</sequence>
```
The WF-net after folding the SEQUENCE-components is well-structured, i.e., the whole net is a maximal FLOW-component and can be represented using the BPEL flow construct. The resulting BPEL specification is shown in Listing 5.

**Listing 5. Complete BPEL specification of case study example**

```xml
<flow>
  <links>
    <link name="F1_F2"/>
    <link name="F1_F3"/>
    <link name="F3_F4"/>
    <link name="F1_Choice_1_To_Refusal"/>
    <link name="F1_Choice_1_To_Grant_given"/>
    <link name="Choice_1_To_Grant_given_F4"/>
    <link name="F2_Choice_2_To_Refusal_2"/>
    <link name="F2_Choice_2_To_Grant_given"/>
    <link name="Choice_2_To_Grant_given_F4"/>
  </links>
  <sequence name="F1">
    <source linkName="F1_Choice_1_To_Grant_given"/>
    <source linkName="F1_F2"/>
    <source linkName="F1_F3"/>
    <source linkName="F1_Choice_1_To_Refusal"/>
  </sequence>
  <sequence name="F2">
    <target linkName="F1_F2"/>
    <source linkName="F2_Choice_2_To_Grant_given"/>
    <source linkName="F2_Choice_2_To_Refusal_2"/>
  </sequence>
  <sequence name="F3">
    <target linkName="F1_F3"/>
    <source linkName="F3_F4"/>
  </sequence>
  <sequence name="F4" joinCondition="Any">
    <target linkName="Choice_2_To_Grant_given_F4"/>
  </sequence>
</flow>
```
In this section, we have used a real-life example (the new AP system of Bankdata) to illustrate the algorithm presented in Section 5. The resulting BPEL code has been used to implement the process in IBM WebSphere. The use of CPN models (both the requirements CPN and the CWN) was supported by CPN Tools, i.e., the models have been simulated and end-users could interact with the model through the animation facilities of CPN Tools. Using a CWN rather than an arbitrary CPN enables the automatic generation of template BPEL code. Therefore, we described in [9] an approach to migrate a requirements CPN to a CWN. However, [9] does not describe the translation to BPEL code, this is the contribution of this paper.

7 Conclusion

In this paper we presented an algorithm to generate BPEL specifications from WF-nets. While most researchers have been working on translations from BPEL to formal models like Petri nets, we argued that a translation from Petri nets to BPEL is probably more relevant. Designers prefer a graphical language without all kinds of syntactical restrictions. However, the graphical editors of systems supporting BPEL tend to directly visualize the structure of the BPEL code. Therefore, it is not possible to have arbitrary splits and joins, loops, etc. WF-nets, a subclass of Petri nets, do not have these restrictions and therefore the mapping is relevant and challenging. The goal of our translation is to generate compact and readable BPEL template code, i.e., we carefully try to discover patterns in the WF-nets that fit well with specific BPEL constructs. This way the BPEL specification remains readable and maintainable.

Using a small case study (the blanc loan process to be supported by the AP system of Bankdata) we showed the applicability of our approach. Here we used a “colored”
variant of WF-nets (adding the data and resource perspectives to the control perspective) named Colored Workflow Nets (CWN). CPN Tools allows for the definition, execution, and analysis of such models. Using the algorithm presented in this paper, we translated the CWN into BPEL code and the result has been implemented using IBM WebSphere.

Future work will focus on dedicated tool support for the translation of CWN to BPEL. (At this point in time, we provide only a manual procedure to accomplish this.) We also plan to modify our mapping to map other languages onto BPEL. Good candidates are UML activity diagrams [26], Event-driven Process Chains (EPCs) [30, 44], the Business Process Modeling Notation (BPMN) [51]. Note that the “patterns” represented by SEQUENCE-, SWITCH-, PICK-, WHILE- and FLOW-components also exist in many other graphical languages. Hence it is relatively easy to provide a mapping from these languages to BPEL using a variant of the algorithm presented in this paper. Similarly, elements of our approach can be used for other target languages. Like BPEL, most workflow management systems use languages imposing all kind of restrictions on the structure of the process model.

References


Appendix: Example to Illustrate the Algorithm

To illustrate the mapping we consider an example. Figure 11 shows the original WF-net. We do not show all of its annotations. The only thing indicated are the implicit and explicit choices, boolean expressions, messages, timeouts and deadlines. Although not known when starting the reduction, Figure 11 already shows the hierarchical decomposition of the final BPEL specification.

We will follow the algorithm to show how Figure 11 can be reduced to a trivial component. We show code fragments in a step-by-step fashion. These already translated code fragments are labeled (e.g., Fl) and referred to by the label name enclosed by brackets (e.g., «Fl»).

First transformation

The algorithm first tries to locate SEQUENCE-components. There are two SEQUENCE-components in Figure 11, i.e., the sequence involving 1 and s and the sequence involving m and t. First we consider, the SEQUENCE-component consisting of 1 and s. This is folded into transition F1. The BPEL code is in Listing 6.

Listing 6. Fragment F1

```
<sequence>
  <invoke name="l"/>
  <invoke name="s"/>
</sequence>
```

Similarly, the SEQUENCE-component consisting of m and t which is folded into transition F2 (similar to F1 and therefore not shown). The resulting net is shown in Figure 12.
Figure 11. The original WF-net before reduction.
Figure 12. The WF-net after folding the two sequences.
Second transformation

After folding the two sequences, we fold the explicit choice with the transitions i, j and k and the implicit choice with n, o and p. These are mapped to a switch and a pick construct in BPEL respectively. The explicit choice is translated onto the piece of code in Listing 7.

Listing 7. Fragment F3

```xml
<switch>
  <case condition="expr1">
    <invoke name="n"/>
  </case>
  <case condition="expr2">
    <invoke name="o"/>
  </case>
  <case condition="expr3">
    <invoke name="p"/>
  </case>
</switch>
```

The implicit choice is mapped onto the piece of code in Listing 8.

Listing 8. Fragment F4

```xml
<pick>
  <onMessage operation="msg1">
    <invoke name="i"/>
  </onMessage>
  <onMessage operation="msg2">
    <invoke name="j"/>
  </onMessage>
  <onAlarm for="tol" until="dll">
    <invoke name="k"/>
  </onAlarm>
</pick>
```

The WF-net resulting from these two reduction steps is shown in Figure 13.

Third transformation

The reduction of the two choice nets (i.e., the SWITCH- and PICK-component) introduces two new sequences. Therefore, we fold these two sequences. First, we merge F4 and r into transition F5. This is done as shown in the piece of code in Listing 9.

Listing 9. Fragment F5
Figure 13. The WF-net after folding the switch and pick.
Similarly, the SEQUENCE-component consisting of F3 and u can be folded into a transition labeled F6. The resulting WF-net is shown in Figure 14.

Fourth transformation

Unlike most WF-nets the net shown in Figure 14 cannot be reduced to the trivial component by just applying the standard rules. As shown in steps steps (iv) and (v) of the algorithm, we first look for a known component and if this is not present, we need to do a manual translation. The reason the WF-net shown in Figure 14 cannot be reduced is that the component starting with e and ending with v has a rather tricky control-flow structure. The choice after e corresponds to two joins (the two input places of v). However, the two AND-splits after g and h correspond to a single join (transition v). Therefore, one cannot expect this to be further reduced using the basic rules and it is obvious that it does to correspond to one of the standard SEQUENCE-, SWITCH-, PICK-, WHILE- and FLOW-components in a straightforward manner. Hence a manual translation can be provided and stored in the component library for future use. The resulting code fragment is referred to as F7 and can be seen in Listing 10.

Listing 10. Fragment F7
Figure 14. The WF-net after folding the two new sequences.
The WF-net resulting from folding the ad-hoc component is shown in Figure 15.

**Fifth transformation**

In the WF-net Figure 15 there is a WHILE-component starting with b and ending with w. This component can be folded into a single transition with code fragment F8 attached to it; see Listing 11.

**Listing 11. Fragment F8**

```xml
<sequence>
  <invoke name="b"/>
  <while condition="expr6 and !expr7">
    «F7»
  </while>
  <invoke name="w"/>
</sequence>
```

The resulting WF-net is shown in Figure 16.
Figure 15. The WF-net after folding the ad-hoc component.
Figure 16. The WF-net after folding the while construct. The resulting WF-net is a marked graph and can be mapped onto a BPEL flow.
Sixth transformation

The WF-net shown in Figure 16 is a marked graph. This is a subclass of the class of well-structured nets, so the whole net is a FLOW-component and the translation onto BPEL is fairly straightforward. Since this is the top-level component the first activity is a receive and the last is a reply. This is not a part of the algorithm but follows from the annotations chosen for the initial and final activities.

Listing 12. Complete BPEL specification of Example

```xml
<flow>
  <links>
    <link name="a_d"/>
    <link name="c_f"/>
    <link name="d_f"/>
    <link name="c_q"/>
    <link name="f_x"/>
    <link name="q_x"/>
    <link name="a_c"/>
    <link name="F8_x"/>
    <link name="a_F8"/>
  </links>
  <receive name="a">
    <source linkName="a_d"/>
    <source linkName="a_c"/>
    <source linkName="a_F8"/>
  </receive>
  <invoke name="c">
    <target linkName="a_c"/>
    <source linkName="c_f"/>
    <source linkName="c_q"/>
  </invoke>
  <invoke name="d">
    <target linkName="a_d"/>
    <source linkName="d_f"/>
  </invoke>
  <invoke name="f" joinCondition="All">
    <target linkName="c_f"/>
    <target linkName="d_f"/>
    <source linkName="f_x"/>
  </invoke>
  <invoke name="q">
    <target linkName="c_q"/>
    <source linkName="q_x"/>
  </invoke>
</flow>
```
The WF-net resulting from the last transformation is now shown in a diagram: it is simply a WF-net with only one transition. We have implemented and tested each of the models we generated based on our algorithm using IBM WebSphere. Figure 17 shows a screenshot the example in IBM WebSphere Studio. The figure shows a graphical description of the top-level flow construct.
Figure 17. BPEL in IBM WebSphere Studio.