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Piecewise Linear Sheet Control in an $H_\infty$ Framework\textsuperscript{1}

Jeroen de Best, Björn Bukkems, René van de Molengraft, and Maarten Steinbuch

Abstract—This paper presents a control design approach for sheet control in a printer paper path. By splitting up the control problem in two levels, i.e. low level motor control loops and a high level sheet control loop, a hierarchical control structure is obtained. The high level sheet dynamics are formulated in the piecewise linear modeling formalism. Given this model, together with models of the controlled low level motor dynamics, a high level feedback controller is designed with guaranteed $H_\infty$ performance. The designed controller is implemented on an experimental paper path setup to show the effectiveness of the control design in practice.

I. INTRODUCTION

Driven by the growing interest for higher productivity of cut sheet printers without loss of accuracy, manufacturers are facing the complex problem of designing sheet handling mechanisms for printer paper paths. An example of an industrial printer paper path is shown in Fig. 1. The sheets are fed from a high-capacity feeder, here referred to as paper input module (PIM). They are transported to the image transfer section (ITS) where the sheet meets its corresponding image. For the purpose of creating backside prints, the paper path can be equipped with a duplex loop. After being printed, the sheets are transported to the finisher (FIN) where they are collected. The transportation is carried out via so called pinches, which consist of a driven roller and a non-driven roller. The non-driven roller applies sufficient normal force in order to prevent the sheets from slipping in the pinch. The pinches can be driven by motors individually, or they can be grouped together into sections.

In order to achieve a good printing quality the sheet handling mechanism must deliver the sheets in time and with the right velocity at the ITS. In today’s cut sheet printers the transportation of the sheets mainly relies on event-driven sheet control in combination with closed-loop motor control. At discrete points in the paper path the presence of a sheet can be detected by sheet sensors. With these measurements the motor velocities can be adjusted in order to correct for possible errors. Together with a high precision mechanical design with small manufacturing tolerances a predictable sheet flow results. However, as consumers demand a higher productivity, the problem faced is to design a printer paper path capable of producing a larger quantity of prints without loss of printing accuracy and without an increase of the cost price. One approach to tackle this problem is to manufacture mechanics with smaller tolerances. In most cases, however, this leads to an increase of the cost price. Another way to solve the problem is to exploit the power of continuous closed-loop sheet feedback control. Known results on sheet feedback control can be found in [1], [2] and [3], where the control design is done intuitively and does not take into account the controlled motor dynamics that will limit the attainable bandwidth. Neither do they take into account the presence of disturbances and uncertainties such as uncertain pinch radii. In [4], we have already presented a model-based sheet feedback control design, which does take into account disturbances and guarantees an $H_\infty$ performance. Still, the motor dynamics are not included in the control design. Furthermore, during the control design, iterations are needed to realize a desired bandwidth and the controller structure is dependent on the class of sheet setpoint profiles. In this paper we present a structured model-based sheet feedback control design approach, which does take into account motor dynamics as well as the presence of disturbances, which will result in a sheet feedback controller that guarantees performance in the $H_\infty$ sense. Furthermore, it is independent of the setpoint profile of the sheet. As in [4], the control problem is split up into low level motor control loops and a high level sheet control loop. First, the low level motor control loops are designed by using SISO loopshaping techniques. Secondly, the high level sheet control loop is designed while taking into account the controlled low level motor dynamics, such that the control loops are closed sequentially.

The remainder of this paper is organized as follows: in Section 2 the system at hand will be described together with

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Jeroen de Best, Björn Bukkems, René van de Molengraft, and Maarten Steinbuch are with the Dynamics and Control Technology Group, Department of Mechanical Engineering, Technische Universiteit Eindhoven, P.O. Box 513, 5600 MB Eindhoven, The Netherlands, Phone: +31 40 247 4227, Fax: +31 40 246 1418, Email: J.J.T.H.d.Best@tue.nl, B.H.M.Bukkems@tue.nl, M.J.G.v.d.Molengraft@tue.nl, M.Steinbuch@tue.nl

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a derivation of the complete high level dynamics. Also the control goal will be given. In Section 3 the control synthesis will be presented, whereas in Section 4 the experimental setup, which is used for validation experiments, will be described. The results of the modeling, control design and experimental validation are presented in Section 5. At the end, conclusions are drawn and recommendations are given.

II. CONTROL PROBLEM

Although the paper path depicted in Fig. 1 has many challenging aspects, like for example the coupled pinches and the duplex loop, we consider a more basic printer paper path. By considering this basic paper path, shown in Fig. 2, we obtain insight in the essence of the control problem, which lies in the consecutive switching of the driving pinch. The paper path under consideration consists of three pinches, located at \(x_{p1}, x_{p2}\), and \(x_{p3}\), which are all driven by separate motors. The distance between the three pinches is equal to the sheet length \(L\), so sheets cannot be in more than one pinch at the same time. The transmission ratios between motor and pinch are denoted by \(n_i\) and the radii of the driven pinches are denoted by \(R_i\), \(i \in \mathcal{I}\), with \(\mathcal{I} = \{1, 2, 3\}\) the index set of the sheet regions. The angular motor velocities, denoted by \(\omega_{mi}(t)\), are measured. No slip is assumed between the sheet and the pinches and the gear belt between the motor and pinches is assumed to be infinitely stiff. The mass of the sheet is assumed to be zero and the sheet position \(x_s(t)\), defined as the leading edge of the sheet, is assumed to be known.

As in [1], [2], [4], the control layout is split up into low level motor control loops and a high level sheet control loop, yielding the cascaded control architecture that is schematically depicted in Fig. 3. The low level motor control loops consist of low level motor dynamics and low level motor controllers, that together form the controlled low level motor control loops in order to track a prescribed sheet reference profile \(x_{s,r}(t)\). Since at each time instant the sheet is only driven by a single pinch, the input of the high level sheet dynamics will change when the sheet arrives at the next pinch. The pinches that do not contain a sheet are still driven by the high level sheet controller. The complete high level dynamics is the series connection of the controlled low level motor dynamics and the high level sheet dynamics. To describe the switching nature of the system, the complete high level dynamics are formulated in the piecewise linear (PWL) formalism [5]:

\[
\begin{align*}
\dot{x}_s(t) &= A_{Gi}x_s(t) + B_{Gi}u(t), \\
x_s(t) &= C_{Gi}x_s(t)
\end{align*}
\]

where \(A_{Gi}, B_{Gi}\), and \(C_{Gi}\) are the system matrices, input matrices and output matrix of regime \(i\), respectively. The output, the sheet position, does not depend on \(i\). The state vector is defined as \(x_s(t)\), which contains the angular motor positions, angular motor velocities, low level motor controller states and the sheet position. The input vector is defined as \(u(t) = [\omega_{m1,r}(t) \omega_{m2,r}(t) \omega_{m3,r}(t)]^T\). The partitioning of the state space into the three regions is represented by \(\mathcal{X}_i(\mathcal{I}) \subseteq \mathbb{R}\). Here, \(\mathcal{X}_1 = \{x_s(t)|x_s(t) \in [x_{p1}, x_{p2}]\}, \mathcal{X}_2 = \{x_s(t)|x_s(t) \in [x_{p2}, x_{p3}]\}\) and \(\mathcal{X}_3 = \{x_s(t)|x_s(t) \in [x_{p3}, x_{p3} + L]\}\). Since the system under consideration inherently shows piecewise linear behavior the PWL approach is a logical choice. In industrial paper paths, two pinches may be driving the same sheet over a short time interval. Hence, depending on the pinch velocities, interaction between the pinches is possible which can also be captured in the PWL formalism by introducing extra regimes with different dynamical behavior in the model (1). However, as a first exploration of PWL sheet control design, this paper will focus on the control design of the basic paper path of Fig. 2.

Given the complete high level dynamics (1), the control goal we adopt is the structured design of high level sheet controllers (HLCs) with \(H_{\infty}\) performance that calculate angular reference velocities for the low level motor control loops in order to track a prescribed sheet reference profile \(x_{s,r}(t)\).

III. CONTROL SYNTHESIS

In this section we present the \(H_{\infty}\) controller design for the complete high level dynamics (1). In the approach presented,
linear $H_{\infty}$ control design techniques for each subsystem [6] are combined with stability and performance requirements for the switched system. In Fig. 4, the augmented plant, which consists of the complete high level dynamics augmented with weighting filters, is schematically depicted. The exogenous input $w(t)$ of the augmented plant is taken as the sheet reference position $x_{s,Y}(t)$. The outputs to be minimized are the weighted sheet position error $z_i(t)$ and the weighted high level sheet control output $z_e(t)$. The measured output is the sheet position error $e_s(t)$ and the output of the high level sheet controller is represented by $u(t)$. The filter $W_e$ is used to penalize the sheet tracking error, whereas the filter $W_u$ is used to penalize the control outputs. Given the weighting filters together with the complete high level system to be controlled (1), the augmented plant becomes [7]

$$
\begin{align*}
\dot{z}(t) &= A_Gz(t) + B_{wi}w(t) + B_iu(t) \\
\dot{e}_s(t) &= C_Gz(t) + D_{wi}w(t) + D_iu(t), \quad i \in \mathcal{I},
\end{align*}
$$

where $z(t)$ is the state vector containing the angular motor positions, angular motor velocities, low level motor controller states, weighting filter states and the sheet position. Our goal is to compute dynamic high level output-feedback controllers that stabilize the complete high level dynamics (1). Furthermore, the controllers should guarantee performance in the $H_{\infty}$ sense. This means that, given a prescribed level of disturbance attenuation $0 < \gamma \leq 1$, the induced $L_2$-norm from $w(t)$ to the controlled outputs, defined as $\|z(t)\|^2 \leq \gamma \|w(t)\|^2$ should be smaller than $\gamma$ under zero initial conditions:

$$
\|z(t)\|_2 < \gamma \|w(t)\|_2.
$$

The high level sheet controllers that we propose for achieving this goal are given by

$$
\begin{align*}
\dot{\xi}(t) &= A_K\xi(t) + B_Ke_s(t) \\
\dot{w}(t) &= C_K\xi(t) + D_Ke_s(t), \quad i \in \mathcal{I},
\end{align*}
$$

where $\xi(t)$ is the controller state, which has the same order as the augmented plant. Substitution of (4) into (2) yields the PWL closed-loop dynamics

$$
\begin{align*}
\dot{z}_c(t) &= A_Kz_c(t) + B_{wi}w(t), \quad i \in \mathcal{I},
\end{align*}
$$

with

$$
A_i + B_iD_KC = A_K, \quad B_iC_K = B_{wi}, \quad D_i = D_{wi}.
$$

In literature, several techniques for proving stability of PWL systems are available [8], [9], [10], [11]. However these results only encompass stability or stabilization via state feedback of PWL systems and lack controller synthesis via output feedback. Therefore, in this case we aim at proving stability by adopting a common quadratic Lyapunov function for all regions. The expectation is that conservatism does not negatively influence the control synthesis, since the dynamics in each of the regions are approximately the same. So if the closed-loop system (5) admits a common quadratic Lyapunov function

$$
V(z_c(t)) = z_c^TPz_c(t) > 0,
$$

such that

$$
A_i^TP + PA_i < 0, \quad i \in \mathcal{I},
$$

then the controllers are stabilizing [7]. The closed-loop system is stable and has an $H_{\infty}$ norm smaller than $\gamma$ if there exists a symmetric $P$ with

$$
A_i^TP + PA_i < 0, \quad i \in \mathcal{I},
$$

Equations (9), (10) are matrix inequalities in the variables $P, A_i, B_i, C_i, D_i$ and $\gamma$, $i \in \mathcal{I}$ [7]. By partitioning $P$ and $P^{-1}$ as

$$
P = \begin{pmatrix} Y & N \\ N^T & * \end{pmatrix}, \quad P^{-1} = \begin{pmatrix} X & M^T \\ M & * \end{pmatrix},
$$

where $X$ and $Y$ are $n \times n$ symmetric matrices, and by using the linearizing change of variables given in [7]

$$
\begin{align*}
\tilde{A}_i &= NAK_iM^T + NB_iC_KX + + YB_iC_KM^T + Y(A_i + B_iD_KC)X \\
\tilde{B}_i &= NB_i + YB_iD_K \\
C &= C_KM^T + D_KC_X \\
D &= D_K
\end{align*}
$$

Fig. 4. Augmented plant for the three pinch paper path.
the matrix inequalities (9) and (10) can be reformulated as linear matrix inequalities (LMIs) with free variables $X$, $Y$, $A_i$, $B_i$, $C$, $D$ and $\gamma, i \in I$, see (13). If these LMIs are found to be feasible, nonsingular matrices $M$ and $N$, satisfying the relation $M N^T = I - X Y$, can be calculated using a singular value decomposition. Afterwards, the controller matrices can be calculated from (12) in reversed order.

IV. EXPERIMENTAL SETUP

To validate the proposed control design, the experimental printer paper path setup as shown in Fig. 5 is used. It consists of a paper input module and a paper path with five pinches. In the experiments the second, third and fourth pinch are the pinches where the actual control action takes place. In the remainder of this paper we will refer to these pinches as pinch $p1$, pinch $p2$, and pinch $p3$ respectively. Each pinch is connected to a motor via a gear belt. The transmission ratios are $n_1 = \frac{37}{18}$, $n_2 = \frac{37}{18}$ and $n_3 = \frac{37}{18}$. The radii $R_i$ of the pinches are $14 \cdot 10^{-3}$ m. The angular positions of the motors are measured via optical incremental encoders with a resolution of 2000 increments per revolution. The motors are 10 W DC motors driven by current amplifiers. Both the amplifiers and the encoders are connected to a PC-based control system. This system consists of three TUE DACS USB I/O devices [12], a Pentium 4 host computer running RTAI/Fusion Linux and Matlab/Simulink. The sheets are guided through the paper path using thin steel wires and USB I/O devices [12], a Pentium 4 host computer running control system. This system consists of three TUeDACS are 10 W DC motors driven by current amplifiers. Both the motors are measured via optical incremental encoders with a resolution of 2000 increments per revolution. The motors are 10 W DC motors driven by current amplifiers. Both the amplifiers and the encoders are connected to a PC-based control system.

V. RESULTS

In this section the results regarding the modeling of the complete high level dynamics (1) will be given first.

![Fig. 5. The experimental printer paper path setup.](image)

Furthermore, the design of the weighting filters $W_e$ and $W_u$ and the resulting high level sheet controllers will be presented, followed by the results of the experiments.

A. Complete High Level Dynamics

1) Controlled low level motor dynamics: The low level motor dynamics are modeled as double integrators

$$\Phi_{mi}(s) = \frac{K_{mi}K_{Ms}}{J_\theta s^2} e^{-\theta s}, i \in I,$$

where $K_{mi}$ are the amplification factors of the current amplifiers in $\text{AV}^{-1}$, $K_{Ms}$ are the motor constants in $\text{Nm}A^{-1}$, $J_\theta$ are the inertias of the low level systems in kgm$^2$, and $\theta$ is the delay time in seconds. $\Phi_{mi}(s)$ and $V(s)$ are the Laplace transforms of the motor position $\phi_{mi}(t)$ and the motor input $V(t)$, respectively. The amplification factors of the used current amplifiers are identified as $K_{A1} = 0.54$ $\text{AV}^{-1}$, $K_{A2} = 0.51$ $\text{AV}^{-1}$ and $K_{A3} = 0.53$ $\text{AV}^{-1}$. From specifications we know that the motor constant is $4.38 \cdot 10^{-2}$ Nm$A^{-1}$ for each motor. The inertias of the low level systems are determined from frequency response function (FRF) measurements and are $J_1 = 2.9 \cdot 10^{-6}$ kgm$^2$, $J_2 = 3.3 \cdot 10^{-6}$ kgm$^2$ and $J_3 = 2.9 \cdot 10^{-6}$ kgm$^2$. From the FRFs we know that the delay time, mostly present due to the digital implementation of the control scheme is $1.5 \cdot 10^{-3}$ seconds in every low level control loop. This delay is approximated by a second order Padé approximation [6]

$$e^{-\theta s} \approx \frac{(1 - \frac{\theta}{2}s)^2}{(1 + \frac{\theta}{2}s)^2}.$$

Due to the high stiffness in the gear belt between the motor and pinch, flexibilities in the drive line manifest themselves at high frequencies. From FRFs we know that these frequencies are around 400 Hz. These flexibilities are not bandwidth limiting and are therefore not taken into account in the modeling of the low level motor dynamics.

Including this phenomenon would result in a higher order of the complete high level dynamics and therefore in a higher order of the controller, since the order of the controller is the same as the order of the augmented plant.

Using standard loop shaping techniques [13], low level controllers have been designed for each motor. They consist of a lead filter in combination with an integral action. The lead filters are designed with the zero at $1/4 \cdot 50$ Hz and the pole at $4 \cdot 50$ Hz. The cut-off frequency of the integral action is $1/5 \cdot 50$ Hz. The resulting crossover frequencies are approximately 50 Hz. Since the low level controllers
use the motor positions for feedback the output of the high level sheet controllers is integrated to obtain angular motor reference velocities. From the low level motor dynamics and the low level motor controllers the controlled low level motor dynamics (LLTs) can be derived
\[
\frac{\Omega_{mi}(s)}{\Omega_{mi,r}(s)} = T_i(s), \quad i \in I,
\]
with \(\Omega_{mi,r}(s)\) the Laplace transform of the angular motor reference velocities \(\omega_{mi,r}(t)\). The result of the modeling can be seen in Fig. 6, which shows the FRF and the model of the complementary sensitivity function of the first LLT. As can be seen the motor perfectly tracks the prescribed reference velocities when \(f \rightarrow 0\) and \(S_\infty\) is the desired asymptotic amplitude of the sensitivity when \(f \rightarrow \infty\). These values are chosen as \(S_0 = 10^{-180}\) and \(S_\infty = 10^0\). For low frequencies the weighting filter has a slope of zero in order to prevent inserting a pole in \(f = 0\) in the augmented plant which cannot be stabilized by the controller. For mid range frequencies up to \(f_L\), a -2 slope is present. This corresponds to a desired -1 slope of the high level sheet controller caused by the integral action multiplied with the -1 slope of the complete high level dynamics in that frequency range.

The weighting filter \(W_u\) is used to shape the control sensitivity function \(S_C\). \(W_u\) is selected to represent a matrix with a SISO weighting filter \(w_u\) on each diagonal element and with zeros on all other elements. By weighting each control input with the weighting filter given by
\[
w_u(s) = \frac{\frac{1}{2\pi f_{LP}} s + 1}{\frac{1}{2\pi f_{LP}} s + C_0},
\]
a high frequency roll-off can be enforced in the controller. Here \(f_{LP}\) is the desired roll-off frequency, \(C_0\) is the desired asymptotic amplitude of the control sensitivity when \(f \rightarrow 0\) and \(C_\infty\) is the desired asymptotic amplitude of the control sensitivity when \(f \rightarrow \infty\). The values are chosen as \(f_{LP} = 50\) Hz, \(C_0 = 10^{-20}\) and \(C_\infty = 10^8\). Since \(S \approx 1\) for frequencies far above the bandwidth, we can approximate the control sensitivity \(S_C\) by the controller in this region. So for high frequencies we are weighing the controller and therefore the desired roll-off can be shaped.

Given the complete high level dynamics (1) and the weighting filters \(W_c\) and \(W_u\) to be designed. The weighting filter \(W_c\) is used to shape the sensitivity function \(S\). With \(W_c\) we can enforce both a desired bandwidth and an integral action in the high level sheet controllers. This is done by designing the weighting filter as
\[
W_c(s) = \frac{1}{s_0} \frac{1}{4\pi f_{BW} f_1} s^2 + \left(\frac{0.7}{2\pi f_{BW} f_1} + \frac{0.7}{2\pi f_1}\right) s + 1.
\]
Here, \(f_{BW}\) is the desired bandwidth and \(f_1\) is the desired cut-off frequency of the integral action. The values of \(f_{BW}\) and \(f_1\) are chosen to be 15 Hz and 3 Hz, respectively. \(S_0\) is the desired asymptotic amplitude of the sensitivity when \(f \rightarrow 0\) and \(S_\infty\) is the desired asymptotic amplitude of the sensitivity when \(f \rightarrow \infty\). The values are chosen as \(S_0 = 10^{-180}\) and \(S_\infty = 10^0\). For low frequencies the weighting filter has a slope of zero in order to prevent inserting a pole in \(f = 0\) in the augmented plant which cannot be stabilized by the controller. For mid range frequencies up to \(f_1\), a -2 slope is present. This corresponds to a desired -1 slope of the high level sheet controller caused by the integral action multiplied with the -1 slope of the complete high level dynamics in that frequency range.

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\[
w_u(s) = \frac{1}{2\pi f_{LP}} s + 1 + C_0,
\]
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Given the complete high level dynamics (1) and the weighting filters (18) and (19), the linear matrix inequalities (13) are solved using the LMI Control Toolbox [14]. By setting \(\gamma = 1\) and solving a feasibility problem, stable high level sheet controllers were obtained. The results of the control design are given in the Figs. 7 and 8. In Fig. 7 the obtained controller is depicted for the first subsystem. It can be seen that the desired integral action and roll-off are indeed present. The values of \(f_{BW}\) and \(f_1\) are chosen to be 15 Hz and 3 Hz, respectively. \(S_0\) is the desired asymptotic amplitude of the sensitivity when \(f \rightarrow 0\) and \(S_\infty\) is the desired asymptotic amplitude of the sensitivity when \(f \rightarrow \infty\). These values are chosen as \(S_0 = 10^{-180}\) and \(S_\infty = 10^0\). For low frequencies the weighting filter has a slope of zero in order to prevent inserting a pole in \(f = 0\) in the augmented plant which cannot be stabilized by the controller. For mid range frequencies up to \(f_1\), a -2 slope is present. This corresponds to a desired -1 slope of the high level sheet controller caused by the integral action multiplied with the -1 slope of the complete high level dynamics in that frequency range.

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\]
a high frequency roll-off can be enforced in the controller. Here \(f_{LP}\) is the desired roll-off frequency, \(C_0\) is the desired asymptotic amplitude of the control sensitivity when \(f \rightarrow 0\) and \(C_\infty\) is the desired asymptotic amplitude of the control sensitivity when \(f \rightarrow \infty\). The values are chosen as \(f_{LP} = 50\) Hz, \(C_0 = 10^{-20}\) and \(C_\infty = 10^8\). Since \(S \approx 1\) for frequencies far above the bandwidth, we can approximate the control sensitivity \(S_C\) by the controller in this region. So for high frequencies we are weighing the controller and therefore the desired roll-off can be shaped.

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C. Experiments

To experimentally validate the control design in practice, experiments are carried out. For the sheet motion task, a constant velocity of 0.3 ms\(^{-1}\) is chosen that has to be tracked throughout the paper path. The corresponding sheet reference motion is therefore a ramp function. The results of the experiment can be seen in Fig. 9. When the sheet enters the paper path, the pinches are standing still. Due
Furthermore, they inherently show robustness against parameter uncertainties. Future research will focus on the extension of the augmented plant by including weighting filters for uncertainties in order to create a robust controller with $H_\infty$ performance. Furthermore, control design for configurations where the pinches are coupled into sections and for paper paths with a duplex loop are subject of future research.

VI. Conclusions and Recommendations

In this paper, a structured model-based control design approach for sheet control in a printer paper path has been presented, which guarantees performance in the $H_\infty$ sense. By taking into account the low level dynamics we have incorporated the bandwidth limiting factor into the design of the high level sheet controllers. With the use of optical mouse sensors the sheet position is made available in experiments, enabling an experimental validation of the control design. These experiments show closed-loop stability. Furthermore, they inherently show robustness against parameter uncertainties. Future research will focus on the extension of the designed high level sheet controller.

Fig. 7. Designed high level sheet controller.

Fig. 8. Designed high level open loop.

Fig. 9. Experimentally obtained error (solid) together with error obtained from simulation (dashed).

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