Repeated-root cyclic codes

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Repeated-Root Cyclic Codes
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Abstract—In the theory of cyclic codes, it is common practice to
require that \((n,q)=1\), where \(n\) is the word length and \(F_q\) is the
alphabet. This ensures that the generator \(g(x)\) of the cyclic code has
no multiple zeros (= repeated roots). Furthermore, it makes it possible
to use an idempotent element as generator. However, much of the theory
also goes through without the restriction on \(n\) and \(q\). Recently, the
author was asked whether dropping the restriction could produce any
good codes or that they would always be bad (in some sense), in which
case making the restriction right after the definition, as most authors
do, would be justified. This question led to the results below. We shall
show that a binary cyclic code of length \(2n(n,q)\) can be obtained from
two cyclic codes of length \(n\) by the well known \(|a+u+v|\) construction.
This leads to an infinite sequence of optimal cyclic codes with distance
4. Furthermore, it shows that for these codes low complexity decoding
methods can be used. The structure theorem generalizes to other char-
teristics and to other lengths. Independently, Castagnoli et al. have
studied the same question. Some of their results are similar to these
results, but their methods are different. Some comparisons of the
methods using earlier examples are also given.

Index Terms—Binary cyclic codes of even length, shortened Hamming
codes.

I. BINARY CYCLIC CODES OF LENGTH \(2n\) (\(n\) ODD)

Let \(n\) be odd and \(x^n-1=f(x)f_2(x)\cdots f_k(x)\) the factoriza-
tion of \(x^n-1\) into irreducible factors in \(F_q[x]\).

We define \(g_1(x)=f(x)\cdots f_k(x), g_2(x)=f_2(x)\cdots f_k(x), \ldots,
\) where \(k<\ell<\ell\).

Let \(C_1\) be the cyclic code of length \(n\) and dimension \(n-r_1\),
with generator \(g_1(x)\), and let \(C_2\) be the cyclic code of length \(n\)
and dimension \(n-r_2\) with generator \(g_2(x)g_3(x)\), and let \(d_i\) be
the minimum distance of \(C_i\). \(i=1,2\). Clearly \(d_1\geq d_2\).

We are interested in the cyclic code \(C\) of length \(2n\) and
dimension \(2n-r_1-r_2\) with generator \(g(x)=g_2(x)g_3(x)\). We
claim that this code has the following structure:

Let \(a=(a_0,a_1,\ldots,a_{n-1})\in C_1\) and \(c=(c_0,c_1,\ldots,c_{n-1})\in C_2\).

Define \(b=a+c\). Since \(n\) is odd, we can define words that
belong to \(C\) by

\[ w=(a_0,b_0,a_1,b_1,\ldots,a_{n-2},b_{n-2},a_{n-1},b_{n-1}), \]

and in this way we find all words of \(C\). The last assertion is a
consequence of dimension arguments. We prove the first assertion
as follows. Write

\[ a(x)=a_0+a_1x+\cdots+a_{n-1}x^{n-1} \]

\[ +x(a_1+a_2x^2+\cdots+a_{n-1}x^{n-3}) \]

\[ =a(x^2)+xa_0x^2, \]

and analogously for \(c(x)\) and \(b(x)\). Then we have the following
two (equivalent) representations for the polynomial \(w(x)\) corre-
sponding to the codeword \(w\):

\[ w(x)=[a(x^2)+x^{n+1}a_0(x^2)]+[xb_0(x^2)+x^nb(x^2)] \]

(1.1)

and

\[ w(x)=[a(x)+x(x^2+1)a_0(x^2)] \]

\[ +[b(x)+(x^2+1)b_0(x^2)]. \] (1.2)

Both terms in (1.2) are divisible by \(g(x)\). From (1.1) we see that
the first term only contains even powers of \(x\), the second one
only odd powers of \(x\). Since \(g(x)\) has no multiple factors, this
implies that both terms are actually divisible by \(g(x)\).

From (1.2) we find

\[ w(x)=(x^4+1)a(x)+c(x)+(x^4+1)c(x) \]

(1.3)

in which every term is divisible by \(g(x)\).

Since \(b=a+c\), the word \(w\) is a permutation of the word
\(|a+u+v|\) (cf. [4, p. 76]). We have proved the following theorem.

Theorem 1: Let \(C_1\) be a binary cyclic code of length \(n\) (odd)
with generator \(g_1(x)\), and let \(C_2\) be a binary cyclic code of
length \(n\) with generator \(g_2(x)g_3(x)\). Let \(d_i\) be the minimum
distance of \(C_i\), \(i=1,2\). Then the binary cyclic code \(C\) of length
\(2n\) with generator \(g_2(x)g_3(x)\) is equivalent to the \(|a+u+v|\) sum
of \(C_1\) and \(C_2\). Therefore \(C\) has minimum distance \(2(d_1)d_2\).

Example 1: Take \(n=7\). We have

\[ x^7-1=(x^3+x+1)(x^3+x^2+1)(x+1). \]

Define \(g(x)=(x^2+x+1)\), \(g_2(x)=(x+1)\). Then

\[ a(x)=g_1(x)=1+x+x^2=1+x(1+x^2), \]

\[ c(x)=g_2(x)=1+x^2+x^3=1+x^2+x^4+x(x^2); \]

so \(a=(1101000)\) and \(b=(0110100)\). We find

\[ w=(1100000111100), \]

i.e.,

\[ w(x)=1+x+x^8+x^9+x^{10}+x^{11} \]

\[ =1+x+x^2)(1+x)(1+x^2+x^4). \]

It was shown by Best and Brouwer [1] that the three times
shortened binary Hamming codes are optimal. In fact, they
showed that, if \(A(n,d)\) is the maximal cardinality of binary
codes with length \(n\) and minimum distance \(d\), then

\[ A(m+1,4)=A(m,3)\leq 2^m/(m+4-i), \]

if \(m=\pmod{4}\), \(0\leq i\leq 3\). (1.4)

We now consider the even-weight subcode of a shortened
Hamming code. With \(m=2^l\) we have \([m-1,m-l-2,4]\)
code, which is optimal by (1.4). The following theorem gives a,
perhaps surprising, property of these optimal codes.
Theorem 2: The even weight subcode of a shortened binary Hamming code is cyclic (for a suitable ordering of the symbols).

Proof: It is not difficult to see that it makes no difference on which position the code is shortened (all resulting codes are equivalent). Let \( n = 2^r - 1 \). Let \( m_i(x) \) denote the minimal polynomial of a primitive element \( \alpha \) of \( \mathbb{F}_{2^r} \). Then \( m_i(x) \) is the generator polynomial of the \([n, n-r] \) Hamming code and \((x+1)m_i(x)\) is the generator polynomial of the corresponding even weight subcode. In Theorem 1 we take \( g_i(x) = (x+1) \) and \( g_j(x) = m_j(x) \). We then find a cyclic code \( C \) of length \( 2n \), dimension \( 2n - 2 \) with minimum distance 4. It follows from the \([n, n+1] \) construction that all weights in \( C \) are even. Therefore \( C \) has a parity check matrix with a top row of 1's and all columns distinct. Hence \( C \) is equivalent to the even weight subcode of a shortened Hamming code (see Example 4).

A simple decoding method for the \([n, n+1] \) codes is known. It is in fact an example of the method of Blokh and Zyablov [3]. Besides being simple the method has the advantage that it sometimes corrects error patterns beyond half the minimum distance. Recently, Forney [5] introduced the "squeezing construction" of which the \([n, n+1] \) construction is an example. Forney's trellis decoding method for these codes again provides a low complexity decoder for our cyclic codes of length \( 2n \). This is a possible advantage of these codes.

II. CYCLIC CODES OF LENGTH \( q' \) OVER \( \mathbb{F}_q \) WITH \( (n, q) = \) 1

The situation for the general case (mentioned in the title of this section) can be handled in the same way as we did in Section 1. However, the generalization of (1.2) and (2.1) below to the general case leads to formulas that show in the general case the approach used by Castagnoli et al. [6] is much easier. So, it is only for lengths \( 2n \), \( 3n \), and \( 4n \) that we really gain some more insight by the generalized Hamming code is cyclic (for a suitable ordering of the symbols). In Theorem 1 we take \( d = \frac{n}{2} \) and \( n \) \( 1 \) to \( 2n \).

Let \( (n, 3) = 1 \) and \( n \) even. We consider the factorization of \( x^n - 1 \) into irreducible factors in \( \mathbb{F}_3[x] \):

\[
x^n - 1 = f_1(x) f_2(x) \cdots f_k(x).
\]

We now take \( g(x) = f_1(x) \cdots f_k(x) \), \( g(x) = f_2(x) \cdots f_k(x) \), and \( g(x) = f_3(x) \cdots f_k(x) \). We consider three ternary cyclic codes \( C_i \) (\( i = 1, 2, 3 \)) of length \( n \) with generator polynomials \( g(x) \), \( g(x)g(x) \), and \( g(x)g(x)g(x) \). Using the same notation as in the previous section, we denote the dimension of \( C_i \) by \( n-r_i \) and its minimum distance by \( d_i \). Let \( g(x) = (g(x))^{t_i}(g(x))^{t_i}(g(x))^{t_i} \) be the generator polynomial of a cyclic code \( C \) of length \( 3n \).

A polynomial \( a(x) = a_0 + a_1 x + \cdots + a_{n-1} x^{n-1} \) is written as

\[
a(x) = (a_0 + a_1 x + \cdots) + (a_1 + a_2 x + \cdots) + x^2(a_2 + a_3 x + \cdots) = a_0 x^0 + a_1 x^1 + a_2 x^2 + \cdots
\]

We now use the same idea of stretching this polynomial (= word) to length \( 3n \) (as in Section 1) as follows. We must distinguish between \( n = 1 \) (mod 3) and \( n = 2 \) (mod 3). We define

\[
\bar{a}(x) := \begin{cases} a_0(x) + x^n a_1(x) + x^{2n} a_2(x), & n = 1 \pmod{3}, \\ a_0(x) + x^2 a_1(x) + x^{2n} a_2(x), & n = 2 \pmod{3}. \end{cases}
\]

Clearly all monomials in \( \bar{a}(x) \) have an exponent divisible by 3, i.e., \( \bar{a}(x) \) is the third power of some polynomial. Note that

\[
\bar{a}(x) = a(x), \quad \mod{x^n - 1}
\]

and therefore \( f(x) \bar{a}(x) \) implies that \( f(x) \bar{a}(x) \).

The next step is also a generalization of the idea of Section 1. Let \( a \in C_1 \), \( b \in C_2 \), \( c \in C_3 \). We generalize the \([n, n+1] \) construction and form a codeword that is a permutation of \( \bar{a}(x) \).

\[
w(x) = x^n \bar{a}(x) + x^{n+1} \tilde{b}(x) + x^{2n} e(x), \quad \mod{x^{2n} - 1}.
\]

This definition ensures that \( g(x) \) divides \( w(x) \). For example \( g(x) \) divides the second and third term in (2.1) by the observation just made and \( g(x) \) correctly divides the first term. A trivial dimension argument shows that we obtain all the words of \( C \) in this way. A generalization of the minimum distance argument of the \([n, n+1] \) construction shows that the minimum distance \( d \) of \( C \) is equal to \( \min(3d_1, 2d_2, d_3) \).

For binary cyclic codes of length \( 4n \) (\( n \) odd), one proceeds in the same way. As generalization of (2.1) one finds

\[
w(x) = \sum_{m=1}^{2n} x^{m-1} wi(x) - (x^n - 1).
\]

The right-hand side is a vector of the form \( (a, a+b, a+b+c, a+b+c+d) \), and repeated application of the rule \( w(x) + w(y) \geq w(x+y) \) leads to \( d_{\min} = \min(d_1, d_2, d_3, d_4) \) in the obvious notation.

Example 2: Let \( n = 8 \). We have

\[
x^{n-1} = \frac{x-1}{x+1}(x^4+1)(x^2+1)(x^2+2x+2) = m_0(x)m_1(x)m_2(x)m_3(x).
\]

The codes \( C_i \) are \([7, 1, 3] \), \([8, 2, 5] \), and \([8, 3, 1] \) respectively. We find a ternary cyclic code that is a \([24, 14, 6] \) code. If we compare with BCH codes, then there is a \([24, 12, 9] \) ternary extended quadratic residue code (cf. [4]) while the best we can do to obtain \( d = 9 \), using the construction of this section, is to take \( g(x) = m_0(x)m_1(x) \), \( g(x) = m_2(x) \), and \( g(x) = m_3(x)m_4(x) \), which yields a code of dimension 8.

Bloemen et al. [2] analyzed ternary cyclic codes of length \( 3n \) with \( n \leq 100 \). The only "good" code that they found was the \([24, 20, 3] \) code \( (g(x) = 0, g(x) = m_1(x), g(x) = m_2(x)) \). This is an \([24, 4, 2] \) code. To obtain a \([24, 4, 2] \) code we use the Hamming bound.

We give one more example of the construction of binary cyclic codes of length \( 4n \), \( n \) odd. This example generalizes Theorem 2. It is also due to Bloemen et al.

Example 3: Let \( n = 2^r - 1 \). Take as generator for a cyclic code \( C \) of length \( 4n \) the polynomial \( g(x) = (x-1) m_0(x) \). Here \( m_i(x) \) is the minimal polynomial of a primitive element \( \alpha \) of \( \mathbb{F}_{2^r} \). Using the terminology of previous examples we have \( g(x) = 1, g(x) = 0, g(x) = m_0(x) \). The ingredients are now four cyclic codes of length \( n \) with minimum distances \( 1, 2, 2, 4 \) respectively. The minimum distance of \( C \) is \( \min(4^1, 2^2, 2^2, 2^4) = 4 \). Let \( s = a + 2 \). We find a binary cyclic \([2^r - 4, 2^r - 5, 4] \) code. This is not bad, since by (1.4) the \([2^r - 4, 2^r - 3, 4] \) code is optimal.
The ideas and results of the previous sections were discussed with J. L. Massey at a meeting in Oberwolfach in 1989. It turned out that he and some of his students were working on the same problem and that they had several similar results (and the general case) but that their methods were different. He kindly sent a preprint of the paper. Below we shall compare our results and their methods. For more details the reader is referred to Castagnoli et al. [6]. There the authors treat repeated-root cyclic codes using parity check matrices that are based on the properties of the so-called Hasse derivative of a function.

Definition: If \( f(x) = \sum_{i=0}^{\infty} c_i x^i \in \mathbb{F}_q[x] \), then the \( k \)th Hasse derivative \( f^{(k)}(x) \) is defined by

\[
f^{(k)}(x) = \sum_{i=k}^{\infty} \binom{i}{k} c_i x^{i-k},
\]

(3.1)

It is an elementary exercise to prove the following lemma (use (3.1) to generalize Leibnitz's rule).

Lemma 1: If \( \alpha \) is a zero of \( f(x) \) in some extension field of \( \mathbb{F}_q \), with multiplicity \( s \), then \( \alpha \) is a zero of \( f^{(k)}(x) \) for \( k < s \) but not for \( k = s \).

Let us see what this lemma shows for some of the examples previously given.

Example 4: Consider the code of Theorem 2. The generator has 1 as a zero with multiplicity 2 and \( \alpha \) is a zero with multiplicity 1. This means that if \( c(x) = \sum c_i x^i \) is a codeword, then

\[
\sum c_i = 0, \quad \sum i c_i = 0, \quad \text{and} \quad \sum c_i \alpha^i = 0,
\]

i.e.,

\[
H_1 = \begin{pmatrix}
1 & 1 & 1 & \cdots & 1 \\
0 & 1 & 0 & \cdots & 0 \\
1 & \alpha & \alpha^2 & \cdots & \alpha^{n-1}
\end{pmatrix}
\]

is a parity check matrix for \( C \); here the second row is obtained by applying the lemma. Note that \( \alpha^n = 1 \). Hence the matrix \( H_1 \) consists of all possible columns with 1 at the top, except for \((1000 \cdots 0)^T \) and \((1100 \cdots 0)^T \), i.e., the code is indeed equivalent to the even weight subcode of a shortened Hamming code.

Example 5: Consider the [24,20,3] ternary code \( C \) mentioned after Example 2. It has as generator \( m_2(x)m_3(x) \). Let \( \alpha \) be a primitive element of \( \mathbb{F}_9 \). By the same argument as in the previous example we find a parity check matrix for this code:

\[
H_1 = \begin{pmatrix}
1 & 1 & \cdots & 1 & 1 \\
0 & 1 & \cdots & 0 & 1 \\
1 & \alpha & \alpha^2 & \cdots & \alpha^{21}
\end{pmatrix}
\]

So, it is clear that \( C \) is equivalent to a twice shortened [26,22,3] BCH code (the columns of \( H_2 \) are all possible \((1,\xi)^T \) with \( \xi \in \mathbb{F}_3 \setminus \{\mathbb{F}_9 \} \).

Example 6: We have another look at Example 3. The code \( C \) of length \( 4n \) has a parity check matrix

\[
H_3 = \begin{pmatrix}
1 & 1 & 1 & \cdots & 1 \\
0 & 1 & 0 & \cdots & 0 \\
0 & 0 & 1 & \cdots & 0 \\
1 & \alpha & \alpha^2 & \cdots & \alpha^{4n-4}
\end{pmatrix}
\]

Since \( \alpha^n = 1 \), this matrix can be obtained from the generator matrix of the \([2^n-1,1,2^n-2]\) simplex code by deleting the three columns \((100 \cdots 0)^T, (010 \cdots 0)^T, (110 \cdots 0)^T \) and then adjoining a row of 1's. If we do not adjoin the row of 1's, we see that we obtain the generator matrix of a \([2^n-4, s, 2^{n-1}-2] \) code that meets the Griesmer bound with equality. This is the familiar anticode construction (cf. [4], pp. 548–549). In our case \( H_3 \) generates a \([2^7-4, s+1, 2^6-1-4] \) code (by the same argument as for the anticode construction). This code has the additional property of being cyclic and its minimum distance is only 2 less than the maximal possible value. If we write \( x^n-1 = (x-1)m(x)r(x) \), then in the terminology of Section II we have \( g(x) = r(x), g_j(x) = m(x), g_s(x) = 1 \). It follows that the minimum distance of the cyclic code is \( \min\{4(2^n-1), 2(2^n-1)\} \), in accordance with what was just stated.

One could ask whether the code meeting the Griesmer bound could also be cyclic. Our formula for the minimum distance, i.e., the minimum of the distances

\[
d = \min(4(2^n-1), 2(2^n-1))
\]

implies that \( d_1 \geq 2^{n-1}, d_2 \geq 2^n-1, \) and similarly for \( d_s \), while \( d_s = \infty \). So \( C \) has dimension \( s \) and then \( C \) must have dimension \( >1 \). This forces \( C_s \) to be the simplex code, but that does not contain the repetition code \((\alpha C)\) as a subcode. So the answer is no.

IV. CONCLUSION

We have found that the even weight subcodes of the shortened binary Hamming codes form a sequence of repeated-root cyclic codes that are optimal. In nearly all other cases, one does not find good cyclic codes by dropping the usual restriction that \( n \) and \( q \) must be relatively prime. This statement is based on an analysis for lengths up to 100. Theorem 1 shows why this was to be expected, but it also leads to low complexity decoding methods. This is an advantage (especially for the codes that are not much worse than corresponding codes of odd length).

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