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High Data-Rate Video Broadcasting Over 3G Wireless Systems
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Abstract—In cellular environments, video broadcasting is a challenging problem in which the number of users receiving the service and the average successfully decoded video data-rate have to be intelligently optimized. When video is broadcasted using the 3G packet data standard, 1xEV-DO, the code space may be divided among the multiple layers if scalable video coding is employed. In this paper, we propose a novel, multi-objective optimized video broadcasting scheme for 1xEV-DO and investigate the feasibility of using multiple layers for transmission. The multi-objective optimization aims to find the best compromise between maximizing the average decodable video data-rate and minimizing the basic quality video outage probability. Simulations conducted for the ITU Pedestrian A and Vehicular B channels show that high data-rates with low outages are possible when 1xEV-DO is used for video broadcasting, however, it may not be desirable to use scalable video coding for this purpose.

Index Terms—Broadcasting, code division multiaccess, mobile communication, resource management, video coding.

I. INTRODUCTION

FOLLOWING the rapid growth of wireless networks and the success of Internet video, wireless video services are expected to be widely deployed in the near future. Of such services, wireless video broadcasting has gained significant attention recently thanks to two highly publicized proposals: DVB-H [1] and Media-Flo [2]. Two classes of solutions exist for wireless video broadcasting, namely, high and low power solutions. The two above mentioned proposals both require transmission powers much greater than those used in today’s 2G and 3G wireless systems. Subsequently, these proposals require the deployment of brand new cellular networks where cell sizes are much larger than those currently in use (tens of kilometers of cell radii). Both DVB-H and Media-Flo champion the use of OFDM over the available wide bandwidth with QPSK or 16-QAM modulation within each sub-carrier. While DVB-H uses convolutional codes, Media-Flo uses Turbo and Reed-Solomon codes for error correction.

Alternatively, one can provide a low-power, lower bandwidth video broadcasting solution using the existing 3G wireless network infrastructure. Evolutions, such as 1xEV-DO (IS-856) [3], 1xEV-DV [4] and HSDPA [5] to the 3G standards provide spectrally efficient data services. In these systems, adaptive coding and modulation is used to accommodate for the variations in the wireless channel conditions. This paper is concerned with providing a novel, low-power video broadcasting solution using the 1xEV-DO system. No hardware changes to the standard are required in the proposed solution. Furthermore, the existing cellular layout can be used without any modifications.

The existing 3G systems are originally designed to provide user-specific data to multiple users simultaneously. The wireless broadcast service on the other hand, is aimed to transmit the same data to all (or a sub-group of paid) customers. A conventional approach would be to guarantee every user within the coverage area the reception and successful decoding of the transmitted information by limiting the transmission data-rate to the channel capacity of the worst user. However, such an approach may under-utilize better channels in the system, and thus may be spectrally inefficient. In order to take advantage of the variations in the channel conditions of the users, it may be preferable to divide the data to be transmitted into a number of parallel streams, and subsequently guarantee a certain data-rate to all users via a base layer, while sending the rest of the information via a number of enhancement layers in such a way that only users with better channel conditions are able to receive and decode them. This way, different users perceive the broadcast video signal at different quality levels but the basic quality reception is possible for all users. This solution requires the use of a multi-layered (scalable) video source coding scheme. Scalable video coding (SVC) produces a compressed bit-stream which is divided into embedded sub-streams that can be decoded to produce video with improved quality, or larger frame rate, or larger image size depending on the source coding algorithm in use [6]. Both the ITU H.26x family of coders and the MPEG coders provide some scalability using a layered approach [7]. The layered scalable options include temporal, spatial and PSNR (quality) scalability.

When scalable video coding is employed in wireless video broadcasting, clearly one has to ensure that the base layer is successfully decoded by all of the subscribers since the base layer is independently coded and is necessary for basic quality video reception as well as possible subsequent decoding of the enhancement layers. In other words, the base layer decodability is the necessary requirement for the broadcast coverage. At the same time, the system should also be designed such that enhancement layers are successfully decoded by as many users as possible so that the average perceived service quality is maximized. This is illustrated for a cellular scenario in Fig. 1, where users that are closest to the base station are able to decode the base layer as...
well as all $k$ enhancement layers, while the users that are near the cell boundary can only decode the base layer. Then, the limited physical layer resources of the wireless system need to be divided among the multiple layers of the broadcast video in such a way that both of the above stated goals are achieved simultaneously.

However, from an application perspective, scalable coding may not be so desirable in a wireless scenario. This is because, if the base layer data-rate is set to be sufficient for an acceptable video quality, the additional benefit from the decoding of enhancement layers may not be significant enough to grant any allocation of system resources for them. If on the other hand, the base layer data-rate is not sufficient for acceptable video quality, then stationary users capable of decoding only the base layer will not tolerate this service and thus not use it. The practical benefit of scalable video coding in wireless systems may arise from its potential support for user mobility. Users with high mobility experience rapid variations in their channel conditions. In such instances, unlike non-scalable coding, where the users either receive the full video stream successfully or nothing at all, scalable video coding may potentially allow for a graceful variation in the observed video quality over time. However, this may be desirable only if significant outage probabilities are not observed as a result of it. In this paper we provide a feasibility study of the transmission of broadcast video using multiple layers in wireless cellular systems using 1xEV-DO.

When SVC is employed for broadcast video coding, the wireless system resources of bandwidth, power, time and code need to be efficiently divided among the layers. A number of research results have appeared in the literature on this front. In [8] the authors propose to use unequal error control protection of the SVC layers using rate-compatible punctured convolutional codes. The authors in [9] investigate an on-demand video scenario and the video stream to be transmitted is divided into two distinct layers. Based on the ARQ feedback from the users regarding the success of decoding of video packets, the base layer packets are placed at the front of the transmission queue for re-transmission. The enhancement layer packets are re-transmitted only if they do not expire during their wait at the transmission queue. In [10], the authors propose to adopt the resource allocation as well as the bit rates of the individual SVC layers based on the changes in the channel conditions for an on-demand video application. The authors propose to use joint source and channel coding so that the base layer and the enhancement layers are channel coded and subsequently multilevel modulated using different parameters in [11] and [12]. The modulation parameters are chosen such that the system provides a significantly stronger error correction capability to the base layer when compared to the enhancement layers. The authors in [11] further propose the use of user feedback to adapt these parameters to changing channel characteristics, user QoS requests and terminal capabilities for on-demand video applications. In [13], the authors characterize the wireless channel using a simple random error model and determine the average broadcast video quality when unequal erasure protection is applied using Reed-Solomon codes. In [14], the transmission power is divided unequally among the layers. Naturally, the base layer is given a larger power allocation than the enhancement layers. [15] on the other hand, proposes to divide the system resources adaptively across multiple video streams based on video content and source coding specifics without paying any attention to the wireless channel. In all of papers mentioned above, the division of system resources is done heuristically. In other words, the authors propose to use a pre-determined set of coding, modulation schemes or an unequal power division rule, and compare the performance of their choice relative to a scheme where there is no layered-coding. The papers do not explicitly specify why such division of resources is proposed and whether it is optimal in any way.

This work differentiates itself from the rest on two fronts. First, here we propose a wireless video broadcasting scheme where the division of resources among the layers of the broadcast video is due to the optimal compromise among the two goals of the system: maximization of the base layer broadcast video coverage and maximization of average total bit-rate decoded by the users, which is proportional to the perceived video quality measured in PSNR [16]. Second, in this paper we propose to divide the system resources in the code domain. This way, it is possible to use the same modulation and channel coding schemes to all layers, simplifying the overall hardware design as well as decoding and demodulation complexity. We focus on the problem of providing broadcast video capability to an existing 3G system rather than developing a new end-to-end high power solution like Media-Flo or DVB-H. For a given number of SVC layers, the proposed framework provides the corresponding set of data-rates for each layer as well as the code space division for the optimal compromise.

The rest of this paper is organized as follows: A brief 1xEV-DO overview and formulation of the desired objectives used in the resource allocation are given in Section II. Multiple objective optimization is given in Section III as a framework to find the optimal compromise between multiple objectives. The wireless system model and simulation results of the proposed video broadcast scheme are presented in Section IV. Finally, conclusions are drawn in Section V.
II. THE 1XEV-DO SYSTEM AND PROBLEM FORMULATION

The proposed low-power broadcast video solution is based on 1XEV-DO. In this section we first provide a brief overview of this air interface. 1XEV-DO (IS-856) is a data only evolution to the cdma2000 standard. It is originally designed to provide packet switched data to multiple users simultaneously. Over a bandwidth of 1.25 MHz, it provides service to only a single user at a given time. The active user is chosen according to a scheduling algorithm. Transmission is then performed over time slots of 1.67 ms duration. The data-rate of the active user is selected according to its observed channel conditions.

In the standard, there are 12 transmission schemes with 9 distinct data-rates, ranging from 38.4 kbps to 2.4576 Mbps [3]. 1XEV-DO uses rate 1/3 and rate 1/5 Turbo codes and QPSK, 8-PSK and 16-QAM modulation schemes adaptively for transmission. Also, repetition and puncturing provide finer grain coding. After scrambling, modulation and repetition, the transmission packet is de-multiplexed into 16 blocks. Then each of these blocks is spread using one of the 16 orthogonal Walsh codes. The spread transmission packet, which has the same length of the original packet, is the summation of the 16 blocks. On the receiver side, the original information packet is recreated by de-spreading the received packet with the same Walsh codes. It should be noted here that the information bits are spread entirely by means of the error control coding in 1XEV-DO and Walsh code spreading does not cause any further increase in the transmission bandwidth.

When 1XEV-DO is used to broadcast a video stream that is scalable coded, the above mentioned 16 Walsh codes need to be divided optimally among the different layers of the stream. The proposed division of the Walsh codes of the 1XEV-DO system is illustrated in Fig. 2. Since multiple streams are code division multiplexed, one needs to make room for CDMA spreading by reducing the repetition coding rate. However, the reduction in the coding should not result in the effective coding rate, described as,

\[
\text{Effective Code Rate} = \frac{\text{Turbo Code Rate}}{\text{Repetition Factor}}
\]  

(1)

to be greater than 1, since this would mean losing some of the information bits prior to transmission. The reduction of the repetition factor as a function of the number of Walsh codes available per layer is tabulated in Table I for 1XEV-DO. From the table, it is clear that not all data-rates are supportable by all code space allocations. Let us consider, for example the 307.2 kbps transmission rate that uses rate 1/3 Turbo coding. When 2 Walsh codes are available, the repetition factor in the system needs to be 0.25, resulting in an effective coding rate of 4/3 which is not feasible for effective data transmission.

In this paper, we optimally find the set of transmission data-rates and the corresponding code space division for a given number of SVC layers to find the best compromise between the maximum broadcast base layer service coverage and average decodable video data-rate. There is a direct relationship between the transmission data-rate and the PSNR of the received video given a fixed packet error rate. This relationship is dependent on the nature of the source coding algorithm and the error correction and concealment techniques in use. In this paper we attempt to optimize the average received video data-rate per user.
code channels are allocated for the transmission of regions as illustrated in Fig. 1. Experiences an SNR value at time slot. These are tabulated in Table II for can decode the base layer and the this user is unable to decode even the base, and code channels are, that effectively divide the layers. We state that the system operates in mode this is the system operation mode, user sources need to be carefully managed for efficient service provisioning. For a satisfactory wireless broadcast video service that uses SVC, the number of users capable of decoding at least the base layer and the average observed video quality across users need to be jointly maximized. However, when the system has limited resources these two objectives are contradictory. This is because, when more of the resources are allocated for the transmission of the base layer, fewer resources are left for the transmission of enhancement layers. This results in fewer numbers of users capable of decoding them successfully, reducing the overall average observed video quality. On the other hand, if fewer resources are used to transmit the base layer, more users will be left with no service at all, but more of the users capable of decoding the base layer will also be able to decode some of the enhancement layers. Thus, an optimal compromise for resource allocation needs to be found.

In the proposed framework, when 1 base layer and K enhancement layers are to be used for the transmission of the broadcast video, the 16 Walsh codes are to be divided among the K + 1 layers. We state that the system operates in mode

$$\Upsilon_{\tau} = \{(C_0, R_0), (C_1, R_1), \ldots, (C_K, R_K)\}$$

when $C_0$ code channels are allocated for the transmission of the base layer with a data-rate of $R_0$, and $C_i$ code channels are used for the transmission of the ith enhancement layer with a data-rate of $R_i$ such that

$$\sum_{i=0}^{K} C_i = \begin{cases} 16 & \text{if } K = 0 \\ 15 & \text{if } 0 < K \leq 14 \end{cases}$$

Here, we assume that one code channel out of the 16 available is reserved as the control channel for any mode other than

$$\{(16, R_0), (0,0), \ldots, (0,0)\}.$$
the user index and \( t \) is the time slot index. The date-rate matrix is computed as follows:

\[
\Omega_{T_x}(u, t) = \begin{cases} 
0, & \text{if } \gamma(u, t) < \gamma_x0 \\
R_0, & \text{if } \gamma_x0 \leq \gamma(u, t) < \gamma_x1 \\
\vdots & \\
\sum_{i=0}^{K-1} R_i, & \text{if } \gamma_x(K-1) \leq \gamma(u, t) < \gamma_xK \\
\sum_{i=0}^{K} R_i, & \text{if } \gamma(u, t) \geq \gamma_xK 
\end{cases}
\]

(4)

Then, the first objective is

\[
\min_{T_x} \left( \sum_u \sum_t \delta_{\Omega_{T_x}(u, t)} t \right)
\]

(5)

where

\[
\delta_{i,j} = \begin{cases} 
1, & \text{if } i = j \\
0, & \text{if } i \neq j 
\end{cases}
\]

(6)

Similarly, the average decodable video stream data-rate for the system can be calculated for a specific mode \( T_x \) as follows:

\[
R_{T_x} = \frac{1}{MT} \sum_{u=1}^{M} \sum_{t=1}^{T} \Omega_{T_x}(u, t)
\]

(7)

where \( M \) is the number of users, and \( T \) is the duration of the video broadcast.

Thus, the second objective is:

\[
\max_{T_x} (R_{T_x})
\]

(8)

As stated before, the objectives of (5) and (8) are contradictory and thus cannot be simultaneously satisfied. We resort to the framework of multi-objective optimization to find the best compromise operating point in the Pareto-optimal sense for these two objectives in the next section.

III. MULTI-OBJECTIVE OPTIMIZATION

Multi-objective optimization aims to find the solution of an optimization problem with the set of multiple objectives \( P = \{f_1, f_2, \ldots, f_P\} \). A solution is called globally Pareto-optimal if any one of the objectives cannot be improved without degrading the other objectives for this solution [18].

Assume that the optimization problem under investigation consists of \( P \) distinct, and possibly conflicting objective functions. Without any loss of generality, assume further that the problem in hand requires all of the objective functions to be minimized. Then, a Pareto-optimal solution, \( s^* \) exists if there is no other feasible solution, \( s \), that satisfies

\[
f_p(s) \leq f_p(s^*), \quad \forall p \in \{1, 2, \ldots, P\}
\]

(9)

with at least one strict inequality. In other words, there is no other feasible solution that is at least as good as this Pareto-optimal solution in all of the objective functions and also is strictly better in one or more objective functions. In our formulation, \( P = 2 \) and the objective functions are given by (5) and (8).

For single objective optimization problems, it is possible to have multiple optimal solutions resulting in a unique optimal functional value. It is also possible to have multiple Pareto-optimal solutions in multi-objective optimization problems. However, unlike the single objective problems, the multiple Pareto-optimal solutions do not necessarily result in a unique functional value. In many instances, as different objective functions represent different system aspects on a specific scale, variance, and units of measurement, it is difficult to discriminate between these Pareto-optimal points and determine which one is better than the others. However, if relative importance weights for each of the objective functions is specified, a so-called best compromise solution may be determined.

In order to find the best compromise solution among the objective functions, \( f_p \)'s, one has to first re-scale their range of values to lie in the intervals \([0, w_p]\), where \( w_p \) is the importance weight of the \( p \)th objective function:

\[
f_p^{\text{scaled}}(m) = w_p \frac{f_p(m) - f_{\text{min}}(m)}{f_{\text{max}}(m) - f_{\text{min}}(m)}
\]

(10)

where \( f_{\text{min}}(n) \) and \( f_{\text{max}}(p) \) are the minimum and maximum values of the objective function, \( f_p(m) \), respectively. Once scaling is done, all feasible operating points are mapped onto the \( P \)-dimensional space where each dimension represents one of the objectives. For \( P = 2 \) and \( w_1 = w_2 = 1 \), this is illustrated in Fig. 3.

In multi-objective optimization, an unfeasible operating point that optimizes all of the objective functions simultaneously is called the utopia point. When \( P = 2 \), and both of the scaled objectives need to be minimized, this corresponds to the (0,0) point in the two-dimensional objective space as illustrated in Fig. 3.
The best compromise solution is then found as the feasible point that is closest to the utopia point in the Euclidean-distance sense. It may be necessary to impose constraints to the multi-objective optimization problem. In the proposed framework, for a given number of layers, the date-rates for the base and enhancement layers as well as the code space division are determined for the best compromise operating point. The two objectives of maximization of the base layer video coverage and maximization of the average decodable video data-rate may be achieved by selecting a very low data-rate for the base layer requiring a small portion of the code space, and allocating a remaining resources towards the transmission of high data-rate enhancement layers. However, this may result in an unacceptably poor basic video quality for users capable of decoding only the base layer. In practice, one may choose to put a lower bound on the base layer data-rate such that an acceptable basic video quality is available for all. When such a constraint is imposed on the multi-objective optimization, the best compromise solution becomes the point with the smallest Euclidean distance from the utopia point among operating points satisfying the constraint.

In the proposed broadcast video system, we set the importance weights of the two objective functions at $w_1 = w_2 = 1$. To find the best compromise point for a given number of broadcast video layers with and without a constraint on the base layer data-rate, an exhaustive search is computationally feasible. This is because, the number of modes of operation is not large for the number of layers considered in the formulation as observed in Table II. It is also possible to generate a solution that is better than the actual best compromise solution for one objective function, but worse for the others. This actually corresponds to fine-tuning the optimization decisions in favor of a selected optimization criterion along the multiple Pareto-optimal solutions creating the Pareto-surface. For example, we can come up with a solution that provides better base layer video broadcast coverage with lower average throughput and vice versa. Knowing the client preferences, the server side may prefer to skip the original best-compromise optimal solution and offer different solutions by utilizing this property as illustrated in Fig. 4.

IV. SIMULATION RESULTS

Extensive simulations have been conducted to assess the performance of the proposed broadcast video system. Details of the simulation platform are given in Section IV-A. Results are then presented in Section IV-B comparing non-SVC transmission to SVC transmission with different number of layers. Sensitivity of the system performance when the operating point deviates from the optimal one is discussed in Section IV-C.

A. Simulation Platform

The simulations are composed of three stages:
1) System Level Simulations
2) Physical Layer Simulations
3) SVC Video Coding Simulations

System level simulations model a 3-tier cellular layout with hexagonal cells having a maximum cell radius of 1000 m. Here, the 3 tiers have 6, 12 and 18 cells around the cell of interest, respectively. For the simulations a minimum distance between a base station and a mobile is set to be 35 m. The base station transmission level is set to 40 dBm and each base station in the system is assumed to use the same frequency (2 GHz). It is assumed that this transmission level encompasses all other components of a link budget such as antenna gain, etc. The simulation only considers users in the center cell.

In the simulations, we drop 32 mobiles uniformly into the center cell. The simulations are divided into 60 second simulation time blocks. Each mobile is randomly repositioned every time block, simulating movement within a cell. The resulting position of the mobile is used in the path loss calculations of the signals from each of the base stations in the system. Fifty time blocks are used in the simulations resulting in 3000 seconds of broadcast simulation time. The same velocity is used for all the mobiles and is assumed constant for the entire simulation. The system is assumed to operate in an urban environment and path loss, shadow fading, multipath fading and mobility are taken into account using the ITU IMT-2000 channel models [19]. We consider two scenarios from [19], namely, the Pedestrian A and Vehicular B channels, where user velocities are 3 km/hr and 100 km/hr, respectively. Many of the urban environmental factors such as building and base station heights, building separation distances are included in the calculations in these models.

In the simulations, we first calculate the path loss for each terminal and base station pair using the path loss models given in [19] for both of the channel models considered. This gives a set of path loss values for each user with respect to the center cell base station as well as to each of the outer cell base stations. A calculated mean received signal power from each base station based on the path loss model is used for the duration of the simulation time block.

Shadow fading cause small variations in the received signal power that vary slowly and is modeled with a Log-Normal distribution with zero mean and standard deviation of 4.3 dB. The distribution has a correlation parameter that is a function of the mobile velocity as well as the geographical distance between the transmitter and the receiver [20]. In the simulations we assume that shadow fading is slow and thus can be modeled to be constant within 0.5 sec. intervals.
Multipath fading is modeled with a Rayleigh distribution having a Doppler power spectrum modeled using Clark’s scattering model [19]. Unlike shadow fading, multipath fading is faster and is re-computed for each time slot (= 1.67 ms). The effects of the wide-band channel is characterized using a tapped-delay line model for the channel impulse response. The ITU IMT-2000 channel models provide the number of taps, the time delay relative to the first tap and the average power relative to the strongest tap. These values are tabulated for the Pedestrian A and Vehicular B environments in Table III.

The system under consideration is for broadcast applications. Therefore, all of the base stations in the cellular layout will be transmitting the same signal at the same time. Then, unlike the traditional 3G systems, macro-diversity techniques may be employed to enhance the received signal-to-noise ratio of the mobile terminals. A macro-diversity system serves a mobile simultaneously using several base stations as illustrated in Fig. 5. The mobiles, then, will be able to capture the strongest resolvable paths from the closest base station as well as from the neighboring base stations and combine them using a RAKE receiver. In the simulations, it is assumed that the mobile has a RAKE receiver with ten fingers that lock on to the ten strongest resolvable paths. The receiver is assumed to be able to resolve between two paths that have a delay that is more than a chip time (≥ 814 ns). The paths that are not resolvable are assumed to contribute with a ratio based on the delay difference. The remaining resolvable paths contribute to the interference at the receiver. In the simulations, maximal ratio combining is assumed so that the received SNR is the sum of the SNR’s of the ten fingers of the RAKE receiver.

The maximum SNR achievable in the mobile receiver is limited by several sources, including inter-chip interference induced by the base-band pulse shaping waveform, radio noise floor, A/D quantization error and adjacent carrier interference. In the system level simulations, the maximum achievable SNR value for the mobiles is assumed to be 13 dB [21]. Then, the effective SNR is given by

$$\text{SNR}_{\text{effective}} = \frac{1}{\frac{1}{\text{SNR}_{\text{combined}}} + \frac{1}{10^{\frac{1}{10^{\text{SNR}_{\text{dB}}}}}}}$$

(11)

where $\text{SNR}_{\text{combined}}$ is the instantaneous SNR after maximal-ratio combining.

In summary, system level simulations provide the effective SNR values for each of the 32 mobiles for each 1.67 ms long time-slot in the 3000 sec of simulation time. Once system level simulations are complete, physical layer simulations are conducted to assess what data-rates each mobile can accommodate at each time slot.

For a given transmission scheme of the 1xV-DO system corresponding to a specific transmission data-rate, the simulation of the physical layer is performed by processing 150000 randomly generated packets through the transmitter, AWGN (additive white Gaussian noise) channel and the receiver. All of the blocks illustrated in Fig. 2 are taken into account in the generation of the transmitter packets. The packets resulting from the receiver are then compared to the transmitted packets for the calculation of the packet error rate (PER). In the simulation, the channel noise power is swept in order to determine the SNR value that results in a 1% PER. The simulation is performed for each combination of data-rate and Walsh code division. The results are tabulated in Table IV. The “N/A” table entries correspond to modes of operation that are not feasible. The infeasibility stems from either the effective coding requirement discussed in the previous section or the fact that such a mode requires a SNR greater than 13 dB which is not achievable due to (11).

Once both the system level and physical layer simulations are complete, it is possible to find the base layer outage and average decodable video data-rate values for each broadcast system operating mode of (2) over the 32 users receiving the broadcast signal for a period of 3000 sec.

### B. Results

We perform multi-objective optimization to find the best compromise operating points, $\Upsilon_{\text{opt}}$, for the proposed 1xEV-DO based video broadcast system using different number of SVC layers. The optimization jointly considers the two objectives: (5) and (8), namely, maximization of the base layer video coverage and maximization of the average user decoded video data-rate. The limited system resources are divided among the base and enhancement layers optimally to find the best compromise.

<table>
<thead>
<tr>
<th>Pedestrian A</th>
<th>Tap</th>
<th>Relative Delay (ns)</th>
<th>Average Power (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>110</td>
<td>-9.7</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>190</td>
<td>-19.2</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>410</td>
<td>-22.8</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Vehicular B</th>
<th>Tap</th>
<th>Relative Delay (ns)</th>
<th>Average Power (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td>0</td>
<td>-2.5</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>300</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>8900</td>
<td>-12.8</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>12900</td>
<td>-10</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>17100</td>
<td>-25.2</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>20000</td>
<td>-16</td>
</tr>
</tbody>
</table>

Fig. 5. Macro diversity with maximal ratio combining for the broadcast system.
An unconstrained optimization naturally results in the best compromise operating point. However, it may yield operating points that are not practical, in that, the base layer data-rates may be unacceptably low for these points. To ensure a respectable basic quality for all users, it may be necessary to place a constraint on the minimum base layer data-rate. Depending on the aimed application, terminal resolution and the specific SVC coding algorithm in use, this rate may be determined. We investigate scenarios where the minimum base layer rates are chosen to be 307 kbps and 153.6 kbps for

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the Pedestrian A channel, and 153.6 kbps and 76.8 kbps for the Vehicular B channel. We choose lower data-rates for the vehicular channel since it is much more difficult to maintain high rates in wireless systems with high mobility.

The resulting optimal operating points are tabulated in Table V for both channels for unconstrained and base layer data-rate constrained optimization scenarios. The distances of these operating points from the utopia point and the corresponding base layer video outage and system throughput values are plotted in Figs. 6–8, respectively.

We have stated that the decoded video data-rate and the video quality, measured by PSNR, are related. This relationship depends on the type of video source coding used, as well as the error correction and concealment techniques employed. To assess the results of the multi-objective optimization framework in a practical setting, we conduct PSNR-scalable SVC video coding simulations for the best compromise solutions given in Table V to find the corresponding PSNR values for the broadcast streams. We use the standard “Harbour” reference sequence at the CIF resolution of 352 × 288. This sequence is looped in the simulation so that 150000 physical layer packets constitute the broadcast stream. The SVC software available as the JSVM code at the CVS repository of JVT [23] is used for encoding this sequence with quantization parameters adjusted to yield source data-rates as close to the physical layer transmission data-rates as possible. The intra period is set to 64 pictures and a GOP size of 16 pictures is chosen. The video frame-rate is chosen to be 15 Hz for the operating points providing a base layer transmission data-rate of 614.4 kbps and 7.5 Hz for the operating points providing a base layer transmission data-rate of 307.2 kbps.
The video source coder generates video frames whose sizes are not constant and may vary significantly over the course of the video stream. While one frame may fill only a small portion of the physical layer transmission packet, another may occupy multiple packets. In the simulations we assume that video frames are not divided between multiple physical layer packets unless necessary. Therefore some frame fill inefficiencies are unavoidable.

Two types of video frames exist: discardable and non-discardable. The source coder generates base layer frames as non discardable and the enhancement layer frames as discardable. The loss of even a single non discardable frame hurts the video quality, and thus the PSNR value, significantly. Recall that the physical layer of the wireless system operates at 1% packet error rate. Therefore additional protection of the non discardable frames is necessary to ensure acceptable video quality at the receiver. We employ a simple, rate 1/2 block code to protect the non discardable frames. No additional protection is provided for the non discardable frames. The SVC considered uses a "frame copy" error concealment algorithm [24] where each pixel of the concealed frame is copied from the corresponding pixel of the previous decoded reference frame. Our simulations show that error concealment is very rarely needed when the non discardable frames are protected by the rate 1/2 block code.

The average PSNR values are calculated in two ways:

1) When the received video stream (of 15 Hz frame rate) is compared to the down-sampled version of the original Harbour sequence of 30 Hz frame rate

2) When the up-sampled received video stream is compared to the original Harbour sequence of 30 Hz frame rate

These PSNR values correspond to the maximum and minimum achievable levels, respectively. The maximum and minimum PSNR values, as well as the effective video source data-rates transmitted are tabulated in Table VI for the best compromise operating points when 1 and 2 layers are employed. When there are 2 layers (1 base layer and 1 enhancement layer), some users will be able to decode both layers, some will only be able to decode the base layer and the remaining will be able to decode neither. The percentage of users in these categories, their corresponding maximum and minimum PSNR levels as well as their effective video data-rates are given in this table as well. The results confirm the direct relationship between the transmission data-rate and the video quality observed at the receiver.

From the multi-objective optimization results, we observe that a single layer transmission (which effectively requires a non-SVC video coding algorithm) enables the system to transmit at the 614.4 kbps data-rate for both Pedestrian A and Vehicular B channels. The outages observed are 0.006% and 5.129% for these channels, respectively, resulting in average decoded data-rates of 614.36 kbps and 582.89 kbps. In other words, when the system broadcasts video using non-scalable coding, the stationary users are almost always capable of successfully decoding the video packets whereas users traveling at 100 km/hr are able to decode them 94.8% of the time. The 614.4 kbps data-rate is used to transmit a video data-rate of 305.77 kbps since a rate 1/2 block code is used to protect the non discardable video frames. The corresponding maximum and minimum PSNR levels of the decoded broadcast stream are 30.2895 dB and 27.5481 dB, respectively.

We observe from Fig. 6 that the optimal compromise point is reached with two layers for the Pedestrian A channel and with only a single layer for the Vehicular B channel. When we further investigate Figs. 7 and 8 as well as Table VI, we observe for the Pedestrian A channel that while the second layer buys the system 94.13 kbps in the average transmission data-rate, and correspondingly a PSNR increase of approximately 0.9 dB for 88.64% of the user population that is capable of decoding both the base and the enhancement layers, this costs an outage increase from 0.006% to 6.845%. In the following section we investigate whether it is possible to increase the data-rate and the PSNR over the single layer transmission while maintaining a reasonable outage level for the Pedestrian A channel. For the Vehicular B channel, on the other hand, we observe that the inclusion of a second layer results in a performance degradation for both objectives. Additionally, the broadcast video stream frame-rate needs to be reduced to 7.5 Hz with the inclusion of the second layer since the 307.2 kbps transmission-rate for the base layer cannot support the 15 Hz CIF video. As observed in Table VI, this results in an additional degradation in the observed PSNR levels relative to the non-layered transmission.

For both types of channels, as the number of layers are increased, the overall performance of the system is reduced since the distance between the best compromise and the utopia points increases. When constraints are placed on the minimum base layer transmission data-rate, the deviation from the overall best compromise point becomes more pronounced with increasing number of layers. This is expected because the system resources, code space in our case, are limited. When we attempt to divide this limited resource between more and more parallel channels, after a point, the resources allocated for each of the

### Table VI

| Pedestrian A | | | | | |
|-------------|-------------|-------------|-------------|-------------|
| Number of Layers | Tx Data-Rate (kbps) | Max PSNR (dB) | Min PSNR (dB) | Video Data-Rate (kbps) | % of Users Decoding |
| 1 | 614.4 | 30.2895 | 27.5481 | 276.60 | 99.994% |
| 2 | BL: only: 614.4 | 30.2895 | 27.5481 | 276.60 | 93.155% |
| | BL+EL: 768.0 | 31.1893 | 28.0926 | 429.77 | 88.638% |

| Vehicular B | | | | | |
|-------------|-------------|-------------|-------------|-------------|
| Number of Layers | Tx Data-Rate (kbps) | Max PSNR (dB) | Min PSNR (dB) | Video Data-Rate (kbps) | % of Users Decoding |
| 1 | 614.4 | 30.2895 | 27.5481 | 276.60 | 94.871% |
| 2 | BL: only: 307.2 | 28.9763 | 25.2487 | 147.67 | 94.441% |
| | BL+EL: 614.4 | 31.6747 | 26.1658 | 381.09 | 81.366% |
layers become too thin to sustain the system performance over the harsh wireless channel.

Even though the single layer optimal compromise points for both Pedestrian A and Vehicular B channels are the same, we observe that while a second layer increases the system performance in terms of the distance from the utopia point for the Pedestrian A channel, it does the opposite for the Vehicular B channel. The reason for this difference lies in the average $C/I$ values observed by the users in these channels. The 1xEV-DO system provides rate adaptation in the wireless environment through adaptive modulation and coding. However, this adaptation is possible only in discrete steps since only 12 modes with 8 distinct data-rates are defined in 1xEV-DO. Then, the system is not always able to distinguish between users that have distinctly different received $C/I$ values. For example, as can be seen from Table IV, users with $C/I$ values of $-0.91$ dB and $1.649$ dB are both able to decode a single layer video stream of 614.4 kbps but not the next data-rate offered, which is 921.6 kbps. From a resource allocation point of view, the introduction of multiple layers in the transmission allows for finer division of the $C/I$ scale. If, for example, most of the users have $C/I$ values greater than $-0.21$ dB, from Table IV, we observe that we can provide this population the 614.4 kbps video stream using 14 codes, leaving 1 code for transmission of a second layer and still not observe a significant increase in the outage. If the $C/I$ value for most of the users in this population is greater than 0.62 dB, then it is possible to provide the video service at 614.4 kbps using only 12 codes, leaving 3 codes for a second layer. Then, further optimization yields us how best to use these additional codes to increase the average user decoded data-rate. While both the Pedestrian A and Vehicular B channels allow for a 614.4 kbps transmission using a non-SVC algorithm, albeit at significantly different outage values, this data-rate is supported at drastically different average $C/I$ values of the user population. While the average $C/I$ is close to $-0.21$ dB for the Vehicular B channel, it is greater than 0.62 dB for the Pedestrian A channel. It is this difference and the 16-step division of the code space in 1xEV-DO that results in the optimal layers of 2 and 1, for the Pedestrian A and Vehicular B channels, respectively.

C. Sensitivity Analysis

The optimal compromise operating point is reached with two layers for the Pedestrian A channel. However, we observe that this comes at the expense of a significant outage of 6.845%. This may be deemed unacceptably high. Focusing our attention on the scenario where the base-layer minimum transmission data-rate is set at 307.2 kbps, we perform a sensitivity analysis. To assess the sensitivity of the objectives of (5) and (8) to departures from the optimum operating point we first rank all operating points with increasing distances from the utopia point. We conduct the sensitivity analysis for the base-layer video outage, and thus we investigate the operating points that are nearest ranked to the optimal point and that have smaller outage values. Obviously if one of these points were to be employed instead of the optimum point, the overall system performance in terms of the distance from the utopia point would be worse. The results, tabulated in Table VII, are for two of these points for the Pedestrian A channel operating with two layers. It is observed that if the provider is more interested in reducing the base-layer video outage rather than providing a high average video data-rate and thus high video quality, it may choose one of these points as the operating point. When the third best operating point is chosen for example, the outage is reduced to 0.329% at the expense of an average transmission data-rate drop of 58.95 kbps corresponding to a PSNR drop of 0.2923 dB between the minimum values and 0.4724 dB between the maximum values. However, even with this drop, the third best operating point for this scenario still yields a slight advantage over the single layer operating point which provides an average data-rate of 614.36 kbps with maximum and minimum PSNR values of 30.2895 dB and 27.5481 dB, respectively, and an outage of 0.006%.

In a practical scenario, users traveling at different velocities will be present in the system. While a very modest increase in the system performance is possible with a second layer for stationary users, we observe that the loss this additional layer brings is very pronounced for the high mobility users.

V. CONCLUSIONS

In this paper, building on the 1xEV-DO system, we propose a novel multi-objective optimization framework for determining the best compromise division of the code space for wireless video broadcasting. The main aim of the proposed algorithm is to provide the best compromise between maximizing the average decoded video data-rate and maximizing the geographical coverage area for a basic broadcast video quality. The work differentiates itself from the rest on two fronts. First, here we propose a wireless video broadcasting scheme where the division of resources is due to the optimal compromise among the two goals of the system. Second, in this paper we propose to divide the system resources in the code domain. This way, it is possible to use the same modulation and channel coding schemes to all layers, simplifying the overall hardware design and decoding and demodulation complexity.

The wireless broadcast system benefits from macro diversity across the serving base stations and achieves data-rates in the order of 614.4 kbps over the 1.25 MHz channel. While this rate is achieved with almost zero outage for stationary users, high mobility users experience modest outages. The use of scalable video coding is not desirable for this scenario as the use of a second layer provides a very modest benefit for stationary users but causes a significant performance drop for users with high mobility.

<table>
<thead>
<tr>
<th></th>
<th>Optimal Compromise</th>
<th>Second Best</th>
<th>Third Best</th>
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<tr>
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<td>614.6 kbps</td>
<td>614.4 kbps</td>
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<tr>
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<td>307.2 kbps</td>
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<tr>
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<tr>
<td>Min PSNR</td>
<td>28,0926 dB</td>
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