

# Thermal comfort and the integrated design of homes for older people with dementia

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1 **Thermal comfort and the integrated design of homes for older people with dementia**

2  
3 Authors: J. van Hoof<sup>1,2\*</sup>, H.S.M. Kort<sup>1,3</sup>, J.L.M. Hensen<sup>2</sup>, M.S.H. Duijnste<sup>1,4</sup>, P.G.S.  
4 Rutten<sup>2</sup>

5  
6 <sup>1</sup> Hogeschool Utrecht University of Applied Sciences, Faculty of Health Care, Research  
7 Centre for Innovation in Health Care, Bolognalaan 101, 3584 CJ Utrecht, the Netherlands

8 <sup>2</sup> Eindhoven University of Technology, Department of Architecture, Building and  
9 Planning, Den Dolech 2, 5612 AZ Eindhoven, the Netherlands

10 <sup>3</sup> Vilans, Catharijnesingel 47, 3511 GC Utrecht, the Netherlands

11 <sup>4</sup> Academy of Health Sciences Utrecht, Universiteitsweg 98, 3584 CG Utrecht, the  
12 Netherlands

13  
14 Corresponding author:

15 J. van Hoof

16 Hogeschool Utrecht, Faculteit Gezondheidszorg

17 Bolognalaan 101

18 3584 CJ Utrecht, the Netherlands

19 Tel. +31 30 2585268

20 Fax. +31 30 2540608

21 e-mail: joost.vanhoof@hu.nl

22  
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24  
25 **Abstract** People with dementia may have an altered sensitivity to indoor environmental  
26 conditions compared to other older adults and younger counterparts. This paper, based on  
27 literature review and qualitative research, provides an overview of needs regarding  
28 thermal comfort and the design and implementation of heating, ventilation and air  
29 conditioning systems for people with dementia and other relevant stakeholders through  
30 the combined use of the International Classification of Functioning, Disability and  
31 Health, and the Model of Integrated Building Design. In principle, older adults do not  
32 perceive thermal comfort differently from younger adults. Due to the pathology of people  
33 with dementia, as well as their altered thermoregulation, the perception of the thermal  
34 environment might be changed. Many people with dementia express their discomfort  
35 through certain behaviour that is considered a problem for both family and professional  
36 carers. Ethical concerns are raised as well in terms of who is in charge over the thermal  
37 conditions, and the protection against temperature extremes in hot summers or cold  
38 winters. When implementing heating, ventilation and air conditioning systems one should  
39 consider aspects like user-technology-interaction, diverging needs and preferences within  
40 group settings, safety-issues, and minimising negative behavioural reactions and draught  
41 due to suboptimal positioning of outlets. At the same time, technology puts demands on  
42 installers that need to learn how to work with customers with dementia and their family  
43 carers.

44  
45 **Keywords**

46 Dementia, older adults, (in)formal care, thermal comfort, indoor environment, HVAC,  
47 design, Alzheimer's disease, integrated building

48

## 49 **1. Introduction**

50 According to Alzheimer Europe [1], there are an estimated 6 million -mainly older-  
51 people with dementia in the European Union. The vast majority of them lives at home,  
52 where they are largely dependent on (in)formal care [2]. Dementia is the loss of cognitive  
53 function of a sufficient severity to interfere with social or occupational functioning.

54 Alzheimer's disease is the most important cause. Contrary to popular belief, loss of  
55 memory is not the only deficit in dementia. There are different kinds of symptoms in  
56 dementia, including (i) impairment in activities of daily life, (ii) abnormal behaviour, and  
57 (iii) loss of cognitive functions [3].

58 People with dementia are known to have an altered sensitivity to environmental  
59 conditions, and some may become increasingly reactive to their environment [4]. This in  
60 turn can result in behavioural problems, which form a serious burden for carers and are  
61 one of the reasons for long-term institutionalisation. The increased sensitivity seems to  
62 stem from the reduction of the individual's ability to understand the implications of  
63 sensory experiences [5]. In practice, about 90% of people with dementia show problem  
64 behaviour [6], which may be related to environmental stimuli. Apart from pharmacologic  
65 means, nonpharmacologic interventions can play an important role in managing problem  
66 behaviour [6,7].

67 The abovementioned changes in sensitivity imply that dementia has severe implications  
68 on daily life, and sets extra demands to living environments, including the thermal  
69 environment or indoor climate [8]. The thermal environment can be described as the  
70 characteristics of the environment that affect the heat exchange between the human body  
71 and the environment. Thermal comfort is described as 'the state of mind, which expresses  
72 satisfaction with the thermal environment' [9]. There exist extensive modelling and  
73 standardisation for thermal comfort, which depend both on physical and physiological  
74 parameters, as well as on psychology.

75 The home's indoor climate is not only the key factor in providing comfort to the  
76 occupants, but might even be a nonpharmacologic factor in managing problem behaviour  
77 accompanying dementia syndrome, and thus a yet largely unexplored and ill-known  
78 factor in care support and the reduction of the burden of care. Since people with dementia  
79 respond on a sensory level, rather than on an intellectual level [10], and given some of the  
80 cognitive and behavioural problems, extra attention should be paid to the indoor  
81 environment in relation to comfort and behaviour. It is, however, important to stress that  
82 cognitive impairment is not caused by environmental design, but problem behaviours  
83 may be exacerbated by inappropriate environments [11]. It is therefore of the utmost  
84 importance that the role played by the indoor climate is acknowledged by all relevant  
85 actors.

86

87 The design and maintenance of the indoor climate is the domain of various professions in  
88 the field of technology, not nursing in particular, such as building services engineers,  
89 architects and building physicists. Nursing literature in general often mentions the indoor  
90 climate in relation to people with dementia in various care settings, and provides clear  
91 indications in the form of anecdotal evidence that people with dementia are generally

92 very sensitive to (changes in) indoor climatic conditions. Professionals from the  
93 technological disciplines are the ones that build homes and install building services, using  
94 guidelines that are based on healthy, working-age adults. The integrated design of  
95 buildings in itself is a complex process; there are many stakeholders, it involves many  
96 disciplines and building systems, and aims at creating a range of stakeholder-related  
97 values [12]. People with dementia are the ones that are most affected when their actual  
98 needs are not considered in the design process and if a building cannot deliver its full  
99 potential of values to all users. A trend in society that makes the two professional fields  
100 come together is the emergence of air conditioning system in group-living and assisted-  
101 living facilities to protect older adults against the risk of increased mortality during long  
102 periods of (extreme) heat, as seen in the 2003 and 2006 heat waves in Europe. A good  
103 implementation of such technologies is crucial to not only protect people, but also to  
104 provide comfort to -and to maintain well-being of- older people with dementia. At the  
105 same time, there are important issues concerning the supply and costs of energy and fuel  
106 poverty, and the health risks of cold winters in community-dwelling people [13].  
107 This paper, based on literature review and qualitative research, studies the needs  
108 regarding thermal comfort and the ‘comfort-related’ design and implementation of  
109 relevant building systems for community-dwelling people with dementia in an integrated  
110 way by focussing on the creation of building-related values for the relevant stakeholders:  
111 the person with dementia, family and professional carers, and professionals from the  
112 fields of technology, construction and housing.

113

## 114 **2. Methodology**

115 This study was based on (i) literature research, and (ii) reinterpretation of two data sets of  
116 qualitative research based on semi-structured interviews on the use of technology by  
117 community-dwelling older adults with dementia. Method and data triangulation was  
118 applied by combining these different research approaches. The International  
119 Classification of Functioning, Disability and Health (ICF) by the World Health  
120 Organization [14], and the Model of Integrated Building Design by Rutten [12] were  
121 chosen as frameworks for structuring and presenting the data (Figure 1).

122

### 123 **2.1. Literature study**

124 The literature study included both peer-reviewed articles and books on (i) ageing senses  
125 and perception of indoor environmental parameters by the aged and ageing, and (ii)  
126 housing for older people with dementia, (iii) behavioural problems among people with  
127 dementia in relation to indoor environmental parameters, and (iv) design guidelines for  
128 technology for people with dementia and the installers of such technology.  
129 The search included databases as PubMed and databases of technological papers, without  
130 a limitation to the age of papers (up to March 2009). All volumes of the journals  
131 ‘Dementia’, ‘American Journal of Alzheimer’s Disease and Other Dementias’, and  
132 ‘Alzheimer’s Care Quarterly / Alzheimer’s Care Today’, known for publishing on  
133 housing in relation to dementia, were searched manually for relevant papers. The  
134 reference lists were cross-referenced. Conference proceedings and books available in  
135 libraries in the Netherlands on dementia and design were also consulted. Also, the study  
136 included multiple sources from the Netherlands, to provide a counterweight for the large  
137 amount of Anglo-Saxon literature. The inclusion criteria did not only restrict to

138 publications on architectural modifications, technological solutions, building services  
139 including heating, temperature, and the indoor climate.  
140 Literature included in this study does not only cover the home environment, but also  
141 institutional types of housing, such as nursing homes, small-scale group settings, and  
142 special care units (SCUs). International literature on SCUs is elaborate, and the  
143 knowledge is often directly applicable to the home situation.  
144 The literature search was complicated by the large differences in vision and the way  
145 problems are conceptualised between nursing/occupational therapy, and the technological  
146 sciences. There are significant differences in the way professionals from both fields  
147 approach and perceive dementia syndrome and related health problems and challenges, as  
148 well as in the level of conceptual thinking when dealing with these challenges. Because  
149 nursing literature often reports of behavioural problems in dementia as a result of aspects  
150 of the indoor environment, most attention in these reports is given to the actual health  
151 problem instead of a good description of the actual environmental condition. A sort of  
152 forensic approach was needed to determine the exact underlying cause of the behavioural  
153 problems.

154

## 155 **2.2. Qualitative research**

156 The current study also makes use of two existing qualitative data sets on the use of  
157 technology by community-dwelling older adults in the Netherlands for a secondary  
158 analysis; the first data set is by van Berlo [15,16], and the second is by van Hoof & Kort  
159 [17]. These datasets concern the use of technology by community-dwelling older people.  
160 A phenomenological approach was used for the secondary analysis.  
161 The van Berlo data set includes in-depth interviews (n=10) with primary carers (2 males  
162 and 8 females, often relatives) of community-dwelling people with dementia (4 males and  
163 6 females). The interviews deal with the potential of technology in order to diminish the  
164 burden of care by limiting or partly taking over the various tasks of supervision. The  
165 interviews also deal with thermostats. Work related to this data set was published by  
166 Sweep [18] and Sweep et al. [19]. Many questions in the interviews were derived from an  
167 interview scheme for measuring the burden of care of family carers developed by  
168 Duijnste & Blom [20].  
169 The van Hoof & Kort [17] dataset includes data from an investigation of the expectations  
170 regarding technology and needs of a group of 18 older adults (care recipients) living in  
171 their own home with support of home care services. All 18 clients were entitled to receive  
172 institutional nursing home care. Seven clients coped with mild to moderate  
173 psychogeriatric health problems, including dementia. The others had (severe) somatic  
174 health problems. Some of the clients received back-up by family and/or professional  
175 carers during the interviews, using semi-structured questionnaires. These questionnaires  
176 covered a range of items, including (i) the use of assistive aids, (ii) the importance of  
177 ageing-in-place and accompanying challenges, (iii) the perception of safety and security,  
178 and (ix) the concerns regarding technology. The study was performed between December  
179 2006 and September 2007. All interviews took place within the homes of the clients,  
180 since observation of the living environment plays an important part in the questionnaire.  
181 The two data sets, consisting of transcripts of the interviews, were analysed as follows.  
182 First, each transcript was read in its entirety. Then, the transcripts were read a second  
183 time to develop codes, namely for (i) thermal comfort, and (ii) heating systems, (iii)

184 ventilation systems, and (iv) controls. Third, quotes that summarised the essence of each  
185 person's subjective experience were recorded, and translated from Dutch to English as  
186 closely as possible. These quotes are used to further illustrate findings from literature. In  
187 the text, the van Hoof & Kort subjects are shown as letters (Mr./Mrs. A to H, and J to S),  
188 whereas the van Berlo subjects are shown as Mr./Mrs. B plus a given number, for  
189 instance, Mrs. B5, Mrs. B12, etc.

190

### 191 **2.3. Framework for the analysis**

192 The data of the abovementioned literature review and qualitative studies is structured and  
193 presented using two existing frameworks: (i) the ICF [14] known from health sciences,  
194 and (ii) the Model of Integrated Building Design by Rutten [12] that has its origins in  
195 building sciences.

196

#### 197 **2.3.1. International Classification of Functioning, Disability and Health**

198 The biological ageing process of persons may take place in good health and is not per se a  
199 precursor for dependency. It may also go along with an increased risk of the development  
200 of chronic diseases and impairments. Within the ICF, these health problems can lead to  
201 limitations or restrictions (Figure 1). ICF also lists external factors, such as environmental  
202 factors (specific products and services, technology, the (built) environment, social  
203 context, and care policies and welfare regimes), and personal factors (age, sex, education,  
204 profession, comorbidities and coping styles), which can be related to all the domains of  
205 the ICF. Within the ICF-model, the built or living environment can be seen as an  
206 environmental factor that influences people at the impairment level, and helps people to  
207 overcome limitations and restrictions posed by declining physical fitness and cognition.  
208 Relevant ICF domains for thermal comfort and the indoor climate are the domains b550  
209 Thermoregulatory functions, and e225 Climate, which includes temperature and humidity  
210 [14].

211

#### 212 **2.3.2. Model of Integrated Building Design**

213 Housing is one of the services that can be offered to older adults (with dementia)  
214 according to the concept 'integrated care'. In integrated care, packages of care and  
215 services are offered that fit into a daily rhythm or programme, or seamlessly follow the  
216 needs of users over time [21]. Integrated care may be seen as the process that is facilitated  
217 or supported through a fitting and integrated building process. Rutten [12] presented a  
218 Model of Integrated Building Design (MIBD) (Figure 1), which provides an overview of  
219 sub-aspects of the design process of a building and the desired building performance  
220 levels. In this model, a building derives its total value based on the quality of its  
221 relationship with its human environment. Although not necessarily mutually exclusive,  
222 the interests of different 'users' of a building can be quite varied [22]. Within the MIBD,  
223 six values and domains are distinguished, namely the basic, functional, local, ecological,  
224 strategic, and economical values. The ICF has a connection to three of these values, and  
225 therefore, emphasis will be on the basic value, functional value and economic value.

##### 226 *1. Basic value*

227 The basic value is determined from a building's relationship with individual occupants  
228 and their sense of psychological and physical well-being. Thermal comfort (direct effect)  
229 and air quality (ventilation, indirect effect) are requirements under this category. Aspects

230 of safety as well as spatiality are also included within the domain of basic values. The  
231 person with dementia is the most important stakeholder in this section. The family carer  
232 is the one who knows the person with dementia best and can estimate the degree of  
233 psychological and physical well-being of this person. The starting-point is that the person  
234 with dementia stays in control and is accepted for as long as possible, even though there  
235 comes a point that the family takes over and becomes responsible. The basic value had a  
236 broad perspective; it can be regarded from a personal perspective and from the  
237 perspective of the building systems.

### 238 *2. Functional value*

239 The functional value is concerned with how activities taking place inside the building are  
240 supported. It relates to the organisation, which could be the organisation of care in the  
241 case of dementia, or the maintenance services of building systems. Underlying  
242 requirements include: support for production, manageability, operations and maintenance,  
243 and cleanliness.

### 244 *3. Economic value*

245 The economic value is based on the relationship with people concerned with the  
246 ownership and marketing of the building. This could be the occupants of the home as  
247 they own the dwelling, or a housing cooperation or care organisation that owns real  
248 estate. Sub-level requirements include: initial cost, life-cycle costs (operating costs &  
249 maintenance costs) and demolition costs.

250  
251 The overall value of a building derives from how well it performs at all of the various  
252 human perspectives from which it is viewed. Defining total building quality therefore  
253 requires that the needs of all potential stakeholders be considered [22]. The building itself  
254 is made up of several systems or components, the six S's: stuff, space-plan, services, skin,  
255 structure, and site [23]. These components can be further sub-divided into sub-system  
256 components. The realisation of comfort is the resultant of various building systems. Each  
257 system has a specific set of functions that contribute to the achievement of a certain  
258 value. In this paper, various sub-systems as the façade system (skin), and heating, cooling  
259 and ventilation systems (services) and the controls are discussed in relation to the relevant  
260 stakeholder in terms of the provision of thermal comfort and a proper implementation in  
261 relation to safety and security. The heating, cooling and ventilation systems are further  
262 divided into: (i) heating systems (water-based systems and electrical systems), (ii)  
263 heating, ventilation and air conditioning (HVAC) systems, which deliver conditioned air,  
264 and (iii) ventilation systems (mechanical, natural and hybrid ventilation).

265

### 266 **2.3.3. Combined model**

267 The combined use of these models allows for an analysis of the current scientific problem  
268 that matches the mindsets of both scientific domains. From a practical point of view, this  
269 approach allows for a problem analysis from the viewpoint of the care recipient (i.e.,  
270 person with dementia) which forms the basis of ICF, and to integrate the building process  
271 in such a way that it leads to a more fitting and appropriate use of a building (home), its  
272 rooms/spaces, and the technological and interior design. In this paper, only three values  
273 of the MIBD are considered for further analyses as they are the most relevant to the  
274 subject of this study: the basic, functional and economic values, although emphasis will  
275 be on the basic value. The connection between ICF and MIBD is as follows. The basic

276 value deals with the needs of the stakeholders as described in ICF terms, the functional  
277 value deals with answers and solutions to the needs of stakeholders, and the economic  
278 value deals with the fit between demand and supply.

279

### 280 **3. Basic value**

281 The domain of the basic value concerns the needs of the main stakeholder; the person  
282 with dementia. The next sections deal with standardisation and the impact of  
283 physiological changes that accompany biological ageing and dementia on the perception  
284 of thermal comfort, as well as directions for further thermal comfort research. This is  
285 followed by a discussion of the ethical aspects related to thermal comfort and relevant  
286 building systems.

287

### 288 **3.1. Thermal comfort: standardisation, ageing and dementia**

#### 289 **3.1.1. Thermal comfort models and standards**

290 The most commonly used model for evaluating general or whole-body thermal comfort is  
291 the PMV-model (Predicted Mean Vote) by Fanger [24]. The PMV-model was created in  
292 the late 1960s by climate chamber research involving college-age students. It was  
293 validated for older people with 128 older subjects. The model expresses thermal sensation  
294 by Predicted Mean Vote, a parameter that indicates how occupants judge the indoor  
295 climate. PMV is expressed on the ASHRAE 7-point scale of thermal sensation (cold,  
296 cool, slightly cool, neutral, slightly warm, warm, hot). The outcome of the model is a  
297 hypothetical thermal sensation vote for an average person; i.e., the mean response of a  
298 large number of people with equal clothing and activity levels, who are exposed to  
299 identical and uniform environmental conditions. ASHRAE [9] defines thermal sensation  
300 as a conscious feeling, which requires subjective evaluation. The PMV-model is adopted  
301 by the (inter)national standards ISO 7730 [24], ANSI/ASHRAE Standard 55 [9], and EN  
302 15251 [26]. These standards aim to specify conditions that provide comfort to a majority  
303 of healthy building occupants, including older adults. In practice, a selection of an  
304 acceptable percentage of dissatisfied is often made depending on economy and technical  
305 feasibility [27]. EN 15251 [26] mentions that for spaces occupied by very sensitive and  
306 fragile persons, PMV should be kept between -0.2 and +0.2 on the ASHRAE 7-point  
307 scale of thermal sensation.

308 Apart from general or whole-body thermal comfort, there is also local thermal  
309 discomfort, which is due to non-uniformity of the thermal environment. This includes  
310 uncomfortable vertical air temperature differences and floor temperatures, radiant  
311 temperature asymmetries, and draughts. Moreover, ANSI/ASHRAE Standard 55 [9], and  
312 EN 15251 [26] include models of adaptive thermal comfort [28] which are partly based  
313 on the expectancy of climatic conditions.

314

#### 315 **3.1.2. The effects of biological ageing**

316 The abovementioned standards and models mainly focus on office situations, which are  
317 mainly populated by people roughly aged between 20 and 65 years old. Apart from a  
318 small percentage of people with dementia that are aged younger than 65 years old, most  
319 are aged 65 and over. The process of biological ageing may affect the perception of  
320 thermal comfort.

321 In principle, older adults do not perceive thermal comfort differently from younger  
322 college-age adults [27,29]. The effects of gender and age can be accounted for by PMV-  
323 model parameters, such as activity and clothing level [29]. The ability to regulate body  
324 temperature tends to decrease with age [29]. These changes vary widely among  
325 individuals and are related more to general health than age [13]. The circadian  
326 rhythmicity in body temperature tends to decrease with age [30]. Also, basal metabolism  
327 declines with advancing age leading to lower body temperatures, and on average older  
328 adults have a lower activity level than younger persons which is the main reason that they  
329 require higher ambient temperatures [29,31-33]. Many older persons complain they feel  
330 cold whether or not their actual body temperatures are lower [13]. Neurosensory changes  
331 tend to delay or diminish the older person's awareness of temperature changes and many  
332 impair behavioural and thermoregulatory responses to dangerously high or low  
333 environmental temperatures [13]. Moreover, high ambient temperature is found to  
334 negatively influence habitual physical activity [34]. However, according to Kenney &  
335 Munce [30], when the effects of chronic diseases and sedentary lifestyle are minimised,  
336 thermal tolerance appears to be minimally compromised by age.

337 Although 20% of older adults show no vasoconstriction of cutaneous blood vessels, not  
338 all of the remaining 80% have diminished control of body temperature [35]. Foster et al.  
339 [36] found a reduction in the sweating activity of aged men compared to younger age  
340 groups. The body temperature threshold for the onset of sweating was increased as well.  
341 These differences were even more pronounced in aged women. Moreover,  
342 pharmacological interventions may influence thermoregulation [13,37]. In general, older  
343 adults have a reduced (i) muscle strength, (ii) work capacity, (iii) sweating capacity, (iv)  
344 ability to transport heat from body core to skin, (v) hydration levels, (vi) vascular  
345 reactivity, and (vii) lower cardiovascular stability [29]. A number of studies have been  
346 conducted on older adults and their preferences of, and responses to, the thermal  
347 environment. Some studies found differences in heat balance, or preferences for higher or  
348 even lower temperatures between the old and the young, while others have given support  
349 to the PMV-model, which is based on the assumption that all age groups have the same  
350 thermal preference [27]. Some of the abovementioned findings for normal ageing are  
351 summarised in ISO/TS 14415 [38] as follows: *“Even among healthy aged persons, shifts*  
352 *of thermal circadian rhythms are often found. Vasoconstriction against cold*  
353 *environments, as well as vasodilatation and sweat secretion against hot environments, is*  
354 *weaker and starts later in an aged person. Thermal sensations become dulled and many*  
355 *cases of spontaneous hypothermia in the elderly are reported.”*

356

### 357 **3.1.3. Dementia and thermal comfort**

358 Apart from the ASHRAE definition of thermal comfort there is also a  
359 thermophysiological definition, which is based on the firing of the thermal receptors in  
360 the skin and in the hypothalamus. Comfort in this sense is defined as the minimum rate of  
361 nervous signals from these receptors [39]. Due to the pathology of many persons with  
362 dementia, involving damaging of brain tissue, the perception of the thermal environment,  
363 as well as the thermoregulation of psychogeriatric people might be different from their  
364 counterparts without dementia. Van Hoof [40] has postulated that more thermal comfort  
365 research is needed for older adults with dementia because of damages to the brain tissue  
366 and to problems expressing themselves.

367 In a study comprising 237 older adults, Sund-Levander and Wahren [41] have found that  
368 the variation in tympanic and rectal temperatures ranged from 33.8 to 38.4 C and 35.6 to  
369 38.0 C, respectively. Dementia was significantly related to lower tympanic and rectal  
370 temperature. Much of the difference in the perception of thermal comfort is backed by  
371 anecdotal evidence. In a descriptive paper on the housing situation of his father with  
372 dementia, Steinfeld [42, p. 3] states that over time, his father's "*ability to sense thermal*  
373 *comfort seemed to deteriorate. There were many days when I would arrive to find the*  
374 *heat well near [32 °C] or more. And, in the summer, the opposite occurred with the air*  
375 *conditioning.*"

376 The design process of building services for people with dementia, which is often based on  
377 the PMV-model, and thus thermal sensation, brings along risks since the traditional  
378 concept of thermal comfort is vague for people with an unknown 'state of mind' and who  
379 might lack the ability to express themselves reliably. Expressing satisfaction with the  
380 thermal environment, or dissatisfaction in particular, might take place via the expression  
381 of certain observable behaviour. Providing thermal comfort is important since a person  
382 with dementia may not be able to give an adequate reaction on the thermal environment  
383 and get or shed a sweater, or to ask for help or to complain [43]. Aminoff [44] adds that  
384 neglecting to dress warmly and to cover people with dementia occurs frequently; and  
385 although one feels the cold he or she cannot express the discomfort. Also, Cohen-  
386 Mansfield & Werner [45] studied behaviours in nursing homes and found that requesting  
387 for attention was associated with hot temperatures during daytime.

388 Cluff [46] stresses the importance of appropriate environmental quality including heating  
389 to benefit well-being, health and competence. The desired quality of building services for  
390 older adults with dementia, and their implementation in daily life, is likely to be different  
391 from that of other healthy groups. The current technical specification on thermal comfort  
392 of special groups, ISO/TS 14415 [38], does not provide any data on this matter.

393 Another problem, illustrated by Steinfeld [42], is that individual thermal preferences may  
394 differ greatly within the population of older adults with dementia. According to Fountain  
395 et al. [47], individual differences in healthy adults are frequently greater than one  
396 ASHRAE-scale unit when they are exposed to the same environment (inter-individual  
397 variance). In addition, how a person feels in the same environments from day-to-day can  
398 also vary on the order of one scale value (intra-individual variance). This scale value  
399 corresponds to a temperature range of approximately 3 K; the full width of the comfort  
400 zone in either summer or winter [47]. It is therefore not possible to exactly predict  
401 thermal comfort for individuals. That is the reason the comfort zone in standards is as  
402 wide as it is, and why it is unreasonable to expect all people to be satisfied within a  
403 centrally controlled environment, even when the thermal conditions meet current  
404 standards. In the case of older people with dementia, providing thermal comfort even  
405 when meeting current standards may be even more problematic particularly in group  
406 settings, due to even larger inter-individual variances.

407

#### 408 **3.1.4. Thermal comfort research for dementia**

409 As most thermal comfort standards and guidelines are based on the PMV-model, this  
410 model should be investigated in terms of its applicability for people with dementia. Such  
411 an investigation would certainly bring along a lot of complicating factors. Apart from a  
412 person's cognition, underlying cause of dementia, age, the researchers have to use various

413 scales to investigate this matter, for instance, those stated in ISO 10551 [48]. According  
414 to this standard, subjects should rate the environment on a perceptual scale first, then an  
415 evaluative scale, followed by a preference scale, concluding with ratings for personal  
416 acceptability and personal tolerance. Since the validity of ratings and answers given by  
417 people with dementia is poor (some suffer from aphasia, others are happy to give any  
418 answer to the researcher in order to comply), family carers should be asked to rate the  
419 thermal perception of their partner/spouse with dementia as an additional measure, based  
420 on observations and knowledge of their partner/spouse with dementia.  
421 According to Nygård [49], people with dementia may have considerable difficulties  
422 reasoning about abstract issues. Nygård also states that interviews largely rely on  
423 cognitive and verbal functions, which deteriorate as dementia progresses. At the same  
424 time, there may be discrepancies between statements of people with dementia and their  
425 family carers, which are more closely related to the actual burden of care than to a decline  
426 in cognitive functioning of the person with dementia [49]. Family carers, who are the  
427 representatives of people with dementia, often know the person with dementia best and  
428 their knowledge is often indispensable. Moreover, we do not know if people with  
429 dementia have thermal preferences that change over time, due to their progressing  
430 pathology. Still, not all is lost, in contrary. One might stress the importance of collecting  
431 information from both the person with dementia and the family carer in an early phase of  
432 the dementia process. Information may include whether someone feels warm or cold in  
433 certain conditions, and if someone is able to operate technology easily. Subsequently, it is  
434 important to observe changes in these patterns during the dementia process, in order to  
435 account for (shifts in) the preferences and abilities of the person with dementia as  
436 adequately as possible.

437

### 438 **3.2. Ethical aspects**

439 Within the domain of the basic value, the personal integrity of the person with dementia  
440 in relation to his/her surroundings and technology, and the accompanying ethical aspects  
441 form an important aspect, which is gaining importance as a field of discussion and study.  
442 According to van den Hoven [50], one obstacle to an adequate view of the relation  
443 between ethics and technology stems from Aristotle, namely the radical distinction  
444 between genuine action and production including engineering (*praxis* versus *poesis*).  
445 Praxis is the domain of ethics (*phronesis*), whereas poesis are the domain of instrumental  
446 reasoning (*techne*), not ethics. Van den Hoven [50] continues by stating that in modern  
447 times praxis and poesis are inextricably linked. The scope of the discussion on ethics,  
448 technology and dementia seems to be increasingly moving towards the field of  
449 architecture and design of technology and home automation for people with dementia  
450 [51].

451

#### 452 **3.2.1. Autonomy versus beneficence**

453 Van Berlo [15, p. 69] describes an ethical dilemma about a 72-year-old woman with  
454 probable Alzheimer's disease Mrs. B12 cares for. In her current home, room temperature  
455 was controlled from a distance or was programmed, without letting the woman take  
456 control actions by herself, as the indoor temperature was often very high. Van Berlo [15,  
457 p. 70] states that the high temperature may be seen as a problem, but at the same time the  
458 resident may really like a hot indoor climate. The principle of beneficence would allow

459 control of room temperature because it seems often far too hot. But again, there is the  
460 principle of autonomy, which might outweigh the principle of beneficence here, since  
461 nobody is in direct danger due to a high temperature. In nursing homes, however,  
462 residents have no control over conditions in (group) areas. Staff needs to find a balance  
463 between ‘dominating’ residents and limiting damage residents might impose upon  
464 themselves.

465

### 466 **3.2.2. Intelligent systems versus cognitive abilities**

467 Fernie and Femmie [52] mention intelligent homes as a solution for community-dwelling  
468 people with dementia. These homes may ‘turn up the thermostat a short time prior to the  
469 wake-up alarm and turning on the lights and coffee maker afterward’. The authors ask  
470 themselves four questions, which are relevant from an ethical point of view. What  
471 functions would be useful and acceptable? What functions would tend to trigger  
472 disorientation, confusion, anxiety or frustration? How could cognitively impaired  
473 individuals with Alzheimer’s disease retain their ability to vary the environment? What  
474 special monitoring and control functions might enhance their independence, dignity and  
475 quality of life? In addition, Marshall [53] asks herself a number of ethical questions on  
476 the use of technology at home. One of these ethical questions is how can we know if the  
477 person with dementia consents to the use of technology. A second question is if people  
478 with dementia and their family carers have equal access to technology. A third question  
479 that needs to be answered is which person benefits from the technology? According to  
480 Marshall [53], ‘the person with dementia ought to be the person who benefits at least as  
481 much as other people, but I am sure we can all think of situations where this would not be  
482 the case’. Similar ethical questions are posed by Bjørneby et al. [54] and van Berlo [55],  
483 who stated that the following questions should be considered in the use of technology: (i)  
484 the purpose of introduction, (ii) degree of involvement and consent of the person with  
485 dementia, (iii) who is to benefit most, (iv) is technology replacing human input, and (v)  
486 effects on the person with dementia. The final question by Marshall [53] that one should  
487 ask him/herself is if technology is being used because of poor design? This question is  
488 particularly relevant in relation to the indoor environment, which is dependent on passive  
489 architectural design, but which is often influenced by building services.

490

### 491 **3.2.3. Control systems versus limitations to cognition**

492 From an ethical point of view, people should have opportunities for control over the  
493 indoor climate and building services. In order to prevent problems with set-point  
494 temperatures of thermostats, control options should be easy and limited, even though  
495 abilities of people to operate equipment may vary considerably depending on the stage of  
496 dementia and past experience with technology. Technology should create an environment  
497 that is comfortable to both the person with dementia and the family carer.  
498 Intelligent buildings may meet all criteria mentioned, in particular because the support  
499 devices are largely invisible to the user [51]. It is related to a building’s strategic value, as  
500 it allows spaces to adapt to users over-time. The creation of conditions for thermal  
501 comfort and the control of ventilation systems are minimally invasive from a human-  
502 technology interaction point of view. One of the benefits of intelligent buildings is the  
503 possibility to work with user profiles. Set-point temperatures can be adjusted to people’s

504 preferences and to the physical status of a person, for instance, whether someone is still  
505 active, largely involved in sedentary activity or bed-ridden. In situations with little  
506 physical activity and immobility, people with severe dementia may be unable to put off or  
507 add clothes and escape draughts created by forced air systems [56]. The resident profile  
508 may then adjust the heating and the method it is delivered.

509 Another issue that should be mentioned are economic conditions that often play a role in  
510 this vulnerability of older people, for instance, when someone can no longer afford air  
511 conditioning or adequate heating [13]. During winter months, the older person may try  
512 using little or no room heat to either reduce or eliminate high cost for fuel [13], which  
513 might lead to health problems as hypothermia and pneumonia. It is of the utmost  
514 importance that building services consume as little energy as possible to reduce energy  
515 costs. Also from the perspective of ecological and strategic values, such systems are  
516 desirable.

517

#### 518 **4. Functional value**

519 Within the domain of the functional value, production support and reliability play a role  
520 as performance indicators. This can be both the impact in care giving processes of the  
521 family or professionals, as well as the production processes within the technological  
522 domain.

523

#### 524 **4.1. The role of carers and care organisations**

525 Family and professional carers need to be aware of the consequences thermal discomfort  
526 can have on care processes, and how the good design and implementation of building  
527 services can lead to more efficiency in caring for someone with dementia. Even though  
528 dementia can significantly change how people interpret what they sense, the extent is  
529 highly individual and in constant flux, depending on neuropathological changes, sensory  
530 loss, time of day, medication management, and the social and physical environment [57].  
531 All carers should be aware of this phenomenon too.

532 Many building services rely on controls. In order to implement technology successfully,  
533 all carers should be made familiar through training on how technology works and how to  
534 deal in case of malfunctioning. For instance, in an overview of special care units in  
535 Northern Europe and Australia, Judd et al. [58] described heating and HVAC systems  
536 installed per unit, but unfortunately did not go into operational details. It is likely that  
537 these systems were operated by staff only, not the residents. Information on the role of  
538 these building systems and thermal comfort should be made available via patient  
539 organisations and professional care organisations. Very old seniors with dementia are  
540 more likely to live alone or with a family carer in need of help him/herself, who cannot  
541 deal with the physical strain of caring. It is likely that such an aged family carer has  
542 difficulties with handling technology.

543 Occasionally, carers (particularly professionals) can have a misinterpretation of  
544 underlying problems. Bakker [57] states that at times, the loss of function of  
545 institutionalised persons with dementia is incorrectly blamed on dementia, when  
546 inappropriate design is at the basis. Bakker also provides an example of a person with  
547 dementia on a hot summer day, in a room without air conditioning. Although staff  
548 claimed that the person could no longer operate the HVAC equipment, which was said to  
549 be due to dementia, it turned out the lettering on the control panel was too small and

550 contrast was too low. Apart from operational restrictions, there are more concerns  
551 regarding air conditioning for older people with dementia.  
552 In the Netherlands, some of the regional health care assessment centres take heating  
553 systems in account when assessing the need for care of a client living at home, for  
554 instance, whether occupants can handle the knobs, the thermostats and the central heating  
555 system itself. This means that these organisations acknowledge the importance of such a  
556 system in relation to being able to live independently.

#### 557 558 **4.2. The role of the technological professions**

559 Dementia also calls for a more thorough approach from the technological domains. This  
560 approach is twofold.

561 First, installing technology puts demands on installers and their technological solutions.  
562 The complexity of technology can have a disabling effect on the person with dementia  
563 [59]. Ideally, technology and equipment should (i) not require any learning, (ii) look  
564 familiar, (iii) not remove control from the user, (iv) keep user interaction to a minimum,  
565 and (v) reassure the user [60,61]. Moreover, interfaces should be large in order for people  
566 with Parkinsonism, and various age-related limitations to motor skills, to be able to  
567 operate them.

568 Fozard et al. [62] have come up with a developmental view of human factors and ageing  
569 (Figure 2). They state that because biological ageing itself means change, the design of  
570 environments and equipment used over the lifespan should include the potential for  
571 changing requirements associated with ageing. Figure 2 represents the interaction  
572 between a person and the environment. People receive information from the environment  
573 (perception). This may lead to actions that may adjust or modify controls of the system  
574 that is operated. Within the model, age-associated differences in sensitivity to the thermal  
575 environment, as well as individual differences in, for instance, cognitive abilities, are the  
576 main things that determine whether it is necessary to age-adjust the relationship between  
577 the person and the system being operated [15]. The most important implication of the  
578 developmental view of human factors is that ergonomic interventions should emphasise  
579 adaptability of architecture and products as a design principle [15]. The model is very  
580 easy to apply to the design of building services for dementia, as it specifically  
581 incorporates cognition and perception, and focuses on displays and controls. Also, the so-  
582 called ‘technology generation’ [63] should be taken into account, as the type of  
583 technology people were familiar with before the age of 25 years plays a role in the ability  
584 to work with technology in later life.

585 When working with a person with dementia, he or she may not remember why an  
586 installer is working in a home, or who this installer is. This may be a cause of distress.  
587 Installers should preferably work in couples, which allows one of the two to leave the  
588 site, without loss of access upon return [64]. When equipment is installed, installers  
589 should answer user questions repeatedly, listen, and be sensitive to the state of mind of  
590 the client [64]. Some people with dementia are curious about new equipment and are  
591 often uninhibited about dismantling it to “find out how it works” [64]. Moreover, people  
592 with dementia need rapid responses to perceived difficulties, as they are often unable to  
593 understand the reason for a fault occurring, or work around it [64]. Gitlin & Kyung Chee  
594 [65] have come up with guidelines for introducing adaptive equipment, which include (i)  
595 making an observation of the home to determine needs, instalment considerations, and

596 use of space, and (ii) involvement of family members in the evaluation and decision-  
597 making process. Installers should proceed only with equipment that has been agreed upon  
598 by the family [65].  
599

600 Second, technical professionals should be aware that current standards and guidelines for  
601 thermal comfort cannot be applied to persons with dementia without caution. In general,  
602 the quality of the indoor environment may be expressed as the extent to which human  
603 requirements that have a great interindividual variety are met. Some people are known to  
604 be rather sensitive and are difficult to satisfy [66], and this seems to be particularly true  
605 for people with dementia.

606 Other relevant building regulations tend to be primarily written for the needs older people  
607 with a physical impairment, rather than for people with mental or cognitive impairments  
608 [67]. It is worthwhile to investigate if design guidelines for older people with dementia  
609 are suitable for people with dementia younger than 65 years, who have not yet  
610 experienced the effects of high age.  
611

## 612 **5. Economic value**

613 Within the domain of the economic value, initial costs and operational costs, as well as  
614 maintenance, play a role as performance indicators. These costs can be made by  
615 individuals with dementia and their relatives, by care organisations or stakeholders from  
616 the domain of technology.

617 As mentioned in the previous section, all carers should be made familiar through training  
618 about technology. Training, however, is costly and poses financial restrictions in the start-  
619 up phase, particularly when multiple systems are used simultaneously. The results  
620 however, may cut down on costs for the processes of facilitating care. If people with  
621 dementia are able to age-in-place, due to improved thermal comfort and building systems,  
622 instead of living in an institutional setting, this goes together with a reduction of costs for  
623 society. Van Hoof et al. [2] provide an overview of financial and societal costs of care for  
624 people with dementia. The costs of informal care in 2005 were an estimated € 4,700 per  
625 person with dementia per annum. The direct costs of dementia care were about € 14,200  
626 per person with dementia per annum. The costs per person can vary considerably, even  
627 within the more developed countries and when considering the net domestic purchase  
628 power. Many family carers are older adults themselves, and health problems may arise  
629 from the stresses of caring for a loved-one, in particular when problem behaviours are  
630 observed.

631 Some of the Dutch regional health care assessment centres acknowledge the importance  
632 of heating systems and thermostats in relation to being able to live independently. At the  
633 same time, there are few commercially available solutions to assist people with dementia.  
634 One should keep in mind that what is available on the marketplace is not the same as  
635 what is or may be possible in practice. This brings us to the need for product  
636 development.

637 The technological domain is the ideal place for such product innovation, as many  
638 enterprises are focussing on the health care domain as potential growth market. This does  
639 however ask for investments from the industries for research and development, and  
640 requires serious investments in training and education personnel. At the same time, the  
641 technological sector could strengthen its market, while at the same time helping the

642 health care sector find a solution for present-day problems including the shortages in  
643 health care professionals. Maintenance and its costs are another issue. Well-kept  
644 equipment is less prone to failure, and in case of moving parts as in HVAC systems,  
645 maintenance can keep background noise down [11]. Money should be reserved for these  
646 necessary costs, including running costs. Operation and maintenance require service  
647 providers to innovate. New services should be developed to support the health care  
648 providers and recipients. Also, new low-energy systems could have a positive impact of  
649 both the environment and people's financial capacities.

650

## 651 **6. Synthesis of building systems**

652 The realisation and experience of comfort is the resultant of various building  
653 (sub)systems, i.e., the skin, the services and the control systems, which are discussed in  
654 the following paragraphs.

655

### 656 **6.1. Skin: façade systems**

657 There are both active and passive façade systems to maintain a comfortable indoor  
658 climate at home and to avoid large temperature rises in summer (risk of hyperthermia).  
659 Solar blinds can help limit the heating of the dwelling in summer [27]. Automated  
660 curtains and/or solar blinds installed to limit solar gains should be avoided, as Sweep [18]  
661 mentions that such technologies can be perceived as threatening.

662 Operable windows are important for ventilation. Ideally, windows should be manually  
663 operable as an easy way to let the resident have some control over the environment [68].  
664 Ventilation openings should be designed so that residents cannot crawl through them  
665 [68]. Especially in high-rise buildings one should install security locks to prevent people  
666 from climbing out through open windows and balcony doors [69], or install home  
667 security systems to alert carers when doors or windows are opened. Locks may be  
668 necessary on windows to prevent them from being opened too far, or to keep residents  
669 from opening them throughout winter [68]. Moreover, ventilation grids should be easy to  
670 reach in order to prevent the risk of falls.

671

### 672 **6.2. Services: heating systems**

673 The bathroom is the room where a heating system is needed most. Bathrooms should be  
674 comfortably warm, since people undress in these rooms [70-72]. For institutional settings,  
675 Aminoff [44] states that in winter, if residents cannot complain that they are cold,  
676 undressing and later dressing in a cold bathroom, or allowing them to lie naked waiting to  
677 be washed with cold water, is 'cruel'. According to Warner [73], a person with dementia  
678 may not realise that a bathroom is too cold, only that he or she is uncomfortable, and may  
679 not associate the room's temperature with the experienced discomfort or have the ability  
680 to communicate it. This often results in frustration, anger or attempting to get away from  
681 the discomfort. Apart from discomfort and risks of hypothermia, there are other safety  
682 issues involved in relation to heating systems.

683 The diminished understanding of the surroundings also puts demands on the way heating  
684 systems are installed, and on safety requirements of separate, auxiliary electronic heating  
685 systems. These electronic systems should be kept out of the bathroom as much as  
686 possible [72]. An alternative solution to increasing comfort and providing heat is to  
687 install heat lamps in the ceiling [10,57,72]. Heat lamps cannot be knocked over, for

688 instance, into water, or touched by wet hands since they are out of reach [73]. A timer  
689 should be used to switch the heat lamps off, in case one forgets about the equipment [73].  
690 In a study by Sloane et al. [74] the environmental modifications most commonly  
691 suggested by nursing staff (n=71) as elements of an ideal bathing area included installing  
692 heat lamps and sufficient heating of bathrooms (24.6%) and improved ventilation  
693 (13.1%).

694 Another safety issue is formed by hot radiator panels. Hot radiators should be blocked or  
695 covered, since people may have difficulty judging the temperature of the device and burn  
696 body parts [69,75,76]. Not only radiators, but also water pipes can cause burns [73].

697 When people are seated in a wheelchair, uninsulated piping and drains can cause burns to  
698 one's knees, without the person with dementia immediately indicating he or she is in  
699 pain. Radiators in general pose hazards in case of fall incidents [8,69]. This is illustrated  
700 by an example from qualitative research. Mrs. S (aged 83, widowed) has equilibrium  
701 disturbances due to Parkinson's disease. She shows that radiator panels can be a cause of  
702 serious injury when falling. During the interview, Mrs. S had several stitches in her  
703 forehead after she had fallen against the radiator panel.

704 A solution is to install radiant floor heating instead [8,10], which also help occupants to  
705 keep 'cold feet' warm. The temperature of such systems should not be too high because  
706 of the risk of developing oedema in the lower legs. Non-slip sheet rubber or a cushioned  
707 low glare vinyl on a bathroom floor can also replace tiles to keep feet warm [71].

708 Moreover, wall panels collect dust and thus require regular cleaning. On the other hand,  
709 radiators can play an important role in reducing stress. Radiators can be used to warm  
710 towels that can be used to pat one dry and to increase the sense of privacy [10,72], and  
711 help people dry used kitchen towels.

712 Bedrooms should be thermally comfortable [72]. Nocturnal unrest may be caused by  
713 people being too cold or too warm, and can along with medication and fluid intake  
714 contribute to people going out of bed to go to the toilet, which brings along the risk of fall  
715 incidents [77]. When (un)dressing, bedrooms should not be too cold [70]. Cold rooms  
716 may even put a physiological strain on older people and may lead to stress in the  
717 circulatory system. The aforementioned data on safety in bathrooms can of course, to  
718 some extent, be applied throughout the home.

719

### 720 **6.3. Services: HVAC systems**

721 In many countries, domestic HVAC systems that are often installed for cooling are a  
722 luxury item, whereas they are more common in warm countries, including large parts of  
723 the USA. As mentioned before, bedrooms should be thermally comfortable [72], and  
724 cooling provided via air conditioning may contribute to comfortable conditions, and even  
725 help prevent nocturnal unrest [77]. Especially in hot summers, silent air conditioning  
726 systems can help people fall asleep, which is both important to people with dementia and  
727 their family carers.

728 Also, there are some considerations to the positioning of outlets of HVAC systems.

729 Systems that are installed to increase comfort, may, if not adjusted correctly, be a source  
730 of discomfort when people are unable to move aside or complain [44]. Naked people or  
731 those who had just been bathed should not be exposed to a draught, as they are unable to  
732 complain of cold, or ask to be moved or covered. Outlets directing air on curtains or  
733 papers on tables can cause them to move. Warner [73] states that such movement might

734 give the impression to the person with dementia that someone else, even a ghost or a  
735 thief, is in the room.  
736 Given the uncertainties in comfort needs and possibly large inter-individual spread in  
737 preferences, special attention should be given to mass installation of HVAC systems (in  
738 particular, cooling) in light of recent hot summers as 2003 and the increased mortality  
739 rates of persons with dementia [78,79]. Dementia is a threat as people may not be  
740 conscious of certain risks during a heat wave, and as it can impair a person's perception  
741 of environmental conditions, threshold of suffering, and physiological defence  
742 mechanisms [79]. The protection from mortality by shielding people from heat could go  
743 hand in hand with more problem behaviour as people are exposed to cooler air and  
744 experience discomfort, and needs further elaboration.  
745

#### 746 **6.4. Services: ventilation systems**

747 Adequate ventilation is very important during bathing, in order to let fresh air in and to  
748 limit the amount of moisture that can cause unwanted mould growth. Brawley [80]  
749 mentions that steam-filled bathrooms may be stressful. Automated ventilation systems  
750 may be an option to get rid of excess moisture, but can problems of their own. Steinfeld  
751 [42] describes how his father with dementia got anxious by the noise generated by the fan  
752 that activated automatically when the light was turned on. The old man did not  
753 understand the source of the noise, as he turned on the light, not a fan. Warner [73] too  
754 mentions it is important to consider problematic sounds in the bathroom that may be  
755 confusing or irritating, including exhaust fans. Ceiling fans should be installed with care,  
756 as they may be a source of discomfort (draught, noise) when not adjusted properly, or  
757 when people with dementia are unable to move or complain [44].  
758 Operable windows can cause draughts, which can cause curtains to move. This may lead  
759 to the aforementioned problems.  
760

#### 761 **6.5. Services: control systems**

762 Control systems form the most important sub-system component within the MIBD when  
763 considering the needs of people with dementia. This is illustrated by numerous examples  
764 from the qualitative data sets. The next paragraphs will focus on individual control of the  
765 environment for people with dementia, and the role of individual control in relation to an  
766 altered perception of environmental conditions.  
767

##### 768 **6.5.1. Individual control**

769 If cognition allows, thermostats give people the opportunity to control their environment  
770 to a certain extent. Marshall [53] states that very little attention has been given to  
771 technology to control the environment and thus help with problem behaviour. Marshall  
772 mentions the potential of technology, for instance, in reducing irritability when people  
773 with dementia are hot, by controlling temperature. The importance of temperature control  
774 for people with dementia at home is stressed by Gitlin [81]. According to Brawley [10],  
775 one could consider installing an independent temperature control for the bathroom as a  
776 means to optimally control the bathroom's temperature. If thermostats cause difficulty  
777 operating, covers can be placed over the controls [10,82], or thermostats can be pre-set  
778 and disguised [76], or simply placed out of sight.

779 Karjalainen [83] studied the usability problems with office thermostats and concluded  
780 that a substantial amount of information is needed even to use a seemingly simple  
781 thermostat. Hence, it is not a complete surprise that thermostats are known to be  
782 troublesome for people with dementia. Steinfeld [42] states that the system's delay in  
783 providing hot or cold air is one of the problems, since people forget that they manipulated  
784 the system's interface and then think the system is malfunctioning or broken. In his  
785 example, the person with dementia overcompensated, and would leave the room with the  
786 temperature set all the way up, resulting in extreme indoor temperatures. Those required  
787 the temperature to be set the other way, and caused frustration. Steinfeld [42] concludes  
788 that passive systems require far less intervention on the part of the resident, and that  
789 thermostat controls should only function within the optimal thermal comfort range.  
790 Problems concerning how to operate thermostats and radiators knobs are also found from  
791 qualitative research. Mrs. N (aged 81, divorced) has a severely damaged short-term  
792 memory due to multiple strokes. Mrs. N had had a new thermostat, but due to her  
793 impaired short-term memory, she does not know how to operate it, even though the  
794 family put the instructions next to it on the wall. Her daughter explained:  
795 *"The instructions do not stick to her mind. Sometimes, the thermostat is turned on 34°C, and then you*  
796 *think it's rather hot in here. Today it was set on 18°C and you think it's rather chilly."*  
797 Mrs. N continued:  
798 *"O, well, to me it wasn't very cold."*  
799 Later, the daughter mentioned that the knobs of the radiator panels had been removed by  
800 the children.  
801 *"Mother turned the radiator knobs instead of using the thermostat, something she never did before.*  
802 *Then [mother] would say: 'It's not very comfortable in here, let me turn up the thermostat', which*  
803 *results in a very hot home and that is why we took off the knobs."*  
804  
805 Mrs. B2 (aged 60), cares for her 65-year-old husband, who suffers from a mix of  
806 probable Alzheimer's disease and vascular dementia.  
807 *"Well, we used to have [some problems] with the radiator knobs; then it suddenly is very hot in here.*  
808 *The heating is then put around 30 to 35°C. And then I say: 'You can not touch it.' It then feels like you*  
809 *are about to suffocate in here, but well, then he touches [the knob] again, and then it is totally turned*  
810 *off, or he completely takes off the button and so on."*  
811  
812 Mrs. B4 is in her fifties, and cares for her father (aged 80), who is diagnosed with  
813 probable Alzheimer's disease.  
814 *"He always turns up the heating very high. And he always says: 'It is so hot in here'. [The thermostat]*  
815 *is much too small. He turns [the button] but then he cannot see [the display] exactly. He thinks he*  
816 *turns the right way, but he turns it to [its limits]. He simply does not see the little letters, the*  
817 *temperature. So all that needs to be a bit larger, or something like it."*  
818  
819 Mrs. B5 (aged 50) cares for her mother-in-law with an unmentioned type of dementia,  
820 aged 87. When asked if her mother-in-law can still operate the heating system:  
821 *"Yes. I always think [...] it is so warm in here. Older people are cold so quickly. Then [my mother-in-*  
822 *law] says: 'Please turn it lower.' But well, I leave within the hour, so it has no use. But it is always*  
823 *very warm."*  
824 When asked if her mother-in-law can still operate the heating system:  
825 *"Yes, it is easy with a knob like that."*  
826

827 Galasko [84] provides a graphical overview of the correlation between MMSE (Mini  
828 Mental State Examination) scores and the ability to perform daily activities. Roughly  
829 between MMSE scores of 23 and 16 (early stage dementia), there is a loss of optimal  
830 (independent) performance to use the telephone. The ability to use home appliances  
831 disappears between scores of 19 to 9 (mid-stage dementia). This forms an important clue  
832 as to when people with dementia lose the ability to operate thermostats, as thermostats are  
833 part of the normal appliances found in homes too. Thermostats themselves are not  
834 explicitly mentioned by Galasko [84] though.

835

### 836 **6.5.2. Altered perception and individual control**

837 Fernie & Femnie [52] have also addressed the issue of thermal comfort and thermostats.  
838 They state that older adults with cognitive impairments are sometimes unaware of  
839 dangerous levels of heat and cold. In order to provide means for personal control,  
840 thermostat controls are available with a simple dial marked from 'cooler' to 'warmer'.  
841 *"Systems should be configured in such a way that the midpoint of the thermostatic*  
842 *setting corresponds to the middle of the comfort zones and that the extremes lie within*  
843 *the safe physiological temperature limits."* The findings by Fernie & Femnie [52] are  
844 supported by the qualitative research, particularly the disrupted perception of thermal  
845 conditions.

846 Mrs. B10 (aged 53) cares for her 55-year-old husband, who is diagnosed with probable  
847 Alzheimer's disease. He has lost his sense of the seasons. When asked if her husband still  
848 believes it is winter:

849 *"Yes. I think he could not switch after winter. He also shuts the windows upstairs every night, even the*  
850 *ventilation grids. Everything needs to be closed. And in turn I open them all. And when I go to bed,*  
851 *everything is closed again. Before he goes to bed, I tell him not to close the windows, since it is so hot.*  
852 *Still, he closes everything. 'I won't close them', is what he says. I believe it is one of those habits. [His*  
853 *dementia has worsened fast during winter], he continues to carry out the same procedures. He kept on*  
854 *his winter coat for long, as well as his gloves. I put away the winter coat for a while, in order for him*  
855 *not to see it anymore so that he won't ask for it. There is only one coat on the coat rack, else it is too*  
856 *confusing. I put it away too, but he still asks for it. Then I think: 'Gosh, you're about to die from heat,*  
857 *and he still wants to wear gloves.'..."*

858

859 Mrs. B12 (aged 53) cares for her neighbour (female, aged 72), who has probable  
860 Alzheimer's disease.

861 *"[This morning] it was scorchingly hot inside. She even had a colour on her face. I thought to myself*  
862 *that this was one of those situations. But well, I cannot just turn off the heater, because she will notice.*  
863 *So yes, I [...] went back home. Then the other neighbour stopped by [telling me that she was not well.]*  
864 *I responded by saying: 'Didn't you feel how hot it was inside?' It really was very hot. So, there*  
865 *probably is nothing wrong after all."*

866 *"It is rather chilly, to be honest. She says: 'It's cold.' She's cold so early. When it is warm, she says the*  
867 *weather is bad, and when it is cold [...] everything is wrong. It's never okay. So perhaps she is really*  
868 *cold. And then I would turn off the heater just because I am hot. I don't think that is fair, so I leave it*  
869 *on. So if she is really hot, she will turn it lower. Then she will do it herself. I can't go chasing after her*  
870 *all the time."*

871

872 Warner [73] and Petersen [72] describe another consequence of someone with dementia  
873 misusing a thermostat, or uncomfortable temperatures. A person with dementia may start  
874 to undress as a solution to perceived warm discomfort. Undressing can be embarrassing  
875 both the person with dementia as to family carers and visitors. Some may even consider

876 the ‘exhibitionist’ behaviour as sexual disinhibition -this is a behavioural problem seen in  
877 a small percentage of people with dementia [7,85]- whereas the cause lies in thermal  
878 dissatisfaction. In case of cold discomfort, one may put on additional or inappropriate  
879 clothing. Warner explicitly states that the carer’s views of a comfortable room  
880 temperature may not be the same as those of a person with dementia.  
881 Also, there may be other problems concerning how to safely use heating systems and  
882 thermostats, illustrated by the following case. Mrs. B8 (aged 56) cares for her 88-year-old  
883 mother-in-law with an unmentioned type of dementia. When asked if her mother-in-law  
884 can still operate the heating system:

885 *“She still knows well. We recently bought her a torch light. [...] I think she went to bed at night and*  
886 *then she could not [read the display] well, and then she took matches. At a given moment, [someone]*  
887 *saw that there were matches near the [thermostat], and [that person] was scared by thought of the*  
888 *curtains that were near. [My mother-in-law] had said that when she went to bed at night, she wanted*  
889 *to check whether she had turned the heating system lower. You don’t do such a thing with matches, you*  
890 *may get a fire. Despite the warning, she kept doing it, and then we bought her the torch light, which*  
891 *now stands on top of the television set. The matches have been removed. Now she uses the torch light;*  
892 *she only needs to take it and shine. You might say: ‘Why don’t you do it with the lights turned on, go*  
893 *check if the heating is turned off then ....’ I think she undresses first and only then she thinks about the*  
894 *heating. And instead of turning the lights on... I don’t understand, because the electricity is for free, it*  
895 *is included in the rent. But she just took the matches; I really thought it was scary.”*

896

## 897 **7. Conclusions and reflections**

898 Integrated building calls for an integrated approach of the building process by  
899 considering the needs of the various stakeholders involved. This is not an easy task, as the  
900 needs of others may be overlooked in the complex process of constructing or refurbishing  
901 buildings, in particular the needs of stakeholders that do not reverberate strongly. The  
902 needs of people with dementia and their family carers are such a group of stakeholders  
903 that gets easily overshadowed. Thermal comfort and the relevant sub-systems -as a  
904 property or as part of housing- may impact health of people with dementia as an  
905 environmental factor, and may contribute to care support. Stakeholders from the domain  
906 of technology should shift their focus from installing and designing building systems to  
907 the creation of stakeholder-related values by integrating all sub-systems and user needs.  
908 Maximisation of the basic value is attainable and tenable as long as the functional and  
909 economical values are taken into account too.

910 In terms of the basic value, older people with dementia may perceive the indoor  
911 environment differently due to ageing and atrophy of parts of the brain involved in  
912 sensory perception. People with dementia may also respond to deviant or unexpected  
913 environmental conditions by expressing certain observable behaviours, as some are not  
914 able to complain or to take proper action to improve the environmental conditions. It is  
915 important to create increased awareness among family and professional carers about the  
916 effects the indoor climate may have on persons with dementia. At the other side of the  
917 spectrum, building engineers and installers should be aware that people with dementia are  
918 not just seemingly passive receptors of the indoor climate, but may actually respond to it  
919 in a very outspoken manner, and that the technology installed may actually pose  
920 challenges to the provision of care and well-being.

921 Fully controlled environments or environments where the subject seemingly is in control  
922 of the climatic conditions have been shown to pose limitations to people with dementia,  
923 which may stem from a broad range in interindividual thermal preferences and

924 experiencing difficulties in working with modern technologies such as control systems.  
925 These phenomena may cause ethical dilemmas, including matters of protection and being  
926 in control of the direct surroundings. The combined use ICF and the MIBD helps to better  
927 understand the needs of the most relevant stakeholders, and makes the total set of answers  
928 and solutions to the needs of stakeholders more transparent.

929 Given the results of this study, it is worthwhile to further investigate the impact of the  
930 indoor climate on older people with dementia along the lines indicated. Also, the extent  
931 to which the perception and sensitivity of people with dementia is different from  
932 counterparts without dementia deserves further research efforts. This included the  
933 question whether the preference for seemingly uncomfortable conditions stems from  
934 physiological changes witnessed in dementia, or stem from the inability to control the  
935 environment and the passive acceptance thereof. Until there is more evidence on the  
936 needs of people with dementia in relation to the indoor climate and relevant building  
937 systems, and as long as no suitable models and standards exist, the design and control of  
938 the indoor environment often relies on trial and error. This is captured in a quote by  
939 Warner [73, p. 20]:

940 *“Try to discover [the] comfort zone. It’s probably not the same as yours. Consider the*  
941 *home’s temperature, lighting, and sounds [..]. Be extra-sensitive in doing so – even the*  
942 *motion or [draught] from a ceiling fan can be annoying. Your [partner] may not know*  
943 *what is wrong, only feel uncomfortable. [The] only means of expression may be agitation*  
944 *or desperate efforts to escape the discomfort”.*

945 On the other hand, it does not mean that the search for a new comprehensive comfort  
946 model that includes persons with cognitive limitations should be ceased. If we all try to  
947 understand the implications of dementia on daily functioning in relation to the indoor  
948 climate and related building systems, all can try their best to create a comfortable and  
949 enabling indoor environment for persons with dementia.

950

## 951 **8. Acknowledgements**

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953 and dementia available for this study.

954

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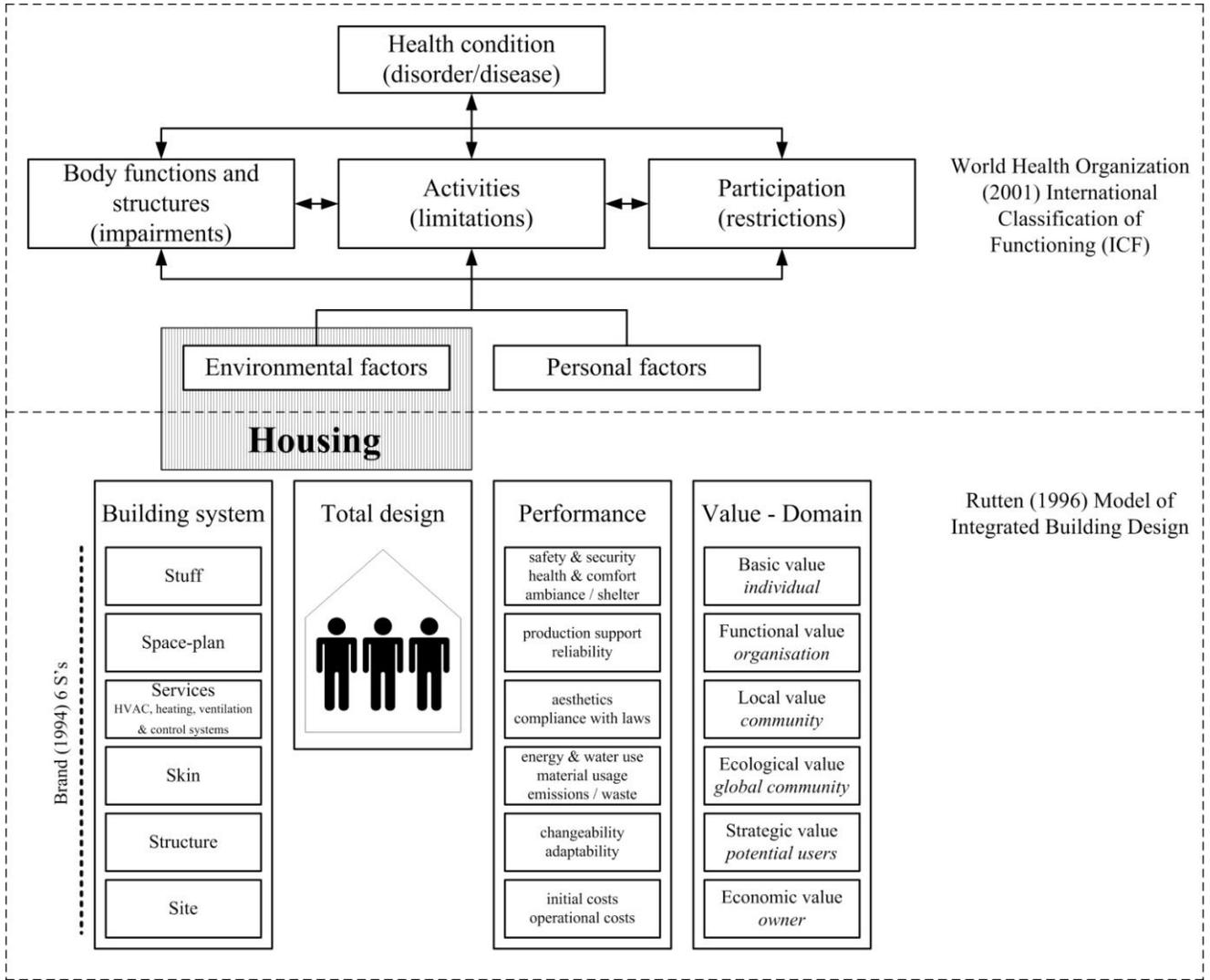
1158 **Figures and captions**

1159

1160 Figure 1. Interactions between the components of ICF by the World Health Organization

1161 [14], and the integration of the Model of Integrated Building Design by Rutten [12].

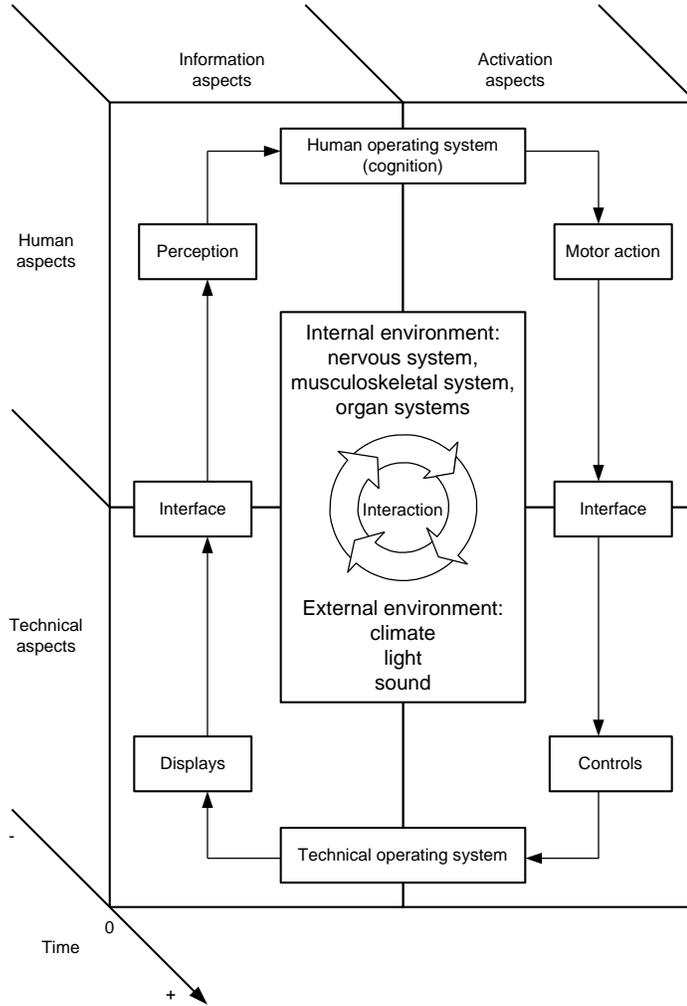
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1165 Figure 2. The developmental view of human factors and ageing [62].



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