Deposition of TiN and TaN by remote plasma ALD for Cu and Li diffusion barrier applications

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Diffusion barrier layers are of key importance to ensure device lifetime and functionality of various components. One area placing stringent demands on the barrier layer is Cu interconnect technology. Here, barrier layers are needed to prevent Cu diffusion into the silicon substrate. Because of scaling down of feature sizes, these films need to be thin (~5 to 10 nm) and deposited conformally in features with increasingly higher aspect ratios. Furthermore, the films need to be conductive to maintain sufficient electrical connection to the underlying metallization layer.

Copper diffusion barriers are also needed in the emerging field of three-dimensional (3D) stacked die integration. Here, copper-filled through-silicon vias (TSVs) offer a solution to the “wiring crisis” in next-generation semiconductor devices.1,2 3D integration and, consequently, Cu diffusion barriers are also of strategic relevance for the “More than Moore” approach in which several functionalities are combined in, for example, “System-in-Package” (SiP) devices.5

A development being part of the “More than Moore” approach is research toward 3D integrated solid-state thin-film batteries.6-8 These batteries have the potential to provide the high-density storage capacity required for future miniaturized autonomous wireless devices or as a backup power supply in circuits.6,7 The batteries are based on Li ions as charge carriers and high aspect ratio structures in silicon to obtain high storage densities. The battery stack consists of several functional layers (diffusion barrier layer, anode, electrolyte, cathode, current collector) deposited conformally in these 3D structures. Because silicon easily takes up elemental Li, it is an attractive anode material. However, to prevent the loss of Li from the Si anode to the underlying Si substrate while providing an electrical connection to the anode layer, a conductive and conformal Li diffusion barrier film is required in-between.6,7

As Cu diffusion barrier materials, mainly refractory metallic systems (Ti, Ta, W, Mo, Cr, etc. and their nitrides) are used due to their characteristic chemical inertness and low diffusion rate related to their high melting points.9,10 Furthermore, because of the relatively low resistivity of these materials they can be directly used as metal electrodes in capacitor structures and as metal gate electrodes for complementary metal oxide semiconductor.11,12 Among these materials, TaN and TiN have been considered most often and these materials are known to be good diffusion barriers, especially for Cu.9,10,12-14 Both sputtered TaN and TiN have been used as a diffusion barrier for TSV experiments.4,15 Note, that the adhesion of Cu on TiN might not be sufficient for the small feature sizes in the more traditional interconnect technology. Nevertheless TiN is considered to be a good candidate for the relatively large vias used in 3D integration.14

In this article, we report on TaN and TiN films deposited with remote plasma atomic layer deposition (ALD). In principle, this technique is capable of depositing high-quality films with a good conformity in high aspect ratio structures.1,2,16-19 Because the microstructure and the composition of the films could have a large influence on the diffusion barrier properties,14,17,20-22 we have studied the material properties of the deposited TaN and TiN films for various deposition conditions. In addition, preliminary diffusion barrier experiments were carried out on planar wafers to test the performance of TaN and TiN films as Cu and Li diffusion barriers in future SiP applications. Furthermore, by comparing the diffusion barrier properties for both Cu and Li, more insight into the barrier characteristics of TaN and TiN has been obtained.

Experimental

Remote plasma ALD reactors.— The TaN and TiN films investigated and used in the barrier experiments were deposited using two remote plasma ALD reactors. The TaN films were deposited using the homebuilt ALD-I reactor as described extensively by Langereis et al.23 The TiN films in this work were deposited using the Oxford Instruments FlexAL reactor, and this process is described by Heil et al.24 In this work, we describe the deposition of both materials and report on the Cu and Li diffusion barrier properties on planar film stacks.

Both ALD reactors have the same basic configuration as schematically represented in Fig. 1a. The reactors consisted of a deposition chamber, a pump unit, an inductively coupled plasma source, and a precursor dosing system. The pump unit and plasma source were connected to the deposition chamber through gate valves. The chamber was pumped by a combination of a turbomolecular pump and a rotary pump reaching a base pressure of <10⁻⁵ Torr by overnight pumping. The ALD-I reactor was an open-load reactor that could handle wafers with a diameter up to 100 mm. The FlexAL reactor could handle wafers with diameters up to 200 mm, and these wafers were loaded through a load lock. Unlike the ALD-I reactor,
TiN and TaN films. The resistivity was measured with a FPP from the top side of the test substrate to facilitate the electrical connection to the barrier layer. The substrate had a native oxide surface.

Table I. “Baseline” deposition conditions for remote plasma ALD of TiN and TaN films.

<table>
<thead>
<tr>
<th>ALD process</th>
<th>TiN</th>
<th>TaN</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALD reactor</td>
<td>FlexAL</td>
<td>ALD-I</td>
</tr>
<tr>
<td>Substrate temperature (°C)</td>
<td>350</td>
<td>225</td>
</tr>
<tr>
<td>Precursor: TiCl₄</td>
<td>Ta(N(CH₃)₂)₃</td>
<td></td>
</tr>
<tr>
<td>Dosing time (s)</td>
<td>0.04</td>
<td>5</td>
</tr>
<tr>
<td>Temperature (°C)</td>
<td>30</td>
<td>75</td>
</tr>
<tr>
<td>Ar pressure (mTorr)</td>
<td>~80</td>
<td>~30</td>
</tr>
<tr>
<td>Plasma:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gas mixture</td>
<td>30 sccm H₂</td>
<td>H₂</td>
</tr>
<tr>
<td></td>
<td>4 sccm N₂</td>
<td></td>
</tr>
<tr>
<td>Pressure (mTorr)</td>
<td>15</td>
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</tr>
<tr>
<td>Power (W)</td>
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<td>100</td>
</tr>
<tr>
<td>Exposure time (s)</td>
<td>5</td>
<td>10</td>
</tr>
</tbody>
</table>

Figure 1. A schematic overview of the basic configuration of the remote plasma ALD reactors. In addition to the different reactor components, the in situ spectroscopic ellipsometer is also shown. (b) A schematic of a typical remote plasma ALD cycle for the deposition of conductive thin films.

The FlexAL reactor had a higher maximum power setting for the plasma source (600 W instead of 100 W) and was also equipped with mass flow controllers and an automated pressure controller.

Figure 1b schematically shows a typical ALD cycle used for both processes. The cycle began with the precursor dosage. For the TaN process Ta[N(CH₃)₂]₃ (pentakis(dimethylamino)tantalum, PDMAT) precursor was heated to 75°C, and an Ar flow was used to transport the Ta[N(CH₃)₂]₃ vapor into the chamber by bubbling. A dosing time of 5 s was used to obtain saturation. For TiN deposition, TiCl₄ was dosed using a fast switch valve (minimum switch time was 10 ms). Because of the high vapor pressure of TiCl₄, only a short dosing time of 40 ms was required to achieve saturation while keeping the TiCl₄ at 30°C. After purging with Ar, the gate valve between plasma source and chamber was opened and the plasma ALD half-cycle was started. For the TaN process, an H₂ plasma was used; for the TiN process, we used an H₂–N₂ plasma. After the plasma step, the next cycle started again with an Ar purge. Table I summarizes the details of the “baseline” conditions used throughout this work.

Thin-film analysis.—In situ spectroscopic ellipsometry (SE) was used to study the thin-film growth during the deposition processes on both ALD reactors. The ellipsometer used was a J.A. Woollam, Inc. M2000U visible and near-infrared (0.75–5.0 eV) ellipsometer. A proper modeling of the dielectric function results in an accurate determination of the thickness of the deposited film. Electrical sheet resistance measurements were carried out ex situ at room temperature using a Signatone four-point probe (FPP) in combination with a Keithley 2400 Source Measurement Unit, acting both as current source and voltage meter. The resistivity was obtained from the slope of the current–voltage (I-V) curve, and the film thickness was deduced from the SE measurements. The atomic compositions and areal atomic densities of the films were determined from Rutherford backscattering spectrometry and elastic recoil detection using 2 MeV ⁴He⁺ ions. Mass densities were calculated from the areal densities using the thickness data obtained by SE. The microstructure of the films was studied using X-ray diffraction (XRD) with a Philips X’Pert MPD diffractometer equipped with a Cu Kα source (1.54 Å radiation). The thickness and mass density were corroborated by X-ray reflectometry measurements performed on a Bruker D8 Advance X-ray diffractometer.

Cu and Li diffusion barrier experiments.—For the Cu diffusion barrier experiments, test structures were fabricated by sputter depositing Cu films (~50 nm in thickness) onto air exposed barrier films (~30 nm in thickness) which were deposited on 100 nm HF-last n-type silicon wafers (P-doped, resistivity of 10–20 Ω cm). These stacks were annealed in a vacuum oven (~10⁻⁶ Torr) together with control stacks that had no Cu film on top. For the FPP resistivity measurements, the samples were heated up to the anneal temperature at a ramp rate of 5°C/min. At the moment the anneal temperature was reached, the samples were cooled down to 150°C at a cooling rate of 5°C/min thereafter which the sample was heated back to the next anneal temperature. The anneal temperature was increased in steps of 50°C up to 700°C. During these thermal cycles, the sheet resistance was measured with a FPP from the top side of the test structure. In the analysis of these measurements, the sheet resistance at 150°C was considered to prevent any intrinsic influence of the temperature dependence of the Cu, TaN, TiN, and Si resistivity. The stacks for the XRD measurements were annealed using a slightly different procedure, each stack was individually heated up to the anneal temperature at a ramp rate of 5°C/min and kept at this temperature for 30 min, after which they were slowly cooled inside the furnace.

For the Li diffusion barrier test, cyclic voltammetry was conducted with an Autolab PGSTAT30 (Ecochemie B.V., Utrecht, The Netherlands) and galvanostatic cycling was performed using a M4300 galvanostat (Maccor, Tulsa, USA). The procedure used was identical to the one described by Baggetto et al. An n-type silicon wafer (Sr-doped, resistivity of 8–22 mΩ cm) was used as a substrate to facilitate the electrical connection to the barrier layer. The substrate had a native oxide surface.

Results and Discussion

Remote plasma ALD of TiN and TaN films.—TiN and TaN films were deposited with various plasma exposure times using the baseline conditions listed in Table I. The resulting material properties are summarized in Table II. The thickness of the TiN and TaN
films is shown as a function of the number of cycles in Fig. 2. Both TiN and TaN show a linear increase in thickness with the number of cycles.

The TiN properties showed almost no dependence on the plasma exposure time, only a slight decrease in Cl content and decrease in resistivity was observed for longer plasma exposures. The TiN films investigated had an [N]/[Ti] ratio of 0.93 ± 0.02 and all contained a relatively low level of impurities. This is in agreement with previous work where the combination of TiCl4 and H2–N2 plasma showed excellent material properties compared to other ALD TiN processes. Note that, for the Cu diffusion barrier experiments, slightly different deposition conditions were used (400 °C substrate temperature and a 500 W plasma with a H2–N2 (60–8 sccm) gas mixture), which resulted in a slight increase in growth rate and resistivity.

The TaN properties depend strongly on the plasma exposure time. This can be explained by the fact that TaN can exist in many crystal phases, depending on the [Ta]/[N] ratio. Stable crystal phases ranging from conductive Ta2N to semiconductive Ta3N5 have been reported. The TaN films obtained with a 3 s H2 plasma were reasonably conductive (3400 μΩ cm), had a relatively low N content of 32%, and had the cubic crystal phase (see below). The N content further reduced down to 25% when the plasma exposure time was increased, which also resulted in a higher mass density and lower resistivity (as low as 380 μΩ cm, Table II). H2–N2 mixtures and NH3 were also used as the plasma gases, but these conditions resulted in a large increase in resistivity (e.g., 1.1 × 104 μΩ cm for a 98:2 H2–N2 plasma) and even led to the semiconducting Ta3N5 phase. Because our interest lies in conductive barrier films, only films deposited by H2 plasma were investigated in the current work.

Figures 3a and b show the growth per cycle and FPP resistivity of the films as a function of plasma exposure time. During the first few seconds of plasma exposure, both processes show a large increase in the growth per cycle after which saturation takes place. For TaN a so-called soft saturation behavior is observed while the saturation in growth per cycle is much clearer for the TiN case. This soft saturation can be explained by the aforementioned dependence of the material properties on the plasma exposure time (see Table II). The change in material properties for TaN is also evident by the large change in resistivity with plasma exposure time (Fig. 3b). For TiN, only minor changes take place that can be attributed to a small reduction in the Cl-impurity content.

The microstructure of the films was investigated by XRD and the measured spectra for TaN and TiN films deposited under the baseline conditions of Table I are given in Fig. 4. For both films, it is clear that the material has a cubic phase structure as can be concluded from the comparison to the powder sample data. Both the TaN and TiN films show a strong preferential (200) growth direction compared to the powder sample. Frêty et al. found that sputtered TaN also showed a preferential (200) growth direction depending on the N2 pressure during deposition. For a TaN film of 800 cycles deposited using H2 plasma and Ta[N(CH3)2]5 precursor, Kim et al. found no preferential direction, while Park et al. reported an increase of the (200) peak intensity using longer plasma exposures and...
butylimidodis(diethylamido)tantalum precursor. For sputtered TiN, a strong preferential orientation was found as well but in the (111) direction. In previous work, we found that for ALD TiN the preferential growth direction disappears when a lower deposition temperature of 100°C was used. As can be seen from these literature comparisons, the microstructure depends largely on the deposition method and the process conditions used.

**Cu diffusion barrier properties.—** The Cu diffusion barrier properties were studied in an experiment in which Cu diffusion through the barrier layer was monitored as a function of anneal temperature. Evidently, a higher failure temperature indicates a higher barrier quality. Test structures were fabricated by sputter depositing Cu films onto the barrier layers as described in the experimental details. The TaN and TiN film were deposited on the Si substrates, where TaN was deposited using the baseline conditions and the TiN film was deposited under slightly modified conditions, i.e., 400°C deposition temperature, 500 W plasma with 60 sccm H₂ and 8 sccm N₂. These conditions result in very similar material properties as shown for the baseline conditions.

For both barrier materials, two different experiments were carried out. First, the sheet resistance of a Cu-barrier-Si stack was monitored as a function of anneal temperature. Variation of Cu sheet resistance with anneal temperature is known to provide a good measure of barrier performance. As a reference, the sheet resistance of a barrier-Si stack was also measured to find possible annealing effects and to investigate the thermal stability of the barrier material itself.

Figure 5 shows the sheet resistance at 150°C of the TaN–Si, TiN–Si, Cu–TaN–Si, and the Cu–TiN–Si stacks as a function of anneal temperature. The resistance of the TaN–Si stack decreases for temperatures of >400°C. The fact that this occurs at 400°C instead of just above the deposition temperature (225°C) demonstrates the thermal stability of the TaN. A similar but less pronounced annealing effect is visible for the TiN–Si stack possibly related to the higher deposition temperature of 400°C. Because of the Cu film, the Cu–TaN–Si and Cu–TiN–Si stacks show a very low sheet resistance and 0.2 and 0.1 Ω·cm, respectively, which is lost for both barriers for annealing temperatures of >600°C, when the sheet resistance suddenly increases.

To attribute the change in sheet resistance with annealing to barrier failure or another effect, a second experiment was performed; XRD spectra were measured for the stacks after annealing at different temperatures. These spectra should reveal possible Cu₃Si formation and the concurrent loss of Cu. For completeness, it should be noted that the XRD spectra for the annealed TaN and TiN films.
without a Cu layer (not shown) were indistinguishable from the original (not-annealed) film. This indicates that the reduction in resistivity of the TiN and TaN in the TiN–Si and TaN–Si stacks shown in Fig. 5 is not caused by a measurable change in microstructure.

The XRD spectra for the Cu–TaN–Si stacks annealed at 200, 400, 600, 650, and 700°C are shown in Fig. 6. The most intense lines for the diffraction patterns of powder samples of cubic TaN, Cu, and Cu$_3$Si are also indicated in Fig. 6. Three observations can be made when comparing the XRD spectra: (i) the TaN lines are not affected by the anneal, (ii) the intensity of the Cu lines drops for the samples annealed at 650 and 700°C, and, most importantly, (iii) Cu$_3$Si lines appear for the samples annealed above 600°C. These Cu$_3$Si lines are best visible at 20 values of 65 and 82°. These observations are therefore in agreement with the result obtained by the sheet resistance measurements (i.e., between 600 and 650°C Cu starts diffusing through the TaN film and the barrier fails).

For sputtered TaN films ranging from 25 to 50 nm thick, it has been reported that Cu diffusion starts between 650 and 700°C,\textsuperscript{13,29,31} where the rate of diffusion is lower for films with higher N content.\textsuperscript{29} Kim et al. reported a failure temperature of 800°C for a 12 nm thick TaN$_{0.75}$ film deposited by ALD using a 5 s H$_2$ plasma.\textsuperscript{17} The same group also reported that similar to sputtered barrier films an increase in N content further increases the failure temperature.\textsuperscript{17,21} However, it should also be noted that increasing the N content of the TaN film increases the resistivity, which can be undesirable in barrier applications. Our results are in qualitative agreement with those reported in literature, considering the fact that a lower failure temperature (600–650°C) was observed for TaN films with lower N content (TaN$_{0.49}$, Table II). Moreover, a difference in microstructure can also have a large effect on failure temperature.\textsuperscript{17,20} We observed a preferential (200) growth direction while Kim et al. did not report such a preferential growth direction.\textsuperscript{17} This is a factor that likely contributes to the difference in failure temperature because a strong preferential growth can be related to a columnar microstructure, which gives rise to fast diffusion pathways.\textsuperscript{12,29}

The XRD spectra for the Cu–TiN–Si stacks annealed at 200, 400, 600, and 700°C are shown in Fig. 7. The most intense lines for the diffraction patterns of powder samples of cubic TiN, Cu, and Cu$_3$Si are also indicated in Fig. 7. Two conclusions can be drawn when comparing the XRD spectra: the TiN lines are not affected by the anneal and the Cu lines become more intense and narrower for higher anneal temperatures. Most importantly, no Cu$_3$Si lines are observed, which points to no barrier failure up to a temperature of 700°C.

To further investigate the evolution of the Cu in the stack with anneal temperature for both the Cu–TaN–Si and Cu–TiN–Si stacks, the intensity of the strong Cu(111) peak (2θ = 43.3°) was determined and the crystallite size was obtained from the full width at half maximum of this peak using Scherrer’s equation.\textsuperscript{32} Figures 8a and b show the intensity and the crystallite size vs anneal temperature for Cu(111) in the Cu–TaN–Si and Cu–TiN–Si stacks, respectively. The Cu peak intensity shows an increase with anneal temperature for both TaN and TiN up to 600°C, indicating Cu crystallization. The Cu crystallization is in agreement with the FPP results in Fig. 5, showing a small decrease in resistance. Similar increases in Cu(111) intensity have been reported in the literature.\textsuperscript{13,14,29,31} Above an anneal temperature of 600°C, the Cu peak intensity from the Cu–
Remote plasma ALD of TaN and TiN films has been investigated using the combinations of Ta\text{[N(CH\textsubscript{3})\textsubscript{3}]}\textsubscript{5} precursor with H\textsubscript{2} plasma and TiCl\textsubscript{4} precursor with H\textsubscript{2}–N\textsubscript{2} plasma, respectively. Cubic metal nitride films with a relatively low electrical resistivity (TaN: 380 μΩ cm; TiN: 150 μΩ cm) can be deposited for both materials with the properties of the TaN material strongly depending on the plasma exposure time. Furthermore, diffusion barrier tests have been carried out with respect to the anticipated application of the materials as Cu and Li diffusion barriers. TaN films show a good Cu barrier performance up to 600°C, as found by FPP and XRD experiments. For TiN films, no Cu diffusion was observed up to a temperature of 700°C. However, for stacks with 25 nm of sputtered TiN, failure temperatures were reported ranging from >550 to >750°C for increasing TiN mass density (4.99–5.12 g cm\textsuperscript{-3})\textsuperscript{14} It is interesting to note that the density of the films in our work is lower (4.0 g cm\textsuperscript{-3}, Table II) while the barrier properties are still good up to 700°C. Ulm and Jeon reported a resistance increase using FPP measurements at temperatures above 600°C, Cu\textsubscript{5}Si peaks in XRD spectra at 550°C, and small defects using an etch pit test at 500°C for ALD TiN films deposited at 450°C using TiCl\textsubscript{4} and NH\textsubscript{3}\textsuperscript{38) Comparing these failure temperatures to our results indicates excellent barrier properties for the TiN films deposited by remote plasma ALD.

Li diffusion barrier properties.— In 3D integrated all-solid-state batteries, the barrier layers must prevent diffusion of Li from the active battery layers into the substrate over the entire electrochemical potential range used. A thin barrier layer is desired (10–100 nm) while maintaining the barrier properties and sufficient electrical conduction. Baggetto et al. reported the feasibility of using sputtered TaN and TiN films as planar Li diffusion barriers.\textsuperscript{7} To assess the prospects of using high aspect ratio (>5) structures in future 3D batteries, TaN and TiN films deposited with ALD were investigated in this work.

To do so, the electrochemical properties of 60 nm thick planar TiN and TaN films deposited by remote plasma ALD under the baseline conditions were studied (see Table I and II). Figure 10 shows cyclic voltammograms of the films measured in a Li-containing electrolyte solution under the experimental conditions as described by Baggetto et al.\textsuperscript{7} The TiN film shows a very low current over the entire potential range, indicating low reactivity toward Li and demonstrating its capability as Li diffusion barrier. The reactivity of the TaN film is much higher than that of the TiN film, as indicated by the intensity of the current peaks at ~1 and 1.5 V. These peaks can be ascribed to tantedate structures, such as Ta\textsubscript{2}O\textsubscript{5}\textsuperscript{39} which are known to be active intercalation materials below 1.5 V. As can be seen from the atomic composition (Table II), a significant fraction of oxygen is present in the TaN films. When the TaN film is exposed to cycles of constant-current charging and discharging, an experiment that provides information about the amount of charge involved during continuous cycling, an intercalation response into silicon is observed after a few cycles (not presented here). This response means that the actual TaN films do not prevent the penetration of Li ions into the bulk of silicon. For the TiN film, the barrier performs well under this galvanostatic cycling and it has an even lower Li intercalation capacity than a 70 nm thick sputtered TiN film as shown in Fig. 11.

Obviously, for the TaN film, the reactivity toward Li is too high. However, because TaN can have a wide range of compositions, tuning the composition might provide adequate barrier properties for certain TaN films deposited by ALD. From the current results, it is already clear that TiN deposited by remote plasma ALD is a very promising candidate for Li diffusion barrier applications. For sputtered films, TiN also showed better characteristics than TaN\textsuperscript{3} while the ALD grown TiN films exhibit an even lower Li capacity.

Conclusions

Remote plasma ALD of TaN and TiN films has been investigated using the combinations of Ta\text{[N(CH\textsubscript{3})\textsubscript{3}]}\textsubscript{5} precursor with H\textsubscript{2} plasma and TiCl\textsubscript{4} precursor with H\textsubscript{2}–N\textsubscript{2} plasma, respectively. Cubic metal nitride films with a relatively low electrical resistivity (TaN: 380 μΩ cm; TiN: 150 μΩ cm) can be deposited for both materials with the properties of the TaN material strongly depending on the plasma exposure time. Furthermore, diffusion barrier tests have been carried out with respect to the anticipated application of the materials as Cu and Li diffusion barriers. TaN films show a good Cu barrier performance up to 600°C, as found by FPP and XRD experiments. For TiN films, no Cu diffusion was observed up to a temperature of 700°C. However,
in this case the Cu film became discontinuous at annealing temperatures of >600°C due to roughening, causing a large increase in electrical resistance.

The first Li diffusion barrier experiments show that the TiN film is a very promising Li barrier, whereas the TaN barrier layers need further improvement. Consequently, both Cu and Li diffusion barrier experiments indicate better barrier properties for the TiN films, suggesting that the TiN films prepared by remote plasma ALD yields an overall better barrier performance than the TaN films prepared by remote plasma ALD. Although it is unclear for the moment whether the difference in Cu barrier performance is an intrinsic material property or due to the difference in process conditions used such as halide precursor at high substrate temperature of 400°C for TiN and metallorganic precursor at lower substrate temperature of 225°C for TaN, future work will focus on TiN addressing its barrier properties when deposited in high aspect ratio structures required for future 3D-SiP devices.

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composition and microstructure was carried out at the Philips Research Materials Analysis Lab. Dr. S. B. S. Heil and W. Keuning are acknowledged for their contribution to the measurements and for the insightful discussions. This work was sponsored by the Materials Innovation Institute M2i under project no. MC3.06278 (the former Netherlands Institute for Metals Research), and by SenterNovem (project ‘INNOVia’, no. IS044041).

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