Research Article

Avoiding Message-Dependent Deadlock in Network-Based Systems on Chip

Andreas Hansson,1 Kees Goossens,2,3 and Andrei Râdulescu3

1 Department of Electrical Engineering, Eindhoven University of Technology, 5600 MB Eindhoven, The Netherlands
2 Computer Engineering, Faculty of Electrical Engineering, Mathematics and Computer Science, Delft University of Technology, 2600 GA Delft, The Netherlands
3 SOC Architectures and Infrastructure, Research, NXP Semiconductors, 5656 AE Eindhoven, The Netherlands

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Networks on chip (NoCs) are an essential component of systems on chip (SoCs) and much research is devoted to deadlock avoidance in NoCs. Prior work focuses on the router network while protocol interactions between NoC and intellectual property (IP) modules are not considered. These interactions introduce message dependencies that affect deadlock properties of the SoC as a whole. Even when NoC and IP dependency graphs are cycle-free in isolation, put together they may still create cycles. Traditionally, SoCs rely solely on request-response protocols. However, emerging SoCs adopt higher-level protocols for cache coherency, slave locking, and peer-to-peer streaming, thereby increasing the complexity in the interaction between the NoC and the IPs. In this paper, we analyze message-dependent deadlock, arising due to protocol interactions between the NoC and the IP modules. We compare the possible solutions and show that deadlock avoidance, in the presence of higher-level protocols, poses a serious challenge for many current NoC architectures. We evaluate the solutions qualitatively, and for a number of designs we quantify the area cost for the two most economical solutions, strict ordering and end-to-end flow control. We show that the latter, which avoids deadlock for all protocols, adds an area and power cost of 4% and 6%, respectively, of a typical Æthereal NoC instance.

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1. INTRODUCTION

Networks on chip (NoCs) have emerged as the design paradigm for design of scalable on-chip communication architectures, providing better structure and modularity while allowing good wire utilisation through sharing [1–4]. By providing services for intermodule communication [5] over a mix of different sockets, NoC enables intellectual property (IP) reuse [3, 6, 7] and enhances system-level composability [6]. The services must be implemented robustly and efficiently.

Deadlock is catastrophic to as SoC and a serious threat to the robustness of the communication services offered by the NoC. Therefore, the importance of deadlock-free operation is stressed as a key research problem in NoC design [8] and much work is focused on providing deadlock-free routing in NoCs [9–11].

Deadlock freedom in the router network, henceforth just network, relies on the consumption assumption [12]: the network accepts and delivers all messages sent by the network interfaces (NIs) as long as they promise to consume all messages from the network when they are delivered. Routing algorithms that rely on this assumption, which to the best of our knowledge is true for all nonlossy routing algorithms currently used in NoCs, are still susceptible to deadlock arising from protocol interactions in the NIs. The IP blocks create message dependencies between buffers in the NIs that, when transferred to the router network, can lead to message-dependent deadlocks [12].

The SoC comprises IP modules with two different types of ports: masters (initiators) and slaves (targets) [3]. Masters initiate transactions by issuing requests. One or more slaves receive and execute each transaction. Optionally, a transaction also includes a response, returning data, or an acknowledgement from the slave to the master. This transaction model subsumes both a distributed shared memory (DSM) and message passing (MP) communication paradigm. As we will see, this model of on-chip communication can lead to four types of message dependencies, request-response, response-request, request-request, and response-response, depending on the behavior of the IP modules.
Deadlock recovery is a popular resort in parallel computers [12] and is used in the Proteo [26] NoC that drops packets on overflow. The majority of NoCs [3, 6, 17–24], however, avoid deadlock, as deadlock detection and recovery mechanisms are expensive [8] and complicate the provision of guarantees. Deadlock avoidance is also the focus of this paper.

An NI that offers high-level services is presented in [3]. End-to-end flow control, important as we will see in Section 6.2, is part of the basic functionality offered by the design and the added bandwidth for an MPEG-2 decoder is evaluated. However, as with [20, 24] that also use end-to-end flow control, message-dependent deadlock is not discussed.

Many NoCs [21–23] break request-response dependencies by introducing separate physical networks for the two message types. Virtual, instead of physical, networks are used in [27, 28] to avoid deadlock in a higher-order configuration protocol and a forwarding multicast protocol, respectively. All the solutions are protocol-specific and none address the dependencies that can arise when IPs have both master and slave ports.

The possibility of considering message types in the topology synthesis is explored in [29]. The work presents a methodology that tailors the NoC to a particular application behavior while taking message-dependent deadlock into account. In contrast to what we advocate in this work, the NoC architecture is inherently coupled to the application and assumes that the NoC can be redesigned if the application or its binding to the NoC should change.

A comprehensive survey on methods for handling message-dependent deadlocks in parallel computer systems is given in [12]. In contrast with the computer networks and multiprocessor environments studied in the work, NoC storage and computation resources are relatively more restricted, and the protocol stack is entirely implemented in hardware. Hence, design constraints and optimization goals are fundamentally different.

In this work, we present the implications regarding deadlock that arise in a network-based SoC due to the interactions between the NoC and the IP modules. Furthermore, we evaluate the area and power cost of a NoC architecture, applied to a number of representative SoCs, that avoid all potential message-dependent deadlocks through the use of credit-based end-to-end flow control.

3. ARCHITECTURAL PLATFORM

We assume that NoCs comprise two components: routers (R) and network interfaces (NIs), as depicted in Figure 2. The routers can be randomly connected amongst themselves and to the NIs, that is, there are no topology constraints, although the routing is assumed to be deadlock-free. The routers transport packets of data from one NI to another.

The NIs enable end-to-end services [3] to the IP modules and are keys in decoupling computation from communication [6, 7]. The NI allows the designer to simplify communication issues to local point-to-point transactions at IP module boundaries, using protocols natural to the IP [7], for example, AXI [15] or OCP.
Master and slave IP ports are connected to slave and master NI ports, respectively. The term connection is used throughout this paper to denote a unidirectional peer-to-peer interconnection between a master and a slave, either carrying requests from master to slave, or responses from slave to master, but not both. In Section 6.4, we return to the differences with the looped containers [18] of Nostrum.

Throughout this paper, data integrity, lossless data delivery, and in-order data delivery are assumed to be services inherent to the router network. Freedom of reassembly deadlock and resequence deadlock is thus guaranteed [30]. In Proteo [26] that is lossy, and Nostrum [18] that uses adaptive (hot-potato) routing, additional care must be taken to recover from and avoid deadlock, respectively.

5. MESSAGE DEPENDENCIES

We adopt the terminology used in [12]. A message dependency chain represents a partially ordered list of message types $m_1$ through $m_n$, where $m_i \prec m_j$ if and only if $m_j$ can be generated by a node receiving $m_i$. The chain length denotes the number of types $n$ in the chain. We refer to a protocol with such a message dependency chain as a $n$-way protocol. A message of type $m_n$ is said to be terminating as it does not introduce any new messages.

5.1. Request-response dependency

A dependency that is frequently occurring in contemporary SoCs is the request-response dependency. As we have seen, this dependency arises in a slave module, such as a memory controller, that awaits a request and upon reception processes the request and sends a response. The protocol is clearly two-way with a message dependency chain $request \prec response$.

The coupling between reception of request and generation of responses introduces a dependency between the request and response buffers in the NI, as depicted in Figure 2(b). Transferred to the network, this dependency can cause deadlock. In the figure, two master and slave pairs communicate via two shared input buffered routers. The two connections between $m_1$ and $s_1$ are drawn with continuous...
lines and the connections of \( m_2 \) and \( s_2 \) with dashed lines. Note that dimension-ordered routing is used and that the network is clearly acyclic. Moreover, the individual master and slave pairs do not introduce cycles as there is only a message dependency on the slave side. However, a dependency cycle is formed over the two slave modules. Responses from \( s_1 \) enter the network, turn east, and end up in \( b_1 \). This buffer is shared by responses destined for \( m_1 \) and requests going to \( s_2 \). From \( b_1 \), the dependencies continue through the slave \( s_2 \), and the shared buffer \( b_1 \), back to \( s_1 \), closing the cycle. As a result, a deadlocked situation, where none of the involved connections make progress, can occur.

As we will see in Section 6.3, one way to resolve the dependencies of \( b_1 \) and \( b_2 \) is to use separate request and response networks, or at least separate buffer classes.

### 5.2. Response-request dependency

In contrast to what most NoC designs suggest, many protocols create more than just request-response dependencies. For example, when a master reacts on the response from a slave by sending an additional request, it creates a response-request dependency. Consider for example an implementation of atomic access through read-modify-write (RMW) [14, 15]. A read request is issued by the master which acquires exclusive ownership and receives a response from the slave. Finally, the master issues a write request which upon completion releases the lock. This protocol creates a message chain \( \text{read} < \text{response} < \text{write} \).

Examples of more specialized protocols that have response-request dependencies are given in [27, 28, 32]. In these works, interconnections and multicast groups are established through a three-way resource reservation protocol: (1) a master sends a setup request, (2) the slave responds with a positive or negative acknowledgement, and in the latter case (3) the master restores the reservations done by sending a tear-down. The message dependency chain thus comprises three types: \( \text{setup} < \text{ack/nack} < \text{teardown} \).

### 5.3. Request-request and response-response dependencies

The aforementioned dependencies involve only dedicated master and slave modules. This is also an assumption made by most existing solutions to message-dependent deadlock in NoCs [21–23, 27]. With the introduction of IP modules with both master and slave ports, for example, a processor or direct memory access (DMA) engine, two additional dependencies may arise: request-request and response-response.

Request-request dependencies, as depicted in Figure 3, are created when reception of a request on the slave side is coupled to the generation of a request on the master side. This occurs when IP modules process a certain input that is sent to them by the preceding module and then write their output to the succeeding module, as done in peer-to-peer streaming and in protocols that, in the interest of performance, use forwarding [12, 33].

In a forwarding protocol, an initial request passes through a number of intermediate IPs, generating new requests until the final destination is reached. Potentially, a response is travelling in the other direction, creating response-response dependencies on the way back. Two prominent examples of forwarding protocols are cache coherency protocols [33] and collective communication [34], such as multicast and narrowcast [3, 28].

Cache coherency in network-based SoCs is typically implemented using a directory-based protocol as the medium does not lend itself to snooping [13, 14]. These protocols, in general, do not adhere to strict request-response protocols, as they strive to reduce the number of network transactions generated per memory operation [33]. Both reply forwarding and intervention forwarding manifest request-request dependencies, and the latter introduces also response-response dependencies.

Multicast and narrowcast are used in NoCs to implement DSM on a single interconnection [3], and in parallel systems also for cache invalidation, acknowledgement collection, and synchronization [34]. These higher-order interconnections give rise to both request-request and response-response dependencies when implemented using forwarding [28]. The latter is used to avoid sending a unicast message for every destination, which causes congestion at the source [35].

#### 5.3.2. Streaming

A streaming protocol, where data is pushed from producer to consumer, is beneficial in dataflow applications [16, 36] comprising a chain of modules, such as the video pixel processing pipeline [37] depicted in Figure 4.

The advantage of pushing (writing) data instead of pulling it from the producer is that it greatly reduces the impact of network latency. When pulling, as suggested by [38], then first a read request is sent whereafter the producer responds with the data, thereby doubling the latency by traversing the network twice. Note that the latter approach, where every IP reads and writes its input and output, respectively, reduces the protocol to strict request-response but has several drawbacks, further discussed in Section 6.3. An example of a SoC employing peer-to-peer streaming is presented in [39] where a commercially available SoC for picture improvement is extended with a NoC.

The peer-to-peer streaming protocol where IPs write their output to the next module, illustrated in Figure 5, has a message chain that is built only from (forwarded) requests:
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Video in Noise

Motion upconversion

Motion estimation

Motion comp.

De

interlacing

Up

conversion

Spatial scaling

Vertical scaling

Video out

Display adaptation

Picture compose

Sharpeness improvement

Luminance

trans. imp.

Color

trans. imp.

Peaking

Horizontal scaling

Figure 4: Video pixel processing application.

Figure 5: Dependencies created by peer-to-peer streaming.

Figure 6: Various solutions.

request_1 \prec \cdots \prec request_n$, where $n$ is the number of modules in the processing chain. Consider for example the pipeline in Figure 4 that has 12 different types of request messages if all communications are implemented by peer-to-peer streaming.

6. SOLUTIONS

To provide a deadlock-free NoC, the consumption assumption must be fulfilled. As a first requirement, messages must be separated into different NI buffers based on their type. Having a separate NI buffer per message type is a necessary but not a sufficient condition to avoid deadlocks [12]. Message-dependencies together with dependencies in the router network can still introduce cycles.

As already outlined, the avoidance-based solutions to this problem fall within two categories. First, the consumption assumption can be implemented by designing the NIs such that NI buffers are guaranteed to consume all messages sent to them, regardless of the IPs. Buffer sizing (Section 6.1) and end-to-end flow control (Section 6.2) are instances of this technique. Alternatively, the NoC must guarantee that messages of one type never preclude the advances of its subordinate types indefinitely. Thereby, messages of the terminating type (guaranteed to sink upon arrival) reach their destination and its dominant types can follow suit. This technique is referred to as strict ordering (Section 6.3), and virtual circuits (Section 6.4) is a special case.

6.1. Buffer sizing

A first way to solve the deadlock problem is to ensure enough space by (over-)sizing the buffers. This requires a generous storage budget, determined by the maximum bounds on packet size and the number of outstanding transactions. The concept is shown in Figure 6 that revisits the case of a request-response protocol.

While extensively used in parallel computers [12], this method is prohibitively expensive in NoCs and is not used in any known architecture.

6.2. End-to-end flow control

Instead of adapting the buffer size to the maximum requirements, end-to-end flow control does the other way around: it assures that no more is ever injected than what can be consumed. This approach, end-to-end flow control, is used in the Æthereal and FAUST NoC. As illustrated in Figure 6, it removes a dependency edge from the network to the NI.

The simplest form of end-to-end flow control is the so-called local flow control [30], assuring that enough space is available to fit the response before sending the request. This local check solves response-response and response-request dependencies.
6.3. Strict ordering

Another way of assuring freedom of message deadlock is by ordering network resources. This is done by introducing logically independent networks, physical or virtual, for each message type. Arteris [21], STbus [22], and SonicsMX [23] fit in the first category by having two physical networks for requests and responses, respectively. The methods used to break request-response dependencies in [27, 28] fit in the latter category as they both use one buffer class per message type. This approach is illustrated in Figure 6 where a buffer is added to break the dependency cycle.

A major drawback of the strict ordering is that buffers cannot be shared between the different message classes, increasing the amount of buffering required. The partitioning into logical networks leads to inefficient utilization of network resources [33] and increased congestion due to unbalanced utilization [12]. These effects increase with the number of networks required. In [22], the authors argue that the size of the request and response networks can be made different. The size is however static, and use-cases (modes) with different traffic characteristics magnify the problem.

Having virtual instead of physical networks mitigates the aforementioned problem. However, the router complexity increases as it must forward messages considering message type [12].

The major limitation with strict ordering is the inherent coupling between the NoC and the IP modules. A NoC with \( n \) logical networks can only be used by IP modules employing protocols with \( n \) or fewer message types. In multiprocessor designs, like the Alpha 21364 [42], this entanglement of concerns is not an issue. The router network is tailored to the protocol with seven virtual networks, one for each message type. For a NoC design, however, the coupling between IPs and the NoC architecture severely limits the reusability. Consider for example the implementation of a forwarding protocol [28] where the number of buffers determines the maximum number of multicast groups.

Higher-order protocols require either a redesign of the NoC or a reduction of the protocol to \( n \) ways. IP modules using peer-to-peer streaming communication hence cannot use the NoCs in [21–23] as they only support two-way protocols. The protocol has to be reduced to pure request-response and communication must go via memory. This adds complexity, requires additional bandwidth, introduces latency, increases congestion, and consumes more power.

6.4. Virtual circuits

Virtual circuits represent the extreme case of strict ordering as every connection has its own logical network. This way of implementing unconditional delivery is found in the guaranteed service networks of Æthereal [3], MANGO [43], and Nostrum [18]. The implementations differ, but all rely on predetermined spatial and/or temporal multiplexing.

The deadlock freedom comes at a price of exclusively reserved resources coupled with decreased utilization. Furthermore, in all three NoCs the maximum number of circuits supported by a router and NI is decided at design time. For all three NoCs, the number of buffers in the NI sets an upper bound for the number of circuits. The router is limited by the number of virtual channels (buffers) in MANGO, by the slot table size in Æthereal and by the number of temporally disjoint networks in Nostrum.

The lack of resource sharing and potentially low utilization is the major drawback of all three implementations. However, Nostrum is more severely limited than the other two, due to the looed containers [18] that do a round trip and reserve an equal amount of resources in both directions. As the progress guarantee requires that no circuit carries more than one message type, requests and responses must use separate circuits. A circuit may therefore only carry messages from master to slave or slave to master, not both. Thereby, Nostrum is, if no additional measures are taken, limited to maximally 50% utilization as only the forward
path may carry messages. An alternative is to enforce a maximum number of outstanding transactions and a maximum transaction size and then size the buffers accordingly, as discussed in Section 6.1.

7. Evaluation

As seen in Table 1, the best-effort network in MANGO and Nostrum, together with aSOC [17] and ×pipes [19], do not address message dependencies at all, leaving these networks susceptible to deadlock (livelock in the case of Nostrum). Hence, not even a two-way protocol can be safely implemented on these architectures without further measures.

Arteris, SonicsMX, and STbus all have separate request and response networks, which allows them to handle two-way protocols without deadlock. However, peer-to-peer streaming protocols or forwarding multicast cannot be used by the IP modules unless the NoCs are extended with additional logical networks. The pipeline in Figure 4, for example, requires ten more networks. Even then, the maximal pipeline length is still limited by the architecture. Furthermore, if one IP fails to consume its messages it can bring the entire network to a stall.

The guaranteed-service network in Æthereal, MANGO, and Nostrum all avoid message-dependent deadlocks, but do so at the price of (1) reduced resource sharing, and (2) a fixed number of connections supported by the router and NI architecture.

SPIN [20], FAUST, [24] and the best-effort network in Æthereal all employ credit-based end-to-end flow control. However, only the latter two fulfill the consumption assumption as SPIN issues more credits than the capacity of the receiving buffer. The additional credits are introduced to reduce latency, and the only consequence is said to be an increased possibility of contention in the network. However, consumption can no longer be guaranteed making the system susceptible to message-dependent deadlock. In FAUST and Æthereal, the consumption assumption is fulfilled and no message-dependency chain can introduce deadlock. The router architecture is oblivious to message types and the number of connections, but the latter is instead limited by the number of buffers in the NIs.

7.1. Cost analysis

In this section, we evaluate the cost associated with the two most resource-efficient solutions, namely strict ordering and end-to-end flow control. This is done for five different use-cases. The MPEG use-case is an MPEG codec SoC with 16 IP modules, tied together by 42 connections. The remaining four use-cases are internal video processing designs, all having a hot spot around a limited set of IPs (external memories) and 100 to 250 connections. These connections deliver a total bandwidth of 1-2 Gbyte/s to 75 ports distributed across 25 IP modules.

For each use-case, a NoC is dimensioned using the UMARS algorithm [44]. Given the performance requirements, NI buffer sizes are then calculated in two individual parts: (1) the amount required to decouple the IP and NI consumption and production without introducing stalls, and (2) the number of words that must be added to hide the round-trip latency of flow control [45]. The contribution of the latter is presented in Table 2.

As seen in Table 2, the average cost is merely three to six words per connection. The addition to the total NoC area is shown in Figure 8. The silicon area requirements are based on the model presented in [46], for a 0.13 μm CMOS process with full-custom FIFOs. The added NoC area is below 4% for all the applications. The mean value is 3.2%. Thus, in a network-based SoC, such as the one presented in [39], the area cost of end-to-end flow control is no more than 0.2% of the whole SoC.

To put the area cost of end-to-end flow control in contrast with strict ordering, we calculate an approximate cost of such an implementation. This is done by introducing an additional best-effort router network, identical to the one in place, thus having one network for requests and one for responses. Although we have an approximation, the results in Figure 8 suggest that the two methods are comparable in cost. The MPEG and s8m2p2 designs have a more evenly distributed communication and less NIs per router than the other designs. As a result, close to 20% of the area is attributable to the routers in these two cases, which affects the cost of strict ordering negatively. The average area cost for strict ordering is slightly less than 3.9% of the NoC, only negligibly different from what is achieved with end-to-end flow control.

Note that we add only one router network for the comparison as all the use-cases employ a strict request-response protocol. With the introduction of higher-order

<table>
<thead>
<tr>
<th>NoC</th>
<th>Technique</th>
<th>2-way</th>
<th>n-way</th>
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<tbody>
<tr>
<td>aSOC</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>MANGO BE</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Nostrum BE</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>×pipes</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Arteris</td>
<td>Strict ordering</td>
<td>+</td>
<td>—</td>
</tr>
<tr>
<td>SonicsMX</td>
<td>Strict ordering</td>
<td>+</td>
<td>—</td>
</tr>
<tr>
<td>STbus</td>
<td>Strict ordering</td>
<td>+</td>
<td>—</td>
</tr>
<tr>
<td>MANGO GS</td>
<td>Virtual circuits</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Nostrum GS</td>
<td>Virtual circuits</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Æthereal GS</td>
<td>Virtual circuits</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>SPIN</td>
<td>End-to-end flow control</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>FAUST</td>
<td>End-to-end flow control</td>
<td>+</td>
<td>—</td>
</tr>
<tr>
<td>Æthereal BE</td>
<td>End-to-end flow control</td>
<td>+</td>
<td>—</td>
</tr>
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<table>
<thead>
<tr>
<th>Buffer cost (words).</th>
<th>MPEG</th>
<th>s1m1p1</th>
<th>s1m2p1</th>
<th>s2m1p1</th>
<th>s2m2p1</th>
<th>s8m1p2</th>
<th>s8m2p2</th>
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<td>Total</td>
<td>242</td>
<td>339</td>
<td>615</td>
<td>450</td>
<td>801</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Per conn.</td>
<td>5.8</td>
<td>3.2</td>
<td>3.0</td>
<td>3.5</td>
<td>3.3</td>
<td></td>
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</tr>
</tbody>
</table>

Table 1: Avoidance techniques used in NoCs.

Table 2: Buffer cost (words).
protocols, the cost of end-to-end flow control remains constant, whereas the cost of strict ordering increases linearly with the number of logical networks (protocol stages). This is under the assumption that all network components are designed to handle all different message types. As proposed in [29], it is, for a given application, possible to reduce the cost by only introducing the additional buffer classes where strictly needed.

To assess the cost of the traffic introduced by the end-to-end flow control, we simulate each design $3 \times 10^6$ clock cycles in a flit-accurate SystemC simulator of the Æthereal NoC, using traffic generators to mimic core behavior. Figure 9 shows the additional cost in terms of injected flits and power consumption.

The additional amount of injected flits ranges from 23% up to 44%. The MPEG design has an average bandwidth (76 Mbyte/s) three times higher than the other designs, which results in less flits carrying only credits. A higher bandwidth (and larger burst size) increases the opportunities for piggybacking credits on data-carrying packets [3]. Furthermore, it also leads to a more bursty delivery of credits with more credits per packet. As a result, buffers grow (see Table 2), but less credit-carrying flits are injected.

As more flits are injected and routed through the network, also the power consumption increases. The contribution added by the credit-carrying flits is depicted in Figure 9. Note that the power estimation, calculated according to the model in [47], covers only the router network (without the NIs). In the reference case with no flow control, the flits that carry only credits and no data are treated as empty. Despite the amount of flits, the additional cost in power consumption is consistently below 6%, with an average of 4.6%.

8. CONCLUSION AND FUTURE WORK

In this paper we analyze message-dependent deadlock, arising due to protocol interactions between the NoC and the IP modules. We compare the possible solutions and show that deadlock avoidance, in the presence of higher-level protocols, for example, cache coherency, slave locking and peer-to-peer streaming, poses a serious challenge for many current NoC architectures.

Furthermore, we show how a NoC, such as the Æthereal and FAUST NoCs, employing credit-based end-to-end flow control, provides robust communication services for all potential communication protocols used. We show that the associated area and power cost represent 4% and 6%, respectively, of a typical Æthereal NoC instance.

Future work includes a more in-depth analysis of the costs associated with the various solutions in the presence of streaming peer-to-peer protocols.

REFERENCES


