Few-body collisions in a weakly interacting bose gas

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FEW-BODY COLLISIONS IN A WEAKLY INTERACTING BOSE GAS

PROEFSCHRIFT

ter verkrijging van de graad van doctor aan de Technische Universiteit Eindhoven, op gezag van de Rector Magnificus, prof. ir. M. Tals, voor een commissie aangewezen door het College van Dekanen in het openbaar te verdedigen op vrijdag 20 oktober 1989 te 14.00 uur

door

Hendricus Theodorus Christiaan Stoof
geboren te Veldhoven

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Dit proefschrift is goedgekeurd door
de promotoren
prof. dr. B.J. Verhaar
en
prof. dr. W. Glöckle
\[ \left\{ \frac{\hbar^2}{\mu} \cdot m \right\} \psi(x) = 0 \]

"I feel that a theory, if it is correct, will be a beautiful theory, because you want the principle of beauty when you are establishing fundamental laws. Since one is working from a mathematical basis, one is guided very largely by the requirement of mathematical beauty. If the equations of physics are not mathematically beautiful that denotes an imperfection, and it means that the theory is at fault and needs improvement. There are occasions when mathematical beauty should take priority over agreement with experiment. [.....]

A beautiful theory has universality and power to predict, to interpret, to set up examples and to work with them. Once you have the fundamental laws, and you want to apply them, you don't need the principle of beauty any more, because in treating practical problems one has to take into account many details and things become messy anyway."

P.A.M. Dirac  
(8 august 1902 - 20 oktober 1984)
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SUMMARY

SAMENVATTING
CHAPTER 1:
INTRODUCTION
I. BOSE-EINSTEIN CONDENSATION AS A SPONTANEOUS
      SYMMETRY BREAKING PHENOMENON

The initial successes of quantum mechanics were associated with systems having
a finite number of degrees of freedom. The successful explanation of the properties
of such systems led to a general belief in the correctness of the quantum mechanical
theory. Very different phenomena have since then been explained without
modifications of the basic formalism. However, there are physical phenomena, known
collectively as macroscopic quantum effects, whose explanation requires the
extension of quantum mechanics to systems with an infinite number of degrees of
freedom. The first developments leading to this extension of quantum mechanics,
which is sometimes called $\text{QM}_\infty$, date from the fifties. Recent monographs by
Strocchi and Sewell summarize the present status of $\text{QM}_\infty$.

One of the phenomena typical for infinite quantum systems is spontaneous
symmetry breaking. Suppose that the system under consideration possesses a symmetry,
because the Hamiltonian is invariant under the transformations of a group $\mathbb{G}$. In the
case of quantum mechanics with a finite number of degrees of freedom this implies
that the (in most cases unique) ground state is also invariant. However, for $\text{QM}_\infty$ we
have in general a set of inequivalent ground states and all we deduce from the
symmetry of the system is the invariance of the complete set and not necessarily of
an individual element. As a consequence the symmetry may be broken spontaneously:
Although the dynamics of the system is symmetric, its ground state may not have this
property. However, the possible ground states are connected to each other by the
elements of the symmetry group $\mathbb{G}$.

An important example in elementary-particle physics is the $\text{U}(1)\times\text{SU}(2)$
electroweak gauge theory, in which spontaneous symmetry breaking in combination with
gauge invariance gives rise to the mass of intermediate vector bosons and of spin $\frac{1}{2}$
particles. Another example, which we discuss below, is Bose-Einstein condensation,
associated with a macroscopic occupation of the one-particle ground state in a gas
of weakly interacting bosons. To place the latter example in the wider context of
$\text{QM}_\infty$, it is useful to sketch briefly some aspects of this general theory. For a more
systematic introduction we refer to the Refs. 2 and 3.

The differences between $\text{QM}_\infty$ and the quantum theory involving only a finite
number of degrees of freedom can be traced back to the following theorem due to Von
Neumann. In the case of a finite system the algebra $\mathcal{A}$ of the coordinates $q_\alpha$ and
conjugate momenta $p_\alpha$, obeying the commutation relations
\[ \begin{align*}
\{ q_\alpha, q_\beta \} &= 0, \quad \{ p_\alpha, p_\beta \} = 0 \\
\{ q_\alpha, p_\beta \} &= i\hbar \delta_{\alpha \beta}
\end{align*} \] (1)

has only irreducible representations which are (unitarily) equivalent to the usual Schrödinger representation \( p_\alpha = -i\hbar \partial / \partial q_\alpha \) on the Hilbert space of square-integrable functions. Since a unitary transformation does not change the expectation values of physical quantities the choice of a representation is just a matter of convenience. However, in \( \text{QM}_\omega \) the algebra \( \mathcal{A} \) has inequivalent irreducible representations. The various representations can generally be distinguished by means of a macroscopic quantity, commuting with the complete algebra \( \mathcal{A} \) and consequently reducing to a constant in an irreducible representation. Due to this the different representations correspond to macroscopically distinct classes of states of the system, which may have very different behavior because also the dynamics (the Hamiltonian) depends on the particular representation chosen. As a result \( \text{QM}_\omega \) displays interesting properties such as macroscopic degeneracy, spontaneous symmetry breaking and phase transitions, which are closely related to each other and are absent in ordinary quantum mechanics.

To be more specific we consider a non-relativistic many-body problem, using second quantization and formulating the algebra \( \mathcal{A} \) in terms of the field operators \( \psi(x) \) and \( \psi^\dagger(x) \) obeying the usual (anti)commutation relations in the case of (fermions) bosons. For clarity reasons possible indices corresponding to spin degrees of freedom are suppressed. Note that the algebra \( \mathcal{A} \) can also be generated by the creation and annihilation operators of definite momentum states, \( a(k) \) and \( a^\dagger(k) \), respectively. As usual they are related to the field operators \( \psi^\dagger(x) \) and \( \psi(x) \) by means of a Fourier transform.

For a large class of systems, in particular systems showing superfluid or superconductive behavior, any irreducible representation of \( \mathcal{A} \) can be formulated as an operator algebra in a Hilbert space \( \mathcal{H} \), where the latter corresponds to a ground state \( |\psi_0\rangle \) and all its elementary excitations. (Technically this is known as a Fock-representation.) For a characterization of the representation or equivalently the Hilbert space \( \mathcal{H} \) with ground state \( |\psi_0\rangle \), we consider so-called Haag-charges of the form

\[ Q_{nm}[F] = \lim_{V \to \infty} \frac{1}{V} \int dx dy_1 \ldots dy_n dz_1 \ldots dz_m \times \\
\times F(x; y_1, \ldots, y_n; z_1, \ldots, z_m) \psi^\dagger(y_1) \ldots \psi^\dagger(y_n) \psi(z_1) \ldots \psi(z_m) , \] (2)

where \( V \) is the volume of the system and \( F(x; y_1, \ldots, y_n; z_1, \ldots, z_m) \) a localized function.
which vanishes unless \( y_1, \ldots, y_n \) and \( z_1, \ldots, z_n \) are in a finite environment of \( x \). Such operators can be shown to commute with the algebra \( \mathcal{A} \). In an irreducible representation they are equal to a complex constant which can therefore be used to label that representation. In the BCS-theory of superconductivity a Haag-charge of \( Q_{02} \) type plays a central role. It is equal to the well-known "energy gap" in the quasi-particle dispersion relation and can be used to solve this model exactly in the thermodynamical limit.

We now turn to the form of the Haag-charge in the case of Bose-Einstein condensation. Assuming two-body interactions only and denoting the Fourier transform of the interparticle potential by \( V_q \) the Hamiltonian of \( N \) bosons in a volume \( V \) with periodic boundary conditions is given in usual notation by

\[
H = \sum_k \varepsilon_k^0 a_k^\dagger a_k + \frac{1}{2V} \sum_{kk'} \sum_q V_{kk'}^q \left( a_{k+q}^\dagger a_{k'}^\dagger a_{k'} - a_{k+q} a_k \right),
\]

where \( \varepsilon_k^0 = \frac{k^2}{2m} \) is the kinetic energy of a particle with momentum \( \hbar k \). Following Strocchi, we take as a Haag-charge

\[
Q = Q_0 e^{i\theta} = \lim_{V \to \infty} \frac{1}{V} \iiint dx \psi(x) = \lim_{V \to \infty} \frac{a_0^\dagger a_0}{\sqrt{V}}
\]

and require that the states of the gas form an irreducible representation of \( \mathcal{A} \) so that \( Q \) reduces to a constant. Clearly, in the case of a non-vanishing value of \( Q \), we have a macroscopic number of particles with zero momentum and the corresponding representation of \( \mathcal{A} \) describes the Bose-condensed phase of the gas. Hence we write \( Q \) as

\[
Q = \sqrt{n_0} e^{i\theta},
\]

\( n_0 \) being the density \( n_0 \) of condensed particles and in Eq. (4) replace \( a_0^\dagger \) by \( n_0^0 e^{-i\theta} \) and \( a_0 \) by the complex conjugate number. This substitution breaks the U(1) gauge symmetry (generated by the particle number operator) of the Hamiltonian. However, as an observable conserving the number of particles, the energy is gauge invariant and independent of \( \theta \). Thus, in agreement with the general remarks made above, the ground states \( |\psi_0(n_0, \theta)\rangle \) with different values of \( \theta \) have the same energy and are related to each other by a U(1) gauge transformation.

To find the appropriate Hilbert space of the representation, we neglect terms in the Hamiltonian of the order \( n_0^2 \) and \( n_0^3 \), which are small at temperatures near
absolut zero and can be treated by perturbation theory if necessary. The result is

$$H = \frac{1}{2} n_0^2 v_0^2 + \sum_k \left( \epsilon_k^0 + n_0 v_k \right) a_k a_k^\dagger + \frac{n_0}{2} \sum_k v_k \left( a_k^a a_k^a e^{2i\theta} + e^{-2i\theta} a_k^a a_k^\dagger \right),$$  \hspace{1cm} (6)$$

where the prime on the summation symbol means that $k=0$ is excluded. Diagonalizing this Hamiltonian by means of a (unitary) Bogoliubov transformation $^9$ from the particle operators $a_k$ and $a_{-k}$ to the quasi-particle operators $b_k^\dagger$ and $b_k^\dagger$, it turns out that the weakly interacting Bose gas behaves as a gas of non-interacting quasi-particles:

$$H = E_0(n_0) + \sum_k \epsilon_k b_k^\dagger b_k.$$  \hspace{1cm} (7)$$

Here $E_0$ is the ground state energy and $\epsilon_k$ the quasi-particle energy given by the dispersion relation

$$\epsilon_k = \left( \epsilon_k^0 - \frac{2 v_k^2}{n_0} \right)^{1/2}.$$  \hspace{1cm} (8)$$

It is interesting to note that for small values of $k$ the quasi-particles behave like phonons, the dispersion relation being linear in this limit:

$$\epsilon_k \sim (n_0 v_0/m)^{1/2} \ h k = \epsilon h k.$$  \hspace{1cm} (9)$$

From a more general point of view these phonon-like excitations are the massless Goldstone modes $^2$ associated with the broken generator of the U(1) symmetry group.

The many body ground state is defined by $b_k^\dagger |\Psi_0(n_0,0)\rangle = 0$ for all $k \neq 0$ and the excited states can be found by application of the quasi-particle creation operators $b_k^\dagger$ to $|\Psi_0(n_0,0)\rangle$. In this way we have been able to construct explicitly the irreducible representation of $\delta$ corresponding to the Bose-condensed phase of the system. As mentioned previously this representation is based on a ground state and all its elementary excitations.

II. SPIN-POLARIZED ATOMIC HYDROGEN

The most striking example of the Bose-Einstein condensation process realized experimentally is associated with the superfluid phase of $^4$He. Phenomenologically, $^{10}$ the characteristics of superfluid helium can be explained by assuming the dispersion
relation of the elementary excitations to be different from a particle-like expression and in particular to be linear for small momenta. To see that this feature indeed leads to superfluidity, we memorize an argument due to Bogoliubov. Describing the normal part of the quantum fluid below the \( \lambda \)-point as an assembly of non-interacting quasi-particles having an average velocity \( \mathbf{v} \) with respect to the condensate and in a state of statistical equilibrium, we find that the average number of quasi-particles with momentum \( \mathbf{h} \mathbf{k} \mathbf{v} \) and energy \( \varepsilon_\mathbf{k} \) is given by:

\[
\langle N_\mathbf{k} \rangle = \left[ \frac{\left( \varepsilon_\mathbf{k} - \mathbf{h} \mathbf{k} \mathbf{v} \right) / k_B T}{\varepsilon_\mathbf{k} - \mathbf{h} \mathbf{k} \mathbf{v} - 1} \right]^{-1}.
\]

(10)

where \( k_B \) is Boltzmann's constant and \( T \) the temperature. The magnitude of \( \mathbf{v} \) has an upper limit, because the occupation numbers must be positive. Thus we require for all \( \mathbf{k} \neq 0 \) that \( \varepsilon_\mathbf{k} > \mathbf{h} \mathbf{k} \mathbf{v} \) or equivalently \( \varepsilon_\mathbf{k} > \mathbf{h} \mathbf{k} \mathbf{v} \).

The upper bound for \( \mathbf{v} \) is therefore equal to the minimum of the ratio \( \varepsilon_\mathbf{k} / \mathbf{h} \mathbf{k} \). In the case of the particle-like dispersion relation \( \varepsilon_\mathbf{k} = \mathbf{h} \mathbf{k}^2 / 2m \) this implies that \( \mathbf{v} \) is smaller than \( \mathbf{h} \mathbf{k} / 2m \) for all \( \mathbf{k} \neq 0 \), i.e. \( \mathbf{v} = 0 \). This is what we expect: In thermal equilibrium the fluid as a whole has no velocity or more precisely the normal part of the fluid can have no velocity with respect to the condensate. However, in the case of a linear dispersion relation \( \varepsilon_\mathbf{k} = \mathbf{h} \mathbf{k} \) we find only the inequality \( \mathbf{v} < \varepsilon \). Hence, the normal part of the fluid can have a stationary (frictionless) flow in an arbitrary direction if the magnitude of the velocity is small enough. Carrying out a Galilei transformation to a coordinate system in which the quasi-particles have zero average velocity both the existence of superfluidity as well as a critical velocity above which the phenomenon cannot take place is explained.

The required linear behavior of the dispersion relation was first derived on the basis of a microscopic theory by Bogoliubov\(^7\) (along the lines described in the foregoing) and later on by Lee et al.\(^11\) who also considered the \( \mathbf{T} \mathbf{X} \mathbf{O} \) properties of the Bose-gas. Since these theories rely on the weakness of the interaction or more precisely on the smallness of the gas parameter \( (n \mathbf{a}^3)^{1/4} \), where \( n \) is the density and \( \mathbf{a} \) the scattering length, they are not able to give a reliable description of the dense \( ^4\)He liquid.

In the last decade a great deal of interest has been devoted to spin-polarized atomic hydrogen gas as a system for which \( (n \mathbf{a}^3)^{1/4} \) is small, because the small atomic mass gives rise to a low critical density above which the condensation takes place. In contrast with helium, the required densities at subkelvin temperatures are so low that essentially only two and three-body collisions are important. Together with the fact that hydrogen is the only element for which the interparticle potentials can be calculated accurately from first principles,\(^12\) it is clear that at least from a
theoretical point of view spin-polarized atomic hydrogen is an ideal candidate for
the study of the Bose-Einstein transition and of QM effects in general.

Also from an experimental point of view, atomic hydrogen has some desirable
properties: It is conveniently manipulated and (electron) spin-polarized using
easily obtainable magnetic fields ($\lesssim 10^4$ T) and most importantly it offers the
possibility of an independent control of both density and temperature, making the
system very flexible. As it turned out the main obstacle towards successful
experiments is the strong tendency of the hydrogen atoms to recombine to molecular
$\text{H}_2$ on the walls of the container. The actual stabilization of atomic hydrogen was
first carried out by Silvera and Walraven\textsuperscript{13} in their pioneering work of 1980. They
sufficiently suppressed the recombination by electron-spin polarizing the gas sample
and by covering the walls with a superfluid helium film, which has a very weak
interaction with hydrogen atoms.

After this breakthrough, opening up a completely new field of research, various
other groups throughout the world have contributed substantially to our
understanding of the physical properties of the system. We mention explicitly the
groups of T.J. Greytak and D. Kleppner at MIT, W.N. Hardy at UBC, S. Jaskola in
Turku, D.M. Lee at Cornell University, J.F. Silveira at Harvard University, J.T.M.
Walraven in Amsterdam and more recently the group of I.I. Lukashevich at the
Kurchatov Institute in Moscow. For an account of the various contributions and their
historical context we refer to the review papers of Greytak and Kleppner\textsuperscript{14} and
Silvera and Walraven.\textsuperscript{15} Here we suffice with a brief summary of the present status
in the investigation of atomic hydrogen.

Presently there are two different ways in which one hopes to establish the
Bose-condensed state. The first approach is historically the older one and aims at a
compression of a gas sample of high-field seekers (H\textsubscript{1}) in a magnetic field maximum
at relatively comfortable temperatures ($T\lesssim 100-500$ mK). However, in this temperature
range the required densities are so high that three-body recombination on the
surface and in the volume cause a rapid and sometimes even explosive decay\textsuperscript{16,17,18}
of the gas. To circumvent this problem Silvera\textsuperscript{19} has suggested applying high
magnetic fields in combination with negative hydrostatic pressures in conventional
experiments based on compression of hydrogen bubbles. Another way out has been put
forward by Kagan and Shlyapnikov,\textsuperscript{20} who propose to use strongly inhomogeneous fields
produced by an electric or magnetic needle\textsuperscript{21} to compress only a small part of the
total system.

The second approach is based on the idea of trapping hydrogen atoms in free
space,\textsuperscript{22} which makes it possible to achieve much lower temperatures since contact
with liquid helium is avoided. In this way the required densities are much smaller
and only two-body relaxation processes are of importance. Experimentally, both
static and dynamic magnetic traps seem to be feasible and temperatures in the order of 10μK may be in reach using a combination of laser (Lyman-α) and evaporative cooling.

Within the framework of the latter trapping approach experimental progress has taken place almost exclusively in connection with the static version. It is of interest for the following to point out that such static traps are based on a spatially inhomogeneous magnetic field operating on the magnetic dipole moments and necessarily confine low-field seekers (H\textsuperscript{+}). This is due to a theorem, first formulated by Wing,\textsuperscript{28} according to which it is impossible to create a $|B|$ maximum in free space. A very direct ad absurdum proof can be based on the equation $\nabla^2 B_z = 0$ with the x-axis pointing in the direction of B at the position of the field maximum, if that would exist. Clearly, $|B|$ can only have a maximum if $B_z$ has a maximum. This is, however, impossible due to $\nabla^2 B_z = 0$.

![FIG. 1. Magnetic field configuration for a hypothetical absolute maximum of $|B|$ in free space (see text).](image)

The fact that only low-field seeking atoms can be trapped introduces new decay channels associated with H\textsuperscript{+}=H transitions induced by two-body collisions. An important part of this thesis is devoted to the theoretical determination of the lifetime of a trapped H\textsuperscript{+} gas sample due to such relaxation processes.

Besides this main line of research on spin-polarized atomic hydrogen, a number of phenomena have been and are being studied which are of interest in their own right. Examples are volume and surface spin waves, the cryogenic hydrogen maser,\textsuperscript{31,32} the interaction of a gas of hydrogen atoms with (almost) resonant electromagnetic radiation\textsuperscript{26,27} and surface physics associated with scattering of hydrogen atoms from a superfluid helium film.\textsuperscript{33,34}

In all above-mentioned cases it is evident that collisions between hydrogen atoms are of utmost importance, because they cause a deviation from the ideal behavior of a Bose-gas. In particular, they are responsible for the decay of the gas and hence for its finite lifetime, placing stringent restrictions on experimental conditions. Therefore, theoretical predictions for the time scales associated with the various collisional processes do not only enhance physical insight but may also point at solutions for experimental problems. The formulation of the appropriate
theory and the numerical evaluation of the decay constants is the main subject of the present thesis.

III. HYDROGEN ATOMS AS COMPOSITE BOSONS

Since a hydrogen atom consist of a proton and an electron, both of which are spin $\frac{1}{2}$ particles, a collision between two or three hydrogen atoms leads in principle to a complicated scattering problem. Additionally, due to the magnetic moments of proton and electron not only the strong Coulomb interaction is important, but also magnetic interactions play a crucial role. In contrast with the Coulomb interaction they are capable of transferring angular momentum among the spin and orbital parts of the system as well as among the electron spin and proton spin parts, giving rise to relaxation and recombination processes that would otherwise be impossible. However, we first solve the Coulomb part of the collision problem and subsequently take the magnetic degrees of freedom into account.

The central question of the present section is the following: How can we derive a description of the collision of hydrogen atoms in terms of "elementary" bosons, taking the required antisymmetry of the wavefunction with respect to permutations of both protons as well as electrons into account exactly? In Sec. IIIA we summarize the solution to this problem in the case of two hydrogen atoms, $^{35}$ which is based on the adiabatic or Born-Oppenheimer$^{36}$ approximation. The more complicated case of three hydrogen atoms is treated in Sec. IIIB.

A. Two hydrogen atoms

We adopt the convention to use subscripts for proton and superscripts for electron coordinates throughout and introduce the notation $r=r_{12}=x_1-x_2$, $r_{12}=x_1-x_2$ and $r_{ij}=x_i-x_j$ for the relative distances. The total Hamiltonian is then

$$ H = \sum \frac{1}{2} T_1^i + \sum \frac{1}{2} T_1^i + V_{\text{Coul}} . $$  \hspace{1cm} (11)

Here, $T$ denotes a kinetic energy and $V_{\text{Coul}}$ contains all Coulomb interactions between the four charged particles:

$$ V_{\text{Coul}} = \frac{e^2}{4\pi\varepsilon_0} \left\{ \frac{1}{r_{12}} - \sum \frac{1}{r_{ij}} + \frac{1}{r_{ij}} \right\} . $$  \hspace{1cm} (12)
The ratio of the proton and electron mass being about 1836, it is an excellent approximation to separate the rapid motion of the electrons from the slow protonic motion and to assume that the state of the electrons follows the position of the protons adiabatically. Mathematically this implies that we first solve the electronic part of the problem for every internuclear distance \( r \) separately. Hence, we solve the Schrödinger equation

\[
\left\{ \sum_{I} T_{I}^{I} + V_{\text{cou}} - E(r) \right\} \left| \psi(r) \right> = 0 ,
\]

where both the eigenvalue and the eigenstate depend parametrically on \( r \). In addition, we require the total electronic state \( \left| \psi(r) \right> \) to be antisymmetric under a permutation of electrons and to correspond asymptotically \((r \to \infty)\) to two free hydrogen atoms in a \( |1s\rangle \) electronic ground state. The orthogonal solutions are then denoted by \( |2S+1 \Sigma^{+}_{g,u}(r)\rangle |SM_{S}\rangle \), with the subscript "gerade" or "ungerade" depending on the total electron spin having \( S=0 \) or \( S=1 \), respectively. (We note that the electronic wavefunction depends only on the magnitude of \( r \) when expressed in body-fixed coordinates.) The corresponding eigenvalues are the well-known \( ^{14,15} \) singlet and triplet potentials and can for future purposes be written as

\[
V(S)(r) = \langle 2S+1 \Sigma^{+}_{g,u}(r) | \left\{ \sum_{I} T_{I}^{I} + V_{\text{cou}} \right\} | 2S+1 \Sigma^{+}_{g,u}(r) \rangle .
\]

We come back to this point shortly.

The electrons following the motion of the protons adiabatically, a scattering state of the full Hamiltonian (11) with energy \( E \) has the form

\[
\left| \psi \right> = \left| \psi^{(S)} \right> |2S+1 \Sigma^{+}_{g,u}(r)\rangle |SM_{S}IM_{I}\rangle ,
\]

where \( I, M_I \) are the quantum numbers for the total proton spin and \( \left| \psi^{(S)} \right> \) obeys the Schrödinger equation

\[
\left\{ \sum_{I} T_{I}^{I} + V^{(S)} - E \right\} \left| \psi^{(S)} \right> ,
\]

determining the protonic part of the wavefunction. Parenthetically we point out that often \( V^{(S)} \) is taken to include in addition the expectation value of the nuclear kinetic energy operator in the state \( \left| \psi(r) \right> \). Because of our interest in the symmetry properties of \( \left| \psi \right> \), we make the following discussion more transparent by introducing the Heitler-London \( ^{38} \) and Shizgal \( ^{39} \) approximations. We stress, however, that this
does not affect the symmetry of the states. Within the framework of these approximations we can give the electronic states explicitly:

\begin{equation}
\left| \Psi^{2S+1} \right> = \frac{1}{\sqrt{2}} \left\{ |1s\uparrow_1|1s\uparrow_2 \rangle + (-1)^S |1s\downarrow_1|1s\downarrow_2 \rangle \right\},
\end{equation}

using an obvious notation. Substituted in Eq. (14) it leads to the usual approximate form of the singlet and triplet potentials in terms of Coulomb and exchange integrals. (Note that in Ref. 37 the Shizgal approximation, which neglects the overlap between the $|1s\uparrow_1\rangle$ and $|1s\downarrow_2\rangle$ states, is not used in order to obtain more accurate potential curves.)

We thus see that $|\Psi\rangle$ is indeed antisymmetric under a permutation of the electrons. For $|\Psi\rangle$ to be also antisymmetric under a permutation of the protons we require $|\psi(S)\rangle$ to have (even) odd parity if $S+I$ is (even) odd. If we denote the relative orbital angular momentum of the protons by $\ell$ we can summarize this simply by the symmetry rule: $\ell+S+I = \text{even}$.

Turning to an effective picture in terms of composite bosons we formulate the above-mentioned results as follows. We introduce "mathematical" hydrogen atoms $I$ consisting of electron $i$ and proton $j$. These atoms have only spin internal degrees of freedom and interact by means of the central interaction $V^C$ defined as

\begin{equation}
V^C = \sum_{S} \gamma(S) g(S),
\end{equation}

$g(S)$ being a projection operator on the part of Hilbert space corresponding to atoms with a total electron spin equal to $S$. The Hamiltonian of the two hydrogen atoms is given by

\begin{equation}
H = H_0 + V^C,
\end{equation}

where $H_0$ is the total kinetic energy of the atoms. In analogy to the above treatment $|\Psi\rangle = |\phi(S)\rangle |SM, M_1\rangle$ is a scattering eigenstate of Eq. (19) with energy $E$ if $|\phi(S)\rangle$ obeys Eq. (18). There is, however, one small difference: the kinetic energy $\Sigma_{i=1}^{p} T_i$ involves the proton mass whereas $H_0$ involves the hydrogen atom mass. Fortunately, it can be shown that non-adiabatic corrections cause a mass renormalization, which gives rise to the replacement of the proton mass by the hydrogen mass.

To make the equivalence between the two pictures complete we now require the wavefunction to be symmetric under permutations of the mathematical hydrogen atoms. As expected this leads to exactly the same symmetry rule as before: $\ell+S=I = \text{even}$. 
In this way we have been able to remove the electronic orbital degrees of freedom. They are effectively taken into account in the form of the adiabatic singlet and triplet potentials. Using a generalization of Eq. (14), also magnetic dipolar, Zeeman and hyperfine interactions can now be included in an "effective" form suitable for the composite boson picture. We refer to the thesis of de Coey\textsuperscript{40} for a summary of the final results and now turn to the interesting case of three hydrogen atoms.

B. Three hydrogen atoms

In analogy to the two-body case we apply the very accurate Born-Oppenheimer approximation and solve the extension of Eq. (13) to three electrons and protons:

\[
\left\{ 2 T_{1} + V^\text{cou} - E(\{x_{1}\}) \right\} |\psi(\{x_{1}\})\rangle = 0 ,
\]

which shows the parametric dependence of the solution on the position of the protons. Again the electronic Hamiltonian does not contain the electron spin and the quantum numbers of the total electron spin \(S\) are conserved. However, in contrast with the previous section we now have totally symmetric spin states (\(S=\frac{3}{2}\)) and also spin states of a mixed symmetry (\(S=\frac{1}{2}\)). Instead of totally symmetric and antisymmetric spin states. In the case of mixed symmetry the completely antisymmetric solution of Eq. (20) is not the product of a spin state and a state describing the orbital degrees of freedom but instead a sum of two such products.

Both the \(S=\frac{3}{2}\) and \(S=\frac{1}{2}\) states are relevant to this thesis, because they correspond to the initial and final states of the dipolar recombination process. Therefore, we will consider both, using again the Heitler-London and Shizgal approximations to make the discussion as transparent as possible and concentrate on the fulfillment of the (anti)symmetry requirements. However, before doing so it is useful to memorize some facts about the permutation group.\textsuperscript{41}

An irreducible representation of the permutation group can be characterized by the Young-tableau \([\lambda]\), with \(\lambda\) a partition of the number of particles \(N\) considered. In the present case of three electrons we have three tableaus \([3]\), \([21]\) and \([111]=[1^{3}]\), which correspond to a symmetric representation, a two-dimensional representation of mixed symmetry and an antisymmetric representation, respectively. A convenient way to find states that transform according to a \(D\)-dimensional (unitary) representation \(\Gamma[\lambda]\) of the permutation group is by means of the projection operators:

\[
\omega_{\alpha}^{[\lambda]} = \frac{D}{N!} \sum_{p} \Gamma[\lambda]_{\alpha \alpha}^{(p)} (p) .
\]
the sum being over all permutations. Crucial for our purpose is the observation that an antisymmetric representation can be formed out of the direct product of \( \Gamma^{[\lambda]} \) and the conjugate representation \( \Gamma^{[\lambda^*]} \). Explicitly we have

\[
\psi^{[\lambda^*]} = \frac{1}{\sqrt{3}} \sum_{\alpha} \left( \psi^{[\lambda]} \right)_\alpha \left( \psi^{[\lambda^*]} \right)_\alpha .
\]  

(22)

where the conjugate partition \( \lambda^* \) and index \( \alpha^* \) are found by transposition of the Young-tableau \( [\lambda] \). For example, if we consider two fermions we have \( [Z^*]=[11] \) and Eq. (22) is the obvious statement that the product of a symmetric and an antisymmetric wavefunction is antisymmetric.

After this digression we are now ready to discuss the eigenstates of Eq. (20). First we consider the simple case \( S=\frac{1}{2} \). Denoting the total spin of electrons 1 and 2 by \( s \), we have in spin space the symmetric state \( |(s_1^+s_2^+)| \) belonging to \( \Gamma^{[3]} \). In configuration space we therefore need an antisymmetric state

\[
|\phi^{[11]}((x_1))> = \sqrt{3} \omega^{[11]} |1s>^1_1 |1s>^2_2 |1s>^3_3 =
\]

\[
\times \sqrt{3} \omega^{[11]} |123>
\]

(23)

with spectroscopic designation \( 1s^3 A_2^* \), \( A_2^* \) standing for the \( [111] \) representation and the prime indicating reflection symmetry with respect to the plane of the nuclei. The electronic state then becomes

\[
|\phi^{[11]}((x_1))> = |\phi^{[11]}((x_1))> |(1\hat{a})\hat{M}_S > .
\]  

(24)

Calculating the adiabatic energy surface determining the motion of the protons by means of (cf. Eq. (14))

\[
V(|x_1>) = <\phi^{[11]}((x_1))| \left\{ \sum \Gamma^1 + V^{\text{cou}} \right\} |\phi^{[11]}((x_1))> .
\]

(25)

and neglecting the terms giving rise to a three-body force, it turns out that the surface is just the sum of the three triplet pair-potentials, which is equal to

\[
V(|x_1>) = <(1\hat{a})\hat{M}_S | \sum \Gamma^1 \frac{1}{x_1} |(1\hat{a})\hat{M}_S >
\]

(26)

using the convenient spectator-index notation. \( \Gamma^1 \) denoting the pair of atoms \((jk)\) with \( j \neq 1 \) and \( k \neq 1 \). To find the wavefunction of the total system we now have to
supplement (24) with the states \(|(i\uparrow)_{I_1}\rangle\) and \(|\psi^{(S)}_S\rangle\), describing the spin and orbital degrees of freedom of the protons, respectively. Furthermore, requiring the complete wavefunction to be antisymmetric under proton permutations we find the (unnormalized) state

\[ |\psi\rangle = (1+F) \left| \psi_1^{[2]} \right| \left| \psi^{[111]}_1 \left( x_1 \right) \right| \left| (i\uparrow)_{I_1} \right\rangle. \]  

(27)

Here we introduced the operator \( F \), which is the sum of the two cyclic permutation operators of the mathematical atoms.\(^ {42}\) Together with the symmetry rule \( \ell + s + I = \text{even} \) (\( \ell \) is the relative angular momentum of protons 1 and 2) this wavefunction is totally antisymmetric under both electron and proton permutations.

If \( S = \frac{1}{2} \) we have two antisymmetric electronic states since we can build two \([21]\) representations, to be distinguished as \([21]\)' and \([21]\)'', from the 1s\(^3\) orbital configuration: This follows from the direct product rule \( \Gamma([1]_s\Gamma([1]'\Gamma([3]'\Gamma([21]'\Gamma([111]'\). On the other hand for fixed \( MS \) we have only one \([21]\) spin representation. Using the Yamanouchi standard orthogonal representation\(^ {41}\) to obtain the appropriate projection operators we easily find:

\[
\begin{align*}
|\psi_0^{[111]}\rangle &= \frac{1}{\sqrt{2}} \left\{ |\psi_1^{[21]}\rangle \left| (0\uparrow)_{\downarrow MS} \right\rangle - |\psi_2^{[21]}\rangle \left| (\downarrow\uparrow)_{\downarrow MS} \right\rangle \right\} \\
|\psi_1^{[111]}\rangle &= \frac{1}{\sqrt{2}} \left\{ |\psi_1^{[21]}\rangle \left| (0\uparrow)_{\downarrow MS} \right\rangle - |\psi_2^{[21]}\rangle \left| (\downarrow\uparrow)_{\downarrow MS} \right\rangle \right\}
\end{align*}
\]

(28)

where we suppressed the parametrical dependence of \(|\psi_0^{[111]}\rangle\) and \(|\psi_1^{[21]}\rangle\) on the position \( (x_1) \) of the protons. The states \(|\psi_1^{[21]}\rangle\), which are characterized spectroscopically by 1s\(^3\) \( E^- \), can be given explicitly in the Heitler-London approximation:

\[
\begin{align*}
|\psi_1^{[21]}\rangle &= \frac{1}{\sqrt{12}} \left\{ 2|123\rangle + 2|213\rangle - |132\rangle - |321\rangle - |231\rangle - |312\rangle \right\} \\
|\psi_2^{[21]}\rangle &= \frac{1}{2} \left\{ |132\rangle - |321\rangle - |231\rangle + |312\rangle \right\} \\
|\psi_1^{[21]}'\rangle &= \frac{1}{2} \left\{ |132\rangle - |321\rangle + |231\rangle - |312\rangle \right\} \\
|\psi_2^{[21]}'\rangle &= \frac{1}{\sqrt{12}} \left\{ 2|123\rangle - 2|213\rangle + |132\rangle + |321\rangle - |231\rangle - |312\rangle \right\}
\end{align*}
\]

(29)

The adiabatic (Born-Oppenheimer) states for \( S = \frac{1}{2} \) are found by a diagonalization
of the matrix

$$V_{SS'}((x_j)) = \langle \psi_S^{[111]}((x_j)) \left| \sum \psi_{1}^{c} \right\rangle \psi_S^{[111]}((x_j)) \rangle \ .$$

(30)

In analogy to the discussion of the case $S=\frac{3}{2}$ it can be shown that if we neglect terms leading to a three-body force, Eq. (30) is equal to

$$V_{SS'}((x_j)) = \langle (s_1^{\frac{1}{2}}) \psi_S \left| \sum \psi_{1}^{c} \right\rangle (s_1^{\frac{1}{2}}) \psi_S \rangle \ .$$

(31)

and the complete six-body wavefunction $|\psi\rangle$ is a linear combination of the completely antisymmetric states

$$|\psi_S\rangle = (1+P) |\phi_S^{(\frac{1}{2})}\rangle |\psi_S^{[111]}((x_j))\rangle |(s_1^{\frac{1}{2}})\rangle |M_S\rangle \ .$$

(32)

again with $\delta+s+1$ = even.

Returning to a composite boson picture we notice that due to Eqs. (25) and (31) we are able to remove the electronic orbital degrees of freedom from the description and still obtain the correct Schrödinger equation for $|\psi_S^{(S)}\rangle$ if we use the expected Hamiltonian (cf. Eq. (19)) for three interacting hydrogen atoms

$$H = H_0 + \sum \psi_{1}^{c} \ .$$

(33)

and in addition the correspondence

$$\begin{cases}
|\psi_S^{[111]}((x_j))\rangle \rightarrow |(s_1^{\frac{1}{2}})\rangle |M_S\rangle \\
|\psi_S^{[111]}((x_j))\rangle \rightarrow |(s_1^{\frac{1}{2}})\rangle |M_S\rangle \\
|\psi_S^{[111]}((x_j))\rangle \rightarrow |(s_1^{\frac{1}{2}})\rangle |M_S\rangle \ .
\end{cases}$$

(34)

If we substitute Eq. (34) in Eqs. (27) and (32), it is clear that in this way we have achieved our objective of reducing the original six-body problem to a three-body problem. The antisymmetry requirement for the electrons and protons constituting the hydrogen atoms is taken into account by using the singlet and triplet pair-potentials and the additional requirement of symmetry of the wavefunction under permutations of the mathematical atoms. Again we are now able to find expressions for an "effective" form of the magnetic interactions with obvious results. Because of the relevance to the dipolar recombination process we note in particular that the electron-electron magnetic dipolar interaction also reduces to the sum of the three interparticle pair-potentials as in Sec. IIIA.
After the above justification of the "elementary particle" treatment of the two and three-atom system it is evident that we can apply formal scattering theory to two and three-body collisional phenomena in a gas of hydrogen atoms. For a mathematically rigorous formulation of this theory we refer to the works of Newton and Amrein et al. A more informal introduction to physically important quantities such as the S(scattering) and T(transition) matrix, which play a central role in this thesis, is given by Messiah, Taylor, Cöckel, and de Coey. In particular Ref. 40 gives a pedestrian approach to scattering theory using Feynman-like diagrams to clarify the physics involved.

![Diagram](image)

**FIG. 2.** Rabi diagram of the four hyperfine states of atomic hydrogen in the 1s ground state.

### IV. SCOPE OF THIS THESIS

The remaining part of this thesis consists of six publications in the scientific literature. The subjects covered can be grouped into two parts, associated with two and three-body collisional processes, respectively. The first part (Chapters 2 to 4) is in particular relevant to the analysis of relaxational processes in atomic traps, whereas the second part (Chapters 6 and 7) is concerned with three-body recombination, which is the dominant decay mechanism in the high density schemes for the achievement of the Bose-Einstein condensed state.

Chapter 2 is devoted to a study of all possible (exchange and dipolar) relaxation processes, induced by H+H collisions, among the hyperfine states of
atomic hydrogen, which are conventionally \(^{14,15}\) denoted by \(|a\rangle\), \(|b\rangle\), \(|c\rangle\), and \(|d\rangle\) in order of increasing energy (cf. Fig. 2). The calculation of the rate constants \(C_{\alpha\beta}\) of the various relaxation processes is especially important for the analysis of the decay of magnetically trapped atomic hydrogen (\(H_\text{t}\)). Recently, experiments carried out in Amsterdam by Walraven's group \(^{24,47}\) have shown excellent agreement with the results presented in this chapter, when averaged over the magnetic field and density profiles in the trap.

In the case of the cryogenic hydrogen maser it has been shown by our group \(^{32}\) that hyperfine induced effects ultimately limit the frequency stability of the maser and cause a dependence of the frequency shift on the level populations. Therefore, relaxation affecting these populations is also of importance for a detailed study of the hydrogen maser.

In Chapter 3 we explore the possibility of a microwave trap, which was first proposed by Agosta and Silvera \(^{48}\) as a way to trap predominantly b-atoms, which are expected to have a much longer lifetime than d-atoms trapped in a static magnetic field minimum. To discuss the finite lifetime of the gas due to collisional processes we are faced with the additional complication of the microwave radiation field. As was pointed out by Julienne \(^{49}\) this problem can be solved conveniently if one realizes that the asymptotic states of the scattering process are (two-body) dressed-atom \(^{50}\) states. With this in mind we can immediately apply the methods (in particular the degenerate-internal-states approximation \(^{51}\)) of Chapter 2 to find the appropriate decay constants. Chapter 3 is identical to a publication written in collaboration with C.C. Agosta and I.F. Silvera (Harvard University). Although only the calculation of the lifetime in terms of dressed states forms part of this thesis work, we include the full contents of the publication for completeness sake. The principal conclusion is that relatively long lifetimes are obtainable if one is able to use strong microwave fields. However, the main, as yet unsolved, experimental difficulty is connected with the filling of the trap.

The results of Chapters 2 and 3 rely on the fact that the temperature of the gas is much higher than the critical temperature \(T_\text{c}\) for Bose-Einstein condensation. Hence, the translational degrees of freedom are described by a Maxwell-Boltzmann distribution. In Chapter 4 we drop this restriction and consider the full temperature range and especially \(T<T_\text{c}\). With the application of magnetically trapped atomic hydrogen (\(H_\text{t}\)) in mind our primary interest is the calculation of the dipolar relaxation rate constants \(C_{dd}\). We find that at \(T=0\) \(C_{dd}\) is a factor of 2 smaller than the nondegenerate result and we even find small differences above \(T_\text{c}\) for \(dd\) relaxation. These phenomena may be useful for the detection of Bose-condensation. Although the results are based on a homogeneous system, they can easily be extended to the inhomogeneous case. An accurate procedure
is the use of the local-density approximation to calculate the density profile and subsequently average the rate constants over this profile.

Chapter 5 can be considered as an intermediate chapter, connecting the two and three-body parts of this thesis. It is concerned with the study of the full (also off-the-energy-shell) T-transition matrix and gives the momentum space formulation of the effective range theory, which was extended to arbitrary dimensions by our group. Although the subject seems somewhat mathematical it is connected to the low temperature behavior of relaxation and recombination processes occurring in atomic hydrogen adsorbed on a (superfluid) helium film. In addition, the method proposed may also be useful in the context of nuclear physics since it gives a prescription to find an (in a certain sense) optimal separable approximation to the T-matrix, which is important for the solution of three-body Faddeev or in general few-body equations.

Finally, Chapters 6 and 7 are devoted to an evaluation of the three-body dipolar recombination rate constant \( I_{\text{eff}} \) for the process \( \text{H}+\text{H}+\text{H}_2 \rightarrow \text{H}_2 \) induced by the interatomic magnetic dipolar interaction. This interaction being included as a first-order perturbation, the problem reduces to the calculation of two collision wave functions, one for the initial \( \text{H}+\text{H} \) state and one for the final \( \text{H}_2+\text{H} \) state, both including the central interaction exactly. The theoretical formulation used has been described more completely in Ref. 42 and in two previous papers, written by our group in collaboration with W. Clöckle of the University of Bochum. In particular Ref. 58 gives an elaborate discussion of the exact initial state of the recombination process obtained by the solution of the Faddeev equations in momentum space. Moreover, it gives a thorough derivation of the coupled-channels equations which are used in Chapter 6 to analyze the decay of atomic hydrogen at very high magnetic fields.

Historically, the first (approximate) calculation of the dipolar recombination rate was made by Kagan et al., in their important paper giving an overview of the various processes leading to decay of spin-polarized atomic hydrogen (\( \text{HI} \)) at high densities. Although, this calculation gave the correct order of magnitude at about 8T, the magnetic field dependence was in error; Experiments (at that time carried out only below 10T) showed a slight decrease whereas Kagan's model gave a steep increase as a function of magnetic field. Therefore, de Coey et al. suggested that an additional process (the dipole-exchange mechanism), which was considered to be negligible by Kagan et al., is important at low magnetic field strengths. Because of fundamental problems in the accurate evaluation of this contribution, we decided to start more exact calculations hoping to resolve the existing discrepancies ultimately. To that end we first calculated the exact initial state of three spin-polarized hydrogen atoms with triplet interactions, which confirmed that the
The following goal was the determination of the final atom-molecule state. However, computational power being limited, an exact approach seemed not to be feasible in this case. Therefore, we decided to follow a two-step approach, which would enhance physical insight in the recombination mechanism. In the first step (Ref. 57 and Chapter 6) we evaluated the contribution of Kagan's dipole mechanism as exactly as possible. In contrast with the approximation of Kagan et al., who neglect all interactions between atom and molecule, we included the possibility of (in)elastic atom-molecule scattering but excluded virtual break-up and most importantly rearrangement, which is directly connected to the dipole-exchange mechanism. Since it turned out that our calculation is not able to explain the experimental data we were led to the conclusion that rearrangement is important and even dominant below 10T.

As a second step we turn in Chapter 7 to a model for the final state, which includes for the first time (in)elastic scattering both with and without rearrangement and neglects only virtual break-up of the molecule. Although there remain serious differences with experiment, our model is able to explain qualitatively both the almost magnetic field independent behavior of $L^{\text{eff}}$ below 10T and the high values of the recombination rate found in recent experiments in the field range 10-20T. We believe that these high values are due to states of the long-range $H_2$ molecule, which give rise to resonances at specific magnetic field strengths, as is shown in Fig. 3. In view of the long-range nature of the molecular states, virtual break-up of the molecule is expected to be very important in obtaining reliable quantitative results. However, including this possibility will only alter the width and the position of the resonance structure but will not change the flat behavior of the background. Therefore, the qualitative picture of the
recombination process given in Chapter 7 is believed to be correct.
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CHAPTER 2:
SPIN-EXCHANGE AND DIPOLE RELAXATION RATES IN ATOMIC HYDROGEN:
RIGOROUS AND SIMPLIFIED CALCULATIONS
Spin-exchange and dipole relaxation rates in atomic hydrogen: Rigorous and simplified calculations

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We calculate the magnetic field and temperature dependence of the rates for all two-body spin-exchange and dipolar transitions among hyperfine levels in cryogenic H gas by means of the coupled-channels method. A description of this method and its practical application is presented. A simple interpretation of the rates is given, in some cases with associated simple closed-form formulas, based on the degenerate-internal-states approximation.

I. INTRODUCTION

In the past few years spin-polarized atomic hydrogen gas at subkelvin temperatures has attracted a great deal of attention. Already at lower densities the exceptional properties of this gas have shown up via the observation of spin waves.\(^1\) They have also been exploited in successful attempts to create subkelvin H masers,\(^2\) with the aim of improving upon the frequency stability of existing atomic frequency standards. The primary interest, however, is still associated with the achievement of the temperature-density regime where effects due to Bose degeneracy are expected to show up. Although Bose- or Fermi-degenerate systems have already been studied extensively in various parts of physics, atomic hydrogen gas promises to be exceptional also from this point of view.

In nuclear matter, for instance, the role of occupied levels in damping nuclear collisions within the Fermi sea and thus in explaining the validity of the nuclear shell model is qualitatively clear, but not easily calculated quantitatively owing to the high nucleon density, which makes it difficult to disentangle pure blocking effects from three- and more-particle collisions. A similar remark applies to superfluid helium considered as a Bose-degenerate system.

Degeneracy in polarized atomic hydrogen promises to be realizable in circumstances where average interatomic distances are comparable to the de Broglie wavelength, but much larger than the range of interatomic forces, so that the microscopic occupation of single-particle states will reveal itself in (relaxation and transport) properties describable with pure two-body collisions. The possibility, at least in principle, of independently controllable density and temperature is another attractive feature of Bose-degenerate atomic hydrogen, which distinguishes it from other systems like nuclear matter, superfluid helium, or the electron gas in metals.

Experimental and theoretical work on this topic has had a strong impetus recently by the idea of confining the polarized atomic gas in a magnetic trap, thus avoiding the decay of atomic density at three-body collisions at the helium-covered walls of a gas cell. In a static trap of this kind,\(^3\) however, other decay modes arise through two-body collisions of atoms in the two highest 15 hyperfine states \(|c\rangle\) and \(|d\rangle\), leading to depolarization via the formation of atoms in the lowest states \(|a\rangle\) and \(|b\rangle\). A coupled-channels calculation of the associated rates has been presented by Legendij et al.\(^4\).

The purpose of the present paper is threefold. (1) To describe in a more complete form the coupled-channels method, which has been used for the calculation of the H-maser frequency shift\(^5\) and of the above-mentioned relaxation rates, both due to H-H collisions. (2) To present new values for these rates based on a more accurate numerical calculation and a new H-H singlet potential describing more precisely the experimental data on singlet binding energies. (3) To present a simplified description, in some cases with associated closed-form formulas, for the two-body rates in various regimes of temperature and magnetic field.

Apart from making clear how calculations described in previous papers on the H-maser frequency shifts and on the rates in magnetic traps have been carried out, we believe that the new numerical values as well as the simple closed-form formulas will be extremely helpful for experimental groups working in these areas. For instance, the behavior of the H \( ^1 \) gas in the first stages of confinement in a trap can be analyzed using the \( T \neq 0 \) rate constants. Also, for further work on the H maser, the present calculations are of interest. To devise strategies for circumventing the source of frequency instability of the cryogenic H maser, indicated in Ref. 5, it is necessary to know the partial \(|a\rangle, |b\rangle, |c\rangle, \) and \(|d\rangle\) densities of the hydrogen gas in the cavity. Information about rates for collisional transitions among the hyperfine levels is needed to determine these partial densities theoretically.

In Sec. II A we summarize some equations of scattering theory necessary to derive \( S-\) and \( T\)-matrix elements for relaxation processes. In Sec. II B we consider the degenerate-internal-states approximation to these elements, and Sec. II C is devoted to the two-body rate equations. In Sec. III we describe our coupled-channels method in a more complete way than has hitherto been done.\(^6\) The new results for the rates obtained in this way are presented in Sec. IV. We also consider the temperature dependence of some of them and in addition give a
simplified description. Part of this has already been briefly pointed out in a previous paper on atomic deuterium in traps. Finally, some conclusions are given in Sec. V.

11. TRANSITION AMPLITUDES AND RATES

A. S matrix for coupled channels

In this section we summarize some expressions, necessary in the following to calculate the rates and to find simplified expressions for some of them. Although the general structure of the final result for the \( S \) matrix is obvious from the outset, its normalization has given rise to factor of 2 errors in connection with \( ab \rightarrow ab \) and \( ab \rightarrow aa \) relaxation in the case of spin-polarized atomic hydrogen. For that reason it is necessary to define explicitly our conventions with respect to the \( S \) matrix, as well as the precise normalization of the rate coefficients \( \mathcal{G} \) [cf. the factor \( 1/\Delta \omega \) in Eq. (16)].

We start by considering the collision of two distinguishable particles with internal degrees of freedom, that are described by a Hamiltonian \( \mathcal{H}_{\text{int}} \) for each of the atoms with corresponding eigenstates:

\[
\mathcal{H}_{\text{int}} | \alpha \rangle = \epsilon_{\alpha} | \alpha \rangle .
\]

The total Hamiltonian of the two particles in the center-of-mass system is

\[
\mathcal{H} = \frac{\mu^2}{2\mu} + \sum_{\alpha} \mathcal{H}_{\text{int}} + V = \mathcal{H}_0 + V ,
\]

where \( \mu \) is the relative momentum, \( \mu \) is the reduced mass, and \( V \) represents the effective interaction among the particles, that may depend on the internal variables and is considered to be of finite range, i.e., \( V(r) \) may be neglected beyond a certain value of \( r \). Furthermore, we have introduced the free Hamiltonian \( \mathcal{H}_0 \) with eigenstates \( | \alpha \alpha \rangle \) normalized as

\[
(\langle \alpha \mid \alpha \alpha \rangle) = \delta_{\alpha \alpha} .
\]

The associated eigenvalues are

\[
E_{\alpha \alpha} = \frac{\mu^2}{2\mu} + \epsilon_{\alpha} + \epsilon_{\alpha} .
\]

The scattering rate \( \langle \psi^{(+)}_\alpha \mid 1/r \mid \psi^{(+)}_\beta \rangle \) and in the time-dependent picture developing out of the initial state \( | \alpha \alpha \rangle \), and incorporates all the available information of the scattering process has the familiar asymptotic behavior:

\[
(\langle \alpha | \psi^{(+)}_\alpha \rangle) \sim \frac{1}{(2 \pi \hbar)^2} \left[ e^{i\epsilon_{\alpha} r/m} | \alpha \beta \rangle + \sum_{\alpha, \beta} \langle \alpha \beta | S_{\alpha \alpha \beta} | \alpha \beta \rangle \right] \\
\times e^{i \epsilon_{\alpha} r/m} | \alpha \beta \rangle ,
\]

(3)

(4)

with \( S_{\alpha \alpha \beta} \equiv S_{\alpha \beta} \). For the derivation of this equation we refer to the literature.\(^{5,9}\) Furthermore, we have introduced the scattering amplitude for distinguishable particles

\[
\langle \psi^{(+)}_\alpha | \psi^{(+)}_\beta \rangle \equiv -\frac{\mu^2 \epsilon_{\alpha} \epsilon_{\beta}}{2\hbar^2} | \langle \psi^{(+)}_\alpha | \psi^{(+)}_\beta \rangle |
\]

and also defined the asymptotic magnitude of the momentum in channel \( \langle \alpha \beta \rangle \) as

\[
P_{\alpha \beta} \equiv \sqrt{2\mu E_{\alpha \alpha}} - \epsilon_{\alpha} - \epsilon_{\beta} ,
\]

the square root being positive real (open channels) or positive imaginary (closed channels).

Using the Lipmann-Schwinger equation \(^{5,9}\) for \( \langle \psi^{(+)}_\beta \rangle \) and the notation \( G_0(\alpha) = 1/|\alpha \rangle - H_0 \) for the free resolvent operator, it is possible to derive the well-known \(^{9}\) equation for the \( T \) operator in Eq. (5):

\[
T(z) = V + V G_0(z) T(z) ,
\]

that can, in principle, be solved for arbitrary complex \( z \), representing the total energy available to the two-particle system.

Note that in an arbitrary plane-wave matrix element \( \langle \beta \mid \alpha \beta \rangle \) no specific relation among \( \beta \), \( \alpha \), and \( z \) needs to be fulfilled. This so-called off-shell \( T \) matrix plays an important role in the three-body problem.\(^{5}\) In the present paper where only two-body collisions are considered, it will be more profitable to confine our attention to the on-shell \( T \) (and \( S \) matrix) but to consider it as a function of independent channel momenta \( p_{\alpha \beta} \) of all coupled channels. We return to this in the second part of this section.

The expressions given so far were based on the \( p \) representation. For actual calculations it is far more convenient to work with angular momentums. We therefore transform to an angular momentum basis \( | \ell \ell m \rangle \) in the momentum space of the particles, defined by

\[
(\ell | \ell \ell m \rangle = \delta_{\ell \ell} \delta_{\ell \ell} | \ell \rangle ,
\]

Equation (5) for the scattering amplitude can now be reformulated, introducing the \( S \) matrix by

\[
S_{\ell \ell \ell \ell m m} \equiv \delta_{\ell \ell} \delta_{\ell \ell} \delta_{m m} .
\]

The aforementioned \( S \) matrix can be proven to be unitary, which corresponds to the conservation of particles. Furthermore, in the case that internal parity is unchanged \( (| l = l^\prime | \) is even), it turns out to be symmetrical. To define the \( S \) matrix symmetrically in all cases, a phase-factor \( i\) is to be added to every spherical harmonic.\(^{10}\) We thus find
We are left with the generalization to identical particles. Because of our interest in atomic hydrogen we will confine ourselves to bosons. It turns out that Eq. (10) is again valid for the symmetrized wave function \( \langle \phi | \tilde{\psi}(x') \rangle \). If we add a factor 2\( \sqrt{2-\delta_{zz}} \) to the right-hand side and replace all channel states by symmetrized and normalized states \( | \text{plm} \rangle \) defined by
\[
| \text{plm} \rangle = \frac{| \text{plm} \rangle + (1-1) | \text{plm} \rangle}{\sqrt{2(1+\delta_{zz})}}, \tag{11}
\]
in addition, the right-hand side now contains symmetrized S-matrix elements \( S_{\text{plm'}}(w',w';1,1,1,0) \) and corresponding Kronecker symbols \( \delta_{\text{plm'},\text{plm''}} \). Equation (10) modified in this way is used in the discussion of the coupled-channels approach in Sec. III.

E. Degenerate-internal-state approximation

In a previous paper we already pointed out briefly the importance of neglecting in a specific way the differences between internal energy levels. Here we will formulate this approach in a more general form, applicable also for \( T \neq 0 \). Let us first consider exchange transitions. The general idea is to consider an angular momentum basis T-matrix element as a function of all channel momenta involved. In simplified notation
\[
T_{\text{pl'''}}(p_{\text{pl'}}) \cdots (p_{\text{pl'}}), \cdots (p_{\text{pl'}}, \cdots, p_{\text{pl'}}) \]
and to split off factors \( p_{\text{pl'}}^{1/2} \) and \( p_{\text{pl'}}^{1/2} \) pertaining to the low-energy dependence in the initial and final channels, respectively. The remaining factor is approximated by its (in general nonvanishing) value for \( p_{\text{pl'}} = p_{\text{pl'}} = \cdots = p_{\text{pl'}} = p \), for which the limit \( p \to 0 \) may be taken, but also a common value \( p \neq 0 \).

\[
T_{\text{pl'''}}(p_{\text{pl'}}) \cdots (p_{\text{pl'}}), \cdots (p_{\text{pl'}}, \cdots, p_{\text{pl'}}) = (p_{\text{pl'}}/p_{\text{pl'}})^{1/2} (p_{\text{pl'}}/p_{\text{pl'}})^{1/2} T_{\text{pl'''}}(p_{\text{pl'}}, \cdots, p_{\text{pl'}}, \cdots, p_{\text{pl'}}). \tag{12}
\]

Note that for exchange transitions \( l_f = l_i \). Note also that the degenerate-internal-states approximation (usually referred to as adiabatic but also as sudden approximation) is often thought to be a typical high-energy approximation, in the sense that all differences between internal energies should be small compared to the asymptotic kinetic energy. In such a case a choice for \( p \) so that it is of the order of the asymptotic channel momenta, is preferable. We already pointed out in Ref. 7, however, that this high-energy condition is too strong.

For dipolar transitions the degenerate-internal-states approximation does not work in the form (12). Due to the long range of the dipole interaction the low-energy behavior of dipolar T-matrix elements does not correspond to the above-mentioned powers of \( p_f \) and \( p_i \). It is possible, however, to implement the degenerate-internal-states approximation for dipolar transitions in a different way. In all cases a calculation to first order in \( p^d \) is sufficiently accurate, i.e.,
\[
T_{\text{pl'''}}(p_{\text{pl'}}) \cdots (p_{\text{pl'}}), \cdots (p_{\text{pl'}}, \cdots, p_{\text{pl'}}) = (p_{\text{pl'}}/p_{\text{pl'}})^{1/2} T_{\text{pl'''}}(p_{\text{pl'}}, \cdots, p_{\text{pl'}}, \cdots, p_{\text{pl'}}). \tag{13}
\]

The subscript \( e \) indicates that the corresponding scattering state is distorted by central interactions only. The state \( | \text{plm'''} \rangle \) describes a time-reversed scattering process and therefore obeys incoming asymptotic boundary conditions. We now apply the degenerate-internal-states approximation to initial and final states separately, so that both can be expressed as linear combinations of pure singlet and triplet scattering states. We come back to this in Sec. IV B.

C. Rate equations

In this subsection we present expressions for two-body rate constants in terms of the symmetrized S-matrix elements introduced above. A safe way to derive these, from the point of view of avoiding factor of 2 identical-particle errors, is to make use of a quantum Boltzmann equation that can be derived from the BBGKY hierarchy.\(^3\)
Here $\rho$ represents the one-particle density matrix, normalized according to $\text{Tr}(\rho) = 1$, $n$ is the particle density, and $q$ the relative momentum of the colliding pair. The right-hand side contains a thermal average with respect to $q$. In a previous paper, we used Eq. (14) with the coherence of the $a$ and $c$ states included ($\rho_{ac} = \rho_{ac}^{\ast} w_0$) as a starting point to derive the spin-exchange frequency shift of the cryogenic $H$ mass. The same equation may serve as a starting point to derive the two-body rate equations. In this case the hyperfine states contribute incoherently:

$$n_{a\sigma} n_{c\sigma'} \Delta v_{ac}.$$  

(15)

We use the unitarity of the $S$ matrix to express the elastic $S$ matrix element occurring in Eq. (14) in terms of inelastic ones. This leads to the well-known combination of "in" and "out" terms:

$$\frac{d}{dt} n_{a\sigma} = \sum_{\sigma'} \left[ \left( 1 + \delta_{c\sigma'} \right) G_{a\sigma, a\sigma'} n_{c\sigma}^0 - G_{a\sigma, c\sigma'} n_{c\sigma} n_{a\sigma'} \right]$$  

(16)

In which the relaxation constants are given by

$$G_{a\sigma, a\sigma'} = \frac{\mu_B^2}{4\pi \hbar^2} \sum_{r,m} \left| S_{a\sigma, a\sigma'}^{r,m} n_{a\sigma} \right|^2$$

$$- \delta_{c\sigma'} \left| \langle a| S_{c\sigma', a\sigma'}^{r,m} | b \rangle \rangle \right|^2.$$  

(17)

Equation (17) gives the desired relation between the macroscopic quantities $G_{a\sigma, a\sigma'}$ and the microscopic $S$ matrix, that can be used to calculate the rate constants of all possible exothermic transitions among the hyperfine states of atomic hydrogen. It is sufficient to consider only these transitions, because the rate constants of a process and its inverse are related by

$$G_{a\sigma, a\sigma'} = G_{a\sigma', a\sigma} - G_{a\sigma, a\sigma'} n_{a\sigma} n_{a\sigma'}.$$  

(18)

### III. COUPLED-CHANNELS APPROACH

We start by describing in more detail the effective Hamiltonian (2). Considered as a four-particle problem (two electrons and two protons), a collision between hydrogen atoms is a complicated process. It is well known, however, that the problem can be considerably simplified by means of the Born-Oppenheimer and Shizgal approximations, which replace the total Hamiltonian by an effective expression (2) in which $H^{\text{eff}}$ consists of the hyperfine and Zeeman interactions for each of the atoms:

$$H^{\text{eff}} = \frac{\epsilon_0}{2} \sigma_i^0 \sigma_i^0 + \mu_e \vec{B} \cdot \sigma_i + \mu_p \vec{B} \cdot \sigma_i$$  

(19)

with $\epsilon$ the hyperfine constant, $\mu_e$ and $\mu_p$ the electron and proton magnetic moments, respectively, and $\sigma_i$ the Pauli spin matrices. The eigenstates of this internal Hamiltonian are conventionally denoted by $|a\rangle$, $|b\rangle$, $|c\rangle$, and $|d\rangle$ in order of increasing energy. In terms of electron (1) and proton spins (2) they have the form

$$|a\rangle = \cos \theta |\uparrow\rangle - \sin \theta |\downarrow\rangle,$$

$$|b\rangle = |\uparrow\rangle,$$

$$|c\rangle = \cos \theta |\uparrow\rangle + \sin \theta |\downarrow\rangle,$$

$$|d\rangle = |\downarrow\rangle,$$

(20)

where $\theta$ follows from $\tan(2\theta) = \sigma / (2B \mu_e \mu_p)$. Furthermore, $H$ contains the effective interaction

$$V = V^e + V^p.$$  

(21)

We use the notation $V^e$ for the central (singlet and triplet) interactions

$$V^e = V_3 (r) \rho_{33} + V_1 (r) \rho_{11},$$  

(22)

$\rho_{33} (r)$ denoting a projection operator on the subspace of singlet (triplet) states. The operator $V^p$ stands for the sum of the electron-electron and electron-proton magnetic dipole interactions. It is a scalar product of operators of rank 2:

$$V^p (r) = \frac{\hbar \mu_e^2}{4\pi \hbar^2} \sum_{\sigma} \left[ \frac{4\pi}{5} \left( -1 \right)^{\nu} \rho_{22}^{1/2} \rho_{22}^{1/2} + \frac{\mu_p}{\hbar} \right] \left[ \frac{4\pi}{5} \left( -1 \right)^{\nu} \rho_{22}^{1/2} \rho_{22}^{1/2} \right]$$

(23)

The tensor operator $\Sigma^p$ can be thought of as arising from the coupling of $\sigma$ and $\sigma'$ to a spin operator of rank 2. As usual we leave out the proton-proton dipole term.

After this description of the effective Hamiltonian we turn to the problem of solving the time-independent Schrödinger equation. We introduce a fully symmetrical basis of so-called channel states $|\nu \rho \{\alpha \beta\} \rangle$, similar to Eqs. (8) and (11), and decompose $|\Psi^{(+)}\rangle$ as

$$|\Psi^{(+)}\rangle = \sum_{\nu \rho \{\alpha \beta\}} \int_0^\infty dr \left\langle \frac{F_{\nu \rho \{\alpha \beta\}} (r)}{\nu} \right| \rho \nu \{\alpha \beta\} \rangle.$$  

(24)

In coordinate space we thus find

$$|\rho \nu \{\alpha \beta\} \rangle = \sum_{\nu \rho \{\alpha \beta\}} \sum_{\nu \rho \{\alpha \beta\}} \frac{F_{\nu \rho \{\alpha \beta\}} (r)}{\nu} \left| \rho \nu \{\alpha \beta\} \rangle \right| \langle \rho \nu \{\alpha \beta\} \right|$$  

(25)

Substituting this expression into the Schrödinger equation and projecting onto the state $|\nu \rho \{\alpha \beta\} \rangle$ gives the desired coupled-channels equation:

$$\left[ -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2} + \frac{(1 + \nu - \rho - \nu') \hbar^2}{2\mu r^2} + \epsilon_0 + \epsilon_0' \right] F_{\nu \rho \{\alpha \beta\}} (r)$$

$$= \sum_{\nu' \rho' \{\alpha \beta\}} \sum_{\nu' \rho' \{\alpha \beta\}} C_{\nu \nu' \rho \rho'} (r) F_{\nu' \rho' \{\alpha \beta\}} (r),$$  

(26)

in which the coupling matrix is defined by

$$C_{\nu \nu' \rho \rho'} (r) = \frac{\delta(r-r')} {rr'},$$

(27)
For spin states \(|\alpha \beta|\) and \(|\alpha' \beta'|\) with equal total \(M_F\), the \(V^0\) contribution, if it does not vanish, dominates by far that due to \(V^1\). It is customary to indicate such processes as exchange transitions. Processes to which only \(V^0\) contributes are indicated as dipolar transitions. This is the case, in particular, for \(\Delta M_F \neq 0\) which requires a transfer of angular momentum among orbital and spin degrees of freedom. For even \(I\) also the \(\Delta M_F = 0\) transitions with \(M_F \neq 0\) belong to this category.

To discuss the numerical method for solving Eq. (26) we introduce a matrix notation

\[
\frac{d^2}{dr^2} E(r) = \frac{2m}{\hbar^2} \mathbf{S}(r) E(r),
\]

(28)

where the columns of \(E\) represent a complete set of linearly independent solutions. The rows correspond to the mutually coupled channels \(|\alpha \beta|\). Because of rotational symmetry around the magnetic field direction all of these have the same total magnetic quantum number \(M = m + M_F\).

Equation (28) is numerically integrated using a modified Numerov method. During the integration there is a danger of losing sufficient linear independence of the different solutions relative to the numerical noise level. This is caused by the "locally closed" channels, for which \(\mathbf{C}_{\langle m \beta \alpha \beta \rangle} \mathbf{C}_{\langle m \alpha \beta \beta \rangle}(r) > 0\), leading to exponential growth of one or more common row elements in all columns of \(E\) and therefore to numerically dependent solutions. We solve this problem by transforming to new linear combinations of solutions as one or more values of \(r\) thus restoring sufficient linear independence.

From \(E(r)\) we extract the \(S\) matrix. To that end we introduce two diagonal matrices containing Hankel-like basis solutions of Eq. (28) with the right-hand side equal to 0:

\[
I_{mn}(r) = -\delta_{mn} \sqrt{P_m / \hbar \rho} \mathbf{C}^{-1}_{\langle m \alpha \beta \beta \rangle} \mathbf{C}_{\langle m \alpha \beta \beta \rangle}(r) \\
= -\delta_{mn} \frac{e^{-i \mu_p / (\hbar \rho r)}}{\sqrt{P_m / \hbar}} \text{ as } r \to \infty,
\]

(29)

\[
O_{mn}(r) = I_{mn}(r).
\]

FIG. 1. \(T = 0\) spin exchange and dipolar relaxation event rates as a function of magnetic field. The horizontal scale shows a gradual transition from a linear to a logarithmic field dependence as we plot \(1 + B / B_{c1}\) logarithmically. Here \(B_{c1} = \alpha / 16\mu_p = 3.17 \times 10^{-14} T\) \((\mu_p\) is the Bohr magneton), the factor \(\frac{1}{2}\) ensuring a favorable separation in linear and logarithmic parts. The lower horizontal scale shows corresponding values for \(B\). (a) The curves correspond to the following rates: (1) \(bd \to ac\), (2) \(cc \to aa\), (3) \(cc \to bd\), (4) \(bd \to ac\), (5) \(cc \to ac\), (6) \(ac \to aa\), (7) \(dd \to aa\), (8) \(cd \to ab\), (9) \(dd \to cd\), (10) \(bd \to ab\), (11) \(cd \to ac\), (12) \(cc \to bb\), (13) \(bc \to bb\), (14) \(cd \to bd\), (15) \(ac \to ab\), (16) \(ad \to aa\), (17) \(cc \to bc\). (b) The curves correspond to the following rates: (18) \(dd \to ac\), (19) \(aa \to bb\), (20) \(ed \to aa\), (21) \(bc \to ab\), (22) \(ed \to ad\), (23) \(bc \to ac\), (24) \(ec \to ab\), (25) \(be \to ac\), (26) \(bd \to ad\), (27) \(bc \to ad\), (28) \(bb \to aa\), (29) \(cc \to ad\), (30) \(bb \to ab\). (c) The remaining relaxation rates, not shown in (a) and (b). Curves correspond to the following rates: (31) \(dd \to cd\), (32) \(ad \to ac\), (33) \(bd \to bc\), (34) \(ab \to aa\), (35) \(cd \to cc\), (36) \(bd \to bb\), (37) \(ad \to ab\), (38) \(cd \to bc\), (39) \(dd \to ac\).
The application of the above-mentioned procedure would involve an integration to very large radii, because the dipole interaction decreases only as \( r^{-3} \). Therefore, we restrict the integration of Eq. (28) to within a radius of \( r_l \), beyond which the central interactions can be neglected and treat the dipole interaction in the region \( r > r_l \) as a perturbation. We achieve this by defining a local \( S \) matrix through the relation \( \mathcal{S}(r) = Q(r) \mathcal{A}^{-1}(r) \) and deriving from Eq. (31) an integral equation for \( \mathcal{S}(r) \):

\[
\mathcal{S}(r) = \mathcal{S}(r_l) - \frac{\mu I}{\hbar^2} \int_{r_l}^{r} dr' [\mathcal{Q}(r') + \mathcal{Q}(r') \mathcal{Q}(r')] \mathcal{C}(r') \times [\mathcal{L}(r') + \mathcal{Q}(r') \mathcal{S}(r')] .
\]

The coupling matrix \( \mathcal{C} \) now contains only the dipole interaction. The first-order treatment then consists of replacing \( \mathcal{S}(r) \) in the integrand by \( \mathcal{S}(r_l) \) and taking the limit \( r \to \infty \).

Because of the strong central interaction it is preferable from a practical point of view to perform the coupled-channels integration in the region \( r < r_l \) using the basis \( \{ r/m S \ell_1 J M \ell_1 \} \), where the electron spins are coupled to \( S \) and the proton spins to \( I \). This basis has as an additional advantage that it is now possible to refrain from the Shigagal approximation by including the electronic spatial degrees of freedom through the use of Hulter-London wave functions. Furthermore, we are now able to include both the intra-atomic as well as the interatomic hyperfine interaction. At \( r = r_l \) we finally transform back to the asymptotic (hyperfine) basis and apply Eq. (33) only to the open channels.

### IV. RESULTS AND DISCUSSION

#### A. Coupled channels

In view of magnetic traps for hydrogen atoms with relevant temperatures eventually in the 10–100 \( \mu \text{K} \) range, we present in Fig. 1 the \( T = 0 \) rates

\[
G_{\Delta m} = 4 \pi \mu I \rho_p \left| T_{\text{int}} \right|^2 \left| \langle \Delta m \rangle \right|^2 \left| \langle \Delta m \rangle \right|^2 .
\]

for all 45 exothermic spin transitions in H+H collisions as a function of magnetic field. As implied by the theory of Sec. III, the effect of the condensate is left out of consideration. The difference with previous results is due to a smaller integration step size and an improved H+H singlet potential, describing more accurately the experimental \( H \) vibrational energy data. Although these corrections are rather small, they accumulate in the case of exchange transitions and in some cases lead to significant changes in the rate constants of up to 50%. The changes of the dipolar rates relative to Ref. 4 are only at the \( 10^{-3} \) level.

In view of a recent neutral trap experiment it is of interest to calculate also the \( T \neq 0 \) rates for the decay of \( \Delta m \).
In Fig. 2 we present the three most important ones for $B = 0$ (12); $dd \rightarrow cc$, $dd \rightarrow ac$, $dd \rightarrow dd$ and their weighted sum, the loss rate (curve 1). In the following subsection we present simple closed expressions for the $B$ and $T$ dependence of these and other dipolar rates.

As a second application we now consider the cryogenic H maser. In a previous paper we presented rate-like constants $G$ which govern the maser spin-exchange frequency shift, calculated both by coupled channels and by simplified treatments. Rate constants of the type considered in the present paper are also of interest for the operation of the H maser. For instance, $bd \rightarrow ac$, $cc \rightarrow bd$, and $cc \rightarrow aa$ processes are of fundamental importance in the description of the cryogenic H maser operating at about 0.5 K. With the usual spin-exchange tuning of the cavity only the part of the $H + H$ collisional frequency shift proportional to the difference $n_a - n_c = 1/2 | \alpha \rangle$ and $| \beta \rangle$ atom densities can be eliminated. In order to devise strategies for eliminating fluctuations in the hyperfine-induced collisional part, which depends in different ways on $n_a$, $n_c$, $n_b$, and $n_d$, it is necessary to calculate the latter from the rate constants $G_{ab \rightarrow ac}$. In calculating these, we neglect the very weak magnetic field within the maser. The results are presented in Fig. 3. We conclude that $G_{ac \rightarrow cc}$ and $G_{ac \rightarrow ac}$ start from nonvanishing values at $T = 0$. However, for the transition $cc \rightarrow bd$, which is impossible at zero temperature and magnetic field, the rate increases as $T^{1/2}$ and becomes comparable to $G_{ac \rightarrow ac}$ at the operating temperature of the cryogenic H maser.

### B. Simple approaches

For a description of rates in terms of simple approaches it is useful to distinguish three field regimes.

At low magnetic fields ($B \lesssim 0.1 \, \mu$T) we make use of the fact that differences in internal energies are sufficiently small to apply the degenerate-internal-states approximation. Both the $T = 0$ rates of Figs. 2 and 3, and the $T = 0$ rates in Fig. 1 (spin-exchange and dipolar) can then be reproduced by simple expressions based on this approximation. Equations (12) and (13) show how the relevant $T$-matrix elements can then be expressed in terms of pure singlet and triplet quantities: we expand the initial and final spin states in singlet and triplet parts and calculate the separate transition amplitudes using more easily calculable triplet and/or singlet phase shifts and radial wave functions.

For exchange transitions we thus get the simple formulas

\[
S_{| \alpha \beta \rangle | \alpha \beta \rangle} = \delta_{| \alpha \beta \rangle | | \alpha \beta \rangle}^{| \alpha \beta \rangle | | \alpha \beta \rangle} \left[ \begin{array}{c} 0 \\ -1 \end{array} \right] \left( \begin{array}{c} 0 \\ -1 \end{array} \right)
\]

\[
G^{ac}_{ab \rightarrow ac} = \frac{\mu B^2}{\rho^3} \sum_{T} (2T + 1) \left( \begin{array}{c} 0 \\ -1 \end{array} \right) \left( \begin{array}{c} 0 \\ -1 \end{array} \right) \sin^2 \left( \frac{\beta | \alpha \beta \rangle \delta_{| \alpha \beta \rangle | | \alpha \beta \rangle} \delta_{| \alpha \beta \rangle | | \alpha \beta \rangle} \right)
\]

(35)

(36)

**FIG. 7.** Temperature dependence of three largest event rates for dipolar decay of $dd$ at $B = 0$ [curve 2: $dd \rightarrow cc$, 3: $dd \rightarrow ac$, 4: $dd \rightarrow dd$]. Curve 1: weighted sum from exact values for $B = 0$ follow from Eqs. (42) and (43).
in which some suitable expression for \( \rho \) in terms of \( P_{ab} \) and/or \( P_{AB} \) can be chosen. In the limit \( \rho \to 0 \) the phase shifts approach their effective-range limiting values:

\[
\sin \delta^{(1)}_{\rho}(p) = \delta^{(1)}_{\rho}(p) = \delta_{\rho} \rho q(1)^{1/2},
\]

(37)

with the scattering lengths denoted by \( a^{(1)} \). If this choice is made for \( \rho = \rho_{ab} \) at low \( T \) the result is

\[
G_{ab}^{(1)}(T=0) = \pi \rho_{ab}^{(1)}(a^{(1)} - a^{(2)})^2 \times \left\{ \left| \psi_{\alpha B}(0) \right| \right\}^2,
\]

(38)

a result already given in Ref. 7. With the values

\[
a^{(1)} = 1.34a_0, \quad a^{(2)} = 0.32a_0
\]

(39)

allowing evaluation in terms of (mixed) singlet/triplet 1/\( r \) radial integrals. Apparently, the dependence on magnetic field is again located in \( P_{ab} \) and a simple spin matrix element. For the sake of brevity we give here only the spin matrix elements for the subset of dipolar transitions considered in Fig. 2:

\[
\langle \alpha \sigma | | P^1_{\Sigma \alpha}^{(1)} P^{\prime 1}_{\Sigma \sigma}^{(1)} | \alpha \sigma \rangle = 2\sqrt{3} \sin(2\theta),
\]

\[
\langle \alpha \sigma | | P^1_{\Sigma \alpha}^{(1)} P^{\prime 1}_{\Sigma \sigma}^{(1)} | \alpha \sigma \rangle = 2\sqrt{3} \cos^2 \theta,
\]

\[
\langle \alpha \sigma | | P^1_{\Sigma \alpha}^{(1)} P^{\prime 1}_{\Sigma \sigma}^{(1)} | \alpha \sigma \rangle = 2\sqrt{3} \cos \theta.
\]

(41)

for atomic hydrogen inserted, we find agreement with the rigorous \( T=0 \) rates in Fig. 1 up to \( B=0.1 \) T within 10%. The \( T=0 \) rates in Fig. 3 agree with the simple equation (36) with \( \rho = \rho_{ab} \) to within 5%.

We stress again that the approximation proposed here allows other \( \rho \) values to be chosen. In particular \( \rho^{2} = \rho_{ab}^{2} + 2\lambda_{c} + \epsilon_{c} - \epsilon_{b}/2 \) turns out to lead to highly accurate results. For \( B \approx 0.1 \) T the results are indistinguishable from the exact ones. Furthermore, even for magnetic field strengths as high as 1 T, the deviations are below the 10% level. We also note that the magnetic field dependence for all exchange rates is governed by factors like \( P_{ab}^{2/3} \) and by a simple spin matrix element.

Turning to the dipolar transitions we start from Eq. (13) and substitute \( \psi^{(1)}_{\Sigma \alpha}^{(1)} - 1 \psi^{(1)}_{\Sigma \beta}^{(1)} \Sigma_{2 m-\alpha}^{(2)} \) [cf. Eq. (23)]. We find

\[
T_{a \alpha}^{(1)}(\rho_{ab}) \left| \omega \right| = \left( -1 \right)^{m-\alpha} \sum_{\Sigma_{2 \sigma}} \left( \psi_{\Sigma \alpha}^{(1)}(\rho_{ab}) \right) \left| \psi_{\Sigma \sigma}^{(1)}(\rho_{ab}) \right| \left| \psi_{\Sigma_{2 m-\alpha}}^{(1)}(\rho_{ab}) \right| \left| \psi_{\Sigma_{2 m-\sigma}}^{(1)}(\rho_{ab}) \right| \left| \alpha \beta \right| \right).
\]

(40)

Comparing with the rigorous results in Fig. 1, we find numerically that the deviations are below the 4% level for \( B < 0.1 \) T and, as expected, increase with increasing field strength. As an illustration of Eq. (40) consider the transition \( cc \to ab \) [curve 15 in Fig. 1(a)]. In this case we obtain

\[
\left| \psi \right| \Sigma_{2 \sigma}^{(2)} = \cos(3\theta)/\sqrt{2}
\]

and thus \( G_{cc \to ab} = \cos(2\theta) \). We therefore expect \( G_{cc \to ab}

\[
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and thus \( G_{cc \to ab} = \cos(2\theta) \). We therefore expect \( G_{cc \to ab}

\[
\left| \psi \right| \Sigma_{2 \sigma}^{(2)} = \cos(3\theta)/\sqrt{2}
\]

and thus \( G_{cc \to ab} = \cos(2\theta) \). We therefore expect \( G_{cc \to ab}
to have a sharp minimum at \( \theta = \pi / 6 \), i.e., \( B = 0.0292 \) T. The agreement with our rigorous calculations is excellent.

Without exception the dipolar transitions are far dominated by the single term in Eq. (40) with \( S' = S = 1 \). This introduces a further simplification: all transition amplitudes contain a common orbital factor which is a "universal" function of \( \epsilon_{ab} \) and \( \epsilon_{cd} \), determined by triplet scattering. A similar conclusion therefore applies to the rates. Writing the energy \( \epsilon_{ab} + \epsilon_{cd} - \epsilon_{ab} - \epsilon_{ab} \) released in a dipolar transition as \( \Delta \), we find that for low temperatures \( (k_B T < \Delta) \) the corresponding rate is described by

\[
G_{ab \rightarrow cd}^{(1)}(B, T) = (0.2430 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1})(\Delta / \alpha)^{1/2} \times \left[ 1 - 1.440 \frac{k_B T}{\Delta} + 1.797 \left( \frac{k_B T}{\Delta} \right)^2 \right] \left| \langle \epsilon' \epsilon'' | F_{(1)}^{(1)} Z_{m-n} F_{(1)}^{(1)} | \epsilon \epsilon \rangle \right|^2
\]

within 2\%. For higher temperatures \( (0.2 \leq \Delta / k_B T \leq 3) \) we have

\[
G_{ab \rightarrow cd}^{(1)}(B, T) = (0.5741 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1})(k_B T / \alpha)^{1/2} \times \left[ 1 + 1.401 \frac{\Delta}{k_B T} - 0.0611 \left( \frac{\Delta}{k_B T} \right)^2 \right] \left| \langle \epsilon' \epsilon'' | F_{(1)}^{(1)} Z_{m-n} F_{(1)}^{(1)} | \epsilon \epsilon \rangle \right|^2
\]

also to within 2\%. It should be pointed out that Eqs. (42) and (43) are also valid for dipolar transitions at stronger fields, provided the energy released in such a transition is not too high. In particular, the high-field behavior of the \( ba \rightarrow ab \) and \( ba \rightarrow cb \) dipolar transition rates, important quantities in \( 6\text{-state} \) atom experiments, is described by Eqs. (42) and (43) with the spin matrix element equal to \( -2 \sqrt{3} \mu_B / \omega_c (1 + 16.63 / B) \). Equation (43) is a generalization of a closed formula presented previously \(^{14} \) to a greater set of transitions.

In the intermediate field regime, \( 0.1 \text{T} \leq B \leq 1.0 \text{T} \), it is no longer possible to neglect the energy differences between the various hyperfine states because corrections to the \( T \)-matrix element is first and higher order in the internal level splittings becomes important. It appears that at these intermediate fields the solution of the full coupled-channels equation is required to obtain reliable values of the relaxation constants.

In the strong-field regime \( (B \gtrsim 1 \text{T}) \) a simpler approach is again possible. This is already evident by a simple inspection of Fig. 1(a). Let us first pay attention to the exchange transitions. These clearly fall in three different categories: they arise essentially from cross terms of exchange and the nth power of the hyperfine interaction, where \( n = 0, 1, \text{ or } 2 \). This expresses itself in three different slopes \( -B^{-2n} \). To understand this in more detail, we consider the coupling among the channels \( cc, ca, | ac \rangle \), and \( bd \). Ordering rows and columns corresponding to the lowest nonvanishing order in \( \theta = \pi / [2(\mu_a + \mu_c)] \)

\[
\begin{pmatrix}
V_1 & \epsilon(V_1 - V_0) & \frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & -\frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) \\
\epsilon(V_1 - V_0) & V_1 & -\frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & \frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) \\
\frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & -\frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & V_1 + V_0 & \frac{1}{2} \epsilon(V_1 - V_0) \\
-\frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & \frac{\sqrt{2}}{2} \epsilon(V_1 - V_0) & \frac{1}{2} \epsilon(V_1 - V_0) & V_1 + V_0
\end{pmatrix}
\]

Clearly, for strong fields the exchange coupling is in almost all cases damped by a power of the hyperfine mixing parameter \( \epsilon \) and can thus be treated in first order. The only exception concerns \( |bd\rangle \) and \( |ac\rangle \), which are strongly coupled mutually in a \( B \) independent way. This agrees with Fig. 1(a). The \( 2 \times 2 \) submatrix pertaining to these channels decouples if one transforms to \( |bd \rangle + |ac \rangle \rangle / \sqrt{2} \) and \( |bd \rangle - |ac \rangle \rangle / \sqrt{2} \) basis states. The diagonal potentials for these states are pure triplet and singlet potentials, respectively. In a sense the degenerate-internal-states approximation is again applicable here for strong fields since the difference between \( bd \) and \( ac \) internal energies is small. Equations (39) and (30) therefore apply. For \( T \rightarrow 0 \) we then have in particular

\[
G_{ab \rightarrow cd}^{(1)} = \frac{\alpha_{pa} \epsilon_{ab}}{\mu} (a^{(1)} - a^{(0)})^2 \cos^2(2\theta)
\]

Equation (45) describes the \( bd \rightarrow ac \) curve in Fig. 1(a) for all \( B \) values to within 4\%.

Disregarding \( O(\epsilon^2) \) terms it follows also from the matrix (44) that \( ac \) and \( cd \) are coupled only to the difference channel \( \{|kd\rangle - |ac \rangle \rangle / \sqrt{2} \). This explains the coincidence at higher \( B \) of the 1 and 6 of the 3 and 5 curves. In analogy to Eq. (40) we have for these transitions

\[
T_{ac \rightarrow bd, \omega_c \omega_c} = -T_{ac \rightarrow bd, \omega_c \omega_c} = \frac{\delta_{ac} \delta_{bd}}{\sqrt{2}} \epsilon_{ac} \epsilon_{bd} (V_1 - V_0) | \psi_{bd} \rangle \langle \psi_{ac} |
\]

\[
T_{bd \rightarrow ac, \omega_b \omega_b} = -T_{bd \rightarrow ac, \omega_b \omega_b} = \frac{\delta_{bd} \delta_{ac}}{\sqrt{2}} \epsilon_{bd} \epsilon_{ac} (V_1 - V_0) | \psi_{bd} \rangle \langle \psi_{ac} |
\]

Here we used the \( \text{SM} \text{S} \text{IM} \text{F} \) structure of the \( \epsilon \epsilon \) states for
strong \( B \).

For weaker fields (but still so strong that the above first-order treatment applies), the singlet and triplet wave functions \( \psi \) can both be inserted for zero energy, leading to four \( T \)-matrix elements equal in absolute magnitude. This is in agreement with Fig. 10a. The curves 3.5 and 1.6 coincide. At somewhat stronger fields the curves separate again due to the finite final kinetic energy. From the \( z^2 \) dependence of the \( cc \leftrightarrow ae \) coupling it is finally clear that the corresponding rate falls off as \( B^{-4} \).

At strong fields the dipolar transitions show a similar classification. The 11 strongest ones do not need a proton spin flip but only a single or double electron spin flip. As a consequence the transitions are almost entirely due to \( V^{ee} \). The spin matrix element is more or less independent of the strong-field behavior, and the \( T \)-matrix element for this \( T \)-matrix element for the highest category differs by a factor \( \sqrt{2} \) from that for the middle category. This is to be combined with a factor \( \sqrt{2} \) from the phase-space factor \( \rho_{\text{sp}} \) in Eq. (34). The proportionality of the \( G \) values is clearly seen in Fig. 15a. The initial gradual increase with \( B \) is due to the same factor \( \rho_{\text{sp}} \). The explanation for the bending down of the curves at the highest \( B \) values is similar to that of curves 1 and 6 considered before: the disparity in initial and final kinetic energies. It is clear that the highest category starts to bend down at smaller \( B \); the double spin-flip energy is a factor of 2 larger.

The remaining dipolar rates all show a decreasing slope due to \( \xi \) and \( \xi^2 \) dependences of the electron-electron spin matrix element: the dominant initial and final proton spin configurations are orthogonal. Hyperfine induced admixtures in one and two atoms, respectively, are required to achieve equal proton spin wave functions. The first category contains the \( bb \leftrightarrow ab \) and \( bb \leftrightarrow ae \) relaxation as special cases, and also \( dd \leftrightarrow ae \), which is one of the most important dipolar transitions for the decay of the static magnetic trap. At the fields considered the electron-electron contribution dominates. At the higher fields electron-proton relaxation starts to take over. Depending on the relative sign of the electron-electron and electron-proton matrix elements, \( G \) curves start to bend down or up relative to their above-mentioned dominant slope.

V. CONCLUSIONS

Given the effective Hamiltonian the coupled-channels method is a rigorous method for calculating H + H spin-exchange and dipolar rates. In the foregoing we have described this method and some of its results: the \( B \) dependence of all \( T=0 \) rates and the \( T \) dependence of some \( B=0 \) rates. The latter have been selected on the basis of their importance for the cryogenic H maser and for the H I magnetic trap. Closed-form formulas for the rates, useful for the analysis of both types of experiments, have been presented for the field and temperature dependence in the weak-field regime (\( B \ll 1 \) T), based on the degenerate-internal-states approximation. A simple interpretation has been given also for the strong-field behavior (\( B \gg 1 \) T) of the rates.

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CHAPTER 3:
TRAPPING OF NEUTRAL ATOMS
WITH RESONANT MICROWAVE RADIATION
Trapping of Neutral Atoms with Resonant Microwave Radiation

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We discuss a resonant microwave trap for neutral atoms. Because of the long spontaneous radiation time this trap is remarkably different from the optical trap. It also has advantages over static magnetic traps that trap the excited spin state of the lowest electronic level, in that atoms predominantly in the spin ground state can be trapped. We analyze the relaxation-dissociated lifetime of atoms in such a trap using the formalism of dressed atomic states. Results are applied to atomic hydrogen and the possibility of Bose-Einstein condensation is considered.

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The past several years have been witness to the exciting development of trapping of neutral atoms with optical radiation from a laser source or with static magnetic fields. In this Letter we show that a gas of atoms can be trapped using forces derived from the gradient of a microwave radiation field on resonance with an atomic transition. The principal difference between microwave and optical traps is the virtual absence of microwave spontaneous emission. This changes the nature of the trap potential and eliminates the spontaneous emission heating of the atoms. In comparison to the static magnetic trap the microwave trap has the primary advantage of being able to trap atoms with a ground-state character. Because the static magnetic trap utilizes a field minimum, it is limited to trapping of atoms in the ground electronic state with excited spin states, which spin relax to the ground state at a density-dependent rate. These lower-state atoms are then ejected from the trap, resulting in low densities of trapped atoms. In contrast, the microwave trap can operate with either a field maximum or minimum and the trapped atoms will be an admixture of the excited and the ground states, depending on the detuning of the radiation from resonance. We study the lifetime of the atomic density and show that it can be much longer in a microwave trap than in the static trap and thus much higher densities are possible. This is important in efforts to obtain Bose-Einstein condensation (BEC) in atomic hydrogen, which is discussed as a specific example.

We first derive the trap potential. We shall treat the atom as a two-level system and denote the states by \( |e\) and \( |g\) for excited and ground states. A very simple approach for calculating the depth of a magnetic trap is the dressed-atom approach used for optical traps by Dalibard and Cohen-Tannoudji. An atom in a single-mode radiation field with magnetic constant \( B_\text{R} \) can be described by the following Hamiltonian:

\[
H = H_\text{R} + H_\text{atom} - \mu \cdot B_\text{R}(r).
\]

Here, \( H_\text{atom} = \hbar \omega a^\dagger a \) is the Hamiltonian of the radiation field with photon angular frequency \( \omega \) and creation and annihilation operators \( a^\dagger \) and \( a \), respectively. \( H_\text{atom} = \hbar^2/2m + \hbar a a^\dagger \) is the Hamiltonian of the atom of mass \( m \) with the two levels separated in energy by \( \hbar \omega_0 \), where \( b^\dagger \) and \( b \) are the atomic raising and lowering operators for the levels \( |e\) and \( |g\). We have assumed that the atom interacts with a magnetic field \( B = B_0 + B_\text{R} \) through its magnetic dipole moment \( \mu \). \( B_0 \) is a static field and is included in \( H_\text{atom} \). If there is no zero-field splitting of the atom, the resonance frequency is \( \omega_0 = \mu B_0/\hbar \), where \( \mu_\text{R} \) is the Bohr magneton. The last term in Eq. (1) is the interaction of the atom with the microwave field which, including resonant terms only, is given by \(-\mu_\text{R} \lambda \hat{B}(r) (a^\dagger b + ab^\dagger) \), where \( \mu_\text{R} \lambda \) is a magnetic dipole transition-matrix element and \( \lambda \) is the polarization vector.

If the interaction between the field and the atom is zero then the eigenstates of this Hamiltonian fall into manifolds separated by energy \( \hbar \omega_0 \). Each manifold consists of a pair of states \( |g, N\rangle \) and \( |e, N-1\rangle \) split by \( \hbar \omega_0 \), where \( N \) is the photon occupation number and \( \delta = \omega - \omega_0 \) is the detuning. When the coupling term is included, the dressed states are

\[
\begin{align}
|1N\rangle & \equiv |1 \rangle = \cos \theta(r) |e, N-1\rangle + \sin \theta(r) |g, N\rangle, \\
|2N\rangle & \equiv |2 \rangle = -\sin \theta(r) |e, N-1\rangle + \cos \theta(r) |g, N\rangle.
\end{align}
\]

where the angle \( \theta \) is defined by \( \cos 2\theta = -\delta/\hbar \omega_0 \) and \( \sin 2\theta = \omega_\text{R}/\hbar \omega_0 \). Here, \( \omega_\text{R} = \mu_\text{R} \lambda E_\text{R} / \hbar \) is the Rabi frequency, and \( \Omega(x) = [a^\dagger(x) + a(x)]/2 \). The corresponding energies are \( E_{1N}(r) = N\hbar \omega + E_\text{R}(r) \)

\[
E_{1}(r) = -\hbar \delta/2 + \hbar \Omega(x)/2, \tag{3a}
\]

\[
E_{2}(r) = -\hbar \delta/2 - \hbar \Omega(x)/2. \tag{3b}
\]

These energy levels vary with position in an inhomogeneous field through the dependence of the Rabi frequency on \( r \).

The depth of the trap is the difference in energy for an atom in the microwave field and its energy in zero field.
For a microwave field with a maximum, state $|2\rangle$ is a trapping state with potential

$$U_i = \hbar/2(\varepsilon - (\omega + \delta^2)^{1/2}).$$

State $|1\rangle$ is a barrier state or an anti-trapping state with $U_{at} = -U_i$. The maximum trap depth is at $\delta = 0$, yielding $U_{max}/k_B = \hbar \omega/2k_B = 0.47k_B$ in kelvins with $k_B$ in teslas. Here we have taken $\mu_{\text{eff}} - \sqrt{2}\mu$ and have used circularly polarized microwave radiation; for linearly polarized radiation the depth is reduced by $\sqrt{2}$. The microwave trap has the interesting property that $U_i$ has its maximum depth for $\delta = 0$ and $|2\rangle$ remains a trapping state for both positive and negative values of $\delta$. Moreover, as can be seen from the states in Eq. (2b), the nature of $|2\rangle$ changes from predominantly $|e, N-1\rangle$ to $|g, N\rangle$ as $\delta$ goes from positive to negative values. In Figs. 1(a) and 1(b), we plot the trap potential for the two dressed states as a function of the detuning $\delta$, as well as the dependence of the admixture of states on detuning.

We contrast these results with the optical laser trap where the excited state is separated from the ground state by an optical interval. This has a potential well

$$U_{opt} = (\hbar/2)\sin(1 + \omega)/2\delta^2),$$

also plotted in Fig. 1(a) for the same interaction as in Eq. (1). In this trap $U_{opt} = 0$ for $\delta = 0$ and the potential changes from trapping to anti-trapping as $\delta$ changes sign. This remarkable change of nature from the microwave case is caused by the short spontaneous emission lifetime characteristic of electric dipoles in the optical region (of order $10^{-4} s$) which couple the dressed manifolds. By contrast, electron-spin magnetic dipole transitions have spontaneous-emission lifetimes of several million years. In an optical trap, an atom makes many transitions between dressed states in the time required to move across the trap and the force is an average found by multiplying the time spent in each state times the force in each state, resulting in Eq. (3). For $\delta = 0$ the dressed states are equal admixtures of $|e, N-1\rangle$ and $|g, N\rangle$ so that the time spent in each state is equal, thus the force is zero.

In the microwave case there is virtually no spontaneous emission; in addition it is easy to show that nonadiabatic transition rates are negligible. For these reasons the states of the atoms remain unchanged in time. This results in a deeper potential as a function of $\delta$ (see Fig. 1(a)); it also precludes spontaneous emission heating which plagues the optical trap. Still, to create a useful well depth, large-amplitude microwave fields are needed. They can be attained in a cavity such as a concentric resonator; the application of such a resonator to the microwave trap has been discussed elsewhere.

Unlike the above traps, the static magnetic trap, which has a depth $|U_i| = |\mu_B\Delta B_0|$, can be made much deeper with a large static gradient $\Delta B_0$ on the order of 1 T. However, the trapped atoms must be in state $|e\rangle$ since a static trap can only be made with a field maximum. Because of density-dependent collisional relaxation to state $|g\rangle$, which is an anti-trapping state (atoms are ejected), the density in this trap rapidly decays down to a relatively low value.

A crucial consideration of the viability of the microwave trap is its lifetime for decay of the density due to collisional relaxation. Although this trap can be applied to any species with an effective two-level system, we shall consider atomic hydrogen, and compare it to the existing static trap. Hydrogen has four hyperfine states enumerated $|a\rangle, |b\rangle, |c\rangle,$ and $|d\rangle$, from low to high energy. In a high field, electron-spin resonance is allowed between states $|b\rangle$ and $|c\rangle$ (as well as $|a\rangle$ and $|d\rangle$). We shall focus on the $|b\rangle$ and $|c\rangle$ states as a two-level
system, modifying our earlier notations so that $|g\rangle = |\beta\rangle$ and $|e\rangle = |\phi\rangle$. Since the dressed state $|2\rangle$ is an admixture of $|\beta\rangle$ and $|\phi\rangle$, the binary collisions can result in the transition of $|\beta\rangle$ to $|\phi\rangle$ during a collision. A transition to a $\beta$ state results in the formation of state $|1\rangle$ or $|2\rangle$ with a calculable probability. Since those atoms in state $|1\rangle$ are ejected, the density decays. Collisional relaxation between bare states $|\beta\rangle$ and $|\phi\rangle$ have been studied earlier and are a result of spin-exchange or magnetic dipole-dipole interactions. Both $T_1$ (inelastic relaxation) and $T_2$ (dephasing of the transverse spin) processes can lead to decay of the density of atoms in state $|2\rangle$. We find that the $T_2$ processes correspond to relaxation between dressed-atom manifolds, whereas the $T_1$ processes occur within a single manifold and have the usual property of being thermally activated. This can be understood by considering states $|2\rangle$ and $|1\rangle$ in the rotating coordinate system of the rf magnetic field. An isolated atom in the state $|2\rangle$ has its fictitious spin $\frac{1}{2}$ pointing along the effective field, whereas $|1\rangle$ points opposite. A $T_1$ collision will shift the transverse component of the spin leading to a superposition of states $|1\rangle$ and $|2\rangle$ and thus to decay by dephasing. In a preliminary consideration of relaxation in the microwave trap, $T_2$ events were not considered and some coherent contributions to $T_1$ were omitted. Here we consider both channels of relaxation using a $T$-matrix formalism (Eq. (7), below). Our detailed considerations will be focused on hydrogen, but the concepts are applicable to other species such as sodium, and we shall first present a general treatment.

Outside the range of the interatomic interaction two bare atoms interact only with the radiation field. In this situation the combined system of photons and atoms can be described by the symmetrized and normalized states $|l/N\rangle$: $|12(N-N-2)\rangle = (1/\sqrt{2})|\beta\rangle + (1/\sqrt{2})|\phi\rangle$, $|12(N-2-N)\rangle = (1/\sqrt{2})|\beta\rangle - (1/\sqrt{2})|\phi\rangle$, $|12(N-1-N-1)\rangle = \sin 2\theta|\beta\rangle - \cos 2\theta|\phi\rangle$, and $|12(N-1-N-1)\rangle = \cos 2\theta|\beta\rangle + \sin 2\theta|\phi\rangle$. The energies are $E_{12(N-1-N-1)} = N/\omega + E_1 + E_2$ (Eq. (3)). These states may be interpreted as the free states of two indistinguishable dressed atoms and correspond to the possible initial and final channels of a scattering process. The relaxation rates for the processes $|l/N\rangle \rightarrow |l'/N'\rangle$ are found from a $T$-matrix calculation: $G_{ln-l'n'}(T) = (2\pi)\delta_{l'2}h^2\mu \sum_{p} \sum_{n} |T_{S_{\pi}n_{\phi}l_{\pi}l_{\phi}l_{n}}(l/n;nn';l'n')|^{2}$, where $\mu$ is the reduced mass, $p_\pi$ and $p_\phi$ are the magnitudes of the initial and final relative moments, respectively, and the subscript $\pi$ implies a thermal average. Note that to find the decay of the atomic density inside the trap we should, in addition to the thermal average over initial momenta $p_\pi$, average over the number of photons. However, in an intense radiation field we can neglect fluctuations and replace $N$ by its average value $\bar{N}$ in Eq. (7). The relevant decay rates are then $G_{llll-l'n'}(T)$. To evaluate these quantities it is important to point out that the central (singlet or triplet) interaction between two bare hydrogen atoms couples only the states $|l/N\rangle$ with the same value of $N$. Since the energy splitting $\Delta N$ within this manifold is very small compared to the strength of the central interaction, the degenerate-internal-states (DIS) approximation is valid and used throughout the following. The dipolar transitions among states with the same $N$ are completely suppressed by the centrifugal barrier in either the initial or final state. If $N = \bar{N}$ all processes are endothermal. In order to find the dominant low-temperature behavior of the rates we use the relation: $G_{llll-l'n'}(T) = G_{llll-l'n'}(0)\exp(-\Delta_{ll}E_{l}/k_{B}T)$. Expand the rate constant for the inverse (exothermal) reaction, and take the lowest order $k_{B}T/\Delta_{ll'}$, where $\Delta_{ll'} = A_{l}A_{l'}(\delta_{l_1l_1'} + \delta_{l_1l_2'})$ denotes the energy difference between the final and initial channels. This expansion is found by applying the DIS approximation to the $T$ matrix in Eq. (7). Doing so, we find that transitions within a manifold are associated with elastic exchange collisions between bare atoms and are at an effective rate defined by $dN/dt = -G_{llll}(\bar{N})$. For the hydrogen atom

$$G_{ll}^{H} = 2.3 \times 10^{-13}(\hbar \omega/k_{B})^{1/2} \sin^{2}2\theta |B|^{2}/(B_{1}^{2} + B_{2}^{2})^{2} \sin^{4}\theta \exp(-\hbar \omega/k_{B}T) + \sqrt{2} \exp(-2\hbar \omega/k_{B}T)$$

in units of cm/s with the static field $\theta$ in tesla and the constant $B_{c} = 0.05064$ T originating from a consideration of the hyperfine levels in the field $B_{0}$. To obtain the above expression, one has to evaluate the difference $T_{S_{\pi}l_{\phi}l_{\pi}l_{\phi}}(0,0) - T_{S_{\pi}l_{\phi}l_{\pi}l_{\phi}}(0,0)$, where $T_{S_{\pi}l_{\phi}l_{\pi}l_{\phi}}(0,0)$ is a (aa-shell) $T$-matrix element for singlet or triplet scattering at zero energy. Applying the DIS approximation once again, but now to bare atom states, this difference can be expressed in terms of scattering lengths $a_{l}(0)$. In this second DIS approximation, we assume the hyperfine states $|l(N,\phi\rangle$, $|l(N,\pi\rangle$, $|l(1,\phi\rangle$, and $|l(1,\pi\rangle$ to be degenerate, similar to the
same assumption for the dressed states $|i_j\rangle$ within a manifold. The result is

$$T_{\text{coll}, \text{direct}}(0,0) - T_{\text{coll}}(0,0) = \langle h \rangle \langle \hat{z} (\hat{z} + \hat{E}) \rangle^{(2 \pi) (a_j)} - \langle a_0 \rangle - \langle \hat{a} \rangle. \tag{10}$$

Equation (10) is fully confirmed by a rigorous coupled-channel calculation. A numerical evaluation of Eq. (9) shows that in the experimental circumstances envisaged, i.e., strong magnetic fields of order $5 T$, the $T_2$ processes are unimportant compared to $T_1$ transitions between different manifolds which are due mainly to dipolar interactions and will be considered next.

Dipolar relaxation caused by the dominant electron-electron magnetic interaction $V'$ is possible for both $N = N - 1$ and $N - 2$. Because this weak interaction can be treated as a small perturbation, we have

$$T_{\text{rel}, \text{wave}}(0,N_{\text{mix}}) \langle \rho_i \rho_j \rangle = \langle \rho_i \rho_j \rangle \langle \rho_i \rangle - \langle \rho_i \rangle \langle \rho_j \rangle + \langle \rho_i \rangle \langle \rho_j \rangle $$

using the notation of Ref. 13. The initial and final distorted wave functions incorporate the central interaction to all orders and can be approximated by neglecting the energy splitting within the respective manifolds $|i_j\rangle|N\rangle$ and $|i_j\rangle|N\rangle$. Furthermore, if we make use of the facts that high magnetic fields the hyperfine state $(1/2)$ has a dominant triplet character, we get

$$G_{\text{rf}}(B, T) = \sin^4 \theta [\sin^2 \theta + (1 - 4 \cos^2 \theta)] G_{\text{rel}} - \text{det} (B, T) + 2 \sin^4 \theta \sin \theta + \cos \theta] G_{\text{rel}} - \text{det} (B, T). \tag{12}$$

Here $G_{\text{rf}}$ is defined locally by $dN/dt = -G_{\text{rf}} n^2$.

Because of the phase relations between interfering $Y$-matrix elements, the rate (12) could be expressed in the rates $G_{\text{rel}} \propto \kappa = G_{\text{rel}} - \text{det}$ and $G_{\text{rel}} = \text{det}$ for collisions between bare hydrogen atoms, which have been calculated previously in Refs. 7 and 13. Note that from these papers we find a justification for the neglect of the $|d\rangle$ and $|\bar{d}\rangle$ hyperfine states in the above treatment. As magnetic field strengths of the order of 5 $T$, the dominant relaxation processes in a gas of atoms populating the $b$ and $c$ states are $cc - bb$, $cc - bc$, and $bc - bb$. From these results we find that the half-lives of the trapped atoms is $\tau = (G_{\text{rel}} n_0)^{-1}$, where $n_0$ is the initial trapped density. In Fig. 2 we plot $G_{\text{rf}}$ as a function of $\theta$, as well as $G_{\text{rel}}$ for the static trap. We see that by detuning to small $\theta$ so that $|2\rangle = |a, N\rangle$ the microwave trap can be made much more stable than the static trap. The resulting potential energy starting densities overcome the difficulties of attaining BEC, addressed by Tommila.14 Evaporative cooling15 of the trapped atoms as a means of attaining BEC can be easily accomplished by detuning, which lowers the well depth.

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CHAPTER 4:

DECGAY OF SPIN-POLARIZED ATOMIC HYDROGEN

IN THE PRESENCE OF A BOSE CONDENSATE
Decay of spin-polarized atomic hydrogen in the presence of a Bose condensate

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We study the decay of magnetically trapped doubly spin-polarized atomic hydrogen in the density and temperature regime of the Bose-Einstein phase transition and calculate the temperature and magnetic field dependence of all relevant relaxation rate constants \( G_{\text{rel}} \). At the transition temperature \( T_c \), the rate constants have a discontinuous second derivative with respect to \( T \). Below \( T_c \) they show a pronounced decrease relative to the extrapolated above-\( T_c \) values, the observation of which is a clear signal for the experimental realization of Bose-Einstein condensation.

I. INTRODUCTION

The possibility of trapping and subsequent cooling of neutral atoms down to temperatures in the range of 1-100 \( \mu \)K, has given rise to the opportunity, at least in principle, to study the behavior of ultracold gases in the low-temperature and high-density regime where collective quantum effects due to degeneracy are expected to show up. The first experiments in this context used sodium atoms and a magnetic or optical trap. However, in the cases the achieved densities were far too low to observe any deviations from classical statistics.

From the point of view of achieving the Bose-Einstein transition, magnetically trapped doubly spin-polarized atomic hydrogen (H \( ^\text{II} \)) seems to be much more promising, \(^5\) primarily since its atomic mass is much smaller and the attractive well due to the van der Waals interaction between the atoms is very shallow. At temperatures of the order of 10 \( \mu \)K the required densities are so low that only two-body collisions affect the decay of the gas. Hence the three-body recombination process which dominates in the condensation experiments with H \( ^\text{II} \) in liquid helium-coated sample cells \(^3\) is avoided.

Recently Hess et al. \(^4\) and van Roijen et al. \(^7\) reported on the successful trapping of hydrogen atoms at temperatures of about 1 and 100 \( \mu \)K, respectively. A very good quantitative agreement was found with our theoretical predictions \(^3\) for the decay of the gas, both with respect to the magnitude \(^6\) and the magnetic field and temperature dependence. \(^7\) However, these calculations assume a Maxwell-Boltzmann velocity distribution in the gas and thus apply only to the nondegenerate regime in which the above-mentioned experiments are carried out. In this paper we will relax this condition and study the dominant relaxation processes in H \( ^\text{II} \) in a wide temperature range and especially at temperatures below the critical temperature \( T_c \).

To this end we consider in Sec. II the eigenstates of \( N \) weakly interacting hydrogen atoms with electron and proton spin degrees of freedom by an extension of the \( g \) method developed by Lee and Yang. \(^\dagger\) We derive the free energy of the system from which all thermodynamical equilibrium quantities, and in particular the condensate fraction for the \( | \uparrow \uparrow \rangle \) spin state, can be found. In Sec. III we use this formalism to calculate all electron-electron dipolar decay rates \( G_{\text{rel}} \) (the hyperfine states of the 1s ground state of atomic hydrogen are denoted by \( | \alpha \rangle \), \( | \beta \rangle \), \( | \gamma \rangle \), and \( | \delta \rangle \) in order of increasing energy) as a function of \( T \) and applied magnetic field \( B \) and compare with results obtained earlier. We will also show that the dominant temperature dependence for sufficiently exothermic reactions can be found by an extension of the correlator discussed by Kagan et al. \(^8\) to the case of two-body relaxation processes. Section IV will give some concluding remarks.

II. ATOMIC HYDROGEN AS A WEAKLY INTERACTING BOSE GAS

We consider a homogeneous system of \( N \) hydrogen atoms with internal degrees of freedom corresponding to the hyperfine states \( | \alpha \rangle \) and the energy eigenvalues \( \varepsilon_n \). In addition, we use a quantization volume \( V \) and periodic boundary condition to facilitate the treatment of the condensate. Our final results will be presented in the thermodynamical limit \((N, V \to \infty) \) and \( N = nV \) constant. The normalized and discrete one-atom states with momentum \( \mathbf{k} \) are denoted by \( | \mathbf{k} \alpha \rangle \) and have an energy

\[
\varepsilon_{\mathbf{k}\alpha} = \frac{\mathbf{p}_\alpha^2}{2m_\alpha} + \varepsilon_\alpha,
\]

where \( m_\alpha \) is the mass of the hydrogen atom.

The phenomenon of Bose-Einstein condensation in the case of magnetically trapped atomic hydrogen is associated with a macroscopic occupation of the state \( |0\rangle \). Although \( |0\rangle \) is not the one-atom ground state the condensation occurs into this state, because of a spontaneously developing nonequilibrium situation in spin space: Only the doubly polarized \( | \uparrow \uparrow \rangle = | \uparrow \downarrow \rangle \) state remains populated, since the "high-field seeking" \( a \) and \( b \) atoms are not trapped in a minimum \( B \) field, while the "low-field seeking" \( c \) atoms are rapidly removed from the trap due to exchange relaxation processes. \(^1\)

The details of the macroscopic occupation depend to a great extent on the interaction between the particles. In the following we show that the deviations from the ideal Bose gas are characterized by the parameters \( n_\alpha a_\alpha^{1/2} \), \( n_\alpha a_\alpha^{1/4} \), and their ratio \( a_\alpha \) to \( n_\alpha \). Here \( n_\alpha \) is the (trip-
let) scattering length which characterizes the strength of the interaction and $\Lambda$ denotes the thermal de Broglie wavelength

$$A(T) = \left[ \frac{2\pi^2}{m k_B T} \right]^{1/2},$$

(2)

with $k_B$ Boltzmann's constant. For atomic hydrogen with a density of $10^{14}$ cm$^{-3}$ and a temperature equal to the critical temperature $T_c = 34$ $\mu$K these parameters are small. We therefore neglect first and higher orders in these parameters but take all orders of the degeneracy parameter $n \Lambda^3$ into account, since we want to consider the regime where Bose-Einstein condensation takes place ($n \Lambda^3 \approx 1$).

The decay of the fully (doubly) polarized gas is due to the weak electron-electron-dipolar interaction, which can be treated as a perturbation. This section is concerned with the associated "zeroth-order" problem, in which the central (singlet or triplet) interaction is taken into account. In Sec. III we then turn to the influence of the dipolar interaction.

A. Hamiltonian and eigenstates

At the low temperatures ($a/\Lambda \ll 1$) in which we are interested, it is permitted to use the pseudopotential method$^{13}$ and replace the real potential $V_{e-e, e-e}(r)$, which depends on the internal states involved in the interaction, by a pseudopotential $v_{e-e, e-e}(r)$ with the same scattering properties for low energies. This requires $v_{e-e, e-e}$ to be equal to an (on-shell) two-body T-matrix element at zero energy, which in turn can be expressed in singlet and triplet scattering lengths $a^{(5)}$ if we use the very accurate "degenerate-internal-states" approximation.$^{5}$ Denoting the projection operators on the part of spin space with total electron spin equal to $S$ by $|S\rangle$, the result is given by

$$v_{e-e, e-e} = \frac{4\pi \hbar^2}{m_H} \left( a' \left[ \sum_{\frac{1}{2}} e^{i r \cdot q_S} \right] |q_S \rangle \right).$$

(3)

In particular, the important quantity $v_{e\text{-}vd, e\text{-}vd}$ is equal to $4\pi \hbar^2 a^{(1)} / m_H = 4\pi \hbar^2 a / m_H$.

In second quantization and with the convenient notation $v_{e\text{-}vd, e\text{-}vd} = v_{e\text{-}vd, e\text{-}vd} |v_{e\text{-}vd, e\text{-}vd}|$, the Hamiltonian of the system takes the form

$$H = \sum_{\alpha} \sum_{\epsilon} \sum_{\lambda} \xi_{\alpha\epsilon}^\dagger \xi_{\alpha\epsilon} \sum_{\lambda} a_{\alpha\epsilon}^\dagger a_{\alpha\epsilon} \sum_{k} \sum_{\lambda} a_{\lambda}^\dagger a_{\lambda},$$

$$+ \frac{1}{4V} \sum_{\alpha \neq \epsilon} v_{\alpha\epsilon, \alpha\epsilon} \sum_{\lambda} \sum_{\lambda} a_{\lambda}^\dagger a_{\lambda}^\dagger a_{-\lambda} a_{-\lambda} + \frac{1}{4V} \sum_{\alpha \neq \epsilon} v_{\alpha\epsilon, \alpha\epsilon} \sum_{\lambda} \sum_{\lambda} a_{\lambda}^\dagger a_{\lambda}^\dagger a_{-\lambda} a_{-\lambda},$$

(4)

with the creation (annihilation) operators $a_{\alpha\epsilon}$ ($a_{\alpha\epsilon}$) obeying the usual commutation relations. Following the discussion by Lee and Yang$^{1}$ for a population of the $|Qd\rangle$ state by a finite fraction $\xi$ of the total number of particles $N$, we consider a free state $|N^{(c)}_0\rangle$ with a set of occupation numbers such that

$$N^{(c)}_0 = \xi N,$$

$$N^{(c)}_{qk} = O \left( \frac{N^{1/2}(a^{(1)})^2}{n a \Lambda^3} \right)$$

if $k \neq 0,$

$$N^{(c)}_{kk} = O(1) \text{ if } a \neq 0,$$

and

$$\sum_{k \neq 0} N^{(c)}_{kk} + \sum_{k \neq 0} N^{(c)}_{kk} = (1 - \xi) N.$$

(5)

The last line of Eq. (5) reflects the nonequilibrium situation in spin space as mentioned previously: A gas of $d$ atoms can only decay by dipolar relaxation and produce atoms in an $|a\rangle$, $|b\rangle$, or $|c\rangle$ state, which are then rapidly removed from the trapping region.

Including the interaction the free state $|N^{(c)}_0\rangle$ will evolve into a state of $\left\{N^{(c)}_{0\ell}\right\}$ quasiparticles which can be seen as a superposition of free states with occupation numbers $N_{kk}$ differing from $N^{(c)}_0$ by $\delta_{kk}$:

$$N_{kk} = N^{(c)}_{kk} + \delta_{kk}.$$

(7)

A posteriori it is possible to show that the expectation value of $\delta_{kk}$ in the resulting state of quasiparticles obeys

$$\langle \delta_{kk} \rangle = O \left( \frac{N^{1/2}(a^{(1)})^2}{n a \Lambda^3} \right)$$

if $k \neq 0,$

$$\langle \delta_{kk} \rangle = 0 \text{ if } a \neq 0,$$

while the fluctuations of the condensate fraction satisfy

$$\left\langle \left( \frac{1}{N} \sum_{\ell=0} \delta_{\ell\ell} \right)^2 \right\rangle = O \left( \frac{(a^{(1)})^2}{n a \Lambda^3} \right).$$

(8)

From these estimates and the selection rule $\Delta M = 0$ for the central interaction, implying that $u_{\alpha\epsilon, \alpha\epsilon} = u_{\alpha\epsilon, \alpha\epsilon} = v_{\alpha\epsilon, \alpha\epsilon} = v_{\alpha\epsilon, \alpha\epsilon}$ + $\delta_{\alpha\epsilon}$, we find that the only terms in the Hamiltonian contributing in the thermodynamical limit are

$$H = \sum_{\alpha} \sum_{\epsilon} \sum_{\lambda} \xi_{\alpha\epsilon}^\dagger \xi_{\alpha\epsilon} \sum_{k} \sum_{\lambda} a_{\lambda}^\dagger a_{\lambda} + \frac{1}{4V} \sum_{k} \sum_{\lambda} a_{\lambda}^\dagger a_{-\lambda}^\dagger a_{-\lambda} a_{\lambda}$$

$$+ \sum_{o \neq k} \sum_{l} \sum_{d} a_{o}^\dagger a_{k} a_{k}^\dagger a_{d}^\dagger a_{d}.$$
Hartree-Fock correction to the energy of the \( \alpha \) atoms due to the interaction with the total set of \( \beta \) atoms, i.e.,

\[
\sum_{\alpha \beta} \sum_k N_{\alpha \beta}^{(a)} N_{\alpha \beta}^{(b)}.
\]

Furthermore, using Eqs. (5)-(7) the remaining part of \( H^P \) can be written as

\[
\frac{\mu_{\alpha \beta}^{(a \to b)}}{4V} \left[ N^2 \left[ 1 + (1 - \xi')^2 \right] + 25N \sum_{k=0}^\infty N_{\alpha \beta}^{(a)} - 2(2 - \xi')N \sum_{\alpha \beta} \sum_k N_{\alpha \beta}^{(a)} \right] + W,
\]

where \( W \) equals

\[
\frac{\mu_{\alpha \beta}^{(a \to b)}}{4V} \left[ -N - \sum_{\alpha \beta} N_{\alpha \beta}^{(a)} - \left( \sum_{\alpha \beta} \sum_{k=0}^\infty N_{\alpha \beta}^{(a)} \right)^2 + \sum_{\alpha \beta} \sum_{k=0}^\infty N_{\alpha \beta}^{(a)} + 2 \left( \sum_{\alpha \beta} \sum_{k=0}^\infty N_{\alpha \beta}^{(a)} \right)^2 \right].
\]

This contribution can be neglected since explicit calculation shows that in addition to Eq. (9) we have

\[
\sum_{k=0} \langle N_{\alpha \beta}^{(a)} \rangle = \left[ \frac{N^{+1/2} n_{\alpha \beta}^{(a)} n_{\beta \alpha}^{(a)} / (n \Lambda^2)^{3/2}}{N^{+1/2} n_{\alpha \beta}^{(a)} n_{\beta \alpha}^{(a)} / (n \Lambda^2)^{3/2}} \right].
\]

Therefore, in the thermodynamic limit, \( W \) leads to negligible corrections of order \( N (n_a \Lambda^3)^{1/2} k_\beta T \) to the energy eigenvalues (and thus the free energy).

Collecting the remaining results, we find that the Hamiltonian of the gas can be approximated to a high degree of accuracy by

\[
H = N \left[ 1 + (1 - \xi')^2 \right] \frac{\mu_{\alpha \beta}^{(a \to b)}}{4V} + \sum_{k=0}^\infty \sum_{\alpha \beta} \sum_{k=0}^\infty \left[ \varepsilon_{\alpha \beta}^{(a)} - \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \right] a_{\alpha \beta}^{(a)} a_{\alpha \beta}^{(b)} + \sum_{k=0}^\infty \sum_{\alpha \beta} \sum_{k=0}^\infty \left[ \varepsilon_{\alpha \beta}^{(a)} - \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \right] a_{\alpha \beta}^{(a)} a_{\alpha \beta}^{(b)}
\]

where we have taken \( \varepsilon_{\beta \alpha}^{(a)} \) as our zero-point of energy. This Hamiltonian can be diagonalized by means of a Bogoliubov transformation\(^{14}\) of the operators \( a_{\alpha \beta}^{(a)} \) and \( a_{\alpha \beta}^{(b)} \) to creation \((b_{\alpha \beta}^{(a)})\) and annihilation \((b_{\alpha \beta}^{(b)})\) operators of \( Id \)-state quasiparticles. To lowest order in \( (n_a \Lambda^3)^{1/2} \) the result is written as

\[
H = \left[ 1 + (1 - \xi')^2 \right] \frac{\mu_{\alpha \beta}^{(a \to b)}}{4V} + \sum_{k=0}^\infty \sum_{\alpha \beta} \sum_{k=0}^\infty \left[ \varepsilon_{\alpha \beta}^{(a)} - \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \right] b_{\alpha \beta}^{(a)} b_{\alpha \beta}^{(b)}
\]

where \( \varepsilon_{\beta \alpha}^{(a)} \) is the Hamiltonian for a system of independent quasiparticles with quasiparticle energies

\[
\varepsilon_{\alpha \beta}^{(a)} = \varepsilon_{\alpha \beta}^{(a)} + \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \left[ \varepsilon_{\beta \alpha}^{(a)} - \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \right]^{-1/2}.
\]

We remind the reader of the property that the dispersion relation is phononlike,

\[
\varepsilon_{\alpha \beta}^{(a)} = k \left[ n_a \Lambda^3 / 2m_H \right]^{1/2},
\]

for values of \( k \) small compared to \( (n_a \Lambda^3)^{1/2} \) and particlelike,

\[
\varepsilon_{\alpha \beta}^{(a)} = \varepsilon_{\alpha \beta}^{(a)} + n_a \Lambda^3 \left[ \varepsilon_{\beta \alpha}^{(a)} - \frac{\mu_{\alpha \beta}^{(a \to b)}}{2N_{\alpha \beta}^{(a)}} \right] / 2,
\]

for \( k \gg (n_a \Lambda^3)^{1/2} \).

In the case of atomic hydrogen it is important to note that the densities and temperatures near the Bose-Einstein transition are such that \( n_a \Lambda^3 \) is a small quantity. This means that the relevant thermal momenta are always large compared to \( r(n_a \Lambda^3)^{1/2} \). Therefore the dispersion relation is well approximated by its particle-like limit. This approximation is identical with a Hartree-Fock or mean-field treatment of the gas, taking the macroscopic occupation of the one-atom \( 1O_{1k} \) state into account.\(^{15}\) Notwithstanding the validity of the particle-like limit in our circumstances, it is of interest to impose this limitation only in the final stage of calculating rate constants in Sec. II.B. We then find more explicitly the region of applicability of the results.

### B. Thermal average

The previous derivation allows us to calculate the transition probabilities \( \Gamma \) for the relaxation processes. To find the rate constants we need to perform a thermal average over the possible initial states, without \( \alpha \& \beta \) atoms, at a certain temperature. In principle this would imply a summation of the form

\[
\frac{1}{Z} \sum_{\xi} \sum_{|N_{\alpha \beta}^{(a)}|} \sum_{|N_{\alpha \beta}^{(b)}|} e^{-\beta E(x, |N_{\alpha \beta}^{(a)}|)} \Gamma(x, |N_{\alpha \beta}^{(a)}|),
\]

with \( \beta = (k_b T)^{-1} \), \( E(x, |N_{\alpha \beta}^{(a)}|) = E_0(x) + E_{\alpha \beta}^{(a)} (x, |N_{\alpha \beta}^{(a)}|) \) the eigenvalues of the Hamiltonian (13) and the sum over states \( Z \) as a normalization factor. The prime in the second summation sign indicates that the summations
over $\xi$ and $N_s^{(2)}$ are not independent because the occupation numbers $N_s^{(2)}$ correspond not only to the number of quasiparticles with momentum $\mathbf{q}$, but also to the number of (real) particles with the same momentum from which this state evolved by inclusion of the interaction. Therefore they must satisfy the condition

$$N_s^{(2)} = \sum_{\mathbf{q}} N_s^{(2)} = (1 - \xi)N$$  \hspace{1cm} (19)

for the total number of quasiparticles.

It turns out that thermal averages of the type (17) are conveniently carried out by deleting the subsidiary condition using a grand canonical ensemble with an associated chemical potential $\mu(\xi)$ and by limiting oneself to one "most probable" value $\bar{\xi}$ to $\xi$, depending on temperature.\(^\text{16}\)

In the thermodynamical limit $\mu(\xi)$ and the corresponding fugacity $\xi(\xi) = e^{\mu(\xi)}$ follow from

$$\bar{\xi} = \frac{1}{(2\pi)^d} \int d\mathbf{q} \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right], \hspace{1cm} (19)$$

while $\bar{\xi}$ is the value of $\bar{\xi}$ for which the free energy per particle

$$f(\xi) = \frac{E(\xi)}{N} + \frac{k_B T}{N} \frac{1}{(2\pi)^d} \int d\mathbf{q} \ln \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right] + (1 - \xi) \ln \bar{\xi}(\xi), \hspace{1cm} (20)$$

is minimal. Since Eq. (19) is equivalent to $\partial f(\xi, \bar{\xi}) / \partial \xi = 0$, we have

$$\frac{\partial f(\xi, \bar{\xi})}{\partial \xi} = \frac{1}{N} \int d\mathbf{q} \ln \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right] + (1 - \xi) \ln \bar{\xi}(\xi), \hspace{1cm} (21)$$

Therefore, using the expressions in Ref. 9 and expanding both Eqs. (18) and (21) in powers of $(n a \Lambda^2)/2$ we obtain the coupled set of equations

$$\bar{\xi} = \frac{1}{(2\pi)^d} \int d\mathbf{q} \ln \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right] + O(\langle na \Lambda^2 \rangle^{1/2})$$  \hspace{1cm} (22)

from which we can deduce $\xi$ and $\bar{\xi}$ in $\xi(\xi)$. In Eq. (22) we made use of the well-known Bose functions $g_n(\xi)$ (Ref. 17) defined by

$$\xi = \sum_{n=1}^{\infty} g_n = \frac{1}{\Gamma(n)} \int_0^\infty dy y^{n-1} e^{-y} = \left. \frac{\partial}{\partial \gamma} \right|_{\gamma = 0} y^n \frac{1}{\xi(\xi)}, \hspace{1cm} (23)$$

Especially those with $n = 1, \frac{1}{2}, 2,$ and $3$ play an important role in Sec. III and are shown in Fig. 1.

The solution of Eq. (22) is fundamentally different below and above a critical temperature $T_c$; deviating only slightly (for atomic hydrogen $\Lambda = a$ would correspond to a temperature of about 600 K) from the critical temperature $T_0$ of an ideal Bose gas:

$$T_c = T_0 \left[ 1 - O \left( \frac{\hbar}{\Lambda} \right) \right], \hspace{1cm} (24)$$

In the nondegenerate case $T > T_c$ the derivative $df/d\xi$ is always greater than zero and thus $f(\xi, \bar{\xi}(\xi))$ has a minimum on the $\xi$ axis, i.e.,

$$\bar{\xi} = 0.$$  \hspace{1cm} (25)

However, in the degenerate case $T < T_c$ a solution of $df/d\xi = 0$ exists and $\bar{\xi}$ becomes different from zero. It turns out that $\xi$ and $\bar{\xi}$ now obey

$$\xi = \frac{1}{(2\pi)^d} \int d\mathbf{q} \ln \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right] + O(\langle na \Lambda^2 \rangle^{1/2})$$  \hspace{1cm} (26)

because

$$\bar{\xi}(\xi) = \frac{1}{(2\pi)^d} \int d\mathbf{q} \ln \left[ 1 - \frac{1}{\xi(\xi)} e^{-\mu(\xi)} \right] + O(\langle na \Lambda^2 \rangle^{1/2})$$  \hspace{1cm} (27)

(Refs. 17 and 18).

Omitting the higher-order terms the solution of Eqs. (25) and (26) is shown in Fig. 2, in which we present $\bar{\xi}$ and $\bar{\xi}$ as functions of $T/T_c$. In particular, we recover the well-known (ideal Bose gas) power law for the number of particles in the condensate:

$$N_{ad} = \bar{\xi}N \left[ 1 + \left( \frac{T}{T_c} \right)^{2/3} \right], \hspace{1cm} (27)$$

\[ FIG. 1. \] Bose functions $g_n(\xi)$ for $n = 1, \frac{1}{2}, 2,$ and $3$.\]
APPARENTLY, we find that a Bose gas behaves almost ideally if both \((na^2)^{1/2} < 1\) and \((na\Lambda^2)^{1/2} < 1\). However, as Eq. (9) explicitly shows, also the ratio of these parameters must be small compared to 1, for the \(\xi\) method to be self-consistent and the fluctuations of the condensate fraction to be negligible. This additional condition, which is not mentioned in Ref. 9, strongly restricts the region of applicability, but is still satisfied in the hydrogen case. Note that the smallness of \((a/\pi\Lambda^2)^{1/2} < 1\) together with \((na^2)^{1/2} < 1\) implies \(a/\Lambda < 1\).

The main conclusion of this section is that the thermal averages of the decay probabilities of interest can be carried out conveniently with a fixed-\(\xi\) (= \(\hat{\xi}\)) grand canonical ensemble. Although the summation over \(\xi\) has thus disappeared from Eq. (17), it is of importance to stress that this refers only to the initial states. A summation over final-state \(\xi\) values still occurs in the expression for the transition probability \(\Gamma\). We come back to this in Sec. III.

III. DIPOLAR RELAXATION IN H\(_4^+\)

The dominant decay mechanism in H\(_4^+\) is due to the magnetic dipolar interaction between the electron spins of the hydrogen atoms. This interaction is not invariant under spatial rotations and can be written as\(19\)

\[
\gamma_\mu(r) = -\frac{e \mu_0^2}{4\pi r^3} \left[ \frac{4\pi}{5} \right]^{1/2} \sum_{\mu} Y_{\mu} (\hat{r}) \Sigma_{\mu} \eta_{\mu}.
\]

(28)

In terms of the total electron and proton spin states \(|SM_eIM_f\rangle\) the matrix elements of the tensor operator \(\Sigma_{\mu}\) of rank 2 are proportional to a Clebsch-Gordan coefficient

\[
\langle S' M_S I' I'_f | \Sigma_{\mu} | SM_e IM_f \rangle = \sqrt{\frac{\mu_0^2}{2}} \left[ \frac{4\pi}{5} \right]^{1/2} \sum_{\mu} Y_{\mu} (\hat{q}) \langle \alpha \beta | \Sigma_{\mu} | \alpha' \beta' \rangle.
\]

(29)

from which the matrix elements in the basis \(|\alpha \beta\rangle\) of hyperfine states can easily calculated. We also need the Fourier transform of the spin matrix elements of \(\gamma_\mu(r)\):

\[
\gamma_{\alpha' \beta' \alpha \beta} (q) = \frac{4\pi}{5} \left[ \frac{4\pi}{5} \right]^{1/2} \sum_{\mu} Y_{\mu} (q) \langle \alpha \beta | \Sigma_{\mu} | \alpha' \beta' \rangle.
\]

(30)

where the summation over \(\mu\) reduces to only one term with \(\mu\) equal to \(\Delta M_p\), i.e., the change of the total electron spin projection on the direction of the magnetic field.

As mentioned previously we treat the effect of the weak dipole interaction using first-order perturbation theory. The probability (per second) for a transition from a \(|\xi_{\mu'} |N_{\mu} \rangle\rangle\) initial state of the doubly polarized gas to a final state \(|\xi_{\mu} |N_{\mu} \rangle\rangle\) with only one or two atoms having an internal state different from \(|d\rangle\) obeys Fermi's golden rule,

\[
\Gamma_{\mu' \cdots \mu}(\xi, |N_{\mu} \rangle) = \frac{2\pi}{\hbar} \sum_{\mu'} \sum_{\eta} \sum_{\mu_{\eta}} \langle \eta | E(\xi_{\mu'} |N_{\mu} \rangle)f-E(\xi_{\mu} |N_{\mu} \rangle)f \rangle \langle \xi_{\mu} |N_{\mu} \rangle f \rangle \sum_{\mu_{\eta}} V_{\mu_{\eta}} |\eta_{\mu_{\eta}} \rangle f | \eta_{\mu_{\eta}} \rangle f |^2.
\]

(31)
The quotation marks indicate that the energy-conserving function "\( \delta \)" becomes equal to Dirac's \( \delta \) function only in the thermodynamical limit. The rate constants \( G_{dd\rightarrow ak}(B, T) \) are found by thermal averaging over all possible initial states. As explained in Sec. II B this leads to

\[
G_{dd\rightarrow ak}(B, T) = \frac{1}{n^2} \mathcal{Z}_{ak} \sum_{\{N_{kd}\}} \frac{\frac{1}{2} \left( \frac{1}{3} N^2 \right) \sum_{q} \left[ \psi'_{ak}(q) \psi'_{ak}(q+k) + \psi_{ak}(q) \psi_{ak}(q+k) \right] (\Delta_{ak}^2 \Delta_{ak})}{\mathcal{Z}_{ak}} \ ,
\]

(32)

Note the different roles played by \( \xi \) and \( \xi' \); although we restrict ourselves to one \( \xi \), \( \xi' \) can still have various values, depending on the number of condensate particles participating in the collision.

We proceed to calculate \( G_{dd\rightarrow ak} \) for all five possible processes that are allowed by the selection rules [cf. Eq. (29)] of the electron-electron dipole interaction: \( dd \rightarrow ac \), \( dd \rightarrow cc \), \( dd \rightarrow cd \), and \( dd \rightarrow cd \). Denoting the magnetic-field-dependent change of internal energy in the reaction \( dd \rightarrow ak \) by \( \Delta_{ak} \) we will give in Sec. III A an expansion of \( G_{dd\rightarrow ak} \) in terms of \( k_B T/\Delta_{ak} \), which is for most practical purposes can be considered as a small quantity. However, near the center of the trap the magnetic field strength is of the order of a few gauss, leading to small energy splittings \( \Delta_{ak} \approx \mu_B B \) and \( \Delta_{ak} \ll \mu_B B \). With this application in mind it is also interesting to look at small \( \Delta_{ak} \) and even at the extreme case \( \Delta_{ak}=0 \). To this end we consider in Sec. III B the transitions \( dd \rightarrow cc \) and \( dd \rightarrow cd \) at zero magnetic field.

\[
\Gamma_{dd\rightarrow ak}(\xi, \{N_{kd}\}) = \frac{2}{\hbar} \left[ \frac{N^2}{V^2} \left( 1 + \delta_{ak} \right) \sum_\xi \left| \psi_{ak}(q) \psi_{ak}(q+k) \right|^2 (N_{kd} \Delta_{ak}^2 + 1) \right]^{1/2} \ ,
\]

(33)

where the factors \( (1 + \delta_{ak})^{-1} \) and \( (2V(N_{kd} \Delta_{ak}^2 + 1))^{-1} \) assure that the incoherent summations over final momenta are effectively over distinguishable states. The three contributions to \( \Gamma_{dd\rightarrow ak} \) correspond to a collision between two condensate particles, between one condensate and one noncondensate particle, and between two noncondensate particles. The values of \( \xi' \) involved are equal to \( \xi' = 2/\hbar, \xi' = 1/\hbar \), and \( \xi'_a \), respectively. Furthermore, the energy differences are

\[
\Delta_{ak}^2 \Delta_{ak}^2 = \frac{k_B^2 \Delta_{ak}^2}{2m} + k_B T \Omega/v \Lambda^2 \ ,
\]

(34)

To obtain Eqs. (34) and (35) we need the amount of energy required to remove a particle from the condensate, which is equal to

\[
E \left[ \xi = \frac{1}{N} (\xi, \{N_{kd}\}) \right] - E(\xi'_a, \{N_{kd}\}) \ .
\]

Using the particlelike limit of the dispersion relation, this difference is calculated to be

\[
- \frac{1}{N} d\xi' \left[ \xi' = \frac{1}{N} (\xi', \{N_{kd}\}) \right] = \frac{n \xi' v \delta_{ak}}{2} = k_B T \Omega/v \Lambda^2 \ .
\]

In addition, scattering processes that create a condensate...
particle in the case $\lambda = d$ are omitted, since momentum and energy cannot be simultaneously conserved if $\Delta_{cd} \gg k_B T$.

The rate constants are now found from Eq. (34) replacing $\xi$ and $N_{kd}$ by their thermal averages $\langle \xi \rangle$ and $\langle N_{kd} \rangle$. The latter is given by the Bose distribution

$$\langle N_{kd} \rangle = \frac{1}{e^{\beta \Delta_{kd}} - 1}. \quad (36)$$

Due to conservation of energy the influence of the anti-blocking factors $\langle N_{kd} \rangle$ appearing in the resulting expression for $G_{dd \rightarrow ch}$ can also be neglected. The energy $\varepsilon_{kd}$ of the quasi-particle produced in the process $dd \rightarrow kd$ at low temperatures is of the order of the hyperfine splitting $\Delta_{cd}$, leading to the estimate

$$\langle N_{kd} \rangle \approx \exp(-\beta \Delta_{kd}) \ll 1$$

for the average occupation.

We now calculate the three contributions $G^{MB}_{dd \rightarrow ch}$ ($\sigma = 0, 1, 2$ denotes the number of condensate particles involved) to the rate constants by taking the thermodynamical limit and neglecting the $k_B T O(\alpha \Lambda^2)$ terms in the energy difference $\Delta_{cd}$. The first line of Eq. (34) is associated with $\sigma = 2$ and leads to

$$G^{MB}_{dd \rightarrow ch}(B, T = 0) = \frac{4\pi (\mu_B \delta^2)^2}{45} \langle \xi \rangle \langle Y^\dagger_{2\Lambda M_p} | d d \rangle^2$$

$$\times \left[ \frac{1}{\mu_\beta^2 \Delta_{cd}} \right] \left[ \frac{1}{\mu_\beta^2 \Delta_{cd}} \right]$$

$$= \frac{\varepsilon_{dd}}{2} G_{dd \rightarrow ch}(B, T = 0). \quad (37)$$

The quantity $G^{MB}_{dd \rightarrow ch}(B, T = 0)$ is the $T \rightarrow 0$ limit of the rate constant using a Maxwell-Boltzmann velocity distribution for the atoms in the gas and the plane-wave Born approximation (PWBA) to the transition amplitude. It gives an excellent description of the decay of the doubly spin-polarized gas in the temperature regime $T_c \ll T \ll k_B T / \Delta_{cd}$ and for magnetic field strengths $B \lesssim 0.1 T$. Explicitly it reads

$$G^{MB}_{dd \rightarrow ch}(B, T = 0) = \frac{m_p \mu_B^2}{90 \pi \hbar^2} \left[ \frac{m_p \Delta_{cd}}{\hbar^2} \right]$$

$$\times \langle \xi \rangle \langle Y^\dagger_{2\Lambda M_p} | d d \rangle^2, \quad (38)$$

introducing the symmetrized and normalized spin states $\langle \sigma \beta \rangle$ of Ref. 8. Actually, Eq. (33) is a direct generalization of the expressions found by van den Einde 11 and Ruckenstein 20 who considered the case of $bb \rightarrow ab$ relaxation, relevant to the earlier experiments with $H \parallel J$.

At zero temperature all particles are in the condensate ($\xi = 1$) and only collisions with $\sigma = 2$ are possible. Hence from Eq. (37) we can already conclude that in this limit all decay rates decrease by a factor of 2. This is easily explained by the following symmetry argument. In the classical regime all occupation numbers are small compared to 1, so that it is highly improbable to find two atoms in the same state $|kd\rangle$. Therefore, if two atoms ($i$ and $j$) collide, they are in the normalized symmetric state

$$\langle |kd\rangle, \sigma |kd\rangle, \sigma |kd\rangle \rangle / \sqrt{3}.$$
difference of the two is of the order $na/\Lambda^2 E$ and negligible.

The evaluation of $G^{(0)}_{d \to e}(B, T)$ proceeds similarly. After taking the thermodynamical limit we transform from initial momenta $k$ and $k'$ to center-of-mass and relative momenta $\mathbf{P}$ and $\mathbf{p}$ and write the transferred momentum $q$ as the difference $\mathbf{p}' - \mathbf{p}$ between final and initial relative momenta. This gives

$$G^{(0)}_{d \to e}(B, T) = \frac{4\pi (\mu m_H^2)^2}{45} \left( \frac{|(\omega_0 | \Sigma_{LM} | d d')|^2}{(2\pi)^2 n^2 E / 2 \Lambda + \Delta_{\alpha}} \right) \times \int d\mathbf{p} \int d\mathbf{p}' \left[ \int d\mathbf{F}(N_{\beta/2+\mathbf{p}d})_{\nu'} \left< N_{\beta/2-\mathbf{p}'d} \right>_{\nu'} \right] \delta \left[ \frac{\mathbf{p}'^2}{m_H} - \frac{\mathbf{p}^2}{m_H} - \Delta_{\alpha} \right] \times \{ Y^g_{\alpha M}(p + p') + Y^g_{\alpha M}(p - p') \}^2. \tag{42}$$

We note that the function in the first pair of large parentheses, which is up to the factor $[\ln(2\pi)^2]^{-1}$ equal to the distribution function for the relative momentum $p$, is independent of the direction of $p$. Therefore it is possible to first carry out the integrations over $p$ and then use the expansion (43). Finally, reintroducing $k$ and $k'$ as integration variables it is possible to express our result in terms of, in particular, the average single-particle kinetic energy. This leads to

$$G^{(0)}_{d \to e}(B, T) = (1 - \bar{\xi}^2) G^{M^3}_{d \to e}(B, T = 0) \left[ 1 - \frac{9}{4} \frac{g_1^2(\bar{\xi})}{g_1^2(\xi)} \frac{k_B T}{\Delta_{\alpha}} + \mathcal{O} \left( \frac{k_B T}{\Delta_{\alpha}} \right)^2 \right], \tag{43}$$

if we omit $O(na/\Lambda^2 E)$ terms in the argument of the Bose functions.

For temperatures above $T_c$ the condensate is absent and only $G^{(0)}_{d \to e}(B, T)$ survives. In particular, in the classical regime $T_c \ll T \ll \Delta_{\alpha}/k_B$ the fuguacity becomes very small ($\bar{\xi} \ll 1$) and we find for the rate constant

$$G_{d \to e}(B, T) \equiv G^{M^3}_{d \to e}(B, T) = G^{M^3}_{d \to e}(B, T = 0) \left[ 1 - \frac{9}{4} \frac{k_B T}{\Delta_{\alpha}} + \mathcal{O} \left( \frac{k_B T}{\Delta_{\alpha}} \right)^2 \right], \tag{44}$$

in complete agreement with the PWBA calculations of van den Einjde and Ruckenstein.\footnote{This is not surprising, since for $\bar{\xi} = 0$ the quasiparticle Hamiltonian (14) reduces to an independent particle Hamiltonian. The deviation of Eq. (43) from Eq. (44) is due to the fact that the average kinetic energy of an ideal gas of bosons is not equal to $\frac{1}{2}k_B T$, as in the case of distinguishable particles, but equal to $\frac{3}{2}k_B T$.}

In the present practical realizations of a magnetic trap the largest part of the trapping region has such high magnetic field values that $\Delta_{\alpha} \gg k_B T$ for temperatures around $T_c$. In these circumstances even the linear terms

FIG. 3. Temperature dependence of relaxation rates $G_{d \to e}$ at large magnetic fields.
in the parameter $k_B T / \Delta_{ab}$ can be neglected and the rate constant reduces to
\[ G_{dd \rightarrow ab}(B, T) = \frac{1}{2} (2 - \tilde{\beta}^2) G_{dd \rightarrow ab}(B, T = 0). \]
(45)

The temperature dependence of the decay rates is then fully described by the function $(2 - \tilde{\beta}^2)/2$, which is shown in Fig. 3. Note that here deviations due to the Bose character of the velocity distribution of the hydrogen atoms only appear at temperatures below $T_c$ and are maximal when $T = 0$. As mentioned above, at zero temperature the rate of decay is smaller by a factor of $1/4$. From the discussion following Eq. (38) it is clear that for an $N$-body process the transition probability is reduced by $1/N$.

The corresponding factor of $1/4$ reduction in the case of three-body recombination (the dominant decay channel in $H(1S)$) was first noticed by Kagan et al.\textsuperscript{19} Applying their method to the case of two-body relaxation processes, we find that the dominant temperature dependence is given by the correlator $K$, which in terms of field operators $\Psi(r)$ is equal to
\[ K = \frac{1}{2n^2} \langle \Psi'(r) \Psi'(r') \Psi(r) \Psi(r') \rangle. \]
(46)

\[ G_{dd \rightarrow ab}(B, T) = G_{dd \rightarrow ab}(B, T = 0) \left[ \frac{1}{2} - 2(1 - \tilde{\beta}) \left\{ \frac{1}{2} - \frac{9}{8} \frac{g_2 k_B T}{\Delta_{ab}} + \ldots \right\} \right. \]
\[ + \left. \left( 1 - \tilde{\beta}^2 \right) \left[ 1 - \frac{9}{4} \frac{g_2 k_B T}{\Delta_{ab}} + \ldots \right] \right]\]
(47)

to obtain accurate results. For a realistic situation with a magnetic field of 5 G and a density of $10^{14}$ cm$^{-3}$ ($T_c \approx 34 \mu$K), we give in Fig. 4 the rates $G_{dd \rightarrow ab}(B, T)$ and $G_{dd \rightarrow ab}(B, T)$ as a function of $T/T_c$. Similar results are valid for the $dd \rightarrow cc$ process. In these cases deviations due to the proper use of Bose statistics already appear for temperatures above $T_c$. Although the effect is small and the decay rates for the processes $dd \rightarrow ad$, $dd \rightarrow ac$, and

\[ \Psi(r) = (\tilde{\beta}^2) \psi(r)^2 \cdot \psi(r), \]
and using the identity
\[ (1 - \tilde{\beta}^2) = (\psi^4(r) \cdot \psi(r)), \]
the correlator is calculated to be $(2 - \tilde{\beta}^2)$. This agrees with our results to lowest order in $k_B T / \Delta_{ab}$. One does not expect to find agreement in higher orders, because Kagan et al. assume the classical (Maxwell-Boltzmann) results to be temperature independent. Note also that, contrary to Kagan et al.'s three-body rate, our rates have a continuous first derivative at $T = T_c$. The discontinuity appears in our case in the second derivative.

In the center of the trap we have such small magnetic fields that the hyperfine splitting between the $|d\rangle$ and $|e\rangle$ states becomes comparable to the thermal energy. Then the linear terms in $k_B T / \Delta_{ab}$ must be retained and we need

\[ (47) \]

![FIG. 4. Temperature dependence of relaxation rate $G_{dd \rightarrow ab}$ at a magnetic field of 5 G and a density of $10^{14}$ cm$^{-3}$. Curve 1, without Bose-Einstein condensation and using a Maxwell-Boltzmann velocity distribution. Curve 2, with Bose-Einstein condensation.](image-url)
consider a gas of $b$ atoms, then Eq. (47) is applicable and we find a deviation from classical behavior. Unfortunately, the dominant decay mechanism is now three-body recombination both in the bulk and on the liquid-helium surface, which prevents the accurate determination of the relaxation rates near the phase transition.

b. The case $\Delta_k=0$

Although one avoids zero-field regions experimentally to suppress Majorana spin flips, we consider the process $dd\rightarrow cc$ as an example of what may happen if such regions are present. We can still apply the completely general Eqs. (37), (39), and (42) and neglect the $k_BT(\ln\Lambda)$ terms in $\Delta^\Pi_k$, because the relevant thermal energies are of the order $k_BT$. First of all we conclude that $G^{(0)}_{dd\rightarrow cc}=0$. For the calculation of $G^{(1)}_{dd\rightarrow cc}$ and $G^{(2)}_{dd\rightarrow cc}$ we again need the integral over the directions of $p$ and $p'$,

$$\int dp\int dp'|y_{\alpha-1}^2(p+p')+y_{\alpha-1}^2(p-p')$$

but now with equal magnitudes of the momenta $p$ and $p'$. In the Appendix we find that in this situation the integral is equal to $4\pi$. We are thus able to reduce the double momentum integral in Eq. (39) to Bose functions with the result

$$
G^{(1)}_{dd\rightarrow cc}(B=0,T)=\frac{4\pi\langle\mu^2\rangle^2}{8\pi^2} m_H
\begin{array}{c}
\frac{m_Hk_BT}{2}\frac{1}{2}\int_0^1\langle\Sigma_{\alpha-1}^2dd\rangle d^2\xi
\end{array}
$$

which cannot be handled analytically and may be calculated using Monte Carlo techniques. We therefore do not consider it here.

IV. CONCLUSIONS

We modified the $\xi$-method of Lee and Yang to analyze the decay of doubly spin-polarized atomic hydrogen in a static magnetic trap. We assumed that the translational degrees of freedom of the atoms are in thermal equilibrium and calculated all dipolar relaxation rates $G_{dd\rightarrow cc}$ as a function of temperature and applied magnetic field. Our results are correct to lowest order in the parameter $a/a_{AA}$, which is sufficiently accurate for all practically accessible temperatures and densities. Most importantly we find that the decay probability has a discontinuous second derivative with respect to temperature at $T=T_c$ and decreases well below the transition point by a factor of 2. In addition, we show
that for sufficiently small magnetic fields also precursor effects occur. All these phenomena may be of importance to studying the approach and realization of the Bose-Einstein transition.

APPENDIX

To calculate the contribution $G_{dd}^{(0)}$ and $G_{dd}^{(1)}$ to the decay rates $G_{dd}$ we have to evaluate the integral

$$
\int d\vec{\phi} \int d\vec{\phi}' Y_{2m}^{(p+p')},
$$

with $\mu = -\Delta M$. To this end we expand the spherical harmonic $Y_{2m}^{(p+p')}$ in terms of the functions $\psi_{lm}^{(p+p')},$ which are formed from separate spherical harmonics by angular momentum coupling:

$$
\psi_{lm}^{(p+p')} = \sum_{m'=-l}^{l} (i l m' L M) Y_{lm}(\vec{p}) Y_{l'm'}(\vec{p}').
$$  \hspace{1cm} (A1)

The desired expansion reads

$$
Y_{2m}^{(p+p')} = \sum_{m'} f_{m'}(p', p) \psi_{lm}^{(p+p')}.
$$  \hspace{1cm} (A2)

with the coefficients $f_{m'}(p', p)$ obeying

$$
f_{m'}(p', p) = \int d\vec{\phi} \int d\vec{\phi}' \psi_{lm}^{(p+p')} Y_{2m}^{(p+p')}.
$$  \hspace{1cm} (A3)

Using the convenient notation $l = 2I + 1$ and the formula

\hspace{1cm}

FIG. 5. Functions $h_{lm}(\xi)$ for $(n = \frac{1}{2}, m = 1)$ and $(n = \frac{1}{2}, m = 2)$.

FIG. 6. Temperature dependence of relaxation rate $G_{dd}$ at zero magnetic field. Curve 1, without Bose-Einstein condensation and using a Maxwell-Boltzmann velocity distribution. Curve 2, with Bose-Einstein condensation.
we are able to carry out the integrations over the directions of $p$ and $p'$ analytically if we expand also $|p + p'|^{-1}$ in terms of spherical harmonics:

\[
\frac{1}{|p + p'|^2} \sum_{l_1, l_2 = 0}^\infty (-1)^l Q_{l, m} (p, p') \sum_{m'} \left[ \frac{p_{l+1} p_{l'}}{2l+1} \right] \delta_{l, l'} \delta_{m, m'}, \tag{A4}
\]

where $Q_{l, m}(p, p')$ are Legendre functions of the second kind\(^2\) and $x = (p^2 + p'^2)/2pp'$. After some Racah algebra we finally obtain

\[
f_{ll}(p, p') = 2(30\pi)^{1/2} \sum_{l, l'} \delta_{l, l'} Q_{l, m} (p, p') \sum_{l_1, l_2 = 0}^\infty \left[ \frac{p_{l+1} p_{l'}}{2l+1} \right] \delta_{l, l'} \delta_{m, m'}, \tag{A5}
\]

and

\[
\int d\phi d\theta |Y_{1}^{0}(p + p') + Y_{1}^{0}(p - p')|^2 = 4 \sum_{l, m} \left[ \frac{U_{l}(p, p')}{l} \right] \tag{A6}
\]

making use of the selection rules of the Wigner $3-j$ and $6-j$ symbols in Eq. (A6).

The special case $p = p'$, needed when $\Delta_{\pi} = 0$, has to be considered separately because the Legendre functions $Q_{l, m}(p, p')$ diverge logarithmically if $x = 1$. However, if we split off the logarithmic part and write $Q_{l, m}(p, p')$ as\(^2\)

\[
Q_{l, m} (p, p') = \frac{1}{2} \text{P}^l_r (\phi) \ln \left[ \frac{x + 1}{x - 1} \right] - W_{l, m} (x), \tag{A8}
\]

with $P^l_r(\phi)$ a Legendre function of the first kind and

\[
W_{l, m} (x) = \frac{1}{2} \int_{-1}^{1} dx \frac{P^l_r (\phi - P^l_r (\phi))}{x - x}, \tag{A9}
\]

we find that the divergent parts cancel. Equation (A6) thus reduces to

\[
f_{ll}(p, p') = -2(30\pi)^{1/2} \sum_{l, l'} \delta_{l, l'} Q_{l, m} (p, p') \sum_{l_1, l_2 = 0}^\infty \left[ \frac{p_{l+1} p_{l'}}{2l+1} \right] \delta_{l, l'} \delta_{m, m'}, \tag{A9}
\]

Substituting $W_{l, m} (x) = \sum_{l, m} \delta_{l, m}$ we find that

\[
\int d\phi d\theta |Y_{1}^{0}(p + p') + Y_{1}^{0}(p - p')|^2 = 4 \sum_{l, m} \left[ \left| f_{l-1, l}(p, p') \right|^2 + \left| f_{l+1, l}(p, p') \right|^2 \right] = 4\pi, \tag{A10}
\]

if $p = p'$.

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CHAPTER 5:
NONSINGULAR INTEGRAL EQUATION FOR TWO-BODY SCATTERING
AND APPLICATIONS IN TWO AND THREE DIMENSIONS
Nonsingular integral equation for two-body scattering
and applications in two and three dimensions

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We introduce a new nonsingular scattering integral equation, which is suitable for the investigation
of the total (also off-shell) transition matrix in arbitrary dimension $n \geq 2$. In particular, the
low-energy properties are derived and lead, in connection with spin-polarized atomic hydrogen $H_h$
and high-$T$ superconductors, in which the layer structure of the lattice may play an important role in the pairing mechanism, are just a few examples of the increasing interest in this field of physics.

Another experimentally accessible two-dimensional system is low-temperature spin-polarized atomic hydrogen (H\textsubscript{II}) that is adsorbed at a surface of liquid helium.\textsuperscript{1} The two-dimensional gas plays a vital role in connection with experiments aiming at Bose-Einstein condensation: At temperatures below about 0.1 K the density of the adsorbed gas is so high that the stability of the gas sample as a whole is mainly determined by two-dimensional relaxation and recombination processes. Indeed, the three-body recombination on the helium surface is the main cause of the instability of the conventional compression experiments to achieve the Bose-Einstein transition in the H\textsubscript{II} gas.\textsuperscript{2} To circumvent this dominant decay process, it is important to understand the physical mechanism through which the recombination proceeds and hence to be able to predict the magnetic field and low-temperature behavior of the surface recombination rate constant $L_s$. However, formulating a Faddeev formalism for this three-body problem in two dimensions, as was done in the volume case,\textsuperscript{3} leads to substantial problems caused by the logarithmic energy dependence of the two-dimensional two-body $T$ (transition) operator.

As a first step in solving the above-mentioned problems and arriving at a three-body effective-range theory, we introduce in Sec. II a new method to study the complete $T$ matrix and in particular its energy dependence. The most important results, needed for subsequent applications, are given in Eqs. (4) and (7). We formulate the method in arbitrary dimension and apply it to two and three dimensions. Sections III and IV are devoted to two-dimensional scattering and we here discuss the complete agreement of our method with the effective-range theory formulated previously\textsuperscript{4} and derive some preliminary results on the low-temperature behavior of the three-body decay rate $L_s$. After that we turn in Sec. V to the three-dimensional case and derive a separable approximation to the $T$ matrix for both positive and negative energies. This approximation may be of some use in connection with the solution of Faddeev or Alt-Grassberger-Sandhas (AGS) equations\textsuperscript{5} in, for example, nuclear physics, where a one-term separable expansion is already highly accurate.

II. $T$ matrix

The $T$ matrix in dimension $n \geq 2$ and for an (integer-valued) partial wave $l$ obeys a Lippmann-Schwinger equation\textsuperscript{6} that in the momentum representation takes the form

$$
T_l(p,p',E) = V_l(p,p') + \int_0^\infty dp'' (p''t-l)^{-1} V_l(p,p'') \times \left( \frac{1}{E + (p''t^2/2\mu)} \times T_l(p'',p',E) \right) .
$$

(1)

Here $\mu$ is the reduced mass of the two colliding particles, $E$ is the total energy available, and the angular momentum associated with the partial wave $l$ is $Vl(l+n-2h)$. We assume the interaction to be local and rotationally invariant, in which case all partial waves are decoupled from one another and the (real) momentum representation of the potential $V(r)$ turns out to be

$$
V_l(p,p') = \frac{4}{\Gamma_l(\eta/2\pi)} \int_0^\infty dr r^{\eta-1} j_{l\eta}(pr/\hbar) V(r) \times j_{l\eta}(p'r/\hbar) .
$$

(2)
The functions \( j_{n}(z) \) play an important role in a generalization of quantum-mechanical scattering theory to arbitrary dimension. They are the solution of a radial Schrödinger equation with the centrifugal barrier
\[
\frac{\hbar^2}{2m} \left[ l(l+\frac{1}{2}) - \frac{1}{4} \right]
\]
and are related to Bessel functions of the first kind \( J_{n}(z) \) by
\[
j_{n}(z) \equiv \Gamma(\frac{1}{2}+n) \left[ \frac{2}{z} \right]^{1/2+\frac{1}{2}n} J_{1/2+n-1}(z). \tag{3}
\]

The Schroedinger equation
\[
\frac{\hbar^2}{2m} \left[ l(l+\frac{1}{2}) - \frac{1}{4} \right] \psi
\]
and the quantity
\[
\psi(p,p',E) Y(p,k,E) T(k,p',E)
\]
are related
\[
\psi(p,p',E) = \psi_{n}(p,p') + \int_{0}^{\infty} dp'' \frac{\rho^{n-1}}{E-(p'')^2} \left[ V(p,p'') \tau^{n}(p'',p',E) - \Theta(\Lambda-p'') V(p,k) \psi(k,p',E) \right]. \tag{4}
\]

We denote the on-shell momentum by \( k = \sqrt{E} \) and the Heaviside unit-step function by \( \Theta(x) \). Note that at \( p'' = k \), where the denominator vanishes, the quantity in square brackets also vanishes. Moreover, the cutoff parameter \( \Lambda > k \) is needed to obtain a convergent integral and will turn out to have an additional advantage in Sec. V for the purpose of optimizing a separable approximation. The relation between the \( T \) and \( \tau^{n} \) matrices is easily found by subtracting and adding
\[
V(p,k) T(k,p',E) I_{A}(E) \equiv V(p,k) T(k,p',E) \int_{0}^{\infty} dp'' \frac{\rho^{n-1}}{E-(p'')^2}
\]
to the right-hand side of the Lippmann-Schwinger equation (1), which leads to
\[
T(p,p',E) = V(p,p') + V(p,k) I_{A}(E) T(k,p',E) + \int_{0}^{\infty} dp'' \frac{\rho^{n-1}}{E-(p'')^2} \left[ V(p,p'') \tau^{n}(p'',p',E) - \Theta(\Lambda-p'') \psi(k,p') \psi(k,p',E) \right]. \tag{5}
\]

This equation for the \( Y \) matrix is identical to that satisfied by
\[
\tau^{n}(p,p',E) \equiv \tau^{n}(p,k,E) I_{A}(E) T(k,p',E),
\]
which can be obtained by using Eq. (4) once with \( p' \) replaced by \( k \) and once with \( p' \) itself. We thus find the relation
\[
T(p,p',E) = \tau^{n}(p,p',E) + \tau^{n}(p,k,E) I_{A}(E) T(k,p',E). \tag{6}
\]

From the right-hand side we can eliminate \( T(k,p',E) \) by applying the same equation for the special choice \( p = k \). The result of the manipulations is
\[
\tau^{n}(p,p',E) = V(p,p') + V(p,k) \psi_{n}(k,p',E) I_{A}(E) - I_{A}(E) \int_{0}^{\infty} dp'' \frac{\rho^{n-1}}{E-(p'')^2} \left[ V(p,p'') \tau^{n}(p'',p',E) - \Theta(\Lambda-p'') \psi(k,p') \right], \tag{7}
\]
and, similarly to the derivation of Eq. (6), we obtain a relation between the \( \tau \) matrices with different cutoffs
\[
\tau^{n}(p,p',E) = \tau^{n}(p,p',E) + \tau^{n}(p,k,E) \psi(k,p',E) \times \left[ I_{A}(E) - I_{A}(E') \right], \tag{8}
\]
This result implies the \( \Lambda \) independence of the expressions
\[
\tau^{n}(p,p',E) = \tau^{n}(p,k,E) \psi(k,p',E) \times \left[ I_{A}(E) - I_{A}(E') \right], \tag{9}
\]
The coefficient is chosen such that \( J_{0}(0) = 1 \). Furthermore, with this choice these functions reduce in three dimensions to the conventional spherical Bessel functions \( j_{n}(z) \). For general \( n \) relations (1) and (2) imply the symmetry of \( V(p,p') \) and \( T(p,p',E) \) in the momentum variables. For convenience we use now on units such that \( \hbar = m = 1 \) and in addition suppress the subscript \( l \).

In the case of positive energies \( E \geq 0 \) the notation \( E = E + i \delta \) used in Eq. (1) specifies the usual integration contour around the singularity associated with the energy denominator. However, this singularity can be avoided by introducing a real and symmetrical \( \tau^{n} \) matrix by the equation
\[
\tau^{n}(p,p',E) Y(p,k,E) T(k,p',E) - \psi_{n}(p,p') Y(p,k,E) T(k,p',E)
\]
and
\[
\tau^{n}(p,p',E) = \tau^{n}(p,k,E) \times \left[ I_{A}(E) - I_{A}(E') \right]. \tag{10}
\]

Hence we have also proven the \( \Lambda \) independence of Eq. (7).
In connection with effective-range theory it is of interest to note that we expect \( V(p,p',E) \) to be well behaved as a function of its arguments and to possess an expansion in \( p, p', \) and \( E \) close to \( p = p' = E = 0 \), with only even (odd) powers of \( p \) and \( p' \), if \( E \) is even (odd). We are led to this because the integral equation for the \( T \) matrix is non-singular and the interaction \( V(p,p') \) has a similar Taylor expansion around \( p = p' = E = 0 \). For a rigorous proof of this conjecture in the most important case of two dimensions we refer to the Appendix, but in addition our numerical results presented in Sec. II confirm the expectations mentioned. Together with Eq. (7) this property indicates that the singular energy dependence of the \( T \) matrix in even dimensions is given by the function \( \rho_0(E) \), which can be calculated analytically. In two dimensions this leads to a logarithmic behavior of various kinds of scattering quantities, such as the phase shift and the wave function itself.

Finally, we note that the connection (7) between the \( T \) and \( \rho \) matrices reduces to the single expression

\[
T(k,k,E) = \frac{1}{\{1/\rho(k,k,E)\}-\rho_0(E)} \tag{10}
\]

or

\[
I_0(E) = -\frac{i\hbar^2}{2} \left[ \frac{\Lambda - \kappa}{\Lambda + \kappa} \right] \ln \left[ \frac{\Lambda - \kappa}{\Lambda + \kappa} \right] + \cdots
\]

where the last term included before the curly bracket is \(-k^{n-3}\Lambda (n = \text{odd})\) or \(-k^{n-4}\Lambda^2 (n = \text{even})\). For odd dimensions this yields an analytical behavior near \( k = 0 \), with

\[
\ln \left[ \frac{\Lambda - \kappa}{\Lambda + \kappa} \right] = -\frac{2}{\Lambda} - \frac{1}{3} \frac{k^2}{\Lambda^2} + \cdots
\]

while for even dimensions a logarithmic dependence results,

\[
\ln \left[ \frac{\Lambda - \kappa}{\kappa^2} \right] = -2 \ln \left[ \frac{k}{\Lambda} \right] - \frac{1}{2} \frac{k^2}{\Lambda^2} + \cdots
\]

Restricting ourselves to the lowest partial wave, we also expand \( 1/\rho(k,k,E) \) in \( k \),

\[
1/\rho(k,k,E) = a_0(\Lambda) + a_2(\Lambda)k^2 + a_4(\Lambda)k^4 + \cdots
\]

Equation (10) then leads to

\[
1/T(k,k,E) = \frac{i\hbar^2}{2} c + c_k^2 + \cdots + c_{n-1} k^{n-1} + c_n k^n + \cdots \tag{13}
\]

where the last term before the curly bracket is \( c_{n-1} k^{n-1} \) (\( n = \text{odd} \)) or \( c_n k^n \) (\( n = \text{even} \)). This agrees completely with the \( k \) dependence of \( \cot \theta(k) \) found in Refs. 4 and 6. For \( n = 2 \), in particular, Eq. (13) may be cast into the form

\[
1/T(k,k,E) = \frac{i\hbar^2}{2} - \gamma - \ln(k/2) - \frac{1}{2} k^2 + \cdots \tag{14}
\]

with \( \gamma \) the scattering length, \( r_e \) the effective range, and \( \gamma = 0.377.215.665 \ldots \) Euler's constant. The values of \( a \) for the on-shell \( T \) matrix. Comparing with the more familiar expression for \( T(k,k,E) \) in terms of the phase shift for \( n \)-dimensional scattering, i.e.,

\[
T(k,k,E) = \frac{1}{\frac{i\hbar^2}{2} - \gamma - \ln(k/2) - \frac{1}{2} k^2 + \cdots } \tag{11}
\]

following from the relation

\[
S(k,k,E) = 1 - \frac{i\hbar^2}{2} T(k,k,E)
\]

between the \( S \) and \( T \) matrix elements, we are able to relate \( \cot \theta(k) \) to the smoothly behaving \( \rho \) matrix and the simple function \( I_0(E) \). In Sec. III we will study this relation for two-dimensional scattering to derive the effective-range formula of Ref. 4.

III. LOW-ENERGY SCATTERING: ON-SHELL \( T \) MATRIX

We now apply the formalism discussed in Sec. II to low-energy scattering. To this end we calculate the function \( I_0(E) \) to be

\[
I_0(E) = -\frac{i\hbar^2}{2} \left[ \frac{\Lambda - k}{\Lambda + k} \right] \ln \left[ \frac{\Lambda - k}{\Lambda + k} \right] + \cdots \tag{12}
\]

\[
\left\{ \begin{array}{ll}
\frac{k - n}{2} \ln \left[ \frac{\Lambda - k}{\Lambda + k} \right] & \text{if } n = \text{odd} \\
\frac{k - n}{2} \ln \left[ \frac{\Lambda - k}{\kappa^2} \right] & \text{if } n = \text{even}
\end{array} \right.
\]

and \( r_e \) can be calculated from the on-shell \( \rho \) matrix via the equations

\[
\ln(k/a) = -\gamma - a_0(\Lambda) + \ln(k/\Lambda),
\]

\[
r_e^2 = -4a_0(\Lambda) + 2/\Lambda^2 \ldots
\]

The numerical results to be presented here and in the following for \( n = 2 \) are all associated with hydrogen \( b \) atoms (having both electron and proton spins down with respect to an external magnetic field) adsorbed on a
superfluid helium surface, in which case the 2½-dimensional model is commonly used to find the interaction potential of the H atoms. This potential is shown in Fig. 1 and is calculated by assuming a Mautz-Edwards\(^6\) interaction potential between the hydrogen atoms and the helium film. We find \(a = 2.4a_0\) and \(r_s = 13a_0\), in agreement with the values found by integrating the Schrödinger equation and examining the phase shifts \(\delta(k)\). We conclude that the \(\tau\) method leads to a low-energy dependence of the on-shell \(T\) matrix that is in accordance with effective-range theory and can also be used to find accurate results for the expansion parameters.

Also for all other dimensions Eq. (13) may be rewritten in the standard form of the low-energy expansion introduced in Ref. 4. It is of interest to recall that the expansion parameters occurring in this elegant standard form all have the dimension of length; each of them is defined as the radius of an equivalent hard sphere giving rise to this same specific term in the expansion. Adhikari \(et\) \(al\) point out in a recent publication\(^10\) that such a definition has the disadvantage in the special case of dimension \(n = 2\) that \(a\) varies between 0 and \(+\infty\) when the potential is changed. This contrasts with \(n = 3\) where \(a\) is known to vary between \(-\infty\) and \(+\infty\), its sign reflecting the repulsiveness or attractive of the potential. The latter connection thus being lost for \(n = 2\), Adhikari \(et\) \(al\) introduce an alternative scattering length parameter \(a_{ACL}\) related to \(a\) by \(a_{ACL} = -\ln(a/R_s)\), where \(R_s\) is the “range” of the potential. Apart from the vagueness implied by the arbitrariness in the choice of \(R_s\), especially for interactions decaying slowly with \(r\), it is of interest to note that the positive definiteness of \(a\) for \(n = 2\) is not as difficult to understand from the physical point of view as Ref. 10 suggests; \(n = 2\) is the only integer dimension for which the lowest partial wave \(l = 0\) has a negative centrifugal “barrier” extending over a long range.

Also the sign of the phase shift is an aspect in which \(n = 2\) differs. For any weak potential, whether predominantly attractive or repulsive, \(\delta\) is always negative. Further smooth changes of the potential to arbitrary strength cause \(\delta\) to change continuously, taking negative values only.

Another point of view illustrating the fundamental difference between two- and three-dimensional concepts of scattering length is the following. In varying the depth of a potential well \(V(r)\) for \(n = 3\) a bound level enters the well precisely at the same potential depth where the phase shift vanishes. In three dimensions this happens at different well depths. This difference is naturally reflected in the different behavior of the corresponding quantity \(a\); the two \(a\) values 0 and \(-\infty\) for \(n = 3\) merge into a single value 0 when the dimension changes to 2. The quantity \(a_{ACL}\), however, goes through zero for a well depth without a clear-cut physical significance.

IV. LOW-ENERGY SCATTERING: HALF-SHELL \(T\) MATRIX

After the treatment of the on-the-energy-shell quantities, we turn to the half-shell \(T\) matrix. As is well known the half-shell \(T\) matrix determines completely the two-body scattering wave function \(\Psi_{2p}^\circ{(h)}\). The latter in turn determines, for instance, the probability for relaxation induced by magnetic dipole interactions in adsorbed \(\text{H}_2\) via its role as an initial or final state in a distorted-wave Born integral. With that application in mind we specialize in this section to \(n = 2\). In the restricted portion of

![Graphs showing potential wells and scattering lengths for different dimensions.](image-url)
44)

\(T(r, k, E)\) by means of one overall factor, which offers a definite advantage in predicting the temperature dependence of the rate of decay of HI due to surface dipolar relaxation.

To that end we start from Eq. (16), subtract and add

\[I_{v}(E)I_{v}(k)T(k, k, E)\]

and express the half-shell \(T\) matrix in \(\tau\) matrix elements by means of

\[T(r, k, E) = \frac{I_{v}(p, k, E)}{I_{v}(k, k, E)} \frac{1}{(1/\tau(r, k, E)) - I_{v}(E)}\]  

The result is

\[\Psi_{E}^{v}(r) = \frac{1}{\sqrt{2\pi}} \left[ \frac{1}{1/\tau(k, k, E)} - I_{v}(E) \right] \frac{f_{0}(kr)}{\tau(k, k, E)} + \int_{0}^{\infty} dp \frac{1}{E - p^{2}} \left[ \frac{\tau^{H}(p, k, E)}{\tau^{H}(k, k, E)} \right] f_{0}(pr) - \Theta(\Lambda - p) \psi(r) \]

In Fig. 2 the function \(\psi(r)\) in the case of two colliding \(H\) atoms is presented. Equation (19) is in agreement with a result obtained earlier, i.e., for small \(E\) the energy dependence is given by a simple factor

\[\frac{n/2}{2} - \ln(k/2) - \gamma + O(k^{2})\]

The explicit expression (20) for \(\psi(r)\) is an extension with respect to the \(\ln(r/a)\) dependence outside the range of the interaction \(r > r_{0}\), which was found in Ref. 9.

As mentioned before, the \(E\) dependence (19) of the two-body wave function may be used to calculate the temperature dependence of magnetic dipole relaxation rates in adsorbed HI. Suppose that the energy released in the reaction is large. In that case the \(E\) dependence of the final state may be neglected at the low temperature considered. Then the temperature behavior of these two-body decay rates is governed by the factor

\[C_{2}(T) = \left( \frac{1}{[1/\tau(k, k, E)] - I_{v}(E)} \right)^{1/2} \frac{1}{\pi^{1/2}} \frac{1}{[\ln(k/2) + \gamma + O(k^{2})]^{1/2}}\]

in which the thermal average is over the relative energy \(E\) of the colliding particles. If, on the contrary, the amount of energy released is small, such as for the process \(bb \rightarrow ab\), the \(m = 0 \rightarrow m = 0\) contribution (usually denoted by \(m = 0 \rightarrow m = 0\)) to the rate dominates and the final-state wave function also has a significant logarithmic energy dependence. Therefore the thermal average in Eq. (21) should be replaced by one over the product of two factors, both for the initial and final channels, to obtain more accurate results. Again this agrees with the conclusions of Verhaar et al. Another application is the recombination of three \(|\alpha\rangle\)-state hydrogen atoms adsorbed on the helium surface. In analogy to two-body scattering we can show that the exact symmetrical initial state \(\Psi_{E}^{v}(r, R)\) takes the form

\[\Psi_{E}^{v}(r, R) = \sum_{i=1}^{3} \frac{x(t_{i}, R_{i}, p_{i}, q_{i})}{(1/\tau(k, k, E)) - I_{v}(E)}\]

where \(p_{i}, q_{i}\) are the Jacobi momenta of the incoming
particles obeying the on-shell condition \( E = p^2 + 3q^2/4 \) and \([r_1, R_1]\) stands for one of the three particular choices of Jacobbi coordinates. Unfortunately the precise behavior of \( \langle x | R; p, q \rangle \) as a function of \( p \), and \( q \) is difficult to analyze, because the kernel of the Faddeev equations contains singularities due to the free propagator \[
1/(E - p^2 - 3q^2/4)
\]
that are not damped out by phase-space factors in the limit \( E \to 0 \), in contrast with the three-dimensional situation. Furthermore, the \( T \) matrix with its logarithmic energy dependence is also involved in the kernel and may induce a strong \( p \), and \( q \), dependence. In view of these difficulties, it does not seem possible at present to make general statements concerning the properties of \( \chi \), for small \( p \), and \( q \), on the basis of the Faddeev formalism.

However, such statements are possible in the context of a simple Jastrow-type ansatz for \( \Psi^l_{3\text{p}}(r_1, R_1) \) which has proved to be excellent for \( E = 0 \) in three dimensions. Including also the center-of-mass wave function \( \exp(i K \cdot R) \) and denoting the position and momentum of particle \( j \) by \( x_j \), and \( p_j \), respectively, it tends \[
\Psi^l_{3\text{p}}(r_1, R_1) = \sum_{I'} \langle x_1 | x_{I'} \rangle^2 b_{-I'}(x_1) \times F_{p_1}(x_{I'}), \tag{23}
\]
where the summation is over all possible permutations of the three particles. Note that the variables \( r_1 \) and \( p_1 \) in Eq. (23), contrary to \( x_{I'} \), and \( p_{I'} \), are numbered according to the spectator-index notation. The Jastrow factors \( F_{p_1}(x_{I'}) \) tend to 1 for large separations and depend on the asymptotic relative momenta among the particles. They are of such a form that the \( r_1 \) part of \( \Psi^l_{3\text{p}} \), i.e., \[
\langle \Omega | x_1 | \Omega \rangle = G_{\Omega}(x_1)
\]
is identical to a two-body scattering state. Applying this same idea to two dimensions we have [cf. Eq. (19)]
\[
G_{\Omega}(x_1) = \frac{1}{|1/4^2 + p_1^2|} \times \frac{\delta(x_1)}{\sqrt{2\pi}} \tag{24}
\]
Thus the wave function (23) becomes a product of three \( G \) functions multiplied by an exponential. In the low-temperature limit the latter can be replaced by 1, the dominant energy dependence being contained in the product \[
\sum_{I'} \frac{1}{|1/4^2 + p_{I'}^2|} \times \frac{\delta(p_{I'})}{\sqrt{2\pi}} \cdot \tag{25}
\]
The behavior at low temperatures of, for instance, a three-body recombination decay rate is therefore given by a Boltzmann average of this quantity, which can be evaluated readily without detailed knowledge of the wave function \( \Psi^l_{3\text{p}}(r_1, R_1) \).

V. SEPARABLE APPROXIMATION TO THE \( T \) MATRIX

In this section we will only consider three dimensions, although the discussions can immediately be generalized to arbitrary dimension. In the context of three-body calculations it is favorable to dispose of separable expressions for the two-body \( T \) matrix. As is well known the Faddeev equations then reduce to a two-body problem. Our aim is to find such a separable approximation by neglecting the \( r'(p, p', E) \) term in the right-hand side of Eq. (7) that contains \( A \) as a free parameter. Minimizing this term, in a certain sense, as a function of \( A \), one might hope that the separable part

\[
T^{A}(p, p', E) = \frac{r'(p, p', E)A'(k, p', E)}{1 - J_A(E)A(k, p', E)} \tag{26}
\]

of the right-hand side constitutes an accurate approximation to the \( T \) matrix. Neglecting the \(-1\) term one would be led to the separable expression obtained by using the Noyes-Kowalski method. As is well known this method has unphysical poles whenever the on-shell \( T \)-matrix element \( T(k, k', E) \), or equivalently \( r'(k, k', E) \), is equal to zero. In our case they are canceled by including the \(-1\) term. Actually, it was already shown by Osborn that such a pole could be avoided by adding an additional separable term to the approximation. Because his method involves the eigenfunctions of the Noyes-Kowalski kernel, it is rather laborious in contrast with our method, where only the \( A' \) matrix is needed. A second advantage of our approach is connected with the possibility of applying it to negative energies, which are unavoidable in three-body calculations: Eq. (26) can be used for both signs of \( E \) if we define for example \( k^2 = |E| \). Actually, if \( E \leq 0 \) any \( k \) can be used, because in this case the integrant of Eq. (4) contains no singularities. We thus do not need to solve integral equations as in the Noyes-Kowalski method, where the \( \mathcal{V} \) matrix for complex momenta is required with all associated complications in the case of long-range potentials (like the van der Waals interaction decaying as \( 1/r^6 \)).

It is also possible to find a connection with the \( \mathcal{W} \) matrix of Bartnik et al. The \( \mathcal{W} \) matrix too has the important disadvantage that it does not contain a free parameter, making it impossible to optimize the separable approximation. One is therefore completely dependent on the specific features of the potential which should make the remaining nonseparable part sufficiently small.

One way to optimize our separable approximation would be by minimizing the norm squared of the neglected \( r \) term,

\[
||r||^2 = \int d\mu(p) \int d\mu(p') r^*(p, p', E) r(p, p', E) \tag{27}
\]

using some measure \( \mu(p) \) to evaluate the integrals involved. For example, the measure \( \mu(p) = p^4/4 \) results in the usual Hilbert-Schmidt norm, since the \( \mathcal{W} \) matrix is real and symmetric in the momentum variables. Actually, the choice of \( \mu(p) \) is a second degree of freedom in addition to the value of \( A \), to optimize the separable approximation; it can be used to emphasize specific regions of the \( (p, p') \) plane where the approximation should be accurate. To find an algorithm for actual calculations that accomplishes the minimalization, we recall that the matrices
\[ A(p,p',E) = \frac{r^A(p,k,E)r^A(k,p',E)}{[r^A(k,k,E)]^2}, \]
\[ B(p,p',E) = r^A(p,p',E) - A(p,p',E)r^A(k,k,E) \]

are independent of \( A \). Expressing \( r^A(p,p',E) \) in terms of \( A(p,p',E), B(p,p',E) \), and the matrix element \( r^A(k,k,E) \), the condition \( \partial |r|^2 / \partial A = 0 \) amounts to

\[ r^A(k,k,E) = \frac{(A(E), B(E))}{(A(E), A(E))}, \]

where we denote the optimal choice of the cutoff parameter by \( A' \).

Summarizing, the algorithm runs as follows.
1. Choose a \( \Lambda > k \) and calculate \( r^A(p,p',E) \).
2. Determine the matrices \( A(p,p',E) \) and \( B(p,p',E) \), which are independent of \( A \).
3. Find the optimal on-shell matrix element \( r^A(k,k,E) \) determined by Eq. (28).
4. Use the matrices \( A \) and \( B \) to obtain \( r^A(p,p',E) \) and Eq. (25) to find the optimal separable approximation.

As an example of this procedure we consider nucleon-nucleon scattering using the Malfliet-Tjon III potential. We use the convenient measure \( \mu(p) = \ln(p) \) to stress small momenta where the \( T \) matrix is large. In Fig. 3 we present the \( V \) matrix, the exact \( T \) matrix at an energy of 1 MeV, our optimized separable approximation \( T^{S_A(0)} \) and

FIG. 3. Illustration of the accuracy of the separable approximation \( T^{S_A} \) in the case of a Malfliet-Tjon III potential and a total angular momentum \( l=0 \). (a) Potential surface \( V(p,p') \). (b) Exact \( T \) matrix at an energy of 1 MeV. (c) Separable approximation \( T^{S_A} \) obtained by using the measure \( \mu(p) = \ln(p) \) in the optimization procedure and (d) the \( r^A \) matrix representing the difference between \( T \) and \( T^{S_A} \).
\( \rho^a \), i.e., the difference between \( T \) and \( T^a \). Apparently, the latter is relatively small in the part of the \((p,p')\) plane where the \( T \) matrix is large. Furthermore, we note that in this way the unphysical poles in the \( \rho^a \) matrix, which are introduced because \( A \) is finite, are always avoided because the norm \( \| \rho^a(E) \| \) would be infinite.

Finally, we would like to point to the huge amount of freedom available to improve in particular on the last comparison by extending the \( \tau \) method in a similar way as proposed by Adhikari,\(^4\) the function \( \Theta(A-p') \) in Eq. (4) defining \( \rho^a \) can in principle be replaced by any function with the value 1 for \( p' = k \) without invalidating the method. Note, however, that the approaches still differ. Our proposal leads to an explicit energy dependence of all scattering observables, without resorting to Jost functions which have to be evaluated numerically. It would be interesting to find out to what extent further improvements of the separable approximation may be achieved in this way.

VI. CONCLUSIONS

Summarizing, we recall that the nonsingular equation for the \( T \) matrix is numerically easy to handle and has a solution that is well behaved close to the energy \( E = 0 \). Due to this feature the \( \tau \) matrix can be used to formulate an effective-range theory in arbitrary dimension and to find the (small-\( E \)) energy dependence of all kinds of important scattering quantities, such as the wave function and the phase shift.

\[ \rho^a(p,p',E) = \mathcal{V}(p,p') + \int_0^\infty dp'' \frac{E - p''}{E - (p'')^2} \mathcal{V}(p,p'') \Theta(A-p'') \frac{\rho^a(k,k')}{V(k,k)} \rho^a(p'',p',E) \]  

(A1)

and in terms of which \( \rho^a(p,p',E) \) can be expressed as

\[ \rho^a(p,p',E) = \rho^a(k,k') \frac{\int_0^\infty dp'' \frac{E - p''}{E - (p'')^2} \mathcal{V}(k,k') \rho^a(k,k') - \mathcal{V}(k,k') \rho^a(p'',p',E)} {V(k,k) + \int_0^\infty dp'' \frac{E - p''}{E - (p'')^2} \mathcal{V}(k,k') \rho^a(k,k') - \mathcal{V}(k,k') \rho^a(p'',p',E)} . \]  

(A2)

Due to the last relation, which involves only proper integrals with regular integrand, \( \rho^a \) is found to be a well-behaved function of energy with an asymptotic expansion for \( E \to 0 \), if the same is true for the dependence of the \( \rho^a \) matrix on all its arguments. We start by considering the explicit energy dependence and return to the problem associated with the momentum variables later on.

We consider Eq. (A1) at a problem in the Hilbert space \( L_2(\mathbb{R}^+) \) of the \( p \) variable and rewrite it in an abstract notation,

\[ \rho^a(p',E) = \mathcal{V}(p') + K_A(E) \rho^a(p',E) , \]  

(A3)

where we explicitly show the special role of \( p' \) as a dummy variable, which plays no role in the integral equation itself. Note that the phase-space factor \( \rho^a \) in Eq. (A1) is included in \( K_A(E) \).

Our proof is now based on the following theorem. If (i) \( K_A(E) \) is bounded for \( 0 \leq E < e \); (ii) \( [1 - K_A(E)]^{-1} \) exists in \( L_2(\mathbb{R}^+) \), and (iii) \( K_A(E) \) has an asymptotic expansion,

\[ K_A(E) = \sum_{i=0}^\infty K_A^i \mathcal{E}^i + R_A(E) , \]  

(A4)

with bounded operators \( K_A^i \), then Eq. (A3) has a solution in \( L_2(\mathbb{R}^+) \) equal to \( [1 - K_A(E)]^{-1} \mathcal{V}(p') \) having an asymptotic expansion given by

\[ \rho^a(p',E) = \sum_{i=0}^\infty \mathcal{E}^i \rho^a(p') + [1 - K_A(E)]^{-1} \mathcal{V}(p') , \]  

(A5)

where \( \| \cdot \| \) is the usual notation for the norm of the opera-
tor Q. The theorem is easily proved by using the resolvent identity
\[
[1 - K_a(E)]^{-1} = (1 - K_a^0)^{-1} + \sum_{i=1}^{\infty} K_a^i E^i + R_a(E) \times [1 - K_a(E)]^{-1} \tag{A6}
\]
and substituting it into
\[
|\rho(p', E)| = [1 - K_a(E)]^{-1} |V(p')| \]
to find the equation
\[
|\rho(p', E)| = (1 - K_a^0)^{-1} |V(p')| + \sum_{i=0}^{\infty} K_a^i E^i + R_a(E) \times |\rho(p', E)| .
\]
Iterating \( m \) times leads to an asymptotic expansion of the form given by Eq. \((\text{A}5)\), because all vectors and operators involved are bounded due to the assumptions of the theorem.

Furthermore, if \( K_a(E) \) is bounded, if \(|V(p')|\) has an asymptotic expansion that is schematically denoted by
\[
|V(p')| \sim \sum_{\lambda} (p')^{2\lambda} \hat{V}_\lambda + o((p')^{2\lambda}) ,
\]
and if all the vectors \(|\hat{V}_\lambda\rangle\) are elements of \(L_2(\mathbb{R}^n)\), then we find by substituting this expansion into the formal solution of Eq. \((\text{A}3)\) that \( |\rho(p', E)| \) has a similar asymptotic expansion in \( p^2 \) for \( p \to 0 \).

To complete the discussion about the energy and momentum dependence of \( \rho(p, p', E) \), we will now show that for a realistic potential \( V(r) \) the requirements of the above theorems are fulfilled. The transformation to momentum space of the potential \((\hbar = 2)\) and \((i = 0)\) is given by
\[
\tilde{P}(Q) = \int_0^\infty dr r V(r) J_0(Qr) ,
\]
\[
V(p, p') = \frac{1}{2\pi} \int_0^{2\pi} d\phi \tilde{P}((p^2 + p'^2 - 2pp' \cos \phi)^{1/2}) .
\]
The lemma of Riemann-Lebesgue\(^7\) states that \( \tilde{P}(Q) \sim o(1/Q) \) as \( Q \to \infty \), if \( V(r) \) is continuous and the integral
\[
\int_0^\infty dr r^2 V(r)
\]
is absolutely convergent. In particular, the \( 2\pi \)-dimensional model (Fig. 1) behaving as \( -\ln(r) \) for small \( r \) and \( -\ln^2 \) for large \( r \), obeys these conditions. Because \( \tilde{P}(Q) \) exists for every \( Q \geq 0 \) we can formulate the following upper bound with a proper positive value for \( \mu \):
\[
|\tilde{P}(Q)| \leq \frac{C}{(1 + Q^{1/2 + \mu})} , \tag{A8}
\]
and therefore also
\[
|V(p, p')| \leq \frac{C}{(1 + |p - p'|^{1/2 + \mu})} , \tag{A9}
\]
which is sufficient to show that \(|V(p')|\) is indeed for all \( p'\) an element of the Hilbert space \(L_2(\mathbb{R}^n)\). To show that the kernel is bounded we look at the Hilbert-Schmidt norm \(|K_a(E)|_{HS}\). As in the treatment of Osborn\(^9\) we write the norm squared as the sum of terms \(L_a(E)\) and \(M_a(E)\),
\[
L_a(E) = \int_0^\infty dp \int_{|p'|}^\infty dp' |K_a(p, p', E)|^2 ,
\]
\[
M_a(E) = \int_0^\infty dp \int_0^{|p'|} dp' |K_a(p, p', E)|^2 .
\]
Furthermore, because of our interest in low-energy scattering we assume for simplicity \( 0 \leq E \ll 1 \).

Using Eq. \((\text{A}9)\) we find that \(L_a(E)\) is finite, but to analyze \(M_a(E)\) we need the smoothness condition
\[
|V(p + \Delta p, p') - V(p, p')| \leq \frac{C'}{(1 + |p - p'|^{1/2 + \mu})} , \tag{A10}
\]
because of the pole involved in the integration over \( p'\).

This condition is easily derived by applying the mean value theorem and using
\[
\left| \frac{dV(p, p')}{dp} \right| \leq \frac{C'}{(1 + |p - p'|^{1/2 + \mu})} , \tag{A11}
\]
for \(V(r)\) obeying the requirements of the Riemann-Lebesgue theorem. On the basis of Eq. \((\text{A}10)\) we can now show that \(M_a(E)\) is finite and therefore that the operator \(K_a(E)\) is bounded.

Finally, we need to consider the operators
\[
K_a = \frac{1}{i/2} \int d^3 K_a(\xi, \xi') |\xi - \omega| ,
\]
and the vectors
\[
|V_i\rangle = \frac{1}{i/2} \int d^3 |V(p')\rangle |p'|_{\omega = \xi} .
\]
The problem for \(K_a^0 = K_a(0)\) and \(|V_0\rangle = |V(0)\rangle\) has already been solved, but in the case of \(i > 0\) the partial derivatives \(\delta^3 V(p, p')/\delta p^I |_{p' = \omega}\) come into play. They have the upper bound
\[
\left| \frac{\delta^3 V(p, p')}{\delta p^I} \right|_{p' = \omega} \leq \frac{C_i}{(1 + |p + p'|^{1/2 + \mu})} . \tag{A12}
\]
with \(C_i > 0\) for odd \( I \). This leads to the conclusion that \(K_a^0(p, p')\) decreases for large \( p \) and \( p'\) at least as fast as \(K_a^0(p, p')\). Because of this and the fact that \(K_a^0(p, p')\) contains no poles its Hilbert-Schmidt norm exists, so that the operator \(K_a^0\) is bounded. In addition, Eq. \((\text{A}12)\) is sufficient to prove that also the norm of \(|V_i\rangle\) is finite.
Summarizing, we have shown that $\rho^e(p,p',E)$ and therefore $\rho^e(p,p',E)$ is a well-behaved function of energy and momentum, with an asymptotic expansion for $E \to 0$. This has also been shown to be true for the on- and half-shell $r$-matrix elements. Our conclusion, formulated in Sec. II, that the singular energy dependence of the $T$ matrix is concentrated in the function $I_p(E)$ is therefore justified.

CHAPTER 6:

SPIN-POLARIZED ATOMIC HYDROGEN

IN VERY STRONG MAGNETIC FIELDS
Spin-polarized atomic hydrogen in very strong magnetic fields

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We calculate the effective rate constant for three-body dipolar recombination of spin-polarized atomic hydrogen in conditions of high density and very high magnetic field strengths beyond the \( < 10^5 \) range of previous calculations. We find that the decay of the gas sample is not sufficiently suppressed at magnetic fields available in the foreseeable future.

I. INTRODUCTION

In the past several years intense experimental efforts have been going on to produce atomic hydrogen in a state of Bose-Einstein condensation (BEC), all of which have been thwarted by the presence of a rather large rate for recombination into a molecular state via three-body collisions.\(^1\) In one of the experiments, for instance, the highest density achieved at \( T = 550 \) mK was \( 4.5 \times 10^{10} \) cm\(^{-3}\), an order of magnitude lower than the critical density at this temperature. Various ingenious schemes have been proposed to avoid this problem: wall-free confinement of the hydrogen atoms,\(^2\) or more recently the possibility of applying microwave cooling to lower the temperature and thus lower the densities needed to arrive at the condensation regime.\(^3\)

Another line of investigation continues the high-density approach, but aims at suppressing the three-body recombination by substantially increasing the magnetic field above values used in earlier studies.\(^4\) Simple models\(^5,6\) predict the decay of the gas to fall sharply at \( B = 27 \) T and at \( B = 54 \) T. More recent calculations\(^7\) for fields \( B < 10 \) T, relaxing some of the rough approximations in the earlier simple models, led to considerable corrections in this lower field range. It is therefore of interest to see whether minima at 27 or 54 T might be even lower on the basis of our more exact method, so as to be promising from the point of view of reaching BEC.

The purpose of the present paper is to extend these calculations to higher fields. Our main conclusion is that recombination is not sufficiently suppressed at magnetic fields achievable in the foreseeable future: small enough rates occur only at magnetic fields as strong as 140 T.

In the following sections we shall describe our method of calculation and its results, followed by our interpretation of the main features and some conclusions.

II. METHOD

In the zero-temperature limit and to first order in the (electron-electron) magnetic-dipole part \( V_{\text{D}} \) of the H-H interaction, the three-body rate constant is given by\(^8\)

\[
L_3 = \frac{(2\pi)^4 a_H^2 m_H}{9} \sum_{\gamma} q_{\gamma} \int dq_{\gamma} \left| \langle \Psi_\gamma^- | \sum_{i<j} \psi_i \psi_j \rangle \right|^2, \tag{1}
\]

where \( m_H \) is the hydrogen mass and the summation is over all possible ortho-H\(_2\) states that can be formed on the basis of energy conservation. Furthermore, the fully symmetric initial state \( |\Psi_\gamma^- \rangle \) is associated with the scattering of three incoming electron spin-down \( \text{H} \) atoms, while the final state \( |\Psi_\gamma^+ \rangle \) describes the time reversed collision of an atom (electron spin up or down) with a molecule with relative momentum \( q_{\gamma} \). Both states are normalized as in Ref. \( 7 \) and obey the three-particle Schrödinger equation with all central (singlet or triplet) interactions between the atoms included. Depending on the above-mentioned spin orientation of the final single atom parallel or antiparallel to \( B \), \( L_3 \) is usually indicated as a single or double spin flip rate. Assuming the spin-flipped (SF) atom to recombine rapidly upon collision with an electron spin down atom,\(^9\) the effective rate constant is

\[
L_3^{\text{SF}}(B) = L_3^{\text{SF}}(B) + 2L_3^{\text{SF}}(B), \tag{2}
\]

\[
L_3^{\text{SF}}(B) = 4L_3^{\text{SF}}(2B). \tag{2}
\]

In order to facilitate the analysis of experiments under less restrictive assumptions we present in Sec. III not only results for \( L_3^{\text{SF}} \), but also for the ratio \( L_3^{\text{SF}} / (L_3^{\text{SF}} + L_3^{\text{SF}}) \), i.e., the probability that after a three-body event the outgoing atom has electron spin up. Our calculation is based on the zero-temperature limit, since the bulk recombination rate is expected to have a weak temperature dependence. In the context of a zero temperature calculation, \( L_3^{\text{SF}} \) is also the effective rate constant for the dipolar part of \( \alpha \beta \), \( \alpha \beta \), and \( \alpha \alpha \) recombination.

The initial state \( |\Psi_\gamma^- \rangle \) in Eq. (1) is calculated using an exact Padeev-like approach.\(^5\) The exact calculation of the final state \( |\Psi_\gamma^+ \rangle \) is practically impossible with
present computer capacities. Kagan used a plane-wave description for the relative atom-molecule motion. We use a method in which all (inelastic) atom-molecule interactions are taken into account, but we neglect rearrangement of atoms. The latter appears to be essential to describe the three-body process at lower fields (the so-called dipole-exchange mechanism [1, 2]), but on the basis of simple models [2] it is expected to fall off rapidly with increasing field.

To be more precise about the approximations involved in the final state we give a short summary, referring to Ref. 8 for a more extensive treatment. In a time-reversed picture the atom collides with a molecule in an excited rotation-vibration state at lower magnetic fields primarily the \( v = 14, j = 3 \) state and (de)excites it during the collision to, in principle, all other \((u, j)\) states. Whereas the total orbital angular momentum \( L \) of the three-body system is conserved, the relative orbital angular momentum \( \lambda \) between the single atom and molecule can change, the interaction being anisotropic. This results in the (coupled-channels) Schrödinger equation

\[
\frac{d^2}{2\mu} \frac{d^2}{dR^2} + \frac{\lambda(\lambda+1)\hbar^2}{2\mu R^2} + \psi_{\lambda}^{\lambda}(R) + \epsilon_{\lambda}(R) = - \sum_{\epsilon'\lambda'N} \psi_{\epsilon'\lambda'}^{\lambda}(R) \frac{\rho_{\epsilon'\lambda'}(R)}{R} \Psi_{\epsilon}(R).
\]

(3)

for the relative motion with reduced mass \( \mu = 2m/M/3 \) and rotation-vibration energy \( \epsilon_{\lambda} \). For each fixed \( L \) and parity \( \lambda \) the potential \( V_L^\lambda \) couples all channels obeying \( j - L | \leq \lambda \leq j + L \) with (odd) and \( (-1)^{\lambda} \) parity.

After a transformation to a momentum space Lippmann-Schwinger equation and a numerical discretization, the solution is found by matrix inversion. To obtain a converged result for the rate constant \( L_\epsilon^\lambda \) we had to include all rotational levels belonging to the \( v = 13 \) and 14 vibrational levels. Hence a total of 16 channels are involved in the calculation although only the final states \((\psi_{\lambda}^{\lambda})^+)\) corresponding to the \((u, j)\) = (14,3) and (14,1) outgoing channels contribute substantially to the rate in the magnetic-field range studied.

III. RESULTS

In Figs. 1 and 2 we present our results for \( L_\epsilon^\lambda \) and compare with the Kagan model. In addition, the single and double spin-flip field ranges have been indicated for the (14,3) and (14,1) molecular states. In Fig. 1 we clearly see the repetitive structure as a function of \( B \). The (14,3) double spin-flip maximum around 15 T is followed by a

FIG. 1. Effective decay rate \( L_\epsilon^\lambda \) as a function of the applied magnetic field \( H \) [Kagan’s model and (2) this work]. Also indicated are the single and double spin-flip cutoff fields for the bound states (14,3) and (14,1).

FIG. 2. A more detailed study of the magnetic field dependence of \( L_\epsilon^\lambda \): (a) Shows \( L_\epsilon^\lambda \) on an expanded vertical scale, which displays more clearly the complicated structure of the rate constant in the case of (1) Kagan’s model and (2) our approach. (b) An enlargement of the region around 93 T, where the Kagan model predicts the rate constant to be zero. This zero is removed in our calculations. (c) Shows the region beyond the single spin-flip cutoff of the (14,1) bound state [1]: The decay rate \( L_\epsilon^\lambda \) and (2): the partial contribution from the (13,5) bound state. For magnetic fields \( B > 136.4 \) T Kagan’s rate constant is negligible and invisible on this scale.
lower single spin-flip maximum at about 30 T. A more pronounced (14,1) double spin-flip peak near 60 T is followed by a less pronounced single spin-flip peak near 120 T. Note the strong formation probability of the (14,1) state for B smaller than 68.2 T. The (14,3) maxima are much lower due to the higher rotational angular momentum that the dipolar interaction has to introduce into a two-atom subsystem starting from a situation of vanishing relative orbital angular momenta in the initial state.

A general feature, evident from the behavior of $L_{6,1}^x$ is the decrease of our values compared to Kagan's by a factor of about 3, especially on the lower-B side of each of the maxima. This is due to the inclusion of correlations between the particles in both the initial and final states. These correlations cause the three-body wave function to be zero in all parts of configuration space where two or three atoms are close together and therefore reduce the transition probability. Clearly, the reduction is expected to be less on the high-B, i.e., low-$q$ side: the centrifugal barrier in the final channel then by itself already keeps the final atom out of the forbidden region. Hence the Kagan model should then be an excellent approximation. This is indeed borne out by our calculations. In particular, the partial $(0,\beta)$ contributions to $L_{6,1}^x$ show the $(0,\beta)-3^1/2$ behavior on the high-B side of each of the maxima, that also follows from the Kagan approach. It should be noted, however, that from the point of view of BEC one is more interested in $B$ values where $L_{6,1}^x$ is small. There the deviations from Kagan's model are considerable. Close to 27 T the rate is relatively small, but not small enough to be promising. At 53 T the Kagan $(14,1)$ rate goes through zero. This potentially interesting $B$ value is unfortunately eliminated by our calculation [see Fig. 2(b)]. The decay time of the atomic density due to recombination varying with $n_p^2$, an increase of the density by a factor of 10 with respect to the above-mentioned experiment,1 would require $L_{6,1}^x$ to be as small as $10^{-40}$ cm$^3$/s. It follows from Fig. 2(c) that such values occur only above 140 T. Notwithstanding the recent developments with respect to high-$T_c$ superconductivity,10 this field region is practically of little importance in the foreseeable future. Nevertheless, from the point of view of interaction mechanisms it is interesting to point out that the Kagan model predicts a negligible rate beyond 136.4 T. This is indeed what one would expect in the first instance, because the only possible final $N_2$ states in that region are the more strongly bound $n=15$ states. Figure 2(c) shows, however, larger $L_{6,1}^x$ values according to our calculations, due to indirect population of the $(13,5)$ state via a strong coupling to the closed (14,1) channel which, as pointed out above, are strongly populated directly from the initial state.

For experimental purposes we also give in Fig. 3 the ratio between $L_{2,1}^{2S}$ and $L_{2,1}^{2S}+L_{2,1}^{2D}$, denoted by $\xi$. This quantity is of importance in the analysis of the experimental data, if one wants to include the possibility of relaxation of the electron spin-up atom that is produced in the double spin-flip process. As previously noted, we neglect this possibility in the definition of $L_{6,1}^x$ and assume that the $(\alpha \rho)$ or $(\alpha \beta)$ atom recombines with an $(\alpha \rho)$ or $(\alpha \beta)$ state particle on the helium surface. We find that the field dependence of $\xi$ is almost linear in the range 37.5 to 51.0 T, where most of the experiments until now have been carried out. In this range Kagan predicts $\xi$ to be a constant equal to 0.93. Experimentally this value is always used to describe the decay of the gas sample due to three-body recombination.11

IV. CONCLUSIONS

We have studied the prospects for producing atomic hydrogen in a state of Bose-Einstein condensation at high magnetic fields, where the effects of rearrangement (the dipole-exchange mechanism) are expected to be negligible. The calculations include all three-atom correlations in the spin-polarized initial state, as well as the elastic and inelastic distortions of the atom plus molecular final state. The single and double spin flip bumps in a partial $(\alpha,\beta)$ contribution to the rate constant show agreement with Kagan's model at the high-$B$ (low-$q$) slope, due to the centrifugal effect. At the low-$B$ (high-$q$) slope our values are smaller by a factor of about 3. From the point of view of BEC, ranges of $B$ with a small rate value are of importance. There the relative deviations from Kagan are considerable. Despite the difference no sufficiently small rate is found near 27 T and 54 T. A zero $L_{6,1}^x$ value at about 83 T according to Kagan's model turns into a too large rate constant on the basis of our calculation. Recombination is sufficiently suppressed only at fields stronger than 140 T.

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9Note that in Ref. 8 we used the conventional notation \( l \) of three-body theory for the rotational quantum number of the molecular state. Throughout the present paper we make use of the notation \( j \), which is more common in the field of atomic and molecular physics.
CHAPTER 7:

RESONANCES IN RECOMBINATION OF ATOMIC HYDROGEN

DUE TO LONG-E-RANGE $\mathrm{H}_2$ MOLECULAR STATES
Resonances in recombination of atomic hydrogen

due to long-range \( H_3 \) molecular states

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We present a calculation of the effective rate constant for
three-body recombination, taking for the first time rearrangement into
account. We find a pronounced resonance structure as a function of
magnetic field due to long-range rovibrational states of \( H_3 \) in an almost
equilateral configuration. This structure is superposed on a rather flat
background with a slow decrease below 10T. The results are compared with
experiment.

I. INTRODUCTION

A vital and still actual question in the field of spin-polarized atomic
hydrogen is: Will the finite lifetime due to collisional processes be longer than
the time required for the establishment of the Bose condensed state? Theoretically
this problem is far from settled and experimentally one has still not been able to
reach a definitive conclusion, the present situation being that both the low and
high density schemes, using traps \(^1,2\) or compression of hydrogen bubbles \(^3\)
respectively, are plagued by large decay rates.

However, to arrive at the Bose condensation regime, the density and hence the
number of collisions per unit time may be kept at an acceptable minimum if one is
able to cool the trapped atoms sufficiently or to affect directly the decay
constants, which govern the time evolution of the system. The former goal may be
achieved by using for instance evaporative \(^1\) or laser (Lyman-\(\alpha\)) cooling, \(^4\) whereas the
rate constants can be manipulated by a variation of external parameters such as the
detuning of a microwave trap \(^5\) or the applied magnetic field.
The last possibility is especially suited for the high density scheme and has stimulated the study of the three-body (dipolar) recombination process at very high field strengths both experimentally as well as theoretically. The first theoretical study of the dipolar mechanism was a breakthrough in the understanding of the properties of doubly polarized atomic hydrogen gas at high densities. However, the analytic treatment showed a strong discrepancy with the experimental field dependence below 10T. In view of the fact that the interest concentrates on values of the magnetic field for which the recombination rate is small, it is of importance to develop a more detailed picture of the recombination process. From the start the role of spin-exchange taking place after the dipole transition was recognized as an important effect: the dipole-exchange mechanism. This mechanism is contained in the $f_2^d$ amplitude of Ref. 9, which was considered negligible in that investigation. An exact evaluation of the $f_2^d$ amplitude confirmed that this part alone does not explain the recombination rate below 10T. Also, a model which takes into account (inelastic) atom-molecule scattering with a fixed bound pair and symmetrizes afterwards was unsuccessful, in agreement with the fact that dipole-exchange can only be included by allowing all pairs to be bound. The most recent indication that such a model is indeed unsuccessful comes from a comparison of the above-mentioned experimental and theoretical work in Refs. 6 and 7.

In the present paper we present a calculation which includes dipole-exchange in an approximate way. As we will show, this approach provides for a qualitative explanation of the slowly decreasing field dependence below 10T and in addition suggests that the high rates found in recent high-field measurements may be due to a resonance effect caused by quasi-bound $S=\frac{1}{2}$ states of the $H_3$ molecule. In the picture we propose, the resonance effect enhances the dipole-exchange mechanism at certain values of the final atomic kinetic energy, i.e. at certain $B$ values.

The paper is organized as follows. In Sec. II we indicate how the rearrangement channels can be included, extending our previous calculations of (inelastic) atom-molecule scattering in such a way that all particle pairs are treated symmetrically from the beginning. In Sec. IIIA we give the results of the numerical evaluation of the model: We present the recombination rate constant in the magnetic field range 0-40T and extract some information on the rovibrational relaxation of highly excited $H_2$ molecules, which is of interest in its own right because it is to a large extent responsible for the undesirable heat-up of the gas sample. The pronounced resonance structure which shows up in the decay rate is explained in Sec. IIIB in terms of quasi-bound rovibrational states of $H_3$. We end with some conclusions and suggestions for a better description of these states.
II. RESONATING-GROUP THEORY

To make the paper self-contained and to set up a convenient notation, we briefly summarize some well-known \(^{11,7}\) facts about the bulk three-body recombination constant \(L_g\). Two-body relaxation calculations\(^ {12}\) have shown that it is sufficiently accurate to treat the magnetic dipole interaction as a first order perturbation. In addition, without the typical logarithmic energy dependence which prevails in two dimensions and because the highest excited states of \(H_2\) have at least a binding energy of 70K the rate constant is expected to vary very slowly in the experimentally accessible temperature range of \(T<1K\). Hence it is permitted to take the zero-temperature limit.

Within the framework of these approximations \(L_g\) reduces essentially to a squared matrix element of the (electron-electron) magnetic dipole interaction between symmetrized initial and final states, denoted by \(|\Psi_i^{(+)}\rangle\) and \(|\Psi_f^{(-)}\rangle\), respectively, summed over all possible final states. To aid physical insight we point out that the complex conjugated (i.e. time-reversed) configuration space wavefunction \(\Psi_f^{(-)*}\) describes an atom-molecule collision. In terms of single and double spin-flip (SF) processes the effective rate constant becomes

\[
L_{\text{eff}}(B) = L_{\text{SF}}(B) + 2L_{\text{2SF}}(B),
\]

where we assume an additional recombination event on the helium surface after a double spin-flip process, because the outgoing atom will be in a |o\rangle state.

Both initial and final states are eigenstates of the Hamiltonian

\[
H = H_0 + V,
\]

which includes the central (singlet or triplet) interactions between the hydrogen atoms. Using the spectator-index notation\(^ {13}\) we write

\[
V = \sum_{\alpha} V_\alpha = V_\alpha + V_\alpha^{*}
\]

and define the channel Hamiltonian

\[
H_\alpha = H_0 + V_\alpha.
\]

The operator \(H_0\) is the sum of the kinetic energies in the center of mass system and the Zeeman energies of the three atoms. Note that we neglect the possibility of a three-body force, which appears justified since in the initial state all particle
pairs experience a strongly repulsive triplet interaction, while in the final state the molecular states involved, turn out to be highly excited. In both cases at least one pair has a large separation. Furthermore, the influence of the hyperfine interaction is neglected in the final state, being a small higher-order effect.

In Refs. 9 and 10 we obtained the exact initial state \( |\psi_f^{(+)}\rangle \) of three incoming spin-polarized hydrogen atoms, using the Faddeev formalism. Although this formalism is also applicable to the final atom-molecule state \( |\psi_f^{(-)}\rangle \), it is beyond the computational power of present supercomputers and we have to resort to an approximation. As a first step to a better understanding of the underlying reaction mechanism we formulated\(^{10,7}\) a model in which (in)elastic scattering was taken into account but rearrangement was excluded, selecting one pair to be bound throughout the scattering process and symmetrizing afterwards. Here we present a formulation which is symmetrical in all pairs from the start and hence leads automatically to the inclusion of rearrangement (the dipole-exchange mechanism). The subtle differences between these two approaches are made more explicit below.

Resonating-group theory\(^{14}\) is based on the variational principle

\[
\langle \delta \Psi | E - H | \Psi \rangle = 0 , \tag{5}
\]

where \( |\delta \Psi \rangle \) and \( |\Psi \rangle \) are confined to a subspace of the total Hilbert space. The subspace to be used includes all possible open channels of atom-molecule scattering below the break-up threshold, with definite quantum numbers of total orbital angular momentum \( L \) and total electron spin angular momentum \( S \) to be specified shortly. This subspace is spanned by

\[
|\psi_f^{(-)}\rangle = \sum_{\alpha} |\psi_\alpha\rangle
\]

\[
|\psi_\alpha\rangle = \sum_{\nu,\ell,\lambda} \int dp \int dq \int dq' \psi_{\nu,\ell}(p) \eta_{\nu,\ell,\lambda}(q) |pq(\ell\lambda)LM\rangle_\alpha ((s\ell)SM)\rangle_\alpha
\]

using the usual Jacobi-coordinates and angular momentum basis in momentum space.\(^{13}\)

In addition, \( \psi_{\nu,\ell}(p) \) is the known singlet \((s=0)\) bound state of pair \( \alpha \) having the quantum numbers \((\nu,\ell)\) and \( \eta_{\nu,\ell,\lambda}(q) \) are unknown functions used in the variational principle (5) which describe the relative motion of atom \( \alpha \) compared to the center of mass of the bound pair. We recall\(^{9}\) that in the zero temperature limit the final state \( |\psi_f^{(-)}\rangle \) has the quantum numbers \( L=2 \) and \( S=\frac{1}{2} \), while \( M_L=-1 \) \((-2)\) and \( M_S=-\frac{1}{2} \) \((\frac{1}{2})\) in the case of a single (double) spin flip process. Furthermore, parity conservation requires \( \ell+\lambda \) to be even. Because the total wavefunction is symmetric under permutations of the hydrogen atoms only odd \( \ell \) values are allowed, \( \eta_{\nu,\ell,\lambda} \) does not
depend on \(\alpha\) and the vectors \(|\psi_\alpha\rangle\) are related to each other by a cyclic permutation. It is important to point out that if we added to the bound states \(\varphi_{\nu\ell}(p)\) the continuum of singlet scattering states \(\varphi_{\nu\ell}(p)\) the complete Hilbert space would be spanned by Eq. (6) and the variational principle would give an exact solution of the three-body Schrödinger equation.

Performing the variation within the subspace defined above, we easily find the one-dimensional coupled integral equations for the functions \(\eta_{\nu\ell\lambda}(q)\):

\[
\eta_{\nu\ell\lambda}(q) = \left[1-(-1)^{\ell_f}\right] \delta_{\nu\nu_f} \delta_{\ell\ell_f} \delta_{\lambda\lambda_f} \frac{\delta(q-q_\ell)}{qq_f} + \frac{1}{E-E_{\nu\ell}-3q^2/4\mu_H} \sum_{\nu'\ell'\lambda'} dq' q'^2 \eta_{\nu'\ell'\lambda'}(q,q') \eta_{\nu'\ell'\lambda'}(q') ,
\]

where we made use of the linearity of the equations to simplify the inhomogeneous term. This term corresponds to the physical requirement that \(|\varphi_{\nu\ell}(-)\rangle\) contains asymptotically the plane waves \(\varphi_{\nu\ell\ell_f}(q,\mu)\omega_{\ell_f}\rangle\alpha\) \(|(0\ell\ell_f_\alpha\rangle S\rangle S\rangle_\alpha\rangle\) with the total energy \(E=E_{\nu\ell}+3q^2/4\mu_H\). Moreover, \(E_{\nu\ell}\) is the energy of the bound state \((\nu,\ell)\), \(\mu_H\) the hydrogen mass and the factor in front of the Kronecker delta is a consequence of the statement made previously: Only odd values of the \(H_2\) molecular angular momentum are allowed.

Introducing the operator \(P=P_{12}P_{23}+P_{13}P_{23}\), i.e. the sum of the two cyclic permutation operators, we find for the "interaction" matrix of Eq. (7):

\[
\nu_{\nu\ell\lambda} v_{\nu'\ell'\lambda'}(q,q') = \int dp p^2 \varphi_{\nu\ell}(p) \int dp p'^2 \varphi_{\nu'\ell'}(p') \times
\]

\[
\times \langle p|q(\ell\lambda)L_{\alpha}\rangle_{\alpha} \langle (0\ell\ell_f_\alpha\rangle S\rangle S\rangle_\alpha\rangle \{(1+iP)\nu'\ell'-(E-H_\alpha)\} \langle p'|q'(\ell'\lambda')L_{\alpha}\rangle_{\alpha} |(0\ell\ell_f_\alpha\rangle S\rangle S\rangle_\alpha\rangle .
\]

To see more clearly that rearrangement is indeed included, we consider the \(V^2\) and \(PV^2\) terms. The former gives rise to (in)elastic scattering without rearrangement. With only this term we recover the model of Ref. 10. In the context of the above-mentioned variational method this result is obtained if we asymmetrically restrict the subspace in which we solve the Schrödinger equation to all open channels (below break-up) of one particular pair \(\alpha\). In contrast, the term \(PV^2\) is responsible for the rearrangement process, since effectively a different particle pair is bound at either side of \(V^2\). Finally, the contribution \(-P(E-H_\alpha)\) accounts for the non-orthogonality of the vectors \(|\psi_\alpha\rangle\). Intuitively, it guarantees that the Born series of Eq. (7) contains all possible interactions between the particles only once.

Formally Eq. (7) is identical to a two-body Lippmann-Schwinger equation and can be solved in the usual way by the introduction of the half-shell \(T\) (transition)
To evaluate the "interaction" matrix numerically we rewrite \((1+P)V^2\) as \(V^{\alpha} P + P V^{\alpha} \) and use the angular momentum representation of \(P\) \(^{13}\) in the case of \(P V^{\alpha} \) this leads to a sum of triple integrals. Each of the triple integrals can be reduced to an integral over a product of two integrals if we use cubic splines \(^{15}\) to interpolate on the momentum arguments of the \(V^{\alpha}\)-matrix. This procedure reduces the computational effort considerably but nevertheless the evaluation of the matrix elements of \(P V^{\alpha} \) is the most time-consuming part of our calculation.

### III RESULTS AND DISCUSSION

#### A. Numerical results

We solved the model described in the previous section using Gauss-Legendre quadrature points to discretize the integral equation. To find converged results for the recombination rate constant \(L_{\text{eff}}\) we had to include all rotational levels with \(v=12, 13, 14\) and in addition the \((v, \epsilon)\) states \((6, 11.1), (8, 11.3)\) and \((11.5, 1)\). This leads to a total of 57 channels and a complex matrix equation for the half-shell \(T\)-matrix with a dimension of 1450. The inversion of this equation is performed by an LU-decomposition of the kernel. As mentioned above the main problem of the calculation is associated with the term \(P V^{\alpha} \) in the "interaction" matrix. Using the set of channels given, we had to sum over all angular momenta up to \(\epsilon=9\) in the calculation of this term. Fortunately, it turns out that only \((13.5), (13.7), (14.1)\) and most importantly \((14.3)\) contribute significantly as final outgoing states to \(L_{\text{eff}}\).

Before we present the results for the rate constant, we note that it is possible to extract immediately information on the collisional rovibrational relaxation of \(H_2\) in the \(1^1\) electronic state, since we also evaluate the on-shell \(T\)-matrix. In Fig. 1 we summarize the branching ratios for the dominant elastic and inelastic relaxation processes from the \((14.3)\) state downwards to the \(v=12\) and 13 rotational levels in an \(H_2\) collision. The probabilities depend of course on the relative momentum of atom and molecule and we have taken a value in agreement with the experimental situation at BnlOT, in which case the hydrogen molecules in the \((14.3)\) state are formed in a recombination event with a kinetic energy of the order of 25K. From these results we conclude that on average the \((14.3)\) molecule loses an energy of about 170K in the first collision with a \(b\)-atom in the gas. Note that in
our calculation only a total orbital angular momentum \(L=2\) is used. In a more complete treatment of the collisional relaxation also angular momenta \(L=3\) and 4 would have to be included. In view of this we do not give absolute cross-sections but only branching ratios, which should at least give an impression of orders of magnitude.

FIG. 1. The branching ratios (in %) for collisional rovibrational relaxation of highly excited \(H_2\) molecules. Also indicated is the percentage for elastic collisions.

We now turn to the determination of \(L^\text{eff}_g\). In Fig. 2 we give the three-body recombination rate constant as a function of applied magnetic field and compare both with experiment\(^3,6\) and our model without rearrangement.\(^7\) Neglecting for a moment the resonance structure, which we discuss more thoroughly in Sec. IIIB, we notice in general an almost magnetic field independent behavior of the background. Physically this can be understood as follows: Kagan's model\(^6\) predicted a steep increase of \(L^\text{eff}_g\) as a function of magnetic field, with a strong maximum around 15T. This behavior can be explained on the basis of the overlap in momentum space. The initial state contains three almost immobile atoms and in the final state the atom-molecule interaction is omitted. Since the magnetic dipole interaction induces only small momentum transfers, there is a strong preference for a slow final outgoing atom. On the other hand, phase-space arguments associated with the small \(q_f\) values explain the decrease of \(L^\text{eff}_g\) beyond 15T. Introducing an atom-molecule interaction in the rather weak form \(V^{(0)}+V^{(1)}\), as we did in Refs. 7 and 10, shows already a tendency towards flattening. Although the repulsive \(V^{(1)}\) and the attractive \(V^{(0)}\) largely compensate one another in the relevant range of interatomic distances, the final state already contains such a range of momenta for any asymptotic \(q_f\) that the
preference for smaller $q_f$ is reduced. Much higher momenta are coupled in when the full singlet or triplet interaction $V^{(s)}$ (depending on the parity of the relative angular momentum) is operating. We believe that this is the essential reason for the experimental behavior below 10T, most clearly displayed by the MIT data, which even show a slight decrease. It follows from the above arguments that any future extension of the approach of the present paper, including the full strength of $V^{(s)}$, will lead to the same qualitative feature of the $\log^eff$ curve.

For fields in the range 10-20T, we find a resonance-like behavior with maximum values far above the background. As we will describe in Sec. III B the explanation for this behavior is very different from the overlap arguments explaining Kagan's

FIG. 2. The effective rate constant for three-body dipolar recombination of spin-polarized atomic hydrogen as a function of magnetic field. Figure (a) shows the range 0-40T, while figure (b) gives $log^eff$ below 10T, in which range most of the experiments have been carried out. [Curve 1: The results of Refs. 10 and 7, without rearrangement. Curve 2: The results of the present calculation including rearrangement.] The experimental data is obtained from Refs. 3 and 6.
maximum. Although Kagan's model is in better agreement with the high-field data than our resonating-group approach (see Fig. 2(a) of Ref. 6), we stress that in our opinion it does not contain an essential ingredient. On the basis of the analysis of Sec. IIIB we believe that the recently measured high $L_{\text{eff}}^{\text{off}}$ values can instead be explained by a bound-state calculation of the $H_3$ molecule, which is, however, outside the scope of the present paper.

Quantitatively, there is still strong disagreement. Below 10T our $L_{\text{eff}}^{\text{off}}$ is a factor of two too small. In addition, the resonance peak at higher fields is too narrow and also does not have the correct position. As we show shortly this is due to an inadequate treatment of the resonances. To describe them correctly one needs to add singlet scattering states to the subspace defined by Eq. (6). However, due to divergences in the expressions for the "interaction" matrix it is not possible to do so directly. One possibility is to include some bounded wave packets into the variational principle. Since a wave packet is not an eigenstate of the channel Hamiltonian, this results in a much more complicated equation which does not have the structure of a two-body Lippman-Schwinger equation. In any case it is useful to have more information on the wavefunction of the resonance states. This will enhance physical insight into the reaction mechanism and may ultimately lead to an adequate description of the recombination process.

B. $H_3$ resonances

A convenient way to treat resonant scattering is by means of the Feshbach formalism. To do so we divide the total Hilbert space into two subspaces, conventionally denoted by $P$ and $Q$, containing the open and closed channels of the scattering problem, respectively. Bound states in $Q$-space then correspond to resonances with finite lifetimes, because of the coupling between the two spaces.

In our case these subspaces can be characterized by the adiabatic states of three hydrogen atoms in an $1s^3 E^-$ electronic configuration, having a total electron spin $S$ equal to $\frac{1}{2}$. The potential surfaces are found by diagonalizing the 2x2 matrix

$$V_{ss'}((r_\alpha)) = \langle (s')SS | V | (s)SS \rangle ,$$

which depends parametrically on the distances $\{r_\alpha\}$ between the particles.

Introducing the notation $V(r_\alpha) = \left[ V^{(1)}(r_\alpha) + V^{(0)}(r_\alpha) \right] / 2$ and $\Delta(r_\alpha) = V^{(1)}(r_\alpha) - V^{(0)}(r_\alpha)$ we easily find for the eigenvalues

$$V_{Q,P}((r_\alpha)) = \sum_\alpha V(r_\alpha) \pm \frac{1}{2} \left[ \sum_\alpha \Delta^2(r_\alpha) - \sum_\alpha \Delta(r_\alpha) \Delta(r_{\alpha'}) \right]^{1/2} .$$

with $Q(P)$ corresponding to the $+$($-$) sign. If atom $\alpha'$ is far away from the other two
atoms, these energy surfaces reduce to the singlet and triplet potentials:
\[ V_{Q,P}(\{r_\alpha\}) = V(r_\alpha) + \frac{1}{2} \Lambda(r_\alpha') = \psi^{(1,0)}(r_\alpha'), \]
leading to the conclusion that below break-up the open and closed channels of the atom-molecule collision can indeed be associated with the adiabatic states of the three-atom system.

![Diagram](image)

**FIG. 3.** The vibrational (external) symmetry coordinates \( S_1 \) of an equilateral triangle.

We now concentrate on Q-space. For fixed \( \sum \psi V(r_\alpha) \) the energy surface has a minimum if the atoms are in an equilateral configuration, because in that case the square root in Eq. (10) is zero. Therefore, one would like to introduce coordinates to describe the vibrations around this configuration. Since the depth of the Q potential surface is about 17000K and the calculations of the previous section show resonances with binding energies in the range of 35 to 50K, we are especially interested in highly excited states near the continuum ("long-range \( \text{H}_3^+ \) molecules"). In this case the usual normal coordinates are not adequate. However, it is possible to introduce the (external) symmetry coordinates\(^{18}\) of an equilateral triangle having symmetry \( D_{3h} \), which we denote by \( S_i \) (i=1,2,3).\(^{19}\) In Fig. 3 we see that \( S_0 \) corresponds to the symmetric-stretching mode, whereas \( S_1 \) and \( S_2 \) are two possible bending modes of the \( \text{H}_3 \) molecule.

The square root in Eq. (10) reducing to zero in the equilateral configuration, small deviations from this configuration, described by \( S_1 \) and \( S_2 \), cause a steep linear\(^{19}\) increase in the potential energy. Physically, this means that the \( \text{H}_3 \)
molecule carries out small-amplitude, rapid vibrations around an equilateral configuration that is vibrating more slowly with a large $S_0$ amplitude. In the following we, therefore, consider only potential terms up to first order in $S_1$ and $S_2$ but take all orders of $S_0$ into account.

The Hamiltonian of the rotating and vibrating non-linear molecule is given by 18, 20

$$H = \frac{1}{2} (L-j)(L-j) - \frac{\hbar^2}{\Theta} \text{Tr}(\mu) - \frac{\hbar^2}{2m} \sum S_1^2 + V_Q(S_1) .$$

(11)

where $L-j$ is the rotational angular momentum, $j$ the vibrational angular momentum and $\mu$ the reciprocal inertia tensor. all defined with respect to an Eckart frame 21 moving with the molecule. If we choose, in particular, the frame attached to the instantaneous orientation of the equilateral triangle we find that the vibrational angular momentum is perpendicular to the plane of the H$_3$ molecule and equal to

$$J_z = \left( \frac{S_2}{S_1} \right) \frac{\hbar}{\Theta} \left( \frac{S_1}{S_2} \right) .$$

(12)

Up to first order in $S_1$ and $S_2$ the tensor $\mu$ turns out to be

$$\mu = \frac{2}{\Theta^3} \begin{bmatrix} S_0 + 2S_1 & 2S_2 & 0 \\ 2S_2 & S_0 - 2S_1 & 0 \\ 0 & 0 & 2S_0 \end{bmatrix} .$$

(13)

Substituting these results into Eq. (11) and introducing polar coordinates $(S_r, \theta)$ instead of $(S_1, S_2)$ we find the Hamiltonian

$$H = H^{\text{rot}} + H^{\text{vib}} + H^{\text{cor}} + V .$$

(14)

with

$$H^{\text{rot}} + H^{\text{vib}} + V = \left( -\frac{\hbar^2}{2m_H} \frac{\Theta}{\Theta_0} S_0^2 + \frac{\hbar^2}{2m_H} \frac{2L(L+1) - k^2 + 2kN + N^2 - \frac{5}{4}}{H_0} + 3V(S_0) + \right)$$

$$-\frac{\hbar^2}{2m_H} \frac{\Theta}{\Theta_0} S_0^2 + \frac{\hbar^2}{2m_H} \frac{2L(L+1) - k^2 + 2kN + N^2 - \frac{5}{4}}{H_0} + \beta(S_0) S_r \right) .$$

(15)

and $H^{\text{cor}}$ a small perturbation due to the Coriolis force, which couples the rotation
and vibration of the molecule. Explicitly it reads

\[ H_{\text{cor}} = \frac{S_r}{m_{H\alpha}} \left\{ e^{i\theta L_2} + e^{-i\theta L_2} \right\}. \]  

(16)

In the above expressions we have written the eigenvalues of \( L_\beta \) and \( J_\beta \) as \( \pm \) and \( \mp \), respectively. Furthermore, in agreement with the approximations made, we have also expanded \( V_Q(\{S_t\}) \) up to first order in \( S_r \), leading to

\[ V_Q(\{S_t\}) = 3V(S_0) + \left[ \frac{3}{4} \frac{d\Delta(S_0)}{dS_0} \right] S_r = 3V(S_0) + \beta(S_0)S_r, \]

which shows the above mentioned linear dependence of the potential surface on \( S_r \).

Neglecting the Coriolis coupling for a moment we see that both \( K \) and \( N \) are good quantum numbers, because of the \( D_{3h} \) symmetry of the Hamiltonian of Eq. (15). The splitting of a particular vibrational level of the stretching mode, due to both the bending modes and the rotation of the \( H_3 \) molecule is shown qualitatively in Fig. 5(a), where the states with odd parity \( (K=\pm 1) \) are not considered, since they do not participate in the recombination process (cf. Sec. II). Also indicated is the degeneracy and the \( (D_3) \) symmetry class of the states.

Including \( H^{\text{cor}} \) breaks the \( D_{3h} \) symmetry down to \( D_3 \) and removes the degeneracy of the energy levels as is shown in Fig. 5(b). Only the levels corresponding to an \( E \)-type symmetry still have twofold degeneracy, which can be characterized by the conserved quantum number \( 2N-K \). Most importantly, we note that the spectrum of the (perturbed) \( N=1 \) states corresponds to the spectrum found in the calculation of Sec. IIIA, keeping in mind that the single and double spin-flip contributions to the rate constant cause the repetitive structure of \( L_{\text{eff}}(B) \) at twice the magnetic field strength. From the numerically observed splitting between the two \( E \)-type levels we can estimate the average distance \( \langle S_0 \rangle \) between the particles to be about \( 7a_0 \). This

FIG. 4. The energy splitting of a vibrational level of \( H_3 \) stretching mode due to the bending modes and molecular rotation. (a) Without Coriolis coupling. (b) With Coriolis coupling.
gives the reason why the resonances are inadequately treated in our model: In the
singlet bound states that span the subspace (6) the particles have on average a
separation of at most 5a₀, the (14,3) state having the largest dimensions. We thus
have to include scattering states to make it possible for the particles to have
larger separation. Extending the subspace in this manner we expect the resonances to
be much broader, because the coupling with the P-space is enhanced, leading to a
shorter resonance lifetime.

IV CONCLUSIONS

We have shown that in the three-body recombination process of spin-polarized
atomic hydrogen, resonances play a crucial role and come into play when the
possibility of rearrangement is included. In addition, we have identified those
resonances with adiabatic states of an H₃ molecule in an almost equilateral
configuration. Non-adiabatic effects, due to the finite kinetic energy of the atoms,
lead to decay and therefore to a finite resonance lifetime. Our resonating-group
method is able to explain the slow decrease of the recombination rate below 10{T, as
well as the occurrence of a much higher recombination rate at stronger fields.
Despite this qualitative agreement with experiment, some features require a more
thorough analysis which is at present out of reach: Below 10{T our results are still
a factor of two lower than experiment, while the resonance peaks at higher fields
are too narrow and occur at too low a field value. This discrepancy requires a more
adequate treatment of the H₃ resonance states, which are expected to have much wider
interatomic separation than even in the case of a (14,3) H₂ molecule. Including
singlet scattering states to allow for a large separation seems to be difficult in
the context of the resonating group theory, since it involves non-localized
wavefunctions. One possibility would be to use wavepackets instead.

Another approach, which has the advantage of using eigenstates of the channel
Hamiltonian, was successfully applied to the triton²² and may also be used here.
Since the three-body break-up channel is closed, the two-body continuum neglected in
the ansatz (6) has to build up only a decaying state. Therefore, the channel
Hamiltonian can be supplemented by an auxiliary confining potential conveniently
chosen as a harmonic oscillator. This procedure leads to a discrete representation
of the continuum and thus to a natural and rigorous extension of the calculations
presented here.
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This thesis deals with a central theme in sub-Kelvin physics: "cold" collisions and their role in experiments aiming at the achievement of Bose-Einstein condensation in spin-polarized atomic hydrogen gas. Some of the subjects studied pertain primarily to the more traditional high-density experimental scheme including its recent variants, others to low-density experiments involving magnetic traps and other advanced experimental techniques.

The thesis consists of seven chapters, which can be grouped in three parts. Chapter 1 is an introductory chapter giving the motivation and the general background of the thesis work. In addition, it places the Bogoliubov theory of a weakly interacting Bose gas important for a more thorough understanding of Bose-Einstein condensation, in the context of QM. Finally, it justifies the elementary-boson picture of atomic hydrogen, which is used throughout the thesis.

Chapters 2, 3 and 4 are devoted to the determination of the decay constants for exchange and dipolar relaxation due to two-body collisions. Since two-body relaxation is the dominant decay mechanism of spin-polarized atomic hydrogen at relatively low densities this part of the thesis is particularly relevant to trapping schemes. We consider both the degenerate (Bose-Einstein condensed) and non-degenerate cases and also study the lifetime of an atomic hydrogen gas sample trapped in a microwave cavity. In the latter case we apply the very accurate degenerate-internal-states approximation, which is justified in Chapter 2 by means of rigorous coupled-channels calculations, to obtain reliable results without significant numerical effort.

In the last part (Chapters 5, 6 and 7) we turn to the theory of three-body scattering and more specifically to the evaluation of the rate constant for three-body dipolar recombination. In contrast with the two-body case rigorous calculations are very difficult and seem to lead beyond the (super)computing power available at present. Therefore, we have to resort to model calculations. Rather than reproducing experimental results quantitatively these are expected to reveal the reaction mechanism of the recombination process. To that end we arrive in Chapter 7 at our most sophisticated model, which we believe to contain all the essential physics. We find that resonances due to $H_3$ molecular states are of the utmost importance for the understanding of the recombination process. By means of them it is possible to explain recent experimental data qualitatively. Due to the approximate nature of the model significant discrepancies with experiment remain.
Further light on these discrepancies may be shed by an evaluation of the binding energy of rovibrational states of $\text{H}_2$ close to the continuum threshold. This seems numerically feasible and will be the subject of research in the near future.

**SOMMENVATTING**

Dit proefschrift houdt zich bezig met een centraal thema in de lage-temperatuur fysica: “koude” botsingen en hun rol in experimenten gericht op het tot stand brengen van Bose-Einstein condensatie in spin-gepolariseerd atomair waterstof gas. Sommige van de behandelde onderwerpen zijn voornamelijk van toepassing op de traditionele experimenten bij hoge dichtheden met inbegrip van hun recente varianten, terwijl andere betrekking hebben op experimenten bij lage dichtheden waarbij gebruik gemaakt wordt van magnetische valkuilen en andere geavanceerde experimentele technieken.

Het proefschrift bestaat uit zeven hoofdstukken, die in drie groepen verdeeld kunnen worden. Hoofdstuk I is een inleidend hoofdstuk waarin de motivatie en de algemene achtergrond van het promotieonderzoek uiteen gezet wordt. Verder wordt de Bogoliubov theory van een zwak wisselwerkend Bose gas, die belangrijk is voor een beter begrip van Bose-Einstein condensatie, in de algemene context van QM geplaatst. Tenslotte geeft dit hoofdstuk een rechtvaardiging voor de in het gehele proefschrift gebruikte behandeling van atomair waterstof als een elementair deeltje met een boson karakter.

De hoofdstukken 2, 3 en 4 zijn gewijd aan de bepaling van de vervalsconstanten voor exchange- en dipolaire relaxatie ten gevolge van twee-deeltjes botsingen. Omdat twee-deeltjes relaxatie het belangrijkste vervalsproces is van spin-gepolariseerd atomair waterstof bij lage dichtheden, is dit gedeelte van het proefschrift in het bijzonder van belang voor experimenten met magnetische valkuilen. Wij besteden aandacht aan zowel het gedegenereerde (Bose-Einstein gecondenseerde) als het niet-gedegenereerde geval en bestuderen eveneens de levensduur van een hoeveelheid atomair waterstof gas gevangen in een microgolf trilholte. In het laatste geval maken we gebruik van de zeer nauwkeurige ontaarde-interne-toestanden benadering, die gerechtvaardigd wordt in hoofdstuk 2 met behulp van exacte gekoppelde kanalen berekeningen, om zonder veel numerieke inspanning betrouwbare resultaten te verkrijgen.

In het laatste gedeelte (de hoofdstukken 5, 6 en 7) richten wij ons op de theorie van drie-deeltjes verstrooiing en meer in het bijzonder op de bepaling van de vervalsconstante voor drie-deeltjes dipolaire recombinatie. In tegenstelling tot
het geval van twee deeltjes zijn exacte berekeningen zeer moeilijk en van een omvang die uitsluit boven de mogelijkheden van hedendaagse (super)computers. Daarom moeten wij ons beperken tot model berekeningen, waarvan niet verwacht kan worden dat ze experimentele resultaten quantitatief reproduceren maar wel dat ze informatie opleveren over het reactie mechanisme van het recombinatie proces. Met dit doel voor ogen presenteren wij in hoofdstuk 7 ons meest geavanceerde model, waarvan wij geloven dat alle essentiële fysische erin vervat is. Hieruit blijkt dat resonanties als gevolg van rovibrationele toestanden van het H₃ molecuul van het grootste belang zijn voor een begrip van het recombinatie proces. Met behulp hiervan is het mogelijk experimentele gegevens qualitatief te verklaren. Vanwege het benaderende karakter van het model blijven er echter significante verschijnselen met het experiment bestaan. Er zou meer licht op deze discrepanties geworpen kunnen worden door een bepaling van de bindingsenergie van de rovibrationele toestanden van H₃ dicht bij de continuum drempel. Dit lijkt numeriek haalbaar en zal het onderwerp zijn van verder onderzoek in de nabije toekomst.
STELLINGEN

behorende bij het proefschrift van

H.T.C. Stoof
1. Volgens een algemeen verbreide opvatting, onder meer verwoord door S. Noah en
Wolfe, kan in een gas een macroscopische bevolking van de een-deeltjes
grondtoestand in een eindige tijd tot stand komen door drie-deeltjes botsingen.
Deze opvatting is onjuist.


2. Analoog aan de behandeling van de voortplanting van radiogolven rond de aarde
deroor Sommerfeld kan het microgolfveld in een concentrische Fabry-Perot
interferometer op een elegante manier bepaald worden door gebruik te maken van
complex waarden van het impulsmoment quantumgetal $\hbar$.

A. Sommerfeld, Vorlesungen über theoretische Physik. Band VI (Dieterich'sche
Verlagsbuchhandlung, Wiesbaden, 1947)

3. Ten gevolge van het optreden van Bose-Einstein condensatie neemt de
reactieconstanten van een $N$-deeltjes proces bij het absolute nulpunt af met een
factor $N!$.

3157 (1989)

4. Voor het toepasbaar zijn van de door Lee en Yang ontwikkelde theorie van een
zwak wisselwerkend Bose gas moeten niet alleen de parameters $(na^3)^{1/3}$ en $na^2$
zelf, maar tevens hun verhouding $(na^3)^{1/5}/na^2$ klein zijn.

T.D. Lee en C.N. Yang, Phys. Rev. 112, 1419 (1958);
3157 (1989)

5. De eerste-orde faseovergang die optreedt in inflatiemodellen voor het onstaan
van het universum is equivalent met Bose-Einstein condensatie van het Higgs
veld.

6. In de terminologie van de quantum optica is de grondtoestand van een zwak
wisselwerkend Bose gas een 'squeezed vacuum'.
7. De reflectie van waterstof atomen aan een superfluïde heliumfilm is in zeer goede benadering onafhankelijk van de adsorptie potentiaal.

8. Het gebruik van een gelijkheid als \( \langle \alpha \rangle = \psi_\alpha(r) \) in sommige fysische publicaties getuigt van onvoldoende inzicht in het verschil tussen een toestandsvector en zijn representatie.


9. De door Umberto Eco gegeven verklaring voor het gedrag van de slinger van Foucault is literair gezien wellicht verantwoord maar fysisch onjuist.

U. Eco, *De Slinger van Foucault* (Bert Bakker, Amsterdam, 1989)

10. Ter voorkoming van onnodige dubbelzinnigheden zou het in de Japanse taal gebruikte logisch juiste antwoord op ontkennende vragen ook in de Nederlandse spreektaal ingevoerd moeten worden.