Electrical transport and Al doping efficiency in nanoscale ZnO films prepared by atomic layer deposition

Citation for published version (APA):

DOI:
10.1063/1.4813136

Document status and date:
Published: 01/01/2013

Document Version:
Publisher’s PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:
• A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher’s website.
• The final author version and the galley proof are versions of the publication after peer review.
• The final published version features the final layout of the paper including the volume, issue and page numbers.

Link to publication

General rights
Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
• You may not further distribute the material or use it for any profit-making activity or commercial gain
• You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the “Taverne” license above, please follow below link for the End User Agreement:
www.tue.nl/taverne

Take down policy
If you believe that this document breaches copyright please contact us at:
openaccess@tue.nl
providing details and we will investigate your claim.
Electrical transport and Al doping efficiency in nanoscale ZnO films prepared by atomic layer deposition

Y. Wu, P. M. Hermkens, B. W. H. van de Loo, H. C. M. Knoops, S. E. Potts, M. A. Verheijen, F. Roozeboom, and W. M. M. Kessels

Citation: Journal of Applied Physics 114, 024308 (2013); doi: 10.1063/1.4813136
View online: http://dx.doi.org/10.1063/1.4813136
View Table of Contents: http://scitation.aip.org/content/aip/journal/jap/114/2?ver=pdfcov
Published by the AIP Publishing

Articles you may be interested in
Structural, optical, vibrational, and magnetic properties of sol-gel derived Ni doped ZnO nanoparticles

Optical and electronic properties of post-annealed ZnO:Al thin films
Appl. Phys. Lett. 96, 171902 (2010); 10.1063/1.3419859

Blueshift of optical band gap in c-axis oriented and conducting Al-doped ZnO thin films

F-doping effects on electrical and optical properties of ZnO nanocrystalline films
Appl. Phys. Lett. 86, 123107 (2005); 10.1063/1.1884256

Structural, electrical, and optical properties of transparent conductive oxide ZnO:Al films prepared by dc magnetron reactive sputtering
J. Vac. Sci. Technol. A 19, 963 (2001); 10.1116/1.1368836
Electrical transport and Al doping efficiency in nanoscale ZnO films prepared by atomic layer deposition

Y. Wu,1,2,a P. M. Hermenks,1 B. W. H. van de Loo,1 H. C. M. Knoops,1 S. E. Potts,1 M. A. Verheijen,1 F. Roozeboom,1,2 and W. M. M. Kessels1

1Eindhoven University of Technology, PO Box 513, 5600 MB Eindhoven, The Netherlands
2Holst Centre, PO Box 8550, 5605 KN Eindhoven, The Netherlands

(Received 15 April 2013; accepted 19 June 2013; published online 12 July 2013)

In this work, the structural, electrical, and optical properties as well as chemical bonding state of Al-doped ZnO films deposited by atomic layer deposition have been investigated to obtain insight into the doping and electrical transport mechanisms in the films. The range in doping levels from 0% to 16.4% Al was accomplished by tuning the ratio of ZnO and Al2O3 ALD cycles. With X-ray photoelectron spectroscopy depth profiling and transmission electron microscopy, we could distinguish the individual ZnO and AlOx layers in the films. For films with a thickness of 40 nm, the resistivity improved from 9.8 mΩ cm for intrinsic ZnO to an optimum of 2.4 mΩ cm at 6.9 at. % Al. The binding energy of Zn 2p3/2 increased by 0.44 eV from the intrinsic ZnO to the highest Al-doped ZnO. This shift can be ascribed to an increase of the Fermi level. Ex-situ spectroscopic ellipsometry and Fourier transform infrared spectroscopy were used to measure the optical properties from which the carrier concentration and intra-grain mobility were extracted. The results showed that with increasing Al content, the grain boundary mobility increased at first due to an increased Fermi level, and then decreased mainly due to the scattering at AlOx/ZnO interfaces. For the same reasons, the doping efficiency of Al for highly Al-doped ZnO dropped monotonically with increasing Al. Furthermore, a blue shift of the optical band-gap ΔEg up to 0.48 eV was observed, consistent with the shifts of the Fermi level and the binding energy of the Zn 2p3/2 state. © 2013 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4813136]

I. INTRODUCTION

ZnO is a transparent semiconductor with a wide and direct band gap of 3.4 eV. For this reason, ZnO-based thin films are widely studied (a) as semiconducting layers in thin-film transistors,1 (b) as active layers in gas sensors,2–6 and (c) as alternatives to indium tin oxide, which is currently widely studied (a) as semiconducting layers in thin-film transistors,1 (b) as active layers in gas sensors,2–6 and (c) as alternatives to indium tin oxide, which is currently deposited,20 chemical vapor deposition,21 and atomic layer deposition,22–24 among these, ALD is considered to be a promising technique to deposit nanoscale ZnO films, because it is a self-limiting thin-film growth technique that guarantees excellent film conformity, uniformity, precise thickness control, sharp interfaces, as well as possibilities for creating reproducible and well-defined nanolaminate structures.26 The doping concentration of doped ZnO can be precisely tuned by careful control of the ALD cycle ratio between the Zn and the dopant precursors. Therefore, the thickness, conductivity, and carrier density of the films can be controlled to meet stringent specifications.27

In the literature, Al-doped ZnO (AZO) films prepared by ALD have been investigated for their morphological, electrical, and structural properties as a function of Al concentration.1,13,27–29 The chemical environment as well as the atomic charge of elements as a function of doping concentration still need to be studied in more detail, because the chemical bonding states of the elements (e.g., Al as an effective Al2zn+ dopant in the ZnO lattice or Al within ineffective AlOx clusters) determine the amount of free charge carriers in AZO thin films. The electrical properties regarding the charge transport, such as the Fermi level, carrier charge mobility within grains and at grain boundaries, are not fully understood either, while such parameters determine the performance of the AZO films as a semiconductor or transparent conductive oxide. Moreover, in order to understand how Al doping affects the crystallinity of ZnO films, the role of Al with respect to the structural and electrical properties of AZO films needs to be elucidated. The doping efficiency of Al should be calculated quantitatively for further characterization and comparison. All these parameters are important for a fundamental understanding of the doping mechanism, and consequently, the optoelectronic film properties of AZO as well.

Therefore, in this work, AZO films with various Al doping levels were prepared by ALD and characterized extensively.
First, the thickness and deposition temperature were varied to find optimum values for the resistivity. Second, in order to figure out how the atomic distribution and crystallinity of AZO films affect their electrical properties, depth profiling X-ray photoelectron spectroscopy (XPS) and transmission electron microscopy (TEM) were used. Third, in order to obtain insight into the optical and electrical properties, spectroscopic ellipsometry (SE) and Fourier transform infrared spectroscopy (FTIR) were applied to derive the carrier density, intra-grain mobility, grain boundary mobility, and optical band gap. Next, the concept of Al doping efficiency was used to characterize the doping effect quantitatively. Finally, the shifts of the Fermi level were calculated and related to both the shifts of the binding energy and the optical band gap.

II. EXPERIMENTAL

A. Film preparation

Intrinsic ZnO (i-ZnO) and AZO films were deposited using an open-load Oxford Instruments OpAL™ reactor. Si wafers with 450 nm thermally grown SiO₂ on top were used as substrates. Diethyl zinc [DEZ, Zn(C₂H₅)₂] and deionized water (DI H₂O) vapor were used as precursors for the deposition of i-ZnO films and the ZnO cycles in AZO films. The dosing and purging times in one ZnO cycle were DEZ (50 ms)/purge (5 s)/DI H₂O vapor (20 ms)/purge (6 s). Similarly, trimethyl-aluminium [TMA, Al₂(CH₃)₆] and DI H₂O vapor were used as precursors for the deposition of AlOₓ layers in AZO films, with dosing and cycling times TMA (20 ms)/purge (3.5 s)/DI H₂O vapor (20 ms)/purge (3.5 s).

B. Electrical and structural analysis

The resistivity of the films was measured ex-situ at room temperature using a Signatone four-point probe (FPP), in combination with a Keithley 2400 Source Measurement Unit. Hall measurements were carried on a BioRad instrument. The XPS set-up used in this work was a Thermo Scientific K-Alpha KA1066 spectrometer using monochromatic Al Kα X-ray radiation (hν = 1486.6 eV). Photoelectrons were collected at a take-off angle of 60°, as measured from the surface normal. A 400-µm diameter X-ray spot was used in the analyses. A flood gun was used to correct for possible sample charging. Furthermore, all samples were corrected for sample charging using the Si 2p orbital from the Si substrate as an internal reference with a binding energy of 99.3 eV. For XPS depth-profiling, an Ar-gun with a voltage of 1000 eV and high current (17.9 µA) was applied to sputter the i-ZnO and AZO films. The sputtering rate in this setting was ~0.13 nm/s. Cross-sectional TEM studies on FIB lift-out samples were performed in bright field and in high-angle annular dark-field (HAADF) modes using a FEI Tecnai F30ST transmission electron microscope (TEM).

C. Optical analysis

The SE measurements were performed using a J.A. Woollam Co. Inc. M-2000D spectrometer with an XLS-100 light source (1.2–6.5 eV of photon energy). A Psemi-M0 model was applied in the data analysis to extract information on the material properties, such as the thickness, and on the optical parameters, in particular on the dielectric constants ε₁ and ε₂. Furthermore, the reflectance was measured using a Bruker Tensor 27 reflectance-FTIR instrument in the photon energy range of 0.12–0.86 eV. A Drude oscillator model was used afterwards to extract the optical mobility and carrier density from the combined data obtained from reflectance-FTIR and the SE. Details of the modeling will be described in a separate publication.

III. RESULTS AND DISCUSSION

A. Intrinsic ZnO

For i-ZnO films, the effects of film thickness and growth temperature on their resistivity were studied, as shown in Fig. 1. Figure 1(a) shows the resistivity of i-ZnO films as a function of thickness. In order to avoid possible issues with the aging effect of ZnO films, the resistivity and the thickness were measured directly after the deposition (within 30 min) by FPP and SE, respectively. Based on the curve in Fig. 1(a), a critical thickness (D₀) and the corresponding resistivity (ρ₀) can be defined as D₀ = 40 nm and ρ₀ = 11.0 mΩ cm, respectively. For films with thicknesses above D₀, the resistivity does not improve significantly, while below D₀, the resistivity increases significantly as the thickness decreased. According to Kasap’s model on polycrystalline thin films, the scattering of electrons at the surface, interface, and grain boundaries during the electrical transport may lead to such a phenomenon. The mean free path of electrons is limited when the thickness of the film is below its critical value D₀. Meanwhile, a lower degree of crystallinity and smaller grain size in the initial layer might also limit the electrical properties. Therefore, a thickness of ~40 nm was chosen as a
standard for further investigation of AZO film growth. As mentioned in the Introduction, ZnO films with thickness well below 100 nm and with a relatively low resistivity are desired to meet the requirements of various applications.

The effect of the growth temperature on the resistivity of i-ZnO is shown in Fig. 1(b). The optimum growth temperature with respect to minimum resistivity was between 200 °C and 250 °C. Similar results have been described in other reports where the lower resistivity at the temperature between 200 °C and 250 °C was ascribed to a higher carrier density37–40 of i-ZnO films, compared to other growth temperatures. Furthermore, the same i-ZnO films were deposited on glass substrate for comparison. The result showed that the resistivity of the films on glass substrates was slightly higher than that of films grown on thermal oxide 450 nm SiO2/p-Si substrate. Based on the aforementioned results, a thickness of 40 nm, growth temperature of 250 °C, and substrates of thermal oxide 450 nm SiO2/p-Si were chosen for our study on AZO films.

B. Al-doped ZnO

A series of AZO films was prepared with different aluminum concentrations. The aluminum concentration was denoted as “aluminum fraction” (AF), and defined as the atomic ratio Al/(Al + Zn) that is the fraction of Zn atoms replaced with Al. In order to prepare a particular AZO film with a certain AF, one TMA cycle was inserted after a certain number of DEZ cycles. Thus, one “supercycle” of AZO film was defined as m cycles of DEZ plus one subsequent TMA cycle, and m is called “cycle ratio.” The total number of supercycles M and the cycle ratio m were chosen to target a nominal thickness of around 40 nm for each sample on the basis of the growth per cycle (GPC) for pure ZnO and Al2O3.

\[ \text{Thickness} = M \times (\text{GPC}_{\text{ZnO}} \times m + \text{GPC}_{\text{Al}_2\text{O}_3}) \]  

Typical GPC values of pure ZnO films at 250 °C were 0.16 nm/cycle. The GPC of a single Al2O3 cycle on a ZnO matrix is 0.15 nm/cycle, as determined using in-situ SE. The nominal Al fraction \( AF_{\text{Nom}} \) was calculated based on the GPCs by

\[ AF_{\text{Nom}} = \frac{\text{GPC}_{\text{Al}_2\text{O}_3}}{\text{GPC}_{\text{Al}_2\text{O}_3} + \text{GPC}_{\text{ZnO}} \times n} \times 100\% . \]  

Depth-profiling XPS was used to measure the atomic percentage of Al, Zn, C, and O throughout the films (indicated by \( \text{Al}_{\text{at.}} \% \), \( \text{Zn}_{\text{at.}} \% \), etc.), and, consequently, the actual Al fraction \( AF_{\text{XP S}} \) was calculated by

\[ AF_{\text{XP S}} = \frac{\text{Al}_{\text{at.}} \%}{\text{Al}_{\text{at.}} \% + \text{Zn}_{\text{at.}} \%} \times 100\% . \]  

The interspacing l between adjacent AlOx layers was determined by \( l = D/M \), where D is the total thickness of the AZO films.

In total, 11 AZO samples were prepared with varying AF values. The parameters are listed in Table I. The nominal \( AF_{\text{Nom}} \) was found to deviate from the \( AF_{\text{XP S}} \), especially at high \( AF_{\text{Nom}} \) values, as presented in Fig. 2(a). The nucleation delay of ZnO on an AlOx matrix41 has been reported to be the reason for such a deviation: the actual GPCZnO after one Al2O3 cycle was smaller than that on bulk ZnO matrix. Therefore, in our further analysis and discussion, the doping level of aluminum is described in terms of \( AF_{\text{XP S}} \), instead of \( AF_{\text{Nom}} \).

The atomic percentages of Zn, Al, and O with different \( AF_{\text{XP S}} \) are presented in Fig. 2(b). First, no carbon was detected throughout the films. Carbon was only present as surface contamination. Second, the atomic percentage of O increased at higher \( AF_{\text{XP S}} \). In the ideal case, if all of the aluminum atoms would be incorporated as dopants substituting the Zn atoms in the form of Al/Zn+, the aluminum doping would not lead to additional oxygen atoms in the AZO films. Thus, the increase of oxygen content suggests the existence of an Al2O3-like phase in the AZO films, since the O atomic percentage is larger in Al2O3 than in a pure ZnO.

Figure 3(a) shows the distribution of Al, Zn, C, and O elements of an AZO film along the growth direction as obtained by depth-profiling XPS. The sample corresponding to Fig. 3(a) was deposited with the same recipe as sample 03 in Table I, but on a p-Si substrate with ∼1.5 nm native SiO2 instead of 450 nm thermally grown SiO2. This ∼40 nm AZO

### Table I. Properties of AZO films deposited by thermal ALD at 250 °C on p-Si substrates with 450 nm thermal oxide SiO2. \( AF_{\text{Nom}} \) is the nominal aluminum fraction defined by Eq. (2). The actual aluminum fraction was calculated from Eq. (3) using the atomic percentage obtained from depth-profiling XPS, and is denoted as \( AF_{\text{XP S}} \). Thicknesses were determined from SE data, which were analyzed using the Psemi-M0 model.32 The error in the thickness values is typically <1 nm.

<table>
<thead>
<tr>
<th>Sample ID</th>
<th>Cycle Ratio m</th>
<th>Supercycle M</th>
<th>( AF_{\text{Nom}} ) (%)</th>
<th>( AF_{\text{XP S}} ) (%)</th>
<th>Thickness D (nm)</th>
<th>Interspacing of AlOx, l (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>N/A</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
<td>41.2</td>
<td>N/A</td>
</tr>
<tr>
<td>02</td>
<td>126</td>
<td>2</td>
<td>0.7</td>
<td>0.9</td>
<td>40.7</td>
<td>20.4</td>
</tr>
<tr>
<td>03</td>
<td>85</td>
<td>3</td>
<td>1.1</td>
<td>1.9</td>
<td>41.1</td>
<td>13.7</td>
</tr>
<tr>
<td>04</td>
<td>51</td>
<td>5</td>
<td>1.8</td>
<td>3.0</td>
<td>40.1</td>
<td>8.0</td>
</tr>
<tr>
<td>05</td>
<td>36</td>
<td>7</td>
<td>2.5</td>
<td>4.1</td>
<td>40.4</td>
<td>5.8</td>
</tr>
<tr>
<td>06</td>
<td>28</td>
<td>9</td>
<td>3.2</td>
<td>5.9</td>
<td>38.9</td>
<td>4.3</td>
</tr>
<tr>
<td>07</td>
<td>23</td>
<td>11</td>
<td>3.9</td>
<td>6.9</td>
<td>41.4</td>
<td>3.8</td>
</tr>
<tr>
<td>08</td>
<td>18</td>
<td>13</td>
<td>5.0</td>
<td>9.4</td>
<td>39.6</td>
<td>3.0</td>
</tr>
<tr>
<td>09</td>
<td>16</td>
<td>15</td>
<td>5.5</td>
<td>11.0</td>
<td>40.1</td>
<td>2.7</td>
</tr>
<tr>
<td>10</td>
<td>14</td>
<td>17</td>
<td>6.3</td>
<td>13.1</td>
<td>39.7</td>
<td>2.3</td>
</tr>
<tr>
<td>11</td>
<td>12</td>
<td>19</td>
<td>7.2</td>
<td>16.4</td>
<td>38.2</td>
<td>2.0</td>
</tr>
</tbody>
</table>
film deposited from 3 supercycles contains basically three separate AlOx/ZnO stacks. At around 40 nm depth, the Zn and O levels dropped to zero, and Si appeared, reaching 100 at. % at the interface between the AZO film and the Si substrate. In the XPS depth profile, Al signals appear at certain depths, consistent with the schematic representation of the sample in the graph. Such a periodic variation in atomic percentage suggests the presence of a nanolaminate structure, resulting from the ALD deposition scheme. The nanolaminate structure was confirmed by TEM imaging as well, as shown in Fig. 4(a). Figure 4(a) shows a HAADF STEM image of sample 03. The contrast in the imaging mode is caused by the mass difference between the elements. Within the bulk of the film, the image reveals two AlOx layers with a lower atomic number, i.e., layers with a higher Al content. The high resolution TEM image of Fig. 4(b) gives more information about the morphology of the AZO films. AZO grains appear separated into three regions and two AlOx layers are located at the interfaces between these regions. The image shows clearly that Al2O3 ALD cycles have interrupted the growth of the ZnO grains and that new ZnO grains nucleated after the individual Al2O3 ALD cycles. The latter is also in agreement with X-ray diffraction patterns of the AZO films, which revealed that the crystallinity of the films increases at higher AF, as reported in the literature.

The nanolaminate structure was observed in the AZO films with low AFXPS (samples 01–06), while for the AZO films with higher AFXPS (samples 07–11), the atomic distribution of Al, Zn, and O could no longer be resolved with XPS. The resolution of XPS in the growth direction is limited to l, ≈ 5–10 nm, so when the interspacing between adjacent AlOx layers l is less than l, (l < l), the discrete nanolaminate structure can no longer be resolved by XPS.
The O peak in Fig. 3(b) contains contributions of two components with different binding energies. The lower binding energy component of the O 1s peak at 530.8 eV can be attributed to \( \text{O}^{2-} \) ions surrounded by \( \text{Zn}^{2+} \) ions, indicating the \( \text{Zn}-\text{O} \) bonds\(^{25,44–48} \) and is denoted by \( \text{O}_1 \) in Fig. 3(a). This O signal intensity shows the same variation as the \( \text{Zn} \) signal intensity, which confirms the chemical bonding state of \( \text{O}^{2-} \) co-ordinated with \( \text{Zn}^{2+} \). The second O component at the binding energy of 532.1 eV denoted by \( \text{O}_2 \) in Fig. 3(a) can be assigned mainly to hydroxyl groups (\(-\text{OH})\)^{25,44–48} which spread throughout the AZO film. However, in Fig. 3(a), the \( \text{O}_2 \) component shows the same variation with the Al content, meaning that part of this \( \text{O}_2 \) component at binding energy of 532.1 eV can be attributed to \( \text{O}^{2-} \) ions coupled with \( \text{Al}^{3+} \). Such O-coupled \( \text{Al}^{3+} \) might be present as \( \text{Al}_2\text{Zn}^+ \) in the \( \text{ZnO} \) phase, but also as \( \text{AlO}_x \) clusters. In theory, it should be possible to distinguish these two \( \text{Al}^{3+} \) environments by the Al 2p peak fitting. Yet, in practice, the low Al doping level resulted in intensities too low for the Al 2p peak to investigate the chemical environment. The atomic percentage of the \( \text{O}_2 \) component reached a maximum at the interface. This part of the \( \text{O}_2 \) component was assigned to O in the \( \sim 1.5 \) nm thick native \( \text{SiO}_2 \).

The binding energy of \( \text{Zn} \) 2p in AZO films with various \( AF_{\text{XPS}} \) throughout the films was measured by depth-profiling XPS and is presented in Fig. 5. The binding energy at the surface (depth \( = 0 \) nm) deviated due to the surface contamination. The higher binding energy at the interface (depth \( = 35–40 \) nm) can be ascribed to the different chemical bonding state of \( \text{Zn} \) on the \( \text{SiO}_2 \) matrix. Excluding these two effects, the binding energies generally shifted to higher values with higher \( AF_{\text{XPS}} \). This shift was observed consistently for both the bulk of the AZO films (0 nm < depth < 40 nm) and for the surfaces (depth \( = 0 \) nm). Therefore, a possible sputtering effect during sample examination cannot be the reason for such a shift. Since the binding energies are referenced to the Fermi level \( (E_F) \), the increase can be attributed to an increase in \( E_F \). That is, the Al doping contributes free electrons to the AZO films, leading to a higher \( E_F \). Furthermore, for the samples with low \( AF_{\text{XPS}} \), the binding energy of \( \text{Zn} 2p_{3/2} \) oscillates throughout the films, with an amplitude of around 0.1 eV. Again, taking sample 03 as an example (\( AF_{\text{XPS}} = 1.9 \% \)), it is clear that the binding energy reaches its local maximum where the \( \text{AlO}_x \) layers are located. A possible mechanism that can be proposed to explain the oscillation is the following. The \( \text{Al}_2\text{Zn}^+ \) in the \( \text{ZnO} \) lattice can serve as an effective positive charge and create a local static electric field.\(^{42} \) The electric field can affect the surrounding atoms. Core electrons from adjacent \( \text{Zn} \) atoms may shift towards the \( \text{Al}_2\text{Zn}^+ \) centre by the electrostatic force, leading a higher atomic charge of the \( \text{Zn} \). It was found that a higher atomic charge can cause a higher binding energy in the measurement of XPS.\(^{53–55} \) Therefore, the local maxima can be ascribed to the delocalization of core electrons of \( \text{Zn} \) towards \( \text{Al}_2\text{Zn}^+ \). For samples with high \( AF_{\text{XPS}} \), such oscillations can no longer be observed since the inter-spacing between the adjacent \( \text{AlO}_x \) layers is within the depth resolution of XPS. In summary, the increase of the free electron density can cause a shift in the binding energy globally which manifests as an increase in \( E_F \). Meanwhile, according to the mechanism proposed by us, the delocalization of \( \text{Zn} \) core electrons by \( \text{Al}_2\text{Zn}^+ \) centres leads to a local increase of the binding energy.

The carrier density and optical mobility are presented in Fig. 6(a). The carrier densities from Hall measurements and the optical modeling (using SE and FTIR data) are consistent with each other, which confirms the accuracy of our modeling and validates the value of the electron effective mass \( (m^* = 0.4 m_e) \) that we used here. The average distance an electron travels while interacting with a photon is much shorter than the average grain size. Hence, it can be assumed that for optical measurements, the grain boundary scattering can be neglected. Therefore, the mobility derived from modeling SE and FTIR measurements \( \mu_{\text{opt}} \) can be assumed to be equal to the intra-grain mobility \( \approx \mu_{\text{intra-grain}} \).\(^{56} \) The intra-grain mobility is considered to be determined by

![FIG. 5. XPS depth profiles for the Zn 2p3/2 signal of several AZO samples.](image1)

![FIG. 6. (a) Carrier density and optical mobility as a function of \( AF_{\text{XPS}} \), as extracted from SE and FTIR data. (b) Intra-grain resistivity (SE resistivity) and effective resistivity (FPP resistivity) as a function of \( AF_{\text{XPS}} \).](image2)
ionized and neutral impurity scattering, etc.\textsuperscript{57} The intra-grain resistivity can be defined as

\[
\rho_{\text{intra-grain}} = \left( e \times n \times \mu_{\text{opt}} \right)^{-1},
\]

where \( e \), \( n \), and \( \mu_{\text{opt}} \) are the elementary charge, carrier density, and optical mobility, respectively. Both the optically determined intra-grain resistivity and the electrically determined effective resistivity (measured by FPP) are plotted in Fig. 6(b). The difference between both curves was ascribed to scattering at grain boundaries. In the FPP series of the effective resistivity, the resistivity was improved from 9.8 m\( \Omega \) cm for intrinsic ZnO to an optimum of 2.2 m\( \Omega \) cm at \( AF_{\text{XPS}} = 6.9\% \). The optically determined intra-grain resistivity also shows an optimum value at the same value of \( AF_{\text{XPS}} \). Thus, the AZO series can be classified into two regions: region I with samples 01–06, and region II with samples 08–11, and with sample 07 just on the borderline, as denoted in Figs. 6(a) and 6(b). In Fig. 6(a), the carrier density increases significantly with the addition of Al doping in region I, since Al species in the form of Al\(_{\text{Zn}}^{+}\) increases significantly with the addition of Al doping in Figs. 6(a) and 6(b). In Fig. 7, since Al-doping mainly occurs at the interface of the ZnO and AlO\(_ x \) layers, Al\(_{\text{Zn}}^{+}\) creates an effective electric field at the position of the AlO\(_ x \) layers. At high values of \( AF_{\text{XPS}} \), more AlO\(_ x \) layers are deposited within the 40 nm AZO films such that the interspacing of adjacent AlO\(_ x \) layers becomes smaller. When the interspacing is larger than a critical value (\( l > l_c \)), as shown in Fig. 7(a), the effective electric fields from adjacent AlO\(_ x \) layers do not overlap each other, and Al doping is relatively efficient. Therefore, the carrier density shows a significant increase with higher Al doping at region I. When \( l < l_c \), as shown in Fig. 7(c), the effective electric fields overlap each other. According to Lee’s model, this overlap can inhibit further Al-doping by the repulsion between adjacent electrons or charged donors.\textsuperscript{42} Therefore, in region II, the addition of Al atoms no longer contributes to the carrier density effectively. On the basis of the different trends of carrier density between regions I and II, the critical interspacing can be defined as the value of sample 07 (\( l_c = 3.8 \) nm), as shown in Fig. 7(b). Moreover, the optical mobility decreases gradually with additional doping in the entire Al-doping range, as shown in Fig. 6(a). As discussed before, effective Al\(_{\text{Zn}}^{+}\) dopants act as ionized impurities, while the rest of the Al atoms in ZnO lattice form neutral impurities.\textsuperscript{58} Both types of Al species are point defects and will lead to the scattering of free carriers during the electrical transport within ZnO grains.\textsuperscript{57}

Next, in order to study the role of the Al species at the grain boundaries, the effective mobility and the grain boundary mobility can be calculated from Matthiessen’s rule by

\[
\rho_{\text{eff}} = \left( e \times n \times \mu_{\text{eff}} \right)^{-1},
\]

\[
\mu_{\text{eff}} = \frac{1}{\mu_{\text{intra-grain}}} + \frac{1}{\mu_{\text{GB}}}.
\]

where \( \rho_{\text{eff}} \) is the effective resistivity measured by FPP, \( e \) is the elementary charge, \( n \) is the carrier density derived from optical analysis, and \( \mu_{\text{eff}}, \mu_{\text{intra-grain}}, \mu_{\text{GB}} \) are the effective, intra-grain mobility and grain boundary mobility, respectively. As plotted in Fig. 8(a), with increasing \( AF_{\text{XPS}} \), \( \mu_{\text{GB}} \) increases slightly in region I while decreasing strongly in region II. Such a phenomenon can be explained according to the band diagram at grain boundaries, as presented in Fig. 8(b).\textsuperscript{57} In AZO films, defects at grain boundaries are charged by

\[
\text{Fermi level; and } W : \text{energy level of the conduction band tail.}
\]
FIG. 9. Al doping efficiency as a function of aluminum fraction (\(AF_{XPS}\)). The dashed curve is a guide to the eye.

electrons, leading to trapping states and barriers at grain boundaries. The electron transport through grain boundaries can then be described by the classical thermionic emission and quantum-mechanical tunneling.\(^{57}\) In region I, the increased carrier density will lead to a shift of the Fermi level to a higher energy level. Therefore, the effective barrier height and width become smaller, and consequently, the grain boundary mobility will increase at higher \(AF_{XPS}\). In region II, the interspacing between the adjacent AlO\(_x\) layers becomes smaller than the critical value (\(l < l_c\)), and the scattering at the interface of the ZnO layers and AlO\(_x\) layers becomes dominant. Such a scattering limits the mean free path of free electrons during the electrical transport. Meanwhile, as discussed before, AlO\(_x\) interrupts the nucleation and growth of ZnO grains during deposition. At high \(AF_{XPS}\), the closely spaced AlO\(_x\) layers result in smaller ZnO grain sizes, and more grain boundaries between ZnO gains. As a result, the grain boundary mobility decreases significantly at higher \(AF_{XPS}\) in region II.

The Al doping efficiency \(\eta\) of Al is the fraction of Al atoms, which contribute to the carrier density by the following mechanism:

\[
Al_{Zn}^+ \rightarrow Al_{Zn}^+ + e^-.
\]

The doping efficiency can be calculated by the following equation:

\[
\eta = \frac{n - n_0}{N_{Zn} \times AF_{XPS}} \times 100\%.
\]

In Eq. (7), \(n\) and \(n_0\) are the carrier density of AZO and intrinsic ZnO, respectively. \(AF_{XPS}\) is the aluminum fraction as measured by XPS, and \(N_{Zn}\) is the atomic density of Zn. Therefore, the product of \(N_{Zn}\) and \(AF_{XPS}\) yields the atomic density of Al. By Rutherford backscattering spectroscopy (RBS), \(N_{Zn}\) for intrinsic ZnO was measured to be \(4.0 \times 10^{22}\) cm\(^{-3}\). The physical meaning of the doping efficiency is the percentage of Al atoms, which effectively donate free electrons to the AZO films. The calculated result is plotted in Fig. 9. As can be seen, \(\eta\) is less than 10% for the entire AZO series. As estimated by RBS, the average distance between adjacent Al atoms within the same AlO\(_x\) layer was around 0.5–1 nm. As illustrated in Fig. 7(a), the overlapping of the effective electric field in the same AlO\(_x\) layer inhibits the release of free electrons. Therefore, the number of effective Al donors was limited (\(\eta < 10\%\)). Moreover, in region I, \(\eta\) was relatively constant, varying from 7% to 10%, implying that the effective electric field from AlO\(_x\) layers in the growth direction does not inhibit the release of free electrons from Al\(_{Zn}^+\) when the interspacing \(l\) is large enough. In region II, as explained before, \(\eta\) decreases monotonically with increasing \(AF_{XPS}\) due to the overlapping effective electric field from the AlO\(_x\) layers.

As is common for AZO thin films, the optical band gaps of the films were derived from the so-called Tauc plots, as depicted in Fig. 10(a), which shows \((\epsilon_2 E^2)^2\) as a function of photon energy for the AZO film series. \(\epsilon_2\) is the imaginary part of the dielectric function \(\epsilon_2(\omega)\), which was extracted from the SE data in the range of 1.2–6.5 eV. \(E\) is the photon energy. Since ZnO has a direct band-gap, the optical band gap or Tauc gap \((E_g)\) is defined as the photon energy, where the extrapolation of the linear part of \((\epsilon_2 E^2)^2\) vs. \(E\) intersects the horizontal axis.\(^{59}\) The resulting \(E_g\) values for each \(AF_{XPS}\)

FIG. 10. (a) Tauc plots of \((\epsilon_2 E^2)^2\) vs. photon energy for AZO films with different aluminum fractions (\(AF_{XPS}\)) to extract the optical band gap values \((E_g)\); (b) Comparison of the shifts of optical band gap \((E_g)\), the Fermi level \((E_F-E_g)\), binding energy of Zn 2p\(_{3/2}\) as a function of \(AF_{XPS}\). Note that the absolute values of these three parameters are different while the scale intervals are equal (0.1 eV per division).
are listed in the legend of Fig. 10(a). Note that the value of $v_2$ can also be affected by exciton absorption in ZnO, which might slightly affect the resulting $E_g$ values.

The increase of $E_g$ for higher $AF_{XPS}$ values as observed in Fig. 10(a) is mainly due to the shift of the Fermi level, according to the Burstein-Moss effect. For a more detailed comparison, the shift of the Fermi level was evaluated on the basis of the carrier density. Given that $i$-ZnO and AZO are degenerate semiconductors, the following equations apply:

$$n = \int_{E_F}^{\infty} D_C(E)f(E,T)dE,$$

$$D_C(E) = \frac{(2m^*)^{3/2}}{2\pi^2\hbar^3} \sqrt{E - E_F},$$

$$f(E,T) \approx \frac{1}{\exp[(E - E_F)/k_BT] + 1},$$

where $n$ is the electron density, and $D_C(E)$ and $f(E,T)$ stand for the density of states in the conduction band and the Fermi distribution function at an energy $E$, respectively. $T$ is the temperature (300 K in the present case), $E_F$ is the energy level of the conduction band tail, $m^*$ is the effective electron mass, which is assumed to be 0.40 $m_e$, and $\hbar$ and $k_B$ are the Dirac constant and Boltzmann constant, respectively. From Eqs. (8) to (10), the relationship between the carrier density and the height of the Fermi level can be obtained. Hence, the position of the Fermi level related to the conduction band tail ($E_F - E_c$) can be calculated as a function of the carrier density. The resulting plot is presented in Fig. 10(b).

The optical band gap ($E_g$), and the Fermi level ($E_F - E_C$), and the binding energy of Zn 2p3/2 (averaged over the film thickness, see in Fig. 5) are compared in Fig. 10(b). All three curves show a monotonic increase with increasing $AF_{XPS}$. As explained before, the shifts of $E_g$ and the binding energy were both attributed to the increase of the Fermi level. Consequently, in principle, all of these three parameters should shift with the same trend and within the same order of magnitude with the carrier density. As presented in Fig. 10(b), the difference between $i$-ZnO and the highest doped ZnO ($AF_{XPS} = 13.6\%$) was 0.48 eV for $E_g$, 0.33 eV for $E_F$, and 0.44 eV for the binding energy. This supports therefore the explanation that the shift of $E_g$ with carrier density is the main reason for the increase of $E_g$ and the binding energy. However, the shift of $E_g$ ($\Delta E_g = 0.48$ eV) is larger than that of $E_F$ ($\Delta E_F = 0.33$ eV). The difference can be qualitatively explained by the existence of the AlO$_x$ layers within the ZnO. Since amorphous Al$_2$O$_3$ films have a larger $E_g$ ($E_g = 6–7$ eV (Ref. 62)) than ZnO, the AlO$_x$ layers in the AZO films might cause an additional increase of the $E_g$ of the entire film. The binding energy also has a larger shift ($\Delta E_B = 0.44$ eV) than $E_F$. As discussed before, the delocalization of the core electrons from Zn may contribute a higher binding energy of Zn 2p3/2, in the order of 0.1 eV.

IV. CONCLUSION

In this work, we have studied the structural, electrical, and optical properties of AZO films with various doping levels as deposited by ALD. The resistivity of the films improved from 9.6 m$\Omega$ cm for intrinsic ZnO to an optimum of 2.4 m$\Omega$ cm for Al-doped ZnO with an Al fraction of 6.9%. By depth-profiling XPS, a nanolaminate structure of AlO$_x$/ZnO layers could be resolved for Al doping levels up to 5.9%. A nanolaminate structure was also observed by cross-sectional TEM. The AlO$_x$ layers cause interface scattering during the electrical transport in ZnO layers. This effect is the main reason for the reduced mobility at grain boundaries at high doping level. At high doping levels, the carrier density also shows a soft saturation. We postulate that the effective electric field generated by Al$_2$O$_3$+ centres limits the doping efficiency when the interspacing of the adjacent AlO$_x$ layers becomes smaller at higher doping levels. A blue shift of the optical band-gap ($\Delta E_g = 0.48$ eV) was observed, and shown to be consistent with the shifts of both the Fermi level and the binding energy of Zn 2p3/2 photoelectrons.

ACKNOWLEDGMENTS

The authors thank Dr. M. Blauw (Holst Centre) for his help in the Hall measurements. W. Keuning and Dr. T. Fernández Landaluce are acknowledged for doing the XPS measurements and related analysis. S. Smit is thanked for his contribution to the theoretical calculation of the Fermi level. The financial support by IMEC-NL within the Holst Centre in Eindhoven, The Netherlands, is gratefully acknowledged.
