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Scale-up of Microwave Assisted Flow Synthesis by Transient Processing through Monomode Cavities in Series

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ABSTRACT: A new scale-up concept for microwave assisted flow processing is presented where modular scale-up is achieved by implementing microwave cavities in series. The scale-up concept is demonstrated for case studies of a packed-bed reactor and a wall-coated tubular reactor. With known kinetics and reaction temperature, a packed-bed reactor gave a conversion of 99% with the highest production rate of 170 kg_{prod}/kg_{cat}·h for esterification of acetic acid and ethanol catalyzed by ion-exchange resin in 18 cavities. A similar approach for a multicomponent reaction of benzaldehyde, piperidine, and phenylacetylene catalyzed by a thin Cu film in a wall-coated tubular reactor gave 99% conversion with the highest production rate of 7740 kg_{prod}/kg_{cat}·h in 28 cavities. In both cases, the pseudo first order reaction rate with respect to the limiting reactant yielded a typical rise in conversion and production rate. In a packed-bed reactor-heat exchanger operated at a temperature between 343 and 348 K, the conversion in the esterification reaction increased from 22% to 88% when the number of cavities was increased from one to eight. The experimental conversions matched the predictions of a packed bed reactor model within 5%. The production capacity in flow reactors, restricted to smaller sizes due to a limited microwave penetration depth and dominated mainly by the reaction kinetics, was increased by modular scale-up with implementation of the microwave multicavity assembly.

INTRODUCTION

Since the last two decades microwave heating has been studied as a promising tool for process intensification.^{1–4} The possibility of heating at the locus of the reaction, i.e., the catalyst surface, makes it a special intensification tool.^{5,6} Direct heating of the catalyst surface, where the reaction occurs, allows higher reaction rates at lower bulk liquid temperatures. This avoids not only large energy input but also excessive heating of the reactants which are sometimes lost due to coke formation.^{7,8} The inherently safe (immediate shut down of the energy supply) and efficient nature of the operation makes microwave heating attractive for industrial application.^{9,10} However, efficient microwave heating is severely limited by the penetration depth of the microwaves.¹¹ The penetration depth, being typically in the millimeter range (e.g., 13 mm for water), limits uniform heating of the entire reaction mixture. This unpredictable nature of heating makes application for large-scale batch processes difficult.

One of the options is to switch from batch operation to flow processing at the early stages in process development. However, replicating standardized batch procedures in continuous reactors not only leads to questionable under-performance but also jeopardizes the application of microwave heating in general.^{12–16} The idea of scaling very small batch volumes (5 mL) by replication in flow reactors (liters per min) almost never works.^{17,18} The reasons are simple. First, microwave heating is selective in nature, and often this characteristic is not explicitly understood for most of the case studies. Second, in almost all of the case studies, the process is initially developed in monomode type microwave cavities and then transferred to larger multimode microwave cavities for

scaling up. However, our previous studies suggest that process performance is strongly dependent on the microwave equipment type. As a consequence, a specific microwave integrated reactor design is necessary for optimal performance.^{9,19}

One of the suitable approaches for scaling microwave assisted flow processing is numbering-up.^{20,21} Scaling up by numbering-up can be achieved by parallelization of tubular structured reactors with a channel diameter in the millimeter range. Multiple (parallelized) smaller reactors ensure high throughput while taking the penetration depth limitation into account. The throughput in the case of flow processing, however, is controlled by the kinetics of the conducted chemical reaction. More specifically, the kinetics of the reaction defines the flow rates through reactors needed for characterizing and optimizing the reactor performance at measurable conversions. This ultimately controls the production rate of a specific reactor type and hence the throughput of the process. In our previous work, we have already shown that, once optimized, the flow reactor concept can be scaled out by parallelization, leading to an increased throughput.²¹

Another possibility for scale-up is numbering-up microwave cavities in series.²² This approach, particularly with applicator type monomode cavities, permits optimization of the energy use in an individual cavity.⁵ Once optimized, the microwave cavity and the flow reactor can be placed in series to increase the productivity of the process. Additional improvement in the

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overall energy efficiency is obvious by using a single main waveguide (single magnetron), thus minimizing the multiple grid to applicator losses (conversion of electrical power into microwave power).

In this article, the above-mentioned concept of modular scale-up is presented where energy utilization and reactor performance are optimized at a cavity level and then scaled out by transient operation through cavities in series. The productivity increase with each additional cavity in series is determined for two different types of reactors, i.e., a packed-bed reactor and a wall-coated reactor. Two different chemical processes, an esterification of acetic acid and ethanol catalyzed by ion-exchange resin (in a packed-bed reactor) and a multicomponent reaction of benzaldehyde, piperidine, and phenylacetylene catalyzed by a thin Cu film (in a wall-coated tubular reactor) with previously quantified kinetics,^{5,14} are used for the estimation of the productivity. The theoretically predicted productivities are then validated for the former reaction in the case of a packed-bed reactor.

RESULTS AND DISCUSSION

An increase in production rate with each consecutive cavity was predicted for two cases, i.e., using a packed-bed reactor and using a wall-coated tubular reactor. The validation experiments were performed only for the esterification reaction case using a packed-bed reactor. The following subsections deal with a discussion on the obtained results in detail.

Packed-Bed Reactor. Conversion of acetic acid (limiting reactant) for the esterification reaction was calculated with the kinetic parameters (Table 1) and the reaction temperature of 348 K by using eq 7 (see section on Theoretical Determination of Productivity). Figure 1 (solid line) shows the dependence of

Figure 1. Predicted conversion of acetic acid (solid line) and production rate of ethyl acetate (dotted line) for the esterification reaction (Scheme 1) in a packed-bed reactor as a function of the number of cavities.

conversion on the number of individual cavities employed in series. The production rate of ethyl acetate (Figure 1, dotted line) was calculated from conversion using eq 11 (see section on Theoretical Determination of Productivity) assuming an equal amount of catalyst in each cavity (i.e., $W = 3 \times 10^{-4}$ kg).

A steep increase of the conversion as well as the production rate was observed for $0 < N < 8$ which later on flattened out for $N \geq 8$. This is typical for a pseudo-first-order dependency of the reaction rate on the concentration of limiting reactant (acetic acid). Theoretically, at around 18 cavities, the conversion reached almost 99%, and the production rate at this conversion extrapolated to around 170 kg_{prod}/kg_{cat}·h.

Wall-Coated Tubular Reactor. Similarly, conversion of phenylacetylene for the multicomponent reaction (Scheme 2) was calculated using the known kinetic parameters (Table 2) and the catalyst surface temperature of 477.3 K, through eq 10 (see section on Theoretical Determination of Productivity). Figure 2 shows the dependence of the conversion on the

Figure 2. Predicted conversion of phenylacetylene (solid line) and the production rate of 1,3-diphenyl-2-propynyl piperidine (dotted) for the multi component reaction (Scheme 2) in a wall-coated tubular reactor as a function of the number of cavities.

number of cavities employed in series. The production rate was calculated by eq 11 (see section on Theoretical Determination of Productivity) based on the known catalyst amount needed per reactor (i.e., $W = 2 \times 10^{-6}$ kg/reactor tube with six reactor tubes in parallel) in each cavity (Figure 2, dotted line). Similar to the packed-bed reactor case, the multicomponent reaction is first order with respect to phenylacetylene in the wall-coated tubular reactor. Therefore, both the conversion as well as the production rate were seen to be increasing steeply at the beginning ($0 < N < 10$) and then both flattened out in the latter part for $N \geq 10$.

At around 28 theoretical cavities, the conversion reached almost 99%, and the production rate at this conversion extrapolated to around 7740 kg_{prod}/kg_{cat}·h. The production rate obtained for the wall-coated tubular reactor at a lower reaction mixture flow rate was a factor of 50 higher than the production rate obtained in the case of a packed-bed reactor for the esterification reaction. It should be noted that the flow rate of the multicomponent reaction mixture in the wall-coated reactor (1.67×10^{-7} m³/s) was a factor of 10 lower than for the esterification reaction in the packed-bed reactor (1.67×10^{-6} m³/s). This is majorly due to selective heating of the catalyst film which permits conversion calculation at high temperatures (100 K higher than the bulk) otherwise impossible to achieve with bulk liquid heating.²² Thus, catalyst activity increased by selective heating is a key issue for process intensification by using microwaves and milli-reactors.

It is important to note here that in both cases, i.e., a packed bed reactor and a wall-coated reactor, the theoretical conversion of 90% is achieved in 8 and 13 cavities in series, respectively. These are less than half the number of cavities needed to reach 99% conversion in both cases. Thus, it is more practical to exploit another ways such as increasing reactant concentration or reaction temperature to reach complete conversion. The approach of modular scale-up, i.e., utilization of cavities in series and independent operation through each consecutive cavity, provides such a possibility, while the approach of recycling²³ having a conceptual similarity of

conversion enhancement cannot provide such as a possibility. This particular feature makes the concept of modular scale-up more attractive.

Experimental Validation for the Packed-Bed Reactor.

Next, the conversion and, consequently, the production rate were validated in the multicavity experiments for the case of the esterification reaction in a packed-bed reactor, i.e., reaction of acetic acid with excess of ethanol over a packed-bed of strong acid ion-exchange resin (Scheme 1). Figure 3 shows the steady

Figure 3. (a) Temperature–time history of a packed-bed reactor-heat exchanger assembly (□: reactant inlet temperature, ☆: reactant outlet temperature, △: coolant inlet temperature, ▽: coolant outlet temperature, ◇: applied microwave power). (b) Conversion of acetic acid over time. Horizontal lines in both graphs demonstrate a steady state. Flow rates of the reaction mixture and the coolant were 1.67×10^{-6} and $0.835 \times 10^{-6} \text{ m}^3/\text{s}$, respectively. RM: reaction mixture; C: coolant.

state temperature and the steady state conversion obtained in each consecutive cavity. The reaction mixture temperature was maintained between 343 and 348 K at an average applied microwave power of 32 W in each cavity (Figure 3). The steady state conversion increased logarithmically from 22% in the first cavity to 65% in the fourth cavity. The steady state conversions of 38% and 55% were obtained in the second and the third cavity, respectively.

Each time when the product stream of a stabilized cavity was connected as feed to the next cavity in series, the approach to a new steady state was longer. Similar to the conversion, the time required to reach a steady state increased linearly from 300 s in the first cavity to 1200 s in the fourth cavity. The time required to reach a steady state was 600 and 900 s for cavity 2 and cavity 3, respectively. This was mainly due to shutdown of the setup

(i.e., pumps and microwave power) before the product stream of the stabilized cavity was connected as feed stream to the next cavity in series. Thus, the time required to reach a steady state, although constant, added up linearly with each consecutive cavity. The thermal heat flux to the surrounding coolant flow amounted up to 20% of the total energy input to the packed-bed reactor-heat exchanger assembly.

To see the influence of additional cavities in a series (from five to eight), the next set of experiments began with a reaction mixture composition corresponding to 65% conversion. Similar to previous observations, the reaction mixture temperature was maintained between 343 and 348 K at an average applied microwave power of 32 W in each cavity (Figure 4). However,

Figure 4. (a) Temperature–time history of a packed-bed reactor-heat exchanger assembly (□: reactant inlet temperature, ☆: reactant outlet temperature, △: coolant inlet temperature, ▽: coolant outlet temperature, ◇: applied microwave power). (b) Conversion of acetic acid over time. Horizontal lines in both the graphs demonstrate steady state. Flow rates of the reaction mixture and the coolant were 1.67×10^{-6} and $0.835 \times 10^{-6} \text{ m}^3/\text{s}$, respectively. RM: reaction mixture; C: coolant.

the increase of the steady state conversion with stepwise addition of cavities in series was lower in this case (Figure 4). It increased from 75% in the fifth cavity to 88% in the eighth cavity. The steady state conversions of 80% and 84% were obtained in the sixth and the seventh cavities, respectively. The production rate was then calculated for the obtained steady state conversion in each cavity by using eq 11 (see section on Theoretical Determination of Productivity). Finally, the experimental results for the conversion as well as for the production rate were compared with the predictions (Figure 5).

The length of the reactor (l) and weight of the catalyst (W) increased with each subsequent cavity in the series. The influence of increased reactor length and the catalyst weight, however, vanishes in the calculations of the volumetric reaction rate constant (k^V , eq 3). Therefore, the conversion and, consequently, the productivity increase could be mapped with each additional cavity in series for a packed-bed reactor by using the following equation:

$$X = \left\{ 1 - \left[\exp \left(\frac{k_0 e^{-E_a/RT} V_R W}{V_{cat} F_V} N \right) \right]^{-1} \right\} \times 100\% \quad (7)$$

where N is the number of cavities in series. Note that, for an equally sized reactor, $V_R W / V_{cat} F_V$ is the same for each cavity–reactor combination. The kinetic experiments to determine the activation energy (E_a) and the frequency factor (k_0) are reported in our earlier publication.⁹

The values of parameters in eq 7, collected in Table 1, with an applied reaction temperature of 348 K are used to calculate the conversion (X) as a function of the number of cavities (N).

Table 1. Values of the parameters in eq 7 used for the calculation of the conversion and the production rate

parameter	value
k_0	$2.65 \times 10^5 \text{ s}^{-1}$
E_a	$50.5 \times 10^3 \text{ J/mol}$
r	$1.5 \times 10^{-3} \text{ m}$
l	$75 \times 10^{-3} \text{ m}$
W	$3 \times 10^{-4} \text{ kg}$
ρ_{cat}	770 kg/m^3
F_V	$1.67 \times 10^{-6} \text{ m}^3/\text{s}$
T	348 K

Multicomponent Reaction in a Wall-Coated Tubular Reactor. The multicomponent reaction of benzaldehyde, piperidine, and phenylacetylene to produce 1,3-diphenyl-2-propynyl piperidine over a thin Cu film (Scheme 2) was used as the model reaction in the tubular reactor. The productivity increase in the wall-coated reactor with each consecutive cavity is predicted through known reaction kinetics and its dependence on the reactor length as well as the reaction temperature. Since the catalyst in this case is Cu deposited on the inner tube wall, the differential form of the plug flow reactor equation modified for the surface reaction (eq 8) is used.

$$-F_V dC_A = k' a_{Cu} C_A dx \quad (8)$$

Solution of eq 8 for the surface reaction on the wall of a tubular reactor gives²²

$$\ln \left(\frac{1}{1-X} \right) = \frac{k_0 e^{-E_a/RT}}{(1-\epsilon)} \frac{d_p a_{film} \pi d_R \delta l}{6 F_V} \quad (9)$$

The only parameter that changes with each consecutive cavity in this case is the reactor length (l). Therefore, the conversion and, consequently, the productivity are mapped with each additional cavity in series for a wall-coated reactor by using eq 10:

$$X = \left\{ 1 - \left[\exp \left(N \frac{k_0 e^{-E_a/RT}}{(1-\epsilon)} \frac{d_p a_{film} \pi d_R \delta l}{6 F_V} \right) \right]^{-1} \right\} \quad (10)$$

where N is the number of cavities in series. The kinetic experiments to determine the activation energy (E_a) and the frequency factor (k_0) are reported in our earlier publication.²²

The values of the parameters in eq 10, collected in Table 2, are used together with an applied catalyst surface temperature of 477.3 K for calculating the conversion (X) as a function of the number of cavities (N).²²

Table 2. Values of the parameters in eq 10 used for the calculation of the conversion and the production rate

parameter	value
k_0	$1.42 \times 10^3 \text{ s}^{-1}$
E_a	$51.7 \times 10^3 \text{ J/mol}$
$(1-\epsilon)$	$0.07 \text{ m}^3_{cat}/\text{m}^3_R$
d_p	$5 \times 10^{-5} \text{ m}$
a_{film}	$8.92 \times 10^6 \text{ m}^2_{cat}/\text{m}^3_{cat}$
d_R	$2 \times 10^{-3} \text{ m}$
δ	$3.5 \times 10^{-7} \text{ m}$
l	$75 \times 10^{-3} \text{ m}$
F_V	$1.7 \times 10^{-9} \text{ m}^3/\text{s}$
T	477.3 K

For both case studies, i.e., for the packed-bed reactor and the wall-coated tubular reactor, the conversion is translated into the production rate; see eq 11.

$$P = \frac{X C_A F_V M W}{W} \times 3600 \quad (11)$$

EXPERIMENTAL SECTION

Predictions of conversion and production rate with each consecutive cavity were validated for the ethyl acetate formation in a packed-bed reactor (Scheme 1). Figure 6 schematically illustrates the packed-bed reactor–heat exchanger assembly. The liquids, i.e., the reaction mixture and the coolant (toluene, anhydrous, 99.8 wt %, Sigma-Aldrich), enter the microwave cavity first while flowing through the integrated

Scheme 2. Multicomponent reaction of benzaldehyde, piperidine, and phenylacetylene over a Cu thin film to produce 1,3-diphenyl-2-propynyl piperidine

Figure 6. Schematic view of the packed-bed reactor-heat exchanger assembly used in the flow experiments of the esterification reaction. Red lines signify the microwave field pattern over the assembly.

Figure 7. Schematic view of the microwave setup with an electric field pattern (red lines) in the setup. Arrows signify flow of energy (purple), signals (green), and liquids (blue), and movement of stub tuner, short circuit (grey). Actual load (reactor) opening diameter: 1.4×10^{-2} m. Manufacturer: Fricke and Mallah GmbH, Germany.

reactor-heat exchanger. Here, the reaction mixture is heated directly by the microwaves, and the excess of the microwave energy is transferred to the coolant by convective heat transfer. Co-current flow of the microwave transparent coolant is used to avoid overheating of the reaction mixture and to minimize the heat loss to the exterior of the microwave cavity by providing a heated jacket. In our earlier publication, optimization of the reactor-heat exchanger size and the length of the catalyst bed are discussed.⁹

Equipment. Microwave Setup. The microwave setup consists of a single mode microwave waveguide operating at a frequency of 2.45 GHz with adjustable power settings up to 2 kW (Figure 7). Four parallel cavities are coaxially coupled with the main waveguide at equal distances to extract the same amount of microwave energy in each of the cavities. The concept of using a main waveguide with a single magnetron is to minimize the grid to applicator losses (conversion of electrical power into microwave power), while providing the possibility of a modular scale-up.²⁴ Focusing of the resonating microwaves in the cavity as well as in the main waveguide is possible over the inserted reactor (packed-bed in this case, see Figure 6) by tuning the cavity with the help of short circuit and 3 stub tuner (Figure 7). Focusing of the microwaves allows getting the microwave field maxima on the reactor assembly as shown schematically in Figure 6. Focusing assures maximum microwave absorption by the reactor assembly and minimum losses to the dead load at the isolator of the cavity. The reflected power is recorded by using a detector diode on an isolator. This allowed calculation of the microwave power

available in the cavity with an accuracy of 90%. Process control and data acquisition were performed via the LABVIEW program.

Pumps. Two Gilson HPLC pumps (flow range: 8.33×10^{-9} to 2.5×10^{-6} m³/s) were used to supply the reaction mixture and the coolant to the inner (reactor) tube and to the shell, of the reactor-heat exchanger assembly, respectively.

EXPERIMENTAL PROCEDURES

The heterogeneously catalyzed esterification (Scheme 1) of acetic acid (99.8%, Sigma-Aldrich) and ethanol (99.8%, Sigma-Aldrich) is conducted in a packed-bed reactor-heat exchanger assembly (Figure 6). 5-Fold excess of ethanol is used in order to increase the conversion for this equilibrium-limited reaction and to maintain a pseudo first-order reaction rate with respect to the acetic acid concentration. The reaction mixture and coolant are pumped through the assembly at the flow rates of 1.67×10^{-6} m³/s and 8.35×10^{-7} m³/s, respectively. The catalyst, a strong acid ion-exchange resin (CT 275, Purolite) with an average particle diameter of 7.5×10^{-4} m, is dried for 2 days at room temperature before use. The catalyst bed is packed over a predefined length of 7.5×10^{-2} m (Figure 6). The reaction mixture temperature is maintained between 343 and 348 K by tuning each cavity independently. Tuning of the cavity allowed controlling the amount of microwave power supplies to each cavity and, consequently, permitted control over the reaction mixture temperature. The unique feature of independent tuning of each cavity is possible due to our novel microwave setup design.

The reaction mixture, flowing through the microwave cavity, gets heated to the desired reaction temperature and entered the catalyst bed for actual reaction before leaving the system towards the collection vessel. Samples are taken over time (every 5 min) and analyzed by gas chromatography (GC) to determine the conversion. The samples for GC analysis are diluted with methyl isobutyl ketone (99.8%, Sigma-Aldrich) at a dilution weight ratio of 1:10. Lowering the original concentration of the reaction components allowed precise determination of the concentrations. From the GC results, the conversion of acetic acid, the limiting reactant, is calculated over time. In multicavity experiments, the consecutive cavity is added only after achieving both steady state temperatures and conversion in the previous cavity. Thus, the experiment is started at the first cavity where a steady state is meanwhile achieved and then the outlets of the cavity are connected to the inlets of the second cavity. In a similar manner after achieving a steady state in second cavity, the outlets are connected to the third and then to the fourth cavity.

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Notes

The authors declare no competing financial interest.

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DEDICATION

This paper is dedicated to the remembrance of one of the coauthors, Prof. Dr. L.A. (Bert) Hulshof, who passed away recently. In his work at DSM and at Eindhoven University of Technology, Professor Bert Hulshof made many original and creative contributions to the field of Fine Chemical Process Development. In his work Bert very successfully and systematically combined complex organic chemistry, catalysis and process technology. His systematic approach paved the way for efficient industrial scale production of many complex organic products. Bert's work on the application of microwave technology in chemical synthesis is groundbreaking. In this field he was a true pioneer.

NOTATIONS

Symbol	Description
a_{film}	surface area per unit volume of the copper film, $\text{m}^2_{\text{cat}}/\text{m}^3_{\text{cat}}$
a_{Cu}	surface area per unit reactor length of the copper film, $\text{m}^2_{\text{cat}}/\text{m}_R$
C_A	instantaneous concentration of limiting component A, mol/m^3_R
d_p	particle diameter of the powdered copper catalyst, m_{cat}
d_R	reactor tube diameter, m_R
E_a	activation energy, J/mol
F_V	volumetric flow rate, m^3/s
k_{obs}	observed reaction rate constant, $\text{m}^3_{\text{cat}}/\text{m}^3_R \cdot \text{s}$
k^V	volumetric reaction rate constant, $1/\text{s}$
k'	surface reaction rate constant, $(\text{m}^3_R/\text{m}^2_{\text{cat}} \cdot \text{s})$
k_0	pre-exponential factor in Arrhenius equation, (s^{-1})

l	reactor length, m_R
MW	molecular weight, g/mol
N	number of cavities
P	production rate, $\text{kg}_{\text{prod}}/\text{kg}_{\text{cat}} \cdot \text{h}$
R	gas constant, $8.314 \text{ J}/\text{mol} \cdot \text{K}$
r	radius of the reactor, m_R
r_A	reaction rate, $\text{mol}/\text{m}^3_R \cdot \text{s}$
T	temperature, K
V_{cat}	catalyst volume, m^3_{cat}
V_R	reactor volume, m^3_R
W	catalyst weight, kg_{cat}
x	differential reactor length, m_R
X	conversion of phenylacetylene

Greek Symbols

$1 - \epsilon$	catalyst volume fraction
δ	catalyst film thickness, m
ρ_{cat}	catalyst density, kg/m^3

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