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On the Potential of Lifted Domain Feedforward Controllers with a Periodic Sampling Sequence

Jurgen van Zundert, Tom Oomen, Dip Goswami, and W.P.M.H. Heemels - ACC2016_v99(31/05/2018)

Abstract—Motion control applications traditionally operate with a single-rate, equidistant sampling scheme. For cost reasons, a current trend in industry is consolidating multiple applications on a single embedded platform. Generally, to deal with inter-application interference, a predictable scheduling policy allocates resource to the applications in these platforms. Realizing an equidistant sampling scheme on such shared platform is inflexible and often turns out to be expensive in terms of resource or conservative in terms of performance. The aim of this paper is to investigate the possibilities to relax the equidistant sampling convention. To this end, recent results show that platform timing properties can be represented by a known, precise, and periodically varying set of sampling periods. In view of such predictable platforms, a framework is presented for analysis and synthesis of lifted domain feedforward controllers for periodically time-varying closed-loop systems. Through simulations the potential of such periodically time-varying sampling over conservative equidistant sampling schemes is demonstrated.

I. INTRODUCTION

Traditional motion controllers are often designed and implemented using a single sampling frequency under equidistant sampling, either in a continuous time with a posterior discretization, a discrete time, or a sampled-data setting [5]. Hence, it is tacitly assumed that resources (i.e., computation, communication, and memory) are sufficiently available.

In certain applications, increasing performance requirements and enhanced functionality lead to a situation where resources are scarce. To deal with this resource limitation, platforms are commonly shared by multiple applications. For example, visual servoing [4] uses feedback information from visual sensors in motion control, where both image processing and control computation tasks are executed on the same processor. In such shared platforms, a scheduler statically/dynamically decides the availability of a resource to an application, and the order of execution of various tasks or applications. Realizing an equidistant sampling scheme in such shared embedded implementation imposes inflexibility and often leads to unnecessary expensive design solutions.

Recently, a potentially promising embedded platform candidate, Composable and Predictable System on Chip (CompSOC), was introduced [8]. Composability allows for independent development of multiple applications, while predictability provides precise temporal behavior of the platform. The CompSOC platform is suitable for independent development and interference-free execution of (control) applications. In [14], it is shown that a resource efficient implementation of a control algorithm in such composable platform leads to a set of known, precise, and periodically varying sampling periods. Whereas the majority of control design techniques aims at a single sampling frequency, the aim of the present paper is to develop a control design framework that exploits the periodicity knowledge from the platform for analyzing and synthesizing motion controllers. In particular, the focus is on feedforward controllers, since they constitute the largest part of the motion system’s control input [6].

The design of controllers for periodically time-varying systems has been investigated in [1], [18] and has been mainly applied to sampled-data designs with an equidistant sample frequency [2], [5]. These approaches have been further developed towards multi-rate sampling, where different actuator/sensor channels have different rates, see [10], [7], [13] for feedback designs, [11] for motion feedback control, and [17] for multi-rate feedforward design.

Although important developments for periodically time-varying systems have been developed, they are not directly applicable to feedforward design for a periodic sampling sequence. The main contribution of this paper is a framework for the design of feedforward controllers under periodic sampling. This combines the analysis of data-based feedforward design [15], [16], [3] with non-equidistant sampling, where the main technical step involves a specific lifting step.

The outline is as follows. First, the problem and control goal are formulated in section II. The model of the periodically time-varying sampled system is developed in section III. This model is used for feedforward controller design in section IV. In section V, the advantages of describing and controlling the system as a time-varying sampled system instead of a conservative time-invariant sampled system are demonstrated through a simulation example. Finally, conclusions are given in section VI.

Notation Finite dimensional, linear, single-input, single-output, discrete-time systems are considered. Extension to multi-input, multi-output systems is straightforward, since the theory is based on state-space descriptions. Dotted lines indicate a high equidistant sampling rate, dashed lines a low equidistant sampling rate, and dash-dotted lines a time-varying sampling rate. Underlined variables indicate finite-time matrix descriptions. $I_n$ denotes the $n \times n$ identity matrix, $\otimes$ the Kronecker product, and $\odot$ the Hadamard product. The superscript $0$ refers to the base period, subscript $i$ refers to subperiod $\Delta_i$.Van Zundert, Oomen, and Heemels are with the Eindhoven University of Technology, Department of Mechanical Engineering, Control Systems Technology group, The Netherlands. Goswami is with the Eindhoven University of Technology, Department of Electrical Engineering, Electronic Systems group, The Netherlands. E-mail: j.c.d.v.zundert@tue.nl.
II. PROBLEM FORMULATION

In this section, the objective is formulated by defining the periodic sampling sequence, the control configuration, and the control goal.

A. Periodic sampling sequence

In this section, the periodic timing behavior observed in platforms as [8], [14] is described. Such a platform runs under a time division multiplexing (TDM) policy where the TDM wheel of length \( T \) is divided into a fixed number of time slots. Depending on the allocation of slots to the applications, the timing behavior of an application can be abstracted as shown in Fig. 1. The motion control task is only allocated and executed in the purple slots. Other applications run on the cyan slots. The composable nature of the platform allows for independent analysis of the control application.

Assumption 1 is imposed throughout.

**Assumption 1.** In a period \( T \) there are \( n_\Delta \) subperiods of length \( \Delta_i \), \( i = 0, 1 \ldots, n_\Delta - 1 \), which are an integer multiple of base period \( \Delta^0 \), i.e., \( \Delta_i = k_i \Delta^0 \), \( k_i \in \mathbb{N}^+ \).

An example of two periods \( T \) is provided in Fig. 2, with the sampling sequence indicated by

\[
K := [k_0 \ k_1 \ldots \ k_{n_\Delta - 1}].
\]

Note that there is more design freedom with the time-varying sequence (red) than with the conservative equidistant sampling sequence (yellow).

**Remark 2.** Assumption 1 can directly be relaxed at the expense of more involved derivations.

B. Control configuration

The motion system is controlled via the feedback/feedforward control architecture depicted in Fig. 3. The selected configuration is common in motion control, but the results can readily be extended to other configurations. Here, \( P \) is the motion system, and \( C_{FB} \) the feedback controller acting on the error error \( \varepsilon \) between the output \( \psi \) and the reference signal \( \rho \). \( C_{FF} \) is the feedforward controller to be designed, see section II-C.

Including the periodically time-varying sampling into the control diagram of Fig. 3 yields Fig. 4. Here, \( P = DP^0 \mathcal{H} \) is a sampled version of the (linear) plant \( P^0 \) at the base rate \( \Delta^0 \), with \( \mathcal{D} \) and \( \mathcal{H} \) the down- and upsampler, respectively, that are defined in section III-D.

C. Control goal

The control goal is to design the feedforward controller \( C_{FF} \) such that error \( \varepsilon \) is minimized according to a certain performance criterion. To provide a fair comparison, the feedback controller \( C_{FB} \) is designed at a conservative, equidistant sampling rate, see also Fig 2. This is by no means restrictive and can directly be relaxed. The feedforward controller is explicitly designed and implemented at the time-varying rate. To enable a fair comparison, the tracking error \( \varepsilon^0 \) at equidistant rate \( \Delta^0 \) is used. This data is often available off-line and can be used in batch-to-batch feedforward control [9], [3]. The framework can easily be adapted for evaluation of the tracking error at other rates.

With the definition of the periodically time-varying sampling sequence and the control configuration, the main problem can be formulated, see Problem 3.

**Problem 3.** Given the closed-loop configuration in Fig. 4, with stabilizing \( C_{FB} \), and a periodically time-varying sampling sequence (see for example Fig 2), determine the optimal feedforward controller

\[
C_{FF}^{opt} := \arg \min_{C_{FF} \in \mathcal{P}} V^0(C_{FF}),
\]

where

\[
V^0(C_{FF}) = \| \varepsilon^0 \|_{W_e}^2 + \| \varepsilon^0 \|_{W_e}^2,
\]

with \( \| (\cdot) \|_{W_e} = (\cdot)^TW_e(\cdot), W_e > 0, W_e^{-1} = W_e \geq 0 \) and where \( \varepsilon^0, \rho^0 \in \mathbb{R}^{N_\rho}, \mathbb{R}^{N_0} \), are the lifted domain equivalents of \( \varepsilon^0 \) and \( \rho^0 \), respectively.

In section IV, the feedforward class \( \mathcal{P} \) is defined and the optimal feedforward controller \( C_{FF}^{opt} \) is derived. The latter
requires the relation between \( \nu \) and \( \xi^0 \) which is derived next.

III. SYSTEM DESCRIPTION

In this section the time-varying system \( P \) and feedback controller \( C_{FB} \) are described in order to express \( \xi^0 \) in terms of \( \nu \). The design of \( C_{FF} \) is presented separately in section IV. In the following sections, a systematic framework for describing these systems using finite-time descriptions is presented. In succession, the dynamics during a subperiod \( \Delta_i \), during a period \( T \), and during a finite length \( N \) are described. Finally, finite-time descriptions of the down- and upsampler are derived, and the system interconnection is derived, providing the relation between \( \xi^0 \) and \( \nu \).

A. Dynamics during a subperiod

Due to the periodic nature, the system dynamics are identical for every period \( T \). In order to describe the dynamics during a period \( T \), a description of the dynamics during subperiods \( \Delta_i \) is required. In Theorem 4 the dynamics over a subperiod are provided at rate \( \Delta^0 \). In Corollary 5, the equivalent dynamics at rate \( \Delta_i \) are presented.

**Theorem 4.** Let the dynamics of a discrete-time system with equidistant sampling time \( \Delta^0 \) have state-space representation \((A^0, B^0, C^0, D^0)\) and let the sampling periods \( \Delta_i \) satisfy Assumption 1, see also Fig. 5. If a zero-order hold of period \( \Delta_i \) is applied to the input of this system, i.e., \( u^0_i[k+n] = u_i[k] \), \( n = 0, 1, \ldots, k_i-1 \), then the dynamics during the interval \( \Delta_i \) are given by

\[
x_i^0[k+n] = (A^0)^n x_i^0[k] + \sum_{j=0}^{n-1} (A^0)^j B^0 u^0_i[k], \quad n \leq k_i,
\]

\[
y_i^0[k] = C^0 x_i^0[k] + D^0 u^0_i[k].
\]

**Proof.** Follows from successive substitution.

**Corollary 5.** The equivalent dynamics of the system in Theorem 4 for sampling time \( \Delta_i \) has state-space representation

\[
\begin{bmatrix}
A_i & B_i \\
C_i & D_i
\end{bmatrix}
= \begin{bmatrix}
(A^0)^k_i & \sum_{j=0}^{k_i-1} (A^0)^j B^0 \\
C^0 & D^0
\end{bmatrix}.
\]

Corollary 5 shows that downsampling the system of Theorem 4 from sampling time \( \Delta^0 \) to \( \Delta_i \) is equivalent to considering \( n = k_i \) steps as a single step.

B. Dynamics during a period

The dynamics during a subperiod at rate \( \Delta_i \) are described by Corollary 5. By combining the dynamics of the \( n_\Delta \) subperiods, see Fig. 6, the dynamics during a period \( T \) are obtained as provided by Theorem 6. Downsampling this system to rate \( T \) yields a multi-input, multi-output system as shown by Theorem 7.

**Theorem 6.** During period \( T \), consisting of \( n_\Delta \) periods \( \Delta_i \), the dynamics at time-varying rate \( \Delta_i \) evolve according to

\[
x_n = \prod_{j=1}^n A_{n-j} x_0 + \sum_{i=0}^{n-1} \prod_{j=1}^{n-i-1} A_{n-j} B_i u_i,
\]

\[
y_n = C_n x_n + D_n u_n.
\]

with \( \prod_{j=1}^n A_j = I \) for \( n < 1 \).

**Proof.** Follows from successive substitution of the dynamics in (2) according to Fig. 6.

**Theorem 7.** The dynamics of Theorem 6 at non-equidistant rate \( \Delta_i \) have an \( n_\Delta \)-input, \( n_\Delta \)-output equivalent at equidistant rate \( T \) with state-space realization

\[
\begin{bmatrix}
\prod_{j=1}^{n_\Delta} A_j & \prod_{j=1}^{n_\Delta-1} A_j B_0 & \prod_{j=1}^{n_\Delta-2} A_j B_1 & \ldots & B_{n_\Delta-1} \\
C^0 & D^0 & 0 & \ldots & 0 \\
C^0 A_0 & C^0 B_0 & D^0 & \ddots & \vdots \\
\vdots & \vdots & \ddots & \ddots & \vdots \\
C^0 \prod_{j=0}^{n_\Delta-2} A_j & C^0 \sum_{j=1}^{n_\Delta-2} A_j B_0 & \ldots & B_{n_\Delta-2} & D^0
\end{bmatrix},
\]

with state \( x^{n_\Delta} = x_{kn_\Delta} \) (\( k \in \mathbb{N} \)), and input \( u^{n_\Delta} \) and output \( y^{n_\Delta} \) given by

\[
\begin{bmatrix}
u_{kn_\Delta} \\
u_{kn_\Delta+1} \\
\vdots \\
u_{(k+1)n_\Delta-1}
\end{bmatrix},
\]

\[
\begin{bmatrix}
y_{kn_\Delta} \\
y_{kn_\Delta+1} \\
\vdots \\
y_{(k+1)n_\Delta-1}
\end{bmatrix}.
\]

**Proof.** Follows from successive substitution of the relations in Theorem 6.

Since the system is perceived at time-varying rate \( \Delta_i \), Theorem 6 is used for deriving finite-time expressions in section III-C. Theorem 7 is used for feedforward controller design in section IV.
C. Finite-time description of the system

The dynamics over the finite signal length are described using finite-time descriptions. First, finite-time descriptions for LTI systems are recapitulated. Second, finite-time expressions for the LPTV system are derived.

Let the single-rate discrete-time system \( P \in \mathbb{R}_{A,B,C,D} \) be operating over a finite-time interval \([0, N-1]\). Then, the input-to-output behavior is given by
\[
\psi = P \nu,
\]
with Markov parameters \( p_0 = D \) and \( p_i = C A^{i-1} B, i = 1, 2, \ldots, N-1 \). For causal SISO LTI systems, \( P \in \mathbb{R}^{N \times N} \) is a square lower triangular Toeplitz matrix that maps input vector \( \nu = [\nu_0 \nu_1 \nu_2 \ldots \nu_{N-1}]^\top \in \mathbb{R}^N \) to output vector \( \psi = [\psi_0 \psi_1 \psi_2 \ldots \psi_{N-1}]^\top \in \mathbb{R}^N \).

Finite-time descriptions can also be used for LPTV systems. For time-invariant systems, entries in the finite-time description correspond to equidistant points in time. This property is lost for time-varying systems where the entries correspond to non-equidistant points in time determined by the sampling sequence \( \nu \). The finite-time description for the LPTV system of Theorem 6 is provided by Theorem 8.

**Theorem 8.** Given a state space realization \((A^0, B^0, C^0, D^0)\) of the system \( P^0 \), and a periodically time-varying sampling sequence \( \nu_\Delta \) of periods \( T_\Delta \), the finite-time description of \( P \), given the periodically time-varying sampling sequence \( K \), is given by
\[
P = \left[ \begin{array}{cccc}
C^0 A^0 & 0 & 0 & \cdots \\
C^0 A^0 & 0 & 0 & \cdots \\
& \ddots & \ddots & \ddots \\
& & \ddots & \ddots & \ddots \\
\end{array} \right],
\]
where \( A_i = (A^0)^{k_i}, B_i = \sum_{j=0}^{k_i-1} (A^0)^j B^0 \), and \( P \in \mathbb{R}^{N \times N} \).

**Proof.** Due to space restrictions, the proof is omitted. \(\square\)

Note that \( P \) in Theorem 8 is block-Toeplitz with block size \( n_\Delta \times n_\Delta \). The equidistant sampling case is a special case of Theorem 8, see Corollary 9.

**Corollary 9.** If \( k_i = k, \forall i \), then \((A_i, B_i, C_i, D_i) = (A, B, C, D), \forall i \), and the equidistant sampling case is recovered as a special case.

D. Finite-time descriptions of rate conversions

In Theorem 8, the finite-time description for the LPTV system is provided. To describe the full system of Fig. 4 in a finite-time framework, the finite-time descriptions of the downsampler \( D \) and zero-order-hold upsampler \( H \) are required. These are provided by Theorem 10 where it should be noted that these results can readily be extended to the situation when there is not an integer number of periods \( T \) present in \( N \).

**Theorem 10.** For the purpose of exposition, let the time span of \( N \) samples consist of an integer number of periods \( T \), and define the vectors
\[
\tau_0^\nu[n] := n - 1, \quad n = 1, 2, \ldots, \frac{T}{\Delta T},
\]
\[
\tau_T[n] := \begin{cases} 0, & n = 1, \\ \sum_{i=1}^{n-1} k_i, & n = 2, 3, \ldots, n_\Delta + 1. \end{cases}
\]

The finite-time description of the downsampler \( D \) is
\[
D := I_{N_0 \Delta} \otimes D_T,
\]
with \( D_T \in \mathbb{R}^{n_\Delta \times \frac{T}{\Delta T}} \) given by
\[
D_T(i,j) := \begin{cases} 1, & \tau_T[i] = \tau_0^\nu[j], \\ 0, & \text{otherwise}. \end{cases}
\]

The finite-time expression of the zero-order-hold upsampler \( H \) is
\[
H := I_{N_0 \Delta} \otimes H_T,
\]
with \( H_T \in \mathbb{R}^{\frac{T}{\Delta T} \times n_\Delta} \) given by
\[
H_T(i,j) := \begin{cases} 1, & \tau_T[j] \leq \tau_0^\nu[i] < \tau_T[j + 1], \\ 0, & \text{otherwise}. \end{cases}
\]

**Proof.** See, for example, [12]. \(\square\)

Note that both \( H \) and \( D \) are block-Toeplitz matrices. Furthermore, note that up-down conversion does not affect the signal (\( D H = I_N \)), whereas down-up conversion does affect the signal (\( H D \neq I_N \)).

E. System interconnection

By combining Theorem 8 and Theorem 10, the finite-time description of the system in Fig. 4 is complete and the system interconnection can be described. The error \( \varepsilon_0 \) as function of the feedforward \( \nu \) is provided by Theorem 11.

**Theorem 11.** The finite-time error \( \varepsilon_0 \) in Fig. 4 for the equidistant rate \( \Delta_0 \) is given by
\[
\varepsilon_0 = \mathbf{S}_0 \rho_0 - \mathbf{S}_0 \rho_0 \mathbf{H} \nu,
\]
with \( \mathbf{S}_0 = (I_{N_0} + P_0^0 \mathbf{H} C_{FB} D)^{-1} \).

**Proof.** The output at the base rate is given by
\[
\psi^0 = \mathbf{S}_0 \rho_0 \mathbf{H} \nu + \mathbf{S}_0 \rho_0 \mathbf{H} C_{FB} D \rho_0.
\]
The result follows from substituting this expression in \( \varepsilon_0 = \rho_0 - \psi_0^0 \) and rearranging terms. \(\square\)

In this section, finite-time descriptions for the system interconnection of Fig. 4 are presented. Next, these expressions are used for designing the feedforward filter \( C_{FF} \).
IV. Lifted Domain Feedforward Optimization

In Problem 3, the feedforward controller $C_{FF}$ belongs to a class $\mathcal{P}$. This class is parameterized according to Definition 12. Parameter $\beta \in \mathbb{R}^{n_\beta n_\Delta}$ contains all parameters in $\beta_i$, $i = 0, 1, \ldots, n_\beta - 1$.

Definition 12. The feedforward class $\mathcal{P}$ is given by

$$\mathcal{P} = \left\{ \sum_{i=0}^{n_\beta - 1} \beta_i \circ \vartheta_i(z) \mid \beta_i \in \mathbb{R}^{n_\Delta \times n_\Delta} \right\},$$

with $\vartheta_i(z)$ an $n_\Delta$-input, $n_\Delta$-output system of basis functions.

Note that the class $\mathcal{P}$ in Definition 12 consists of MIMO transfer functions in the so-called lifted domain [2]. In the physical time domain, after reversal of the lifting operator, it becomes a SISO yet LPTV operator, due to the periodic sampling sequence. Hence the name lifted feedforward controller. In future work, the choice of basis functions for such controllers is further explained.

The finite-time description of $C_{FF}$, denoted $C_{FF}(\beta)$, depends on the particular choice of $K$. Since by Definition 12 it is linear in $\beta$, there exists a matrix $T^\beta \in \mathbb{R}^{N \times n_\Delta}$ satisfying

$$C_{FF}(\beta) \rho = T^\beta \beta.$$  \hspace{1cm} (3)

With (3) and combining the results of the previous sections, the optimal feedforward filter can be computed, see Theorem 13.

Theorem 13. The optimal solution to Problem 3 with $\mathcal{P}$ according to Definition 12 is given by

$$\beta^{opt} = \left( M^T W_e M + (T^\beta)^T W_e T^\beta \right)^{-1} M^T W_e b,$$

$$b = S^0 \rho^0,$$

$$M = S^0 L^0 H T^\beta.$$

Proof. Substitution of $\nu = C_{FF}(\beta) \rho = T^\beta \beta$ (see (3)) in Theorem 11 yields $\varrho^0 = b - M \beta$. Hence, $V^\sigma$ is quadratic in $\beta$ and hence the minimum follows from $\nabla_\beta V^\sigma = 0$. \hspace{1cm} $\Box$

Theorem 13 is used in the simulation case study of the next section.

V. Simulation Case Study

Through use of a simulation case study, the advantage of the periodically time-varying sampling framework introduced in this paper over conservative equidistant sampling is shown.

A. System Definition

The system is based on the rotational two-mass-spring-damper system shown in Fig. a for which the Bode magnitude plot is shown in Fig. b. The feedback controller $C_{FB}$ is a lead filter yielding a closed-loop bandwidth of 10 Hz. In order to have the same feedback controller for each sampling sequence, the feedback controller is designed at the lowest rate. The reference signal $\rho^0$ is selected as the fourth order point-to-point trajectory depicted in Fig. 8.

B. Sampling sequences

In this case study, the sampling sequence of Fig. 2 is used, i.e. $K = [1,1,2]$, see also Fig. 9. The highest possible equidistant sampling rate is $2\Delta^0$, which is conservative since in each period $T$ a control point is neglected. The proposed framework allows to exploit all possible control points. Since this increases the freedom of the feedforward signal, an increase in performance can be expected.

The basis functions $\vartheta_i(z)$ in Definition 12 are selected as

$$\vartheta_i(z) = z^{-1} \begin{bmatrix} 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & 1 \end{bmatrix}.$$\

In the simulation $\Delta^0 = 0.001 \text{s}$, $N^0 = 1000$, and the weights in (1) are selected as $W_e = 10^{12} I_{N^0}$ and $W_v = 0_{N^0}$ in order to minimize $\varrho^0$.

C. Results

For comparison, the results for sampling at the base rate $\Delta^0$ are also presented. Note that this is typically not possible in practice, but included here as benchmark. The results are shown in Fig. 10.

The performance metric $V^0$ as function of $n_\beta$ for the three sampling sequences is shown in Fig. a. A higher $n_\beta$ means a
larger operating timespan of the feedforward controller and therefore an improved performance. This is indeed observed for all three cases: $V^0$ decreases for increasing $n_\beta$. As was expected, the performance of the sampling sequence $K = [1, 1, 2]$ is worse than for $K = [1, 1, 1]$ (less control points per period) and higher than for $K = [2, 2]$ (more control points per period). The time domain error signal $\varepsilon^0$ near the start of the motion is provided in Fig. b for $n_\beta = 3$.

VI. CONCLUSIONS

A resource-efficient implementation on a class of predictable platforms leads to a periodically switched system due to periodic non-uniform sampling periods. The analysis and controller design of such systems can be done by I) settling with slower equidistant sampling of the system and using standard LTI techniques, but this is often conservative in terms of performance since not all measurement and actuation points are exploited; or II) controlling the system as a periodically time-varying system and exploiting all possible control points. In this paper a framework is introduced that allows to describe the time-varying systems of option II). Moreover, the framework allows for optimal feedforward design incorporating the time-varying sampling of the system. As a case study, a motion control application is considered and through simulation it is shown that time-varying sampling control of solution II) is indeed superior to conservative equidistant sampling of solution I).

Ongoing work focuses on experimental validation of the presented work, optimal selection of the sampling sequence, and design of feedback control, rational feedback, and ILC for periodically time-varying systems.

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