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Published in:
Journal of Software: Evolution and Process

DOI:
10.1002/smr.1760

Published: 01/01/2016

Document Version
Accepted manuscript including changes made at the peer-review stage

Please check the document version of this publication:

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Download date: 21. Nov. 2018
Empirical analysis of the relationship between CC and SLOC in a large corpus of Java methods and C functions

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SUMMARY

Measuring the internal quality of source code is one of the traditional goals of making software development into an engineering discipline. Cyclomatic Complexity (CC) is an often used source code quality metric, next to Source Lines of Code (SLOC). However, the use of the CC metric is challenged by the repeated claim that CC is redundant with respect to SLOC due to strong linear correlation. We conducted an extensive literature study of the CC/SLOC correlation results. Next, we tested correlation on large Java (17.6 M methods) and C (6.3 M functions) corpora. Our results show that linear correlation between SLOC and CC is only moderate as caused by increasingly high variance. We further observe that aggregating CC and SLOC as well as performing a power transform improves the correlation. Our conclusion is that the observed linear correlation between CC and SLOC of Java methods or C functions is not strong enough to conclude that CC is redundant with SLOC. This conclusion contradicts earlier claims from literature, but concurs with the widely accepted practice of measuring of CC next to SLOC.

KEY WORDS: McCabe cyclomatic complexity; empirical validation; software maintenance; metrics

1. INTRODUCTION

In previous work [¹] one of the authors analyzed the potential problems of using the Cyclomatic Complexity (CC) metric to indicate or even measure source code complexity per Java method. Still, since understanding code is known to be a major factor in providing effective and efficient software maintenance [²], measuring the complexity aspect of internal source code quality remains an elusive goal of the software engineering community. In practice the CC metric is used on a daily basis for this purpose precisely, next to another metric, namely Source Lines of Code (SLOC) [³][⁴].

There exists a large body of literature on the relation between the CC metric and SLOC. The general conclusion from experimental studies [⁵][⁶][⁷][⁸] is that there exists a strong linear correlation between these two metrics for arbitrary software systems. The results are often interpreted as an incentive to discard the CC metric for any purpose that SLOC could be used for as well, or as an incentive to normalize the CC metric for SLOC.

At the same time, the CC metric appears in every available commercial and open-source source code metrics tool, for example http://www.sonarqube.org/ and is used in the daily practice of

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software assessment [4] and fault/effort prediction [9]. This avid use of the metric directly contradicts the evidence of strong linear correlation. Why go through the trouble of measuring $CC$?

Based on the related work on the correlation between $CC$ and SLOC we have the following working hypothesis:

**Hypothesis 1**

There is strong linear (Pearson) correlation between the $CC$ and SLOC metrics for Java methods and C functions.

Note that the current paper both includes and extends the contributions of a previously published conference paper which focused on the Java language [10]. The new contributions of this paper are as follows:

- construction of a big C corpus,
- corpus-based analysis of $CC$/SLOC correlation for another programming language (C),
- a significantly extended literature study,
- comparison between the results for C and Java,
- more detailed study of possible explanations for higher correlation after aggregation on the file level,
- study of the possibly confounding effect of the size of the corpora.

We studied a C language corpus since it is most representative of the languages analyzed in literature and we could construct a large corpus based on open-source code. Java is an interesting case next to C as it represents a popular modern object-oriented language, for which we could also construct a large corpus. A modern language with a comparable but significantly more complex programming paradigm than C, such as Java, is expected to provide a different perspective on the correlation between SLOC and CC.

Both for Java and C, our results of investigating the strong correlation between $CC$ and SLOC are negative, challenging the external validity of the experimental results in literature as well as their interpretation. The results of analyzing a linear correlation are not the same for our (much larger) corpora of modern Java code that we derived from Sourcerer [11] and C code derived from the packages of Gentoo Linux. Similarly we observe that higher correlations can only be observed after aggregation to the file level or when we arbitrarily remove the larger elements from the corpus. Based on analyzing these new results we will conclude that $CC$ cannot be discarded based on experimental evidence of a linear correlation. We therefore support the continued use of $CC$ in industry next to SLOC to gain insight in the internal quality of software systems for both the C and the Java language.

The interpretation of experimental results of the past is hampered by confusing differences in definitions of the concepts and metrics. In the following, Section 2, we therefore focus on definitions and discuss the interpretation in related work of the evidence of correlation between SLOC and $CC$. We also identify six more hypotheses. In Section 3, we explain our experimental setup. After this, in Section 4, we report our results and in Section 5, we interpret them before concluding in Section 6.

## 2. BACKGROUND THEORY

In this section we carefully describe how we interpret the $CC$ and SLOC metrics, we identify related work, and introduce the hypotheses based on differences observed in related work.

### 2.1. Defining SLOC and CC

Although defining the actual metrics for lines of code and cyclomatic complexity used in this paper can be easily done, it is hard to define the concepts that they actually measure. This lack of precisely defined dimensions is an often lamented, classical problem in software metrics [12][13]. The current paper does not solve this problem, but we do need to discuss it in order to position our contributions in the context of related work.

First we define the two metrics used in this paper.
**Definition 1** (Source Lines of Code (SLOC))

A line of code is any line of program text that is not a comment or blank line, regardless of the number of statements or fragments of statements on the line. This specifically includes all lines containing program headers, declarations, and executable and non-executable statements [14, p. 35].

**Definition 2** (Cyclomatic Complexity (CC))

The cyclomatic complexity of a program is the maximum number of linearly independent circuits in the control flow graph of said program, where each exit point is connected with an additional edge to the entry point [15].

As explained by McCabe [15], the CC number can be computed by counting forks in a control flow graph and adding 1, or equivalently counting the number of language constructs used in the Abstract Syntax Tree (AST) which generate forks (“if”, “while”, etc.) and adding 1.

This last method is the easiest and therefore preferred method of computing CC. Unfortunately, which AST nodes generate decision points in control flow for a specific programming language is not so clear since this depends on the intrinsic details of programming language semantics. The unclarity leads to metric tools generating different values for the CC metric, because they count different kinds of AST nodes [16]. Also, derived definitions of the metric exist, such as “extended cyclomatic complexity” [17] to account for a different way of computing cyclomatic complexity. Still, the original definition by McCabe is sufficiently general. If we interpret it based on a control flow graph it is applicable to any programming language which has subroutines to encapsulate a list of imperative control flow statements. Section 3 describes how we compute CC for C and Java.

Note that we include the Boolean && and || operators as conditional forks because they have short-circuit semantics in both Java and C, rendering the execution of their right-hand sides conditional. Still, this is not the case for all related work. For completeness sake we therefore put the following hypothesis up for testing as well:

**Hypothesis 2**

The strength of linear correlation between CC and SLOC of neither Java methods nor C functions is significantly influenced by including or excluding the Boolean operators && and ||.

We expect that exclusion of && and || does not meaningfully affect correlations between CC and SLOC, because we expect Boolean operators not to be used often enough and not in enough quantities within a single subroutine to make a difference.

### 2.2. Literature on the correlation between CC and SLOC

We have searched methodically for related work that experimentally investigates a correlation between CC and SLOC. This results, to the best of our knowledge, in the most complete overview of published correlation figures between CC and SLOC to date. Our previous literature study [10] resulted in 15 relevant papers obtained by scanning the titles of 600 papers. For the current overview we scanned the full text of 326 new papers identifying 18 new relevant papers.

In our previous literature study we used Google Scholar to find all papers citing Shepperd’s paper from 1988 [12] which also investigate Hypothesis 1. Furthermore, in the same study we scanned the titles of the 200 most relevant search results for papers citing McCabe’s original paper [15] and matching the “Empirical” search query.

The previous literature study can be seen as a restricted form of snowballing [18]. To extend our coverage of the literature, and correct for limitations of snowballing [19], we combine snowballing and Systematic Literature Review (SLR). We formulated the PICO criteria inspired by the SLR guidelines of Kitchenham and Charters [20]:

**Population**  Software

---

1. In this context a “program” means a subroutine of code like a procedure in Pascal, function in C, method in Java, sub-routine in Fortran, program in COBOL. From here on we use the term “subroutine” to denote either a Java method or a C function.
2. Google Scholar’s sort by relevancy.
Intervention CC or Cyclomatic or McCabe

Comparison SLOC or LOC or Lines of Code

Outcomes Correlation or Regression or Linear or $R^2$

Ideally, following the Kitchenham and Charters’ guidelines [20] we should have constructed a query using the PICO criteria: “Software and (CC or Cyclomatic or McCabe) and (SLOC or LOC or Lines of Code) and (Correlation or Regression or Linear or $R^2$)”. Unfortunately, Google Scholar does not support nested conditional expressions. Therefore, we have used the PICO criteria to create $1 \times 3 \times 3 \times 4 = 36$ different queries producing 24 K results. Since Google Scholar sorts the results on relevancy, we chose to read only the first two pages of every query, leaving 720 results. After noise filtering and duplication removal 326 papers remained, containing 11 of the 15 papers included in our previous literature study [10]. Together, we systematically scanned the full-text of these papers, using the following inclusion criteria:

1. Is the publication peer-reviewed?
2. Is SLOC or Lines of Code (LOC) measured?
3. Is CC measured (possibly as weight in Weighted Methods per Class (WMC) [21])?
4. Is Pearson correlation or any other statistical relation between SLOC and CC reported?
5. Are the measurements performed on method, function, class, module, or file level (higher levels are ignored)?

Using this process we identified 18 new papers. The resulting 33 papers are summarized in Table I. The SLR guidelines require the inclusion and the search queries to be based on the title, abstract and keywords. We deviated from this because for the current study we are interested in a reported relation between SLOC and CC, whether the paper focuses on this relation or not. This required us to scan the full text of each paper which the Kitchenham and Charter process does not cater for. Note that Google Scholar does index the body of papers.

The result of the above process is summarized by the multi-page Table I. All levels and corpus descriptions in the table are as reported in the original papers: the interpretation of these might have subtle differences, e.g. Module and Program in Fortran could mean the same. Since the original data is no longer available, it is not possible to clarify these differences. The variables mentioned in the Correlation column are normalized as follows. If all lines in a unit (file, module, function, or method) were counted, LOC was reported. If comments and blank lines were ignored, SLOC was reported. If the line count was normalized on statements, we reported Logical Lines of Code (LLOC). We normalized $R$ to $R^2$ by squaring it whenever $R$ was originally reported.

Table I. Overview of related work on CC and SLOC up to 2014, this extends Shepperd’s table [12]. The correlations with a star (∗) indicate correlations on the subroutine level. The ◦ denotes that the relation between CC and SLOC was the main focus of the paper. The statistical significance was always high, if reported, and therefore not indicated in this table (except Malhotra [22]).

<table>
<thead>
<tr>
<th>Year</th>
<th>Level</th>
<th>Correlation</th>
<th>Language</th>
<th>Corpus</th>
<th>$R^2$</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1979</td>
<td>Subroutine</td>
<td>SLOC vs CC</td>
<td>Fortran</td>
<td>27 programs with SLOC ranging from 25 to 225</td>
<td>*0.65</td>
<td>0.81</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>The first result is for a CC correlation on subroutine level, and the second result is on a program level.</td>
</tr>
<tr>
<td>1979</td>
<td>Program</td>
<td>SLOC vs CC</td>
<td>Fortran</td>
<td>27 programs with SLOC ranging from 36 to 57</td>
<td>0.41</td>
<td></td>
</tr>
<tr>
<td>1979</td>
<td>Program</td>
<td>log(LLOC) vs log(CC)</td>
<td>PL/1</td>
<td>197 programs with a median of 54 statements.</td>
<td>*0.90</td>
<td></td>
</tr>
<tr>
<td>1979</td>
<td>Subroutine</td>
<td>LOC vs CC</td>
<td>Fortran</td>
<td>26 subroutines</td>
<td>*0.90</td>
<td></td>
</tr>
<tr>
<td>1980</td>
<td>Module</td>
<td>LOC vs CC</td>
<td>Fortran</td>
<td>10 modules, 339 SLOC</td>
<td>0.90</td>
<td></td>
</tr>
</tbody>
</table>
Table I. (Continued)

<table>
<thead>
<tr>
<th>Year</th>
<th>Level</th>
<th>Correlation</th>
<th>Language</th>
<th>Corpus</th>
<th>( R^2 )</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1981</td>
<td>Module</td>
<td>SLOC vs CC</td>
<td>Fortran</td>
<td>25.5 KSLOC over 137 modules</td>
<td>0.65</td>
<td>No correlation between module SLOC and module CC. Then the authors grouped modules into 5 buckets (by size), and calculated the average CC per bucket. Over these 5 data-points they reported the high correlation.</td>
</tr>
<tr>
<td>1984</td>
<td>Module</td>
<td>SLOC vs CC</td>
<td>Fortran</td>
<td>517 code segments of one system</td>
<td>0.94</td>
<td>No correlation between module SLOC and module CC. Then the authors grouped modules into 5 buckets (by size), and calculated the average CC per bucket. Over these 5 data-points they reported the high correlation.</td>
</tr>
<tr>
<td>1987</td>
<td>Program</td>
<td>SLOC vs CC</td>
<td>Fortran</td>
<td>255 student assignments, range of 10 to 120 SLOC</td>
<td>0.82</td>
<td>Study comparing 31 metrics, showing histogram of the corpus, and scatter-plots of selected correlation.</td>
</tr>
<tr>
<td>1987</td>
<td>Module</td>
<td>SLOC vs CC</td>
<td>S3</td>
<td>Two subsystems with 67 modules</td>
<td>0.83</td>
<td>After a power transform on the first subsystem the ( R^2 ) increased to 0.89.</td>
</tr>
<tr>
<td>1989</td>
<td>Routine</td>
<td>SLOC vs CC</td>
<td>Pascal &amp; Fortran</td>
<td>1 system, 4.5 K routines, 232 KSLOC Pascal, 112 KSLOC Fortran</td>
<td>0.72</td>
<td>The first result was for Pascal, the second Fortran.</td>
</tr>
<tr>
<td>1989</td>
<td>Procedure</td>
<td>SLOC vs CC</td>
<td>Pascal</td>
<td>1 stand-alone commercial system, 7 K procedures</td>
<td>0.96</td>
<td></td>
</tr>
<tr>
<td>1990</td>
<td>Program</td>
<td>LOC vs CC</td>
<td>COBOL</td>
<td>311 student programs</td>
<td>0.80</td>
<td></td>
</tr>
<tr>
<td>1990</td>
<td>Module</td>
<td>LOC vs CC</td>
<td>Pascal</td>
<td>981 modules from 27 course projects</td>
<td>0.40</td>
<td>10% outliers were removed.</td>
</tr>
<tr>
<td>1997</td>
<td>File</td>
<td>SLOC vs CC</td>
<td>COBOL</td>
<td>600 modules of a commercial system</td>
<td>0.79</td>
<td></td>
</tr>
<tr>
<td>2000</td>
<td>Module</td>
<td>LOC² vs CC</td>
<td>Unreported</td>
<td>380 modules of an Ericson system</td>
<td>0.62</td>
<td>Squaring the LOC variable was performed as an argument for the non-linear relationship.</td>
</tr>
<tr>
<td>2000</td>
<td>File</td>
<td>LOC vs CC</td>
<td>C &amp; DLSs</td>
<td>1.5 MLOC subsystem of telephony switch, 2.5 K files</td>
<td>0.94</td>
<td></td>
</tr>
<tr>
<td>2001</td>
<td>Class</td>
<td>SLOC vs CC</td>
<td>C++</td>
<td>174 classes</td>
<td>0.77</td>
<td>A study discussing the confounding factor of size for OO metrics, WMC is a sum of CC for the methods of a Class.</td>
</tr>
<tr>
<td>2001</td>
<td>File</td>
<td>LOC vs CC</td>
<td>RPG</td>
<td>293 programs 200 KLOC</td>
<td>0.86</td>
<td></td>
</tr>
<tr>
<td>2005</td>
<td>Module</td>
<td>LOC vs CC</td>
<td>Pascal</td>
<td>41 small programs</td>
<td>0.59</td>
<td>The programs analysed were written by the authors with the sole purpose of serving as data for the publication.</td>
</tr>
</tbody>
</table>
Table I. (Continued)

<table>
<thead>
<tr>
<th>Year</th>
<th>Level</th>
<th>Correlation</th>
<th>Language</th>
<th>Corpus</th>
<th>$R^2$</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>File</td>
<td>LOC vs CC</td>
<td>C</td>
<td>NASA JM1 data set, 22 K files, 11 KLOC</td>
<td>0.71</td>
<td>The corpus contains multiple implementation of 59 different challenges. Outliers where removed based on the CC variable. The correlation was calculated after calculating the mean per challenge.</td>
</tr>
<tr>
<td>2007</td>
<td>File</td>
<td>LOC vs CC</td>
<td>C &amp; C++</td>
<td>77k small programs</td>
<td>0.78</td>
<td></td>
</tr>
<tr>
<td>2007</td>
<td>Function</td>
<td>SLOC vs CC</td>
<td>C</td>
<td>XMMS project, 109 KSLOC over 260 files</td>
<td>*0.51</td>
<td></td>
</tr>
<tr>
<td>2007</td>
<td>File</td>
<td>log(SLOC) vs log(CC)</td>
<td>C</td>
<td>FreeBSD packages, 694 K Files.</td>
<td>0.87</td>
<td>Using the SLOC variable, 1 K files suspected of being generated code were removed.</td>
</tr>
<tr>
<td>2008</td>
<td>Diff</td>
<td>LOC vs CC</td>
<td>Java &amp; C &amp; C++ &amp; PHP &amp; Python &amp; Perl</td>
<td>13 M diffs from SourceForge</td>
<td>0.56</td>
<td>The paper contains a lot of different correlations based on the revision diffs from 278 projects. The authors observed lower correlations for C.</td>
</tr>
<tr>
<td>2009</td>
<td>File</td>
<td>SLOC vs CC</td>
<td>Java</td>
<td>4813 proprietary Java Modules</td>
<td>0.52</td>
<td></td>
</tr>
<tr>
<td>2009</td>
<td>File</td>
<td>log(Loc) vs log(CC)</td>
<td>Java &amp; C &amp; C++</td>
<td>2200 Projects from SourceForge</td>
<td>0.78</td>
<td>After discussing the distribution of both LOC and CC and their wide variance, the authors calculate a repeated median regression and recalculate $R^2$: 0.87, 0.93, and 0.97.</td>
</tr>
<tr>
<td>2010</td>
<td>File</td>
<td>log(SLOC) vs log(CC)</td>
<td>C</td>
<td>ArchLinux packages, 300 K Files, of which 200 K non header files.</td>
<td>0.59</td>
<td>Initially they observed a low correlation between CC and SLOC, further analysis revealed header files as the cause. The second correlation is after removing these. The authors show the influence of looking at ranges of SLOC on the correlation.</td>
</tr>
<tr>
<td>2010</td>
<td>Class</td>
<td>SLOC vs CC</td>
<td>Java &amp; C++</td>
<td>800 KSLOC over 12 hand-picked OSS Projects</td>
<td>0.66</td>
<td></td>
</tr>
<tr>
<td>2011</td>
<td>Class</td>
<td>SLOC vs max CC and mean CC</td>
<td>Java</td>
<td>Arc dataset: 234 classes</td>
<td>0.12</td>
<td>Correlations were not statistically significant.</td>
</tr>
<tr>
<td>2014</td>
<td>Module</td>
<td>LOC vs CC</td>
<td>C</td>
<td>NASA CM1 dataset</td>
<td>0.86</td>
<td></td>
</tr>
<tr>
<td>2014</td>
<td>Function</td>
<td>LOC vs CC</td>
<td>C</td>
<td>Linux kernel</td>
<td>*0.77</td>
<td>The authors show the scatter-plot of LOC vs CC, and report on a high correlation. Hereafter they limit to methods with a CC higher than 100, for these 138 functions they find a much lower correlation to SLOC.</td>
</tr>
</tbody>
</table>
Figure 1 visualizes the $R^2$ from the related work in Table I, grouped by language and aggregation level. Most related work reports $R^2$ higher than 0.5, and there is not a clear upwards or downwards trend over the years. The only observable trends are that newer work (after 2000) predominantly performed aggregation on a file level (with the notable exception of four papers [8, 22, 42, 44]) and that while the early studies have been mostly conducted on Fortran, the most common languages analyzed after 2000 are Java and C.

In the rest of this section we will formulate hypotheses based on observations in the related work: different aggregation methods (Section 2.3), data transformations (Section 2.4), and the influence of outliers and other biases in the used corpora (Section 2.5).

2.3. Aggregating CC over larger units of code

CC applies to control flow graphs. As such CC is defined when applied to code units which have a control flow graph. This has not stopped researchers and tool vendors to sum the metric over larger units, such as classes, programs, files and even whole systems. We think that the underlying assumption is that indicated “effort of understanding” per subroutine would add up to indicate total effort. However, we do not clearly understand what such sums mean when interpreted back as an attribute of control flow graphs, since the compositions of control flow graphs that these sums should reflect do not actually exist.

Perhaps not surprisingly, in 2013 Yu et al. [50] found a Pearson correlation of nearly 1 between whole system SLOC and the sum of all CC. They conclude the evolution of either metric can represent the other. One should keep in mind, however, that choosing the appropriate level of aggregation is vital for validity of an empirical study: failure to do so can lead to an ecological fallacy [51] (interpreting statistical relations found in aggregated data on individual data). Similarly, the choice of an aggregation technique can greatly affect the correlation results [52–54].

Curtis and Carleton [13] and Shepherd [12] were the first to state that without a clear definition of what source code complexity is, it is to be expected that metrics of complexity are bound to measure (aspects of) code size. Any metric that counts arbitrary elements of source code sentences, actually measures the code’s size or a part of it. Both Curtis and Carleton, and Shepherd conclude that this should be the reason for the strong correlation between SLOC and CC. However, even though CC is a size metric; it still measures a different part of the code. SLOC measures all the source code, while
CC measures only a part of the statements which govern control flow. Even if the same dimension is measured by two metrics that fact alone does not fully explain a strong correlation between them. We recommend the work of Abran [55], for an in-depth discussion of the semantics of CC.

Table I lists which studies use which level of aggregation. Note that the method of aggregation is sum in all but one of the papers reviewed. A possible explanation for strong correlations could be the higher levels of aggregation. This brings us to our third hypothesis:

**Hypothesis 3**
The correlation between aggregated CC for all subroutines and the total SLOC of a file is higher than the correlation between CC and SLOC of individual subroutines.

If this hypothesis is true it would explain the high correlation coefficients found in literature when aggregated over files: it would be computing the sum over subroutines that causes it rather than the metric itself. Hypothesis 3 is nontrivial because it depends, per file, on the size of the bodies compared to their number what the influence of aggregation may be. This influence needs to be observed experimentally.

A confounding factor when trying to investigate Hypothesis 3 is the size of the code outside of the subroutines; such as import statements and class and field declarations in Java, and macro definitions and function headers, typedefs and structs in C. For the sake of brevity we refer to this part of source code files as the “header”, even though this code may be spread over the file. A large variance in header size would negatively influence correlation on the file aggregation level which may hide the effect of summing up the CC of the subroutines. We do not know exactly how the size of the header is distributed in C or Java files and how this size relates to the size of subroutines. To be able to isolate the two identified factors on correlation after aggregation we also introduce the following hypothesis:

**Hypothesis 4**
The more subroutines we add up the CC for—the more this aggregated sum correlates with aggregated SLOC of these subroutines.

This hypothesis isolates the positive effect of merely summing up over the subroutines from the negative effect of having headers of various sizes. Hypothesis 4 is nontrivial for the same reasons as Hypothesis 3 is nontrivial.

### 2.4. Data Transformations

Hypothesis 1 is motivated by the earlier results from the literature in Table I. Some newer results of strong correlation are only acquired after a log transform on both variables [6, 43, 46, 47]: indeed, log transform can help to normalize distributions that have a positive skew [56] (which is the case both for SLOC and for CC) and it also compensates for the “distorting” effects of the few but enormous elements in the long tail. A strong correlation which is acquired after log transform does not directly warrant dismissal of one of the metrics, since any minor inaccuracy of the linear regression is amplified by the reverse log transform back to the original data. Nevertheless, the following hypothesis is here to confirm or deny results from literature:

**Hypothesis 5**
After a log transform on both the SLOC and CC metrics, the Pearson correlation is higher than the Pearson correlation on the untransformed data.

We note that the literature suggests that the $R^2$ values for transformed and untransformed data are not comparable [57, 58]. However, we do not attempt to find the best model for the relation between CC and SLOC, rather to understand the impact of log transformation as used by previous work on the reported $R^2$ values.

### 2.5. Corpus Bias

The aforementioned log transform is motivated in literature after observing skewed long tail distributions of SLOC and CC [43, 56, 47, 59]. On the one hand, this puts all related work on smaller data sets which do not interpret the shape of the distributions in a different light. How to interpret
these older results? Such distributions make relatively “uninteresting” smaller subroutines dominate any further statistical observations. On the other hand, our current work is based on two large corpora (see Section 3). Although this is motivated from the perspective of being as representative as possible for real world code, the size of the corpus itself does emphasize the effects of really big elements in the long tail (the more we look, the more we find) as well as strengthens the skew of the distribution towards the smaller elements (we will find disproportionate amounts of new smallest elements). Therefore we should investigate the effect of different parts of the corpus, ignoring either elements in the tail or ignoring data near the head:

**Hypothesis 6**
The strength of the linear correlation between SLOC and CC is improved by ignoring the smallest subroutines (as measured by SLOC).

**Hypothesis 7**
The strength of the linear correlation between SLOC and CC is improved by ignoring the largest subroutines (as measured by SLOC).

Hypothesis 6 was also inspired by Herraiz and Hassan’s observation of an increasing correlation for the higher ranges of SLOC [47]. One could argue that the smallest of subroutines are relatively uninteresting, and a correlation which only holds for the more nontrivial subroutines would be satisfactory as well.

Hypothesis 7 investigates the effect of focusing on the smaller elements of the data, ignoring (parts of) the tail. Inspired by related work [32, 41, 43] that assumes that these larger subroutines can be interpreted as “outliers”. It is important for the human interpretation of Hypothesis 1 to find out what their influence is. Although there are not that many tail elements, a linear model which ignores them could still have value.

### 3. EXPERIMENTAL SETUP

In this section we discuss how the study has been set up. To perform empirical evaluation of the relation between SLOC and CC for subroutines we needed a large corpus of such subroutines. To construct such a corpus we have processed Sourcerer [11], a collection of 19 K open source Java projects (Section 3.1) and Gentoo [11, Section 3.2]. Then SLOC and CC have been computed for each method or function (subroutine) in the corpus (Sections 3.3 and 3.4). Finally, we performed statistical analysis of the data (Section 3.5).

#### 3.1. Preparing the Java Corpus

Sourcerer [11] is a large corpus of open source Java software. It was constructed by fully downloading the source code of 19 K projects, of which 6 K turned out to be empty.

**Remove non-Java files** While Sourcerer contains a full copy of each project’s Source Code Management (SCM), because of our focus on Java, we excluded all non-Java files.

**Remove SCM branches** When Sourcerer was compiled the whole SCM history was cloned. In particular, this means that multiple versions of the same system are present. However, inclusion of multiple similar versions of the same method would bias statistical analysis. Therefore, we removed all directories named /tags/, /branches/, and /nightly/ which are commonly used to indicate snapshot copies of source trees or temporarily forked development.

**Remove duplicate projects** Sourcerer projects have been collected from multiple sources including Apache, Java.net, Google Code and SourceForge. Based on Sourcerer’s meta-data we detected 172 projects which were extracted from multiple sources—e.g., from both SourceForge and

https://www.gentoo.org/
Google Code. Similarly to removal of SCM branches we have kept only one version of each project, in this case we chose the largest version in bytes.

**Manually reviewed duplicate files** We calculated the MD5 hash per file. The 278 projects containing more than 300 duplicate files (equal hash) were manually reviewed and fixed in case the duplication could be explained. Common reasons were non-standard SCM structure (different labels for tags and branches) and the code of third-party libraries. A list of the duplicate projects and manually removed directories is available online.

**Remove out-of-scope code** Finally, we have decided to remove code which is either external to the studied project, or is test code. It is a priori not clear whether test code exhibits the same relation between SLOC and CC as non-test code. We removed all directories matching the following case-insensitive regular expression: `/[^/\-]tests?\/*[^/\-]examples?\/*\[(third|3rd)[\-\_]?party/`. This filtering differs from the one used in the previous paper [10], and was based on insight gained during the construction of the C corpus. This is also why the numbers for Java in the Section 3 differ from those reported previously [10].

Performing these steps we have reduced the 390 GB corpus to 14.3 GB containing 13 K projects over 2 M files. The resulting corpus has been made publicly available.

### 3.2. Preparing the C Corpus

We are not aware of a C corpus of size, age, and spread of domains comparable to Sourcerer. Therefore we have constructed a new corpus based on Gentoo’s Portage packages. We have chosen Gentoo because its packages cover a wide range of domains. Compared to other Linux distributions, Gentoo distributes the source code instead of pre-compiled binaries, enabling our analysis.

On October 14, 2014 the repository contained 65 K packages. The extensions of 40 K packages indicated an archive (for example `.tar.gz`). The following process was used to construct our C corpus based on these packages.

**Remove non-code packages** We filtered debug-symbols, patch-collections, translations, binary-installers, data-packages, binary packages, auxiliary files, and texlive modules.

**Remove multiple versions** The Portage repository of Gentoo contains multiple versions of packages. We kept only the newest version of every package. Note that Portage does come with meta-data—"ebuild"—to collect the latest Gentoo packages, selecting a sub-set of the entire repository. We refrained from using this meta-data, because it is based on design decisions which would introduce a selection bias (like hardening for security and library compatibility).

**Extract packages** The remaining 20 K packages were unpacked, resulting in 8 M files.

**Detect C code** C and C++ code share file extensions. Both `.c` and `.h` can contain C or C++ code. Using heuristics inspired by GitHub’s linguist [61], we developed a tool to detect if a file contained either C or C++ code. The heuristics uses syntactical differences to detect C++ and differences between the often included standard library header files for C and C++.

Of the 1.35 M files with C extensions, 1.02 M contained C code, and 0.33 M contained C++. We removed all the files with C++ code.

**Remove out-of-scope code** Similarly to the preparation of our Java corpus, we have chosen to remove code which is not part of the application or library studied. We have used the exact same filter, removing the folders: tests, examples, and third-party.

**Detect duplicates** Similarly to the preparation of our Java corpus, we calculated the MD5 hash of all the files. The 223 packages containing more than 300 duplicate files were manually reviewed and fixed in case the duplication could be explained. Common reasons were failures in detecting multiple versions (90 packages), forks, and included third-party libraries.

\[\text{All code and data is available at: } \text{http://www.cwi.nl/~landman/jsep2015/} \]

\[\text{https://packages.gentoo.org/}\]
1: int calcCC(Statement impl) {
2:   int result = 1;
3:   visit (impl) {
4:     case \if(_,_) : result += 1;
5:     case \if(_,_,_) : result += 1;
6:     case \case(_) : result += 1;
7:     case \do(_,_) : result += 1;
8:     case \while(_,_) : result += 1;
9:     case \for(_,_,_) : result += 1;
10:    case \for(_,_,_,_) : result += 1;
11:    case foreach(_,_,_) : result += 1;
12:    case \catch(_,_): result += 1;
13:    case \conditional(_,_,_): result += 1;
14:    case infix(_,"&&",_): result += 1;
15:    case infix(_,"||",_) : result += 1;
16:   }
17:   return result;
18: }

Figure 2. RASCAL source code to calculate the CC of a given method. The visit statement is a combination of a regular switch and the visitor pattern. The cases pattern match on elements of the AST.

Keep only related files For the packages still containing C files, we also kept all files related to the possible compilation of the library. All other files were removed.

Performing these steps resulted in a corpus of 19 GB containing 9.8 K packages with 13 GB of C code in 798 K files. The corpus is publicly available [62].

3.3. Measuring Java’s SLOC and CC

While numerous tools are available to measure SLOC and CC on a file level to perform our study we require to calculate SLOC and CC per method and to precisely control the definition of both metrics. We use the M3 framework [63], which is based on the Eclipse JDT [67] to parse the full Java source code and identify the methods in the corpus. This also generates full ASTs for each method for further analysis. Figure 2 depicts the source code of computing the CC from the AST of a method. The code recursively traverses the AST and matches the enumerated nodes, adding 1 for each node that would generate a fork in the Java control flow graph.

For SLOC we decided not to depend on the information in the Eclipse ASTs (ASTs are not designed for precisely recording the lexical syntax of source code). Instead we use the ASTs only to locate the source code of each separate method. To compute its SLOC we defined a grammar in RASCAL [64] to tokenize Java input into newlines, whitespace, comments and other words. The parser produces a list of these tokens which we filter to find the lines of code that contain anything else but whitespace or comments. We tested and compared our SLOC metric with other tools measuring full Java files to validate its correctness.

To be able to compare SLOC of only the subroutines compared to SLOC of the entire file we store the SLOC of each Java method body separately (see Hypothesis 4). For Java, files without method bodies, such as interface definitions, were ignored. Out of the 2 M files, 306 K were ignored since they did not contain any method bodies.

3.4. Measuring C’s SLOC and CC

To perform our analysis on the C code we use the Software Analysis Toolkit (SAT) of the Software Improvement Group (SIG). This proprietary toolkit uses a robust analysis approach, processes over a billion SLOC per year and forms the basis of the consultancy services of SIG. As part of these services the measurements performed by the toolkit are continuously validated, both by the internal development team as well as externally by the development teams of clients and third-party suppliers.
The measurement process of the SAT consists roughly of four phases: preprocessing, tokenization, scope creation, and measurements. In the first phase, preprocessor directives are removed from the source-code. This step is required to solve issues such as illustrated in Figure 3 where only one unit-declaration ends up in the final binary depending on whether `debug` is defined. When both parts are kept two unit headers, but only a single close-bracket would be used as input to the next phase. To prevent problems in the scope creation phase, i.e. not being able to find the correct units, only the first code blocks of conditional preprocessor directives are kept. I.e., in the code in Figure 3, only the second and sixth line is passed on to the next phase.

This pragmatic approach is used because running the preprocessor is prone to errors and labour intensive due to projects relying on specific tools and versions. Moreover, choosing a representative set of system constants is often not possible and adds unnecessary complexity to the assessment process. Processing all sources in the same way reduces overhead and makes the measurement step more objective. In our experience, choosing the first preprocessor block captures most of the code and provides reliable results in assessments where the results are validated with the development teams. Since this validation step is not possible in this experiment all files which after processing contain unbalanced curly braces are removed from the corpus.

In the second phase the code is tokenized using an internally developed tokenizer. The resulting list of tokens is used in the scope creation phase to extract a scope tree containing subroutines, modules, and packages (depending on the language). For C, the token list is inspected for patterns representing the headers of subroutines (for example the second line in the code above) and the body blocks (the brackets on line two and six). These scope blocks are then put into an internal graph structure.

To perform the actual measurements all nodes representing subroutines are processed by a visitor which works on the list of tokens associated with the node. Similar to the approach for Java, SLOC is measured by identifying all lines within a function which contain anything else than comments or whitespace. To calculate the CC all tokens representing the keywords `case`, `if`, `for` and `while` and the operators `||`, `&&` and `?` are counted. Note that since we match on tokens instead of AST nodes the `while` token also captures any `do...while` statements, making this implementation equal to the one defined for Java—Figure 2.

C code is split over `.c` and `.h` files. Herraiz and Hassan [47] ignored all headers files (.h), but we did include them. The reason is that for C, although it is a less common idiom, putting functions in a header file is possible. Our C corpus contains 333 K header files. We chose to ignore all `.c` and `.h` files without any function bodies (similar to Java interfaces). This results in removing 310 K `.h` and 23 K `.c` files.

3.5. Visualization & Statistics Methods

Before discussing the results (Section 4), we will first discuss the chosen visualizations and statistical methods.

3.5.1. Distributions

Before comparing SLOC and CC, we describe the distributions in our data using histograms and descriptive statistics (median, mean, min and max). The shape of distributions does have an impact on the correlation measures used, as explained above. All results (Section 4) should be interpreted with these distributions in mind.

3.5.2. Hexagonal Scatter plots

Scatter plots with SLOC on the x-axis and CC on the y-axis represent the data in a raw form. Due to the long tail distributions of both CC and SLOC, the data is concentrated in the lower left quadrant of the plots and many of the dots are placed on top of each other. Therefore,
we also use log-log scatter plots. We use hexagonal scatter plots \[65\] to address overplotting and Type I errors (false positives). The latter method divides the two-dimensional plane of the plot area in 50 times 50 hexagons. It then counts how many of the data points fall into each individual hexagon and uses a logarithmic 255-step gray scale gradient to color it. Compared to vanilla scatter plots the hexagonal plots are a lot less confusing; the main problem is that a limited resolution on paper can create artifacts such as big black blobs of ink where in fact the raw data does not feature maximum density at all (i.e. overplotting causing Type I errors). Nevertheless, it should be noted that the gradient as well as human perception have a limited resolution and as such hexagonal plots can still hide the full impact of the skewness of the distributions and the variance in the data.

3.5.3. Correlation Most related work, if reported, uses Pearson product-moment correlation coefficient \[66\] (hereafter Pearson correlation), measuring the degree of linear relationship between two variables. The square of Pearson correlation is called the coefficient of determination \((R^2)\). \(R^2\) estimates the variance in the power of one variable to predict the other using a simple linear regression. Hereafter we report the \(R^2\) to describe a correlation.

Many researchers have observed that the distributions of SLOC (and CC) are right-skewed. While opinions differ on robustness of the Pearson correlation against normality violations \[56, 67\], a number of earlier studies attempt to compensate for the skewness of the distribution by applying a log transform and then compute the Pearson correlation \[6, 43, 46\]. The important matter of interpreting the results after a log transform back to the original data is discussed in Section 5.

Other researchers have transformed the data using more advanced methods in order to improve the chances for linear correlation. For example, using Box-Cox transformation \[47\] or performing the Repeated Median Regression (RMR) method on a random sample \[46\]. Box-Cox is a power transform similar to the basic log transform. We have chosen to stick with the simpler method, following the rest of the related work which we are trying to reproduce (Hypothesis 5).

The next method, RMR, may be useful to find some linear model, but it entails a lossy transformation. The median regression method reduces the effect of random measurement errors in the data by computing a running median. We do not have random errors in the CC or SLOC measurements, so a running median would hide interesting data. Therefore, RMR is outside the scope of this paper.

If no linear correlation is to be expected, or is found using Pearson’s method, we use Spearman’s rank-order correlation coefficient \[68\] (hereafter Spearman correlation or \(\rho\)). Similarly to the Pearson correlation, Spearman’s correlation is a bivariate measure of correlation/association between two variables. However, opposed to the Pearson correlation, Spearman’s correlation is employed with rank-order data, measuring the degree of monotone relationship between two variables. We apply this method only for completeness sake, since it does not generate a predictive model which we could use to discard one of the metrics.

3.5.4. Regression The square of Pearson’s correlation coefficient is the same as the \(R^2\) in simple linear regression. Hence, if we would find a strong correlation coefficient we would be able to construct a good predictive linear model between the two variables, and one of the metrics would be obsolete. It is therefore important to experimentally validate the reported high correlation coefficients in literature (see Table 1). In general for other correlation measures (such as Spearman’s method) this relation between regression and correlation is not immediate. In particular, a strong Pearson correlation coefficient after a log transform does not give rise to an accurate linear regression model of the original data. We discuss this in more detail later when interpreting the results in Section 5.

4. RESULTS

In this section we report the results of our experiments and the statistics we applied to it. We postpone discussion of these results until Section 5.
Figure 4. Distribution of the non-empty projects/packages over their total SLOC. SLOC is on a log_{10} scale, bin width is 0.05.

Table II. Statistics of the total SLOC per project in the corpus.

<table>
<thead>
<tr>
<th>Corpus</th>
<th>Min.</th>
<th>25%</th>
<th>Median</th>
<th>Mean</th>
<th>75%</th>
<th>Max.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Java</td>
<td>0</td>
<td>1099</td>
<td>3219</td>
<td>15 270</td>
<td>10 250</td>
<td>2 207 000</td>
</tr>
<tr>
<td>C</td>
<td>1</td>
<td>671</td>
<td>3036</td>
<td>21 200</td>
<td>12 430</td>
<td>3 333 000</td>
</tr>
</tbody>
</table>

Table III. Descriptive statistics of the SLOC and CC per Java method and C function.

<table>
<thead>
<tr>
<th>Corpus</th>
<th>Variable</th>
<th>Min.</th>
<th>25%</th>
<th>Median</th>
<th>Mean</th>
<th>75%</th>
<th>Max.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Java</td>
<td>SLOC</td>
<td>1</td>
<td>3</td>
<td>3</td>
<td>9.38</td>
<td>9</td>
<td>33 850</td>
</tr>
<tr>
<td></td>
<td>CC</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2.33</td>
<td>2</td>
<td>4 377</td>
</tr>
<tr>
<td>C</td>
<td>SLOC</td>
<td>1</td>
<td>6</td>
<td>12</td>
<td>26.36</td>
<td>27</td>
<td>44 880</td>
</tr>
<tr>
<td></td>
<td>CC</td>
<td>1</td>
<td>1</td>
<td>3</td>
<td>5.98</td>
<td>6</td>
<td>18 320</td>
</tr>
</tbody>
</table>

4.1. Distributions for Java and C

Figure 4 shows the histogram of SLOC per project and Table II describes this distribution. The Java corpus contains 17.6 M methods spread out over 1.7 M files and the C corpus has 6.3 M functions spread over 462 K files. The C corpus seems to have a disproportional number of packages with a low SLOC, even on the logarithmic scale. After randomly inspecting a number of packages in the range between 1 and 20 files we concluded that next to naturally small packages these are C files which are part of larger packages written in other languages such as Java, Python or Perl. Lacking any argument to dismiss these files, we assume them to be just as representative of arbitrary C code as the rest.

Figure 5 shows the distribution of SLOC per Java method and C function. Table III describes their distributions. We observe skewed distributions with a long tail. To measure the degree of skewness we calculate the moment coefficient of skewness \[ \mu_3 \], i.e. the third standardized moment of the probability distribution. A positive value indicates that the right-hand tail is longer or fatter than the left-hand one. A negative value indicates the reverse. A value close to zero suggest a symmetric distribution. For our corpora the moment coefficient of skewness equals 234.75 for SLOC in Java and 107.28 for SLOC in C. After the log transform it equals 1.05 for Java and 0.40 for C.

This means that the mean values are not at all representative for the untransformed corpora, and that the smallest subroutines dominate the data. For Java, 8.8 M of the methods have 3 SLOC or fewer. This is 50% of all data points. There are 1.2 M methods with 1 or 2 SLOC, these are the methods with an empty body, in two different formatting styles or (generated) methods without newlines. The other 7.6 M methods of 3 SLOC contain the basic getters, setters, and throwers pattern frequently seen in Java methods—often called one-liners. For C, this is less extreme, only 13% of the functions have a SLOC of 3 or less. The corpora differ in the strength of the skewness here: the C corpus has
Figure 5. Histogram of the SLOC per subroutine in both corpora, in log-log space (bin width is 0.1). Here we see that for both Java and C, small methods and functions are the most common. The bar around 1000 for Java and 3000 for C are two cases where a project contained multiple files of generated code that slightly differed per file. See Figure 7 to compare the distribution.

Figure 6. Histogram of the CC per subroutine in both corpora, in log-log space (bin width is 0.1). Here we see that for both Java and C, methods and functions with little control flow are the most common. See Figure 7 to compare the distribution.

Figure 7. Relative frequency polygons for both corpora and both variables. The variables are displayed on a logarithmic scale. Relative frequency polygons are histograms normalized by the amount of data points, the area under the curve is 1. They visualize the relative difference between distributions.

proportionally fewer of the smallest subroutines than the Java corpus has. Nevertheless both plots have their mode at 3 SLOC.

Figure 6 shows the distribution of CC per Java method and C function. For the Java corpus, 15.2 M methods have a CC of 3 or less. This is 86% of all data points. There are 11.6 M methods without any forks in the control flow (1 CC), i.e. 65%. This observation is comparable with the 64% reported by Grechanik et al. for 2 K randomly chosen Java projects from SourceForge [70]. We observe that
the lion’s share of Java methods are below the common CC thresholds of 10 \((97.00\%)\) \[15\] or 15 \((98.60\%)\) \[71\]. The C corpus shows a comparable picture, but again with a more even distribution which puts less emphasis on the smallest subroutines. For C the median is at 3 while for Java it was 1. Still 33\% of the C subroutines have a CC of 1 (straight line code). We do see that both corpora have their mode of CC at 1. For C 85.60\% functions are below the common CC threshold of 10 and 91.70\% below 15.

Comparing the shape of Java’s and C’s distributions is complicated by the difference in corpus size. To visualize the difference in the distribution, we have used relative frequency polygons (Figure 7). These relative frequency polygons are normalized by the size of the corpus and thus the area under the curve is 1. This more clearly shows the difference in distribution between Java and C; for Java there are relatively more methods with a small SLOC and CC than C functions. The shape of the distributions is a controversial matter which we consider outside the scope of this article.

4.2. Scatter plots

Figure 8 shows two zoomed in \((CC \leq 500\) and SLOC \(\leq 1800\)) hexagonal scatter-plots of the subroutines in our corpus. Due to the skewed-data, this figure still shows 99.98\% of all data points. Figure 9 shows the same hexagonal scatter-plots in a log-log space, allowing to show more data. The two black lines in both figures show the linear regressions before and after the log transform which will be discussed in Section 4.3. The logarithmic grayscale gradient of the points in the scatter-plot visualizes how many subroutines have that combination of CC and SLOC: the darker, the more data points. Figure 10 shows an even more zoomed in range of the scatter-plots, in these box plots we can more clearly see the variance of CC increasing as SLOC increases. Moreover the median is increasing, but so is the inter-quartile range. We have not created these plots for the full range of the data since these plots do not scale.

Figures 8 and 9 show a widely scattered and noisy field, with a high concentration of points in the left corner. The outline of these concentrations might hint at a positive (linear) monotone relation. However, the same outline is bounded by the minimum CC number \((1)\) and the expected maximum CC number \((CC\) is usually not higher than SLOC given a source code layout of one conditional statement on a single line).

We do find some points above the expected maximum CC, which we found out to be generated code and code with dozens of Boolean operators on one single line.

In previous work on the same Java corpus we reported the same data as plotted in Figure 9a in a normal scatter plot (Figure 5 in our previous work \[10\]). There we observed several “lines” which might be attributed to common code idioms. The current hexagonal plot does not show these lines (see Section 3.5.2 which motivates hexagonal plots).

4.3. Pearson correlation

In Table IV, the first row shows the Pearson correlation over the whole corpus. The \(R^2\) of SLOC and CC is 0.40 for Java and 0.44 for C. Figures 8a and 8b respectively depict these linear fits, \(CC = 0.92 + 0.15 \cdot SLOC\) and \(CC = 1.70 + 0.16 \cdot SLOC\), as a solid black line. These \(R^2\) are much lower than the related work in Table I even if we focus on the related work at the subroutine/function/method level.

The Pearson correlation after a log transform showed higher numbers, which are more in line with related work that also applies a log transform \([6, 43, 46, 47]\). The fit for Java, the dashed line in Figures 8a and 8a is \(CC_{10} = -0.28 + 0.65 \cdot \log_{10}(SLOC) \Leftrightarrow CC = 10^{-0.28} \cdot SLOC^{0.65}\). The fit for C (Figures 8b and 9b) is \(CC = 10^{-0.41} \cdot SLOC^{0.79}\). More on the interpretation of this transform and the results is discussed in Section 5.

As discussed earlier, the data is skewed towards small subroutines and simple control flow graphs. Since 50\% of Java’s method and 13\% of C’s functions have a SLOC between 1 and 3, these points have a high influence on the correlation. We could argue that the relation—between SLOC and CC—for these smaller subroutines are less interesting. Therefore, to test Hypothesis 6 Table IV also shows
Figure 8. Scatter plots of SLOC vs CC zoomed in on the bottom left quadrant. The solid and dashed lines are the linear regression before and after the log transform. The grayscale gradient of the hexagons is logarithmic.

Figure 9. Scatter plots of SLOC vs CC on a log-log scale. The solid and dashed lines are the linear regression before and after the log transform. The grayscale gradient of the hexagons is logarithmic.
Figure 10. Box plots of CC per SLOC on the lower range, illustrating the wide spread of Figure 9a and Figure 9b. The median is the black line in the box, bottom and top of the box are the first and third quartile, the hinges are at the traditional 1.57 * inter-quartile range [72].

the Pearson correlations for parts of the tail of the SLOC variable§§. Each row shows a different percentage of the tail of the data, and the minimum SLOC for that part.

Perhaps surprisingly the higher the minimum SLOC—Table IV—the worse the correlation. This directly contradicts results from Herraiz and Hassan [47], who reported improving correlations for higher regions of SLOC. However, Jbara et al. [8] also reported decreasing correlations, except that they looked at higher CC instead of SLOC.

In three papers we cited earlier [32, 41, 43] the largest subroutines are removed from the data before calculating correlation strength, as opposed to removing the smallest subroutines (see above). To be able to compare we report in Table V the effect of removing different percentages of the tail (related to Hypothesis [7]. We mention the maximum SLOC which is still included in each sub-set.

We further explore removing both the smallest and the largest subroutines. We observed that for a fixed maximum SLOC, increasing the minimum SLOC results in lower $R^2$ (similarly to Table IV). We further observe that for a fixed minimum SLOC, increasing the maximum SLOC results in the increase of $R^2$ followed by the decrease (similarly to Table V). Finally we observe that the optimal $R^2$ values are obtained when no small subroutines are eliminated and the maximum SLOC is 130 for Java ($R^2 = 0.60$) and 430 for C ($R^2 = 0.67$). While the optimal $R^2$ values seem to be quite close, the maximum SLOC for C exceeds the maximum SLOC for Java by more than three times. This factor is

§§Normal quantiles do not make sense for this data since the first few buckets would hold most of the data points for only a few of the CC and SLOC values (e.g. 1–4)
Table IV. Correlations for part of the tail of the independent variable SLOC. All correlations have a high significance level ($p \leq 1 \times 10^{-16}$).

(a) Java methods

<table>
<thead>
<tr>
<th>Min. SLOC</th>
<th>Coverage</th>
<th>$R^2$</th>
<th>$\log R^2$</th>
<th>$\rho$</th>
<th>Methods</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>100%</td>
<td>0.40</td>
<td>0.68</td>
<td>0.80</td>
<td>17 633 256</td>
</tr>
<tr>
<td>3</td>
<td>50%</td>
<td>0.37</td>
<td>0.58</td>
<td>0.74</td>
<td>8 816 628</td>
</tr>
<tr>
<td>5</td>
<td>40%</td>
<td>0.36</td>
<td>0.50</td>
<td>0.67</td>
<td>7 053 303</td>
</tr>
<tr>
<td>9</td>
<td>25%</td>
<td>0.34</td>
<td>0.38</td>
<td>0.60</td>
<td>4 408 314</td>
</tr>
<tr>
<td>11</td>
<td>20%</td>
<td>0.33</td>
<td>0.33</td>
<td>0.57</td>
<td>3 526 652</td>
</tr>
<tr>
<td>20</td>
<td>10%</td>
<td>0.30</td>
<td>0.20</td>
<td>0.50</td>
<td>1 763 326</td>
</tr>
<tr>
<td>77</td>
<td>1%</td>
<td>0.21</td>
<td>0.03</td>
<td>0.33</td>
<td>1 763 333</td>
</tr>
<tr>
<td>230</td>
<td>0.100%</td>
<td>0.14</td>
<td>0.00</td>
<td>0.21</td>
<td>1 764</td>
</tr>
<tr>
<td>688</td>
<td>0.010%</td>
<td>0.08</td>
<td>0.00</td>
<td>0.17</td>
<td>1 764</td>
</tr>
</tbody>
</table>

(b) C functions

<table>
<thead>
<tr>
<th>Min. SLOC</th>
<th>Coverage</th>
<th>$R^2$</th>
<th>$\log R^2$</th>
<th>$\rho$</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>100%</td>
<td>0.44</td>
<td>0.71</td>
<td>0.83</td>
<td>6 259 031</td>
</tr>
<tr>
<td>12</td>
<td>50%</td>
<td>0.42</td>
<td>0.52</td>
<td>0.71</td>
<td>3 129 516</td>
</tr>
<tr>
<td>16</td>
<td>40%</td>
<td>0.41</td>
<td>0.47</td>
<td>0.68</td>
<td>2 503 613</td>
</tr>
<tr>
<td>27</td>
<td>25%</td>
<td>0.39</td>
<td>0.37</td>
<td>0.64</td>
<td>1 564 758</td>
</tr>
<tr>
<td>33</td>
<td>20%</td>
<td>0.38</td>
<td>0.33</td>
<td>0.62</td>
<td>1 251 807</td>
</tr>
<tr>
<td>56</td>
<td>10%</td>
<td>0.36</td>
<td>0.22</td>
<td>0.56</td>
<td>6 259 044</td>
</tr>
<tr>
<td>218</td>
<td>1%</td>
<td>0.28</td>
<td>0.05</td>
<td>0.39</td>
<td>6 259</td>
</tr>
<tr>
<td>703</td>
<td>0.100%</td>
<td>0.20</td>
<td>0.01</td>
<td>0.30</td>
<td>6 260</td>
</tr>
<tr>
<td>2627</td>
<td>0.010%</td>
<td>0.12</td>
<td>0.00</td>
<td>0.01</td>
<td>6 260</td>
</tr>
</tbody>
</table>

Table V. Correlations for part tail of the independent variable SLOC removed. All correlations have a high significance level ($p \leq 1 \times 10^{-16}$).

(a) Java methods

<table>
<thead>
<tr>
<th>Max. SLOC</th>
<th>Coverage</th>
<th>$R^2$</th>
<th>$\log R^2$</th>
<th>$\rho$</th>
<th>Methods</th>
</tr>
</thead>
<tbody>
<tr>
<td>33 851</td>
<td>100%</td>
<td>0.40</td>
<td>0.68</td>
<td>0.80</td>
<td>17 633 256</td>
</tr>
<tr>
<td>934</td>
<td>99.995%</td>
<td>0.53</td>
<td>0.68</td>
<td>0.80</td>
<td>17 632 374</td>
</tr>
<tr>
<td>688</td>
<td>99.990%</td>
<td>0.54</td>
<td>0.68</td>
<td>0.80</td>
<td>17 631 492</td>
</tr>
<tr>
<td>230</td>
<td>99.900%</td>
<td>0.59</td>
<td>0.68</td>
<td>0.80</td>
<td>17 615 622</td>
</tr>
<tr>
<td>77</td>
<td>99%</td>
<td>0.59</td>
<td>0.67</td>
<td>0.79</td>
<td>17 456 923</td>
</tr>
<tr>
<td>20</td>
<td>90%</td>
<td>0.51</td>
<td>0.55</td>
<td>0.74</td>
<td>15 869 930</td>
</tr>
<tr>
<td>11</td>
<td>80%</td>
<td>0.43</td>
<td>0.41</td>
<td>0.66</td>
<td>14 106 604</td>
</tr>
<tr>
<td>9</td>
<td>75%</td>
<td>0.37</td>
<td>0.32</td>
<td>0.60</td>
<td>13 224 942</td>
</tr>
<tr>
<td>5</td>
<td>60%</td>
<td>0.07</td>
<td>0.04</td>
<td>0.28</td>
<td>10 579 953</td>
</tr>
<tr>
<td>3</td>
<td>50%</td>
<td>0.00</td>
<td>0.00</td>
<td>0.02</td>
<td>8 816 628</td>
</tr>
</tbody>
</table>

(b) C functions

<table>
<thead>
<tr>
<th>Max. SLOC</th>
<th>Coverage</th>
<th>$R^2$</th>
<th>$\log R^2$</th>
<th>$\rho$</th>
<th>Functions</th>
</tr>
</thead>
<tbody>
<tr>
<td>44 881</td>
<td>100%</td>
<td>0.44</td>
<td>0.71</td>
<td>0.83</td>
<td>6 259 031</td>
</tr>
<tr>
<td>3715</td>
<td>99.995%</td>
<td>0.63</td>
<td>0.71</td>
<td>0.83</td>
<td>6 258 718</td>
</tr>
<tr>
<td>2622</td>
<td>99.990%</td>
<td>0.63</td>
<td>0.71</td>
<td>0.83</td>
<td>6 258 405</td>
</tr>
<tr>
<td>703</td>
<td>99.900%</td>
<td>0.67</td>
<td>0.70</td>
<td>0.83</td>
<td>6 252 771</td>
</tr>
<tr>
<td>218</td>
<td>99%</td>
<td>0.66</td>
<td>0.69</td>
<td>0.83</td>
<td>6 196 440</td>
</tr>
<tr>
<td>56</td>
<td>90%</td>
<td>0.56</td>
<td>0.61</td>
<td>0.80</td>
<td>5 633 127</td>
</tr>
<tr>
<td>33</td>
<td>80%</td>
<td>0.47</td>
<td>0.54</td>
<td>0.75</td>
<td>5 007 224</td>
</tr>
<tr>
<td>27</td>
<td>75%</td>
<td>0.44</td>
<td>0.50</td>
<td>0.73</td>
<td>4 694 273</td>
</tr>
<tr>
<td>16</td>
<td>60%</td>
<td>0.33</td>
<td>0.38</td>
<td>0.65</td>
<td>3 755 418</td>
</tr>
<tr>
<td>12</td>
<td>50%</td>
<td>0.26</td>
<td>0.29</td>
<td>0.59</td>
<td>3 129 515</td>
</tr>
</tbody>
</table>
reminiscent of the apparent ratios between 1st quartile, median, mean, and 3rd quartile of the Java and C corpora in Table III.

As we will discuss in Section 5, the increasing variance in both dimensions causes the largest subroutines have a large effect on linear correlation strength. To dig further we did read the code of a number of elements in these long tails (selected using a random number generator). For Java we read ten methods out of 1762 with SLOC > 688 and for C we also read ten functions out of the 652 with SLOC > 2622. We observed that five out of these ten methods in Java were clearly generated code and four out of the ten sampled C functions as well.

We further analyze the strength of the linear correlation after log transform (Hypothesis 5). Figure 11 shows the residual plot of the dashed line shown in the scatter-plots. A residual plot displays the difference between the prediction and the actual data. For a good model, the error should contain no pattern, and have a random distribution around the zero-line. Here we clearly see the variance in CC increasing as SLOC increases. This further supports results from Table IV, where the prediction error for CC grows with higher SLOC.

This increasing variance we observed is a form of heteroscedasticity. Heteroscedasticity refers to the non-constant variance of the relation between two variables. The Breusch-Pagan test [73] confirmed ($p < 2.20 \times 10^{-16}$) that the relation between CC and SLOC is indeed heteroscedastic for both Java and C. Heteroscedasticity may bias estimated standard errors for the regression parameters [73], making the interpretation of the linear regression potentially error-prone.

4.4. Alternative explanations

This subsection will explore alternative explanations to further understand the impact of different choices made by related work (Section 2.2).

4.4.1. CC variant As discussed in Section 2.1, there is confusion on which AST nodes should be counted for CC. To understand the effect of this confusion on the correlation, we have also calculated the CC without counting the && and || Boolean operators. The CC changed for 1.3 M of the 17.6 M Java methods, of with the CC of 74.2 K methods changed by more than 50%. For C, 1.5 M of the 6.3 M functions had a different CC, of which the CC of 73.3 K functions changed by more than 50%. However, this change has negligible effect on correlation. For Java, the $R^2$ changed from 0.40 to 0.41 and for C it stayed at 0.44. Similarly small effects were observed for other ranges of Table IV and V.

4.4.2. Aggregation To investigate Hypothesis 3, we have also aggregated CC and SLOC on file level. This A/B experiment isolates the factor of aggregation. In Table VI the “None” rows repeat the $R^2$ before aggregation for Java and C (cf. the first rows in Tables IV and V). The “File” rows show the $R^2$ for the aggregated CC and SLOC before and after the log transform.
Table VI. Correlations (before and after a log transform) between the aggregated SLOC and CC metrics on a file level (Hypothesis 3) and after summing only the bodies of the subroutines (Hypothesis 4). The first row per language are a copy of the first rows in Table V.

<table>
<thead>
<tr>
<th>Language</th>
<th>Aggregation</th>
<th>$R^2$</th>
<th>log $R^2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Java</td>
<td>None</td>
<td>0.40</td>
<td>0.68</td>
</tr>
<tr>
<td></td>
<td>File</td>
<td>0.64</td>
<td>0.87</td>
</tr>
<tr>
<td></td>
<td>$\sum$ Method</td>
<td>0.73</td>
<td>0.90</td>
</tr>
<tr>
<td>C</td>
<td>None</td>
<td>0.44</td>
<td>0.71</td>
</tr>
<tr>
<td></td>
<td>File</td>
<td>0.39</td>
<td>0.84</td>
</tr>
<tr>
<td></td>
<td>$\sum$ Function</td>
<td>0.70</td>
<td>0.90</td>
</tr>
</tbody>
</table>

Figure 12. Scatter plots of SLOC vs CC for Java and C files. The solid and dashed lines are the linear regression before and after the log transform. The grayscale gradient of the hexagons is logarithmic.

Figure 12 shows the hexagonal scatter plots for the aggregation on file level. The two black lines show the linear regression before and after the log transform. The dashed line is the regression after log transform. It can be observed that for larger files these regressions do not seem to fit the data, i.e. smaller files dominate the fitting of the regression line.

Since the previous experiment includes the confounding factor of header size, we now report on another A/B test to investigate Hypothesis 4. We aggregate the subroutine values of CC and SLOC on file level. The “$\sum$ Method” and “$\sum$ Function” rows in Table VI indicate the increase of $R^2$ both for Java and C.

In Section 4.3 we showed how the non-constant variance (heteroscedasticity) causes the largest subroutines to have a large impact on the correlations. To investigate the difference between file level (Hypothesis 3) and subroutine level (Hypothesis 4) aggregation we also report the effect of removing the largest files on the correlations. Removing the 5% largest files from Java (848 files) and C (231 files)—similarly to Section 4.3—improves $R^2$ to 0.83 (from 0.64) for Java and 0.64 for C (from 0.39).

Digging further to see what kind of code could have such an large impact, we used a random number generator to sample ten large files for both corpora (SLOC > 3601 for Java and SLOC > 19934 for C). We then manually inspected the source code in these files. Five out of ten files were clearly generated code in the Java selection and nine out of ten in the C selection. Two of these generated C files, were the result of a process called “amalgamation” where the developer includes all hand-written code of a library project into a single file to help C compiler optimization or ease deployment.
4.5. Spearman correlation

Although our main hypothesis is about linear Pearson correlation, we can compute Spearman’s correlation to find out if there is a monotone relation. The results are also in Table IV and Table V showing reasonably high $\rho$ values, but decreasing rapidly when we move out of the lower ranges that the distribution skews towards.

This indicates that for the bulk of the data it is indeed true that a new conditional leads to a new line of code; an unsurprising and much less profound observation than the acceptance or rejection of Hypothesis 1. However, it is still interesting to observe the decline of the Spearman correlation for higher SLOC which reflects the fact that many different combinations of SLOC and CC are being exercised in the larger methods of the corpus.

5. DISCUSSION

Here we interpret the results from Section 4. Note that we only have results for Java and C and we sometimes compare these informally to results on different programming languages summarized in Table I.

5.1. Hypothesis 1—Strong Pearson correlation

Compared to $R^2$ between 0.51 and 0.96 [6,8,23,24,31,34,35] summarized in Table I, our $R^2$ of 0.40 and 0.44 are relatively low. This is reason enough to reject the hypothesis: for Java methods and C functions there is no evidence of a strong linear correlation between SLOC and CC in these large corpora, suggesting that—at least for Java and C—CC measures a different aspect of source code than SLOC, or that other confounding factors are generating enough noise to miss the relation. Here we focus on related work with the same aggregation level and without log transforms. We conclude that these results, for different programming languages and smaller corpora, do not generalize to our corpora. For higher aggregation levels see our discussion of Hypothesis 3 below.

The cause of the low $R^2$ in our data seems to be the high variance of CC over the whole range of SLOC. We observe especially that the variance seems to increase when SLOC increases: the density of control flow statements for larger subroutines is not a constant. This heteroscedasticity is confirmed by the Breusch-Pagan test. Of course the shape of the distribution influences the results as well, which we investigate while answering Hypothesis 5.

5.2. Hypothesis 2—No effect of Boolean operators

The results show that the corpora did not contain significant use of the short-circuit Boolean operators. At least there is not enough support to change the conclusion of Hypothesis 1. We can therefore not reject Hypothesis 2.

Nevertheless, the CC of 8% Java methods and 23% C functions that do use Boolean operators are influenced. It is interesting to note that these subroutines sometimes had very long lines. These subroutines would be missed when counting only SLOC or when ignoring the operators for CC.

What we conclude is that the difference between related work and our results cannot be explained by a different version of CC, since changing it does not affect the correlation. Our recommendation is that for Java and C, the CC computation should include the && and || Boolean operators, since they do measure a part of the control flow graph as discussed in Section 2.

There is no evidence for strong linear correlation between CC and SLOC. Lower $R^2$ values can be attributed to high variance of CC for the whole range of SLOC.

Lack of correlation can not be explained by including or excluding boolean operators in the calculation of CC.
5.3. Hypothesis 3 and 4—Effect of aggregation (sum)

Related work [5, 7, 10, 22, 25, 28, 32, 33, 36, 41, 43, 45, 49] reported high correlations between CC and SLOC on a larger than methods/functions/subroutines level. For Java we found similar high correlation after aggregating CC and SLOC on a file level, however not for C. After removing the largest 5% core files for C, we also do not find better correlations. Hypothesis 3 can therefore not be rejected for Java, but it is rejected for the C corpus. Hence, for the Java corpus we may conclude that a high $R^2$ is indeed caused by summing up CC. For the C corpus we investigated if another influencing factor such as the variance in the header code (see Sections 2.3 and 4.4.2) could explain the rejection of Hypothesis 3.

Hypothesis 4 was introduced, therefore, to investigate the impact of the header code (in files) on the correlation values as opposed to summation of the values at the subroutine level. The only difference between Hypotheses 3 and 4 is the inclusion or exclusion of SLOC outside the subroutine bodies for the entire corpus. For Java and C we both found high correlations after aggregating CC and SLOC on a subroutine level, i.e. taking the sum of the CC and SLOC for all subroutines in a file. These observations support Hypothesis 4 (now also for the C corpus) and indicate that the variance of SLOC in the header was indeed a confounding factor for the previous experiment. High correlation between the number of methods and the number of fields reported by Grechanik et al. [70] might explain why header size did not have confounding effect for Java. We conclude that Hypothesis 4 is not rejected for both Java and C.

Previously we rejected Hypothesis 1—a strong Pearson correlation for non-aggregated data. So, we have a strong indication that the related work reporting a high correlation based on a file level aggregation is likely caused by the aggregation itself rather than a linear relation between SLOC and CC. Since we cannot literally reproduce the data of the related work, this conclusion must remain a conjecture, but the above experiments do isolate a strong effect of aggregation on our corpora.

In conclusion, the number of subroutines is a factor of system size and aggregation influences the correlation positively. Similar observation has been made for the relation between SLOC and the number of defects [52]. Therefore, we deem aggregated CC more unnecessary as level of aggregation grows larger (classes, packages, systems). If CC should be aggregated for another (external) reason, more advanced aggregation techniques such as econometric inequality indexes [52–54] should be used rather than sum.

**Summing CC and SLOC on a file level could have caused high correlations reported in related work.**

5.4. Hypothesis 5—Positive effect of the log transform

As reported in related work [6, 43, 46, 47], a log transform indeed increases the $R^2$ values (from 0.40 to 0.68 for Java and from 0.44 to 0.71 for C). Because of this we do not reject Hypothesis 5. This finding agrees with the earlier observation on the impact of the log transform on $R^2$ [58].

However, what does a high Pearson correlation after log transform suggest for the relation between SLOC and CC? Does it have predictive power? Recall that the Pearson correlation estimates a linear model like this: $CC = \alpha + \beta \cdot SLOC$. Hence, if the model after the log transform is $\log_{10}(CC) = \alpha + \beta \cdot \log_{10}(SLOC)$, then $CC = 10^\alpha \cdot SLOC^\beta$ which implies the non-linear and monotonic model. Note that the $R^2$ of 0.68 and 0.71 do not have a natural interpretation in this non-linear model. Indeed, as recognised in the literature [74, 75] the log scale results must be retransformed to the original scale leading to “a very real danger that the log scale results may provide a very misleading, incomplete, and biased estimate of the impact of covariates on the untransformed scale, which is usually the scale of ultimate interest” [74]. The experiment resulting in a Spearman $\rho$ at 0.80 and 0.83 do confirm the monotonicity as well as the correlation, but it does not help interpreting these results.

Comparing this $R^2$ after the log transform to the $R^2$ before transformation is a complex matter; indeed the literature suggests that the $R^2$ values are not comparable [57, 58]. In the lower range of SLOC and CC, the effect of the log transform is small, however as SLOC increases, so does the impact of the transform. Furthermore, the variance of the model after the transform increases a lot.
with higher SLOC as well (see Figure 11). We conclude that the observations of a $R^2$ being higher after transform reinforce the conclusion of Hypothesis 1 (there is no strong Pearson correlation), but do not immediately suggest that there exists an exponential relation between SLOC and CC. The variance is too high and not predictable enough.

In combination with aggregation (sum) log transform has lead to the highest $R^2$ values observed (cf. Table VI). However, the regression lines do not fit the data for larger files (cf. Figure 12). This is caused by the heavy skew of the distributions towards the smaller values.

What we conclude is that the relatively high correlation coefficients after a log transform in literature are reinforced by our own results. These results provide no evidence of CC being redundant to SLOC because the non-linear model cannot easily be interpreted with accuracy.

A log transform increases the $R^2$ values between CC and SLOC, however, interpreting the model in terms of the untransformed variables is complex.

5.5. Hypothesis 6 and 7—Positive effect of zooming

The final try was to find linear correlation on parts of the data, in order to compensate for the shape of distributions. Our results show that zooming in on tails reduced the correlation, while zooming in on the heads improved it for the 80%–100% range. Intuitively, if we remove all elements from the tail of the distributions then we may achieve the highest $R^2$ (0.59 for Java and 0.67 for C).

Based on the data we reject Hypothesis 6 (hypothesizing an effect of the smallest elements) and we do not reject Hypothesis 7 (hypothesizing an effect of a long tail). These results are corroborated in Table IV and Table V showing log transforms only improving correlations for the whole range.

We interpret the large effect of tail elements to the increasing variance with high SLOC (heteroscedasticity), rather than label them as "outliers". There is no reason to assume the code is strange, erroneous or false more than the elements in the prefix of the data can be considered strange. The benefit of having the two big corporeas is that there are enough elements in the tail to reason about their effect with confidence.

Our analysis, however, does motivate that (depending on the goals of measuring source code) tool vendors may choose to exclude elements from the tail when designing their predictive or qualitative models. Note however that even the head of the data suffers from heteroscedasticity so the same tool vendors should still not assume a linear model between SLOC and CC.

The results for Hypothesis 6 and Hypothesis 7 support our original interpretation for the main Hypothesis 1: CC is not redundant for Java methods or C functions. Nevertheless, the data also shows enormous skew towards the smallest subroutines (2 or 3 lines), for which clearly CC offers no additional insight over SLOC. If a Java system consists largely of very small methods, then its inherent complexity is probably represented elsewhere which can be observed using OO specific metrics such as the Chidamber and Kemerer suite [21].

For the larger subroutines, and even the medium sized subroutines, correlation decreases rapidly. This means that for all but the smallest subroutines CC is not redundant. For example, looking at the scatter-plot in Figure 8 and the box plots in Figure 10, we see that given a Java method of 100 SLOC, CC has a range between 1 and 40, excluding the rare exceptions. In our Java corpus, there are still 104 K methods larger than or equal to 100 SLOC. For such larger Java methods, CC can be a useful metric to further discriminate between relatively simple and more complex larger methods. We refer to our previous work [1] and the work of Abran [55] for a discussion on the interpretation of the CC metric on large subroutines.

Large subroutines have a negative influence on the correlations. They are not always generated code, therefore, labeling them as outliers should be done with care.

5.6. Comparing Java and C

Java and C are different languages. While Java’s syntax is strongly influenced by C (and C++), the languages represent different programming paradigms (respectively object-oriented programming
EMPIRICAL ANALYSIS OF THE RELATIONSHIP BETWEEN CC AND SLOC

and procedural programming). While one could write procedural code in Java (the most common model for C), OO style is encouraged and expected.

In our corpora C functions are larger and have more control flow than Java methods (Figures 7 and 8). Future work could investigate whether this difference is caused by the difference in programming paradigm and coding idioms or this is caused by another factor such as application domain.

Note that the mode of both SLOC and CC are the same for Java and C. We also observe similar shapes in the scatter plots (Figures 8 and 9): both corpora feature increasingly high variance. We must conclude that although the corpora quantitatively have a different relation between CC and SLOC, qualitatively we come to the same conclusions of a relatively weak linear correlation.

On the one hand, for the C language we observed that after aggregation to the file level the correlation strength went down. We attributed the cause to the SLOC of C header code (the code outside the function bodies) having high variance. This obscures the relation between SLOC and CC for the C language on the file level, which was confirmed by testing for an increased correlation strength after measuring only the SLOC sum of functions per file. On the other hand, for Java it appears the header code is not a confounding factor. Again, this is not the point of the current paper, but we conjecture that the stronger encapsulation primitives which Java offers bring upon a stronger relation (cohesion) between header code and subroutine bodies.

The differences between C and Java code do not offer additional insight for the relation between SLOC and CC in open source code, other than an increased external validity of the analysis of Hypothesis 1. Our conclusions hold for both languages.

5.7. Threats to Validity

Next to the threats to validity we have identified in the experimental setup (Section 3) and the previous discussion, we further discuss a few other important threats to validity here.

5.7.1. Construct validity Construct validity pertains to our ability to model the abstract hypothesis using the variables we have measured [76]. We do not believe our study to be subject to construct validity threats since the abstract hypothesis we have tested (Hypothesis 1) has already been formulated in terms of measurable variables (SLOC, CC and $R^2$) as opposed to more abstract constructs (e.g., maintainability or development effort).

As to the use of Pearson’s coefficient, this was motivated by its common use in related work which we tried to replicate. Our negative conclusions, meaning we deem the observed $R^2$ values significantly lower, are subject to the critical examination of the reader.

5.7.2. Internal validity We have tested the tools we developed for our experiments and compared the output to manually expected results and other free and open-source metric tools. Moreover, to mitigate any unknown issues and to allow for full reproducibility, we have also published both our data and scripts at [http://homepages.cwi.nl/~landman/jsep2015/](http://homepages.cwi.nl/~landman/jsep2015/).

To handle the preprocessor statements in C we have used a heuristic (see Section 3). This heuristic filtered away 7% of the code in the corpus. We also filtered all C files with unbalanced braces which may have been introduced by the aforementioned pre-processor heuristics —not a for every }. This removed 4 K files (0.50%) from the corpus. There is no reason to expect these filters have introduced a bias for either the SLOC or the CC variables, but without these filters the corpus would have contained invalid data.

Different from related work [47], we chose not to exclude all .h files (see Section 3.4). If we do ignore all .h files the $R^2$ for the subroutine level changes from 0.435 to 0.441, i.e. both 0.44 when rounded to two significant digits.

5.7.3. External validity Both our corpora were constructed from open source software projects containing either Java or C code. Therefore, our results should not be immediately generalized to proprietary software or software written in other programming languages. We should observe
that although both languages and their respective corpora are significantly different, we do arrive at similar conclusions regarding our hypotheses. We therefore conjecture that given a comparably large corpus for C-like programming languages (e.g., C++, Pascal, C#) the results should be comparable. A recent study of rank-based correlation between CC and SLOC in Scala GitHub repositories \cite{77} suggests that our results might be valid for Scala as well. While CC adaptations have also been proposed for such languages as Miranda \cite{78} and Prolog \cite{79}, those adaptations are quite remote from the original notion of CC as introduced by McCabe \cite{15} and therefore the relation between CC and SLOC for these languages might be very different.

Moreover, we are aware that the size of the corpus may be a confounding factor and therefore should be investigated \cite{80} and that our study might have been biased by presence of certain accidental data-points in our corpora. Therefore, we performed an additional sensitivity analysis \cite{81} for which the results are reported below. To assess whether the size of the corpus has an important influence on the result, we test whether the strength of the linear correlation between SLOC and CC is similar for randomly selected sub-corpora of half the size. Figure 13 shows the distribution of $R^2$ values for 1000 random sub-corpora.

The medians of the $R^2$ values are very close—up to two significant digits—to the $R^2$ of the full corpora. However, there is a visible spread for the non-transformed variables, before and after aggregation on file level. The log transform has a clearly stabilizing effect due to compression of the tail. Because of the latter observation, we argue once more that the observed effects can be explained by the increasing variance in the tail of the data (cf. Table V). Randomly selected sub-sets filter a number of elements from the tail, explaining the spread between the 1000 experiments. Moreover, the $R^2$ in these experiments are not contradicting our previous discussion of the hypotheses.

The above experiment mitigates the risk of the size factor confounding our observations and conclusions: it can be expected that for random sub-corpora of half the size the correlation strength is the same as for the full corpora. In contrast we believe that the large size of the corpora has made it possible to observe the relation between CC and SLOC on arbitrary real-world code with no other known biases.

6. CONCLUSION

The main question of this paper was if CC correlates linearly with SLOC and if that would mean that CC is redundant. In summary, as opposed to the majority of the previous studies we did not observe a strong linear correlation between CC and SLOC of Java methods and C functions. Therefore, we do not conclude that CC is redundant with SLOC.

Factually, on our large corpora of Java methods and C functions we observed (Section 4):
CC has no strong linear correlation with SLOC on the subroutine level.
- The variance of CC over SLOC increases with higher SLOC.
- Ignoring && and || has no influence on the correlation.
- Aggregating CC and SLOC over files improves the strength of the correlation.
- A log transform improves the strength of the correlation.
- The correlation is lower for larger (SLOC) methods and functions.
- Excluding the largest methods and functions improves the strength of the correlation.
- The largest methods and functions are not just generated code, and therefore, should not be ignored when studying the relation between SLOC and CC.

From our interpretation of this data (Section 5), we concluded that:

- CC summed over larger code units measures an aspect of system size rather than internal complexity of subroutines. This largely explains the often reported strong correlation between CC and SLOC in literature.
- Higher variance of CC over SLOC observed in our study as opposed to the related work can be attributed to our choice for much larger corpora, enabling one to observe many more elements.
- The higher correlation after a log transform, supporting results from literature, should not be interpreted as a reason for discarding CC.
- All the linear models suffered from heteroscedasticity, i.e. non-constant variance, further complicating their interpretation.

Our work follows the ongoing trend of empirically re-evaluating (or even replicating [82]) earlier software engineering claims (cf. [83][84]). In particular we believe that studying big corpora allows to observe features of source code that would otherwise be missed [85].

REFERENCES


