SAR thresholds for electromagnetic exposure using functional thermal dose limits

Citation for published version (APA):

DOI:
10.1080/02656736.2018.1424945

Document status and date:
Published: 01/12/2018

Document Version:
Publisher’s PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:

- A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.
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To cite this article: Fatemeh Adibzadeh, Margarethus M. Paulides & Gerard C. van Rhoon (2018) SAR thresholds for electromagnetic exposure using functional thermal dose limits, International Journal of Hyperthermia, 34:8, 1248-1254, DOI: 10.1080/02656736.2018.1424945

To link to this article: https://doi.org/10.1080/02656736.2018.1424945

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Published online: 18 Jan 2018.

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SAR thresholds for electromagnetic exposure using functional thermal dose limits

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ABSTRACT

Background and purpose: To protect against any potential adverse effects to human health from localised exposure to radio frequency (100 kHz–3 GHz) electromagnetic fields (RF EMF), international health organisations have defined basic restrictions on specific absorption rate (SAR) in tissues. These exposure restrictions incorporate safety factors which are generally conservative so that exposures that exceed the basic restrictions are not necessarily harmful. The magnitude of safety margin for various exposure scenarios is unknown. This shortcoming becomes more critical for medical applications where the safety guidelines are required to be relaxed. The purpose of this study was to quantify the magnitude of the safety factor included in the current basic restrictions for various exposure scenarios under localised exposure to RF EMF.

Materials and methods: For each exposure scenario, we used the lowest thermal dose (TD) required to induce acute local tissue damage reported in literature, calculated the corresponding TD-functional SAR limits (SAR_{TD,FL}) and related these limits to the existing basic restrictions, thereby estimating the respective safety factor.

Results: The margin of safety factor in the current basic restrictions on 10 g peak spatial average SAR (psSAR_{10g}) for muscle is large and can reach up to 31.2.

Conclusions: Our analysis provides clear instructions for calculation of SAR_{TD,FL} and consequently quantification of the incorporated safety factor in the current basic restrictions. This research can form the basis for further discussion on establishing the guidelines dedicated to a specific exposure scenario, i.e. exposure-specific SAR limits, rather than the current generic guidelines.

Introduction

To protect against any established health effect of electromagnetic (EM) exposure, international safety organizations, such as ICNIRP and IEEE, have defined basic restrictions on maximum exposure of humans to electromagnetic fields (EMF) [1,2]. Based on these guidelines at radio frequency (RF) range of EMF (100 kHz–3 GHz), exposure should not result in peak spatial average SAR (psSAR) that exceeds 10 W/kg as averaged over any 10 g of tissues (psSAR_{10g}). From here on we shall refer to this value as SAR_{BR}. This level applies to exposure of persons in occupational environments, i.e. trained adults under controlled conditions. The basis of these guidelines is to limit tissue heating below a conservative safety threshold of 1 °C.

To provide a large margin of safety, the local SAR safety threshold is lowered by a conservative safety factor. Although not quantified, it is believed that the safety factor is at least a factor of 10 and probably considerably more if the remarkable thermal tolerance in human studies is accepted as generally valid [2]. The selection of the incorporated safety factor in the current guidelines was based on informed expert opinion rather than a rigorous quantitative process. The magnitude of safety factor for any given localised exposure scenario is unknown.

The above shortcoming becomes more critical for some applications where the safety guidelines should be relaxed to achieve better therapeutic or diagnostic results [3–5]. For instance, in medical imaging or therapy taking more risk is permissible if this provides a better diagnosis or therapeutic effect. We recently performed dose–effect relations studies and showed that exceeding the SAR_{BR} by up to at least 14 (brain [6]) and 10 (eyes [7]) times during hyperthermia (HT) cancer treatment in the head and neck region, showed no indication for any serious acute effect for any of the treated patients. In view of these publications and to align the basic SAR restrictions with the current practice, medical applications should have added flexibility in safety guidelines by taking a much smaller safety factor. This approach has been applied to some extend for magnetic resonance imaging (MRI) application in the third edition of the IEC standards [8]: safety guidelines on the maximum value for the local psSAR_{10g} are doubled for the first level operation mode.

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Therefore, there is a need to quantify the incorporated safety factors more precisely and to relax the limits on EM exposure accordingly.

The main objective of the current study was to quantify the incorporated safety factor in the current ICNIRP and IEEE basic restrictions for various localized RF exposure scenarios. To this end, using previously published data [9], we first translated the functional thermal dose (TD) required to induce acute local tissue damage into corresponding TD-based functional SAR limits (SARTDFL). The ratio between these SARTDFL values and SARBR, is defined as safety factor.

Scientists changed the calculated SARTDFL due to exposure parameters. Thirdly, we evaluated our results by comparing the SARintDFL to SAR levels in realistic clinical situations. Finally, we proposed a simple instruction to calculate SARTDFL limit for any given exposure scenario.

**Methods**

In the current study, we calculated the SARTDFL necessary to induce heating up to \( T_{\text{thresh}} \), i.e. the corresponding steady state temperature of the lowest TD that results in tissue damage in the particular tissue type [9]. This was calculated for the centre of a spherical target within a 37°C medium (Figure 1). This target mimics a hotspot in tissue, induced by localized exposure to RF EMF.

As a first step, we translated the TD to \( T_{\text{thresh}} \) for tissues used in our previous study [9] (Table 1) based on the definition of cumulative equivalent minutes at 43°C (CEM43°C). Secondly, we calculated the value of SARTDFL based on the Pennes bioheat equation (PBE) and compared its value within various tissues. Thirdly, we assessed the sensitivity of SARTDFL due to changes in the target diameter (as a result of changes in exposure frequency), exposure duration and thermal tissue properties for muscle. Muscle was selected as thermal hotspots occur most commonly in this tissue during medical applications such as HT and MRI, with a frequency range: ca. 1–1000 MHz [10] and literature values are more abundant. We calculated the SARTDFL values for target diameters of 20, 15, 10, 5, 2, 1 and 0.5 cm using various databases containing basal and thermoregulated tissue properties [11–14].

To evaluate the influence of exposure duration on the results, we compared the SARTDFL for exposure duration of 60, 30, 15 and 5 min in targets of 20, 5, 2 and 0.5 cm diameter.

Finally, we evaluated our results by comparing the calculated SARTDFL values in the current study with simulated SAR values inside a realistic anatomical model under exposure of RF EMF from head and neck HT treatment and 1.5T MRI imaging. The simulations were performed using SEMCAD X (v.14.8.4, SPEAG, Zurich, Switzerland) and validated by matching to the experimental data [10,15].

**CEM43°C TD**

Thermal dose is usually expressed in units of cumulative equivalent minutes at 43°C (CEM43°C) [16–18]. The CEM43°C dose model expresses the thermal load on living tissues by estimating the equivalent induced thermal stress in minutes at 43°C. We translated the reported tissue-specific CEM43°C thresholds to \( T_{\text{thresh}} \) based on the CEM43°C definition assuming a constant temperature over the duration of exposure (Table 1).

\[
\text{CEM43°C} = \sum_{i=1}^{n} t_i R^{(43-T)}
\]

Where CEM43°C is the cumulative number of equivalent minutes at 43°C, \( t_i \) is the \( i \)-th time interval, \( R \) is related to the temperature dependence of the rate of cell death \( (R(T < 43°C) = 1/4, R(T > 43°C) = 1/2) \) and \( T \) is the average temperature during time interval \( t_i \).

**Pennes bioheat equation**

Pennes bioheat equation (PBE) [19] is often used by researchers for evaluating RF-induced temperature distributions and heating dynamics in perfused or non-perfused tissues.

\[
\rho c \frac{\partial T}{\partial t} = \nabla \cdot (k \nabla T) + \rho Q + \rho S\text{AR} - \rho_b c_b \rho_0 (T - T_b)
\]

Here, \( T \) is the tissue temperature, \( t \) is the time, SAR is the specific absorption rate, \( \omega \) is the perfusion rate, \( \rho \) is the density of the medium the volume, \( c \) is the specific heat capacity, \( k \) is the thermal conductivity, \( Q \) is the metabolic heat generation rate. The subscript \( b \) denotes a blood property, respectively.
**Basal and thermoregulated tissue properties**

If we compare thermal parameter values across several databases, we find small differences for density, specific heat, and thermal conductivity. For perfusion, however, differences are large because the literature values for blood perfusion are generally at resting condition (baseline temperature: 37 °C), while values at high temperatures are completely different due to thermoregulatory response of tissues under thermal stress. For local hotspots above 20 W/kg psSAR, thermoregulated local perfusion is a major HT response mechanism [22] that largely determines RF-induced tissue temperature increase [23]. Thermoregulatory processes show typical response times on the order of 10 min [24,25]. In the current study, we did not consider the transient effect of thermoregulation, i.e. the values of parameters at steady state were always used.

Impact of local thermoregulation on RF-induced heating was analysed using databases and models of both basal and thermoregulated perfusion, as follows:

**Basal perfusion**

- Literature summary by McIntosh: McIntosh et al. standardised tissue thermal parameters by documenting 140 key papers and books and developed a database of thermal properties for around 50 human tissues [11].
- ITIS Foundation tissue database: ITIS foundation took an inclusive approach and incorporated all studies with varying approaches and degrees of accuracy—after eliminating studies with major flaws—to increase the parameter sample size used. This database provides the average values and information about the variability of parameters [12].

**Thermoregulated perfusion**

- Sigma Hyperplan tissue database: These values are provided by the HT treatment planning system HyperPlan and derived from the clinical application of deep pelvic HT with the Sigma-60 applicator. Typical values of thermal conductivity and perfusion are listed in [26], and empirically obtained values created by HT model-treatment comparison are found in [13,27].
- Temperature-dependent model by Lang: Lang et al. [14] employed a temperature-dependent blood perfusion model based on preclinical measurement data of [28] to improve the classical bio-heat term in PBE, which assumed a constant-rate blood perfusion within each tissue [14]. For each exposure scenario, we calculated the perfusion value based on Lang model using the corresponding Tthresh in Table 1.
- Erasmus MC database: We calculated the effective perfusion for tumour, muscle and fat from the measurement data obtained during deep head and neck HT treatments of nine patients that had interfascial catheters in the target region (unpublished research). The effective perfusion was reconstructed based on the thermal washout technique from temperature decay measurements [29,30].

In summary, we assume that at resting condition, the databases of McIntosh and ITIS are more reliable because they are based on a large number of studies. Under thermal stress and other conditions that may increase perfusion, the databases/models of Erasmus MC, HyperPlan and Lang provide more reliable data as they take thermoregulated perfusion into account. In the current study, we took the Erasmus MC properties and exposure duration of 60 min (steady state exposure duration for mild HT application) as reference. For each calculation, we used the thermal parameters (specific heat capacity, thermal conductivity, density and blood perfusion) of one database. In case that a database, e.g. Lang and Erasmus MC, does not contain all parameters we took the missing parameters from the ITIS database.

<table>
<thead>
<tr>
<th>Tissue</th>
<th>Specific heat capacity, c (J/kg/°C)</th>
<th>Thermal conductivity, K (W/m/°C)</th>
<th>Density, ρ (kg/m³)</th>
<th>Blood flow, ω (ml/min/kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mc</td>
<td>ITIS</td>
<td>Hyper</td>
<td>Mc</td>
</tr>
<tr>
<td>Bladder</td>
<td>3514</td>
<td>–</td>
<td>3500</td>
<td>0.47</td>
</tr>
<tr>
<td>Brain</td>
<td>3653</td>
<td>3630</td>
<td>–</td>
<td>0.51</td>
</tr>
<tr>
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<td>3615</td>
<td>–</td>
<td>0.50</td>
</tr>
<tr>
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<td>2348</td>
<td>3500</td>
<td>0.19</td>
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<td>3500</td>
<td>0.51</td>
</tr>
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<td>3500</td>
<td>0.51</td>
</tr>
<tr>
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<td>0.41</td>
</tr>
<tr>
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<td>3452</td>
<td>3630</td>
<td>–</td>
<td>0.46</td>
</tr>
<tr>
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<td>–</td>
<td>0.53</td>
</tr>
<tr>
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<td>–</td>
<td>–</td>
<td>0.51</td>
</tr>
<tr>
<td>Prostate</td>
<td>3760</td>
<td>–</td>
<td>–</td>
<td>0.51</td>
</tr>
</tbody>
</table>

*Derived from [33].
Results

$T_{\text{thresh}}$: steady-state temperature approximation in lieu of TD

Table 1 includes the translated $T_{\text{thresh}}$ values from the reported tissue-specific CEM43°C TDs based on the CEM43°C definition (Equation (1)). It indicates that the value of $T_{\text{thresh}}$ depends on the exposure duration, hence, the same value of TD for a specific tissue may be obtained at high temperature for a short exposure and at low temperature for a long exposure.

Functional SAR limits $\text{SAR}_{\text{TDFL}}$: influence of target diameter, exposure duration and tissue thermal parameters

Figure 2 shows the calculated $\text{SAR}_{\text{TDFL}}$ for various available tissues in Table 1. The figure indicates that muscle has the lowest $\text{SAR}_{\text{TDFL}}$ value among tissues, when applying the basal tissue property databases which are more comprehensive compared to thermoregulated databases. It also indicates that the $\text{SAR}_{\text{TDFL}}$ increases significantly if the thermoregulated perfusion is applied. The maximum variation in calculated $\text{SAR}_{\text{TDFL}}$ is seen in muscle, which is 10-fold greater using parameters from Erasmus MC, compared to $\text{SAR}_{\text{TDFL}}$ estimates using the McIntosh database.

Figure 3 shows the impact of target diameter and thermal tissue parameters on the calculated $\text{SAR}_{\text{TDFL}}$ in the muscle. It demonstrates the rapid increase in $\text{SAR}_{\text{TDFL}}$ with decreasing target diameter, i.e. $\text{SAR}_{\text{TDFL}}$ increases 180-fold as spherical hotspot region decreases from 20 cm to 0.5 cm diameter. The figure also indicates that the variations in the calculated $\text{SAR}_{\text{TDFL}}$ due to the differences in thermal tissue properties among various databases are larger for bigger targets, where the tissue blood perfusion is the dominant parameter, and decreases in small targets, where thermal conduction dominates (Figure 3). The maximum variation in the calculated $\text{SAR}_{\text{TDFL}}$ due to differences in thermal parameters over various databases is in a target of 20 cm diameter, with a 12.5-fold increase using thermoregulated perfusion (Erasmus MC database) vs. basal perfusion (McIntosh database).

Figure 4 shows the impact of exposure duration on the calculated SAR threshold. It indicates that by reduction of exposure duration, the calculated $\text{SAR}_{\text{TDFL}}$ in targets increases, which is caused by the higher thresholds of temperature increase in tissues, i.e. according to the CEM43°C definition, shorter exposure duration requires higher temperature for the same CEM43°C TD (Equation (1)). This increase is more pronounced in larger targets than in small targets. For exposure duration less than 10 min (thermoregulatory response time) only the basal thermal tissue properties from the McIntosh database were applied. The calculated SAR limit for muscle using McIntosh database increases by 10-fold by reducing the exposure duration from 60 to 5 min in a target of 20 cm diameter. This increase is lower for smaller targets.

Validation of the SAR limits using clinical conditions

To validate our results, we compared the calculated $\text{SAR}_{\text{TDFL}}$ with the SAR values that have been assessed based on complicated numerical simulation software. Hereto, we calculated and compared the $\text{SAR}_{\text{TDFL}}$ with the simulated SAR in anatomical human models under exposure to RF EMF from HT treatment and 1.5 T MRI imaging. In our previous study we used detailed numerical EM and thermal simulations to
assess the maximum induced SAR and temperature in patients during 60 min of HT treatment in the head and neck region [7]. The results showed that psSAR$_{10g}$ = 191.5 W/kg is required to increase the temperature by 6°C in 10 g of muscle (equivalent to a spherical target of 2.6 cm diameter). The calculated SAR$_{TDFL}$ for muscle in a target of 2.6 cm using thermoregulated Erasmus MC database is 218.3 W/kg. In addition, Murbach et al. [34] reported that performing MRI in the first level operating mode (OM) afforded psSAR$_{10g}$ values as large as 62 W/kg. Their results show that such psSAR$_{10g}$ value in a healthy volunteer may result in a local temperature increase of 4°C in skin tissue, using IT"IS database with temperature-dependent perfusion. Our calculation shows that a SAR$_{TDFL}$ of 83 W/kg is required to induce 4°C at the centre of 2.8 cm spherical target (equivalent to 10 g of skin) using the same tissue properties. The uncertainty of SAR$_{TDFL}$ from simulations was 23% in HT [7] and 42% in MRI [34] studies. Therefore, the differences between the calculated vs. simulated SAR values are less than the uncertainty of numerical modelling (HT: 12% vs. 23% and MRI: 33% vs. 42%).

Guidelines to calculate SAR$_{TDFL}$

Finally, we provide a decision making flowchart that demonstrates instructions to calculate the SAR$_{TDFL}$ limit (Figure 5). Hereto we first need to determine the size of target in a specific tissue which is estimated by the RF wavelength in a lossy dielectric or tissue [35]. For hotspots with diameter larger than 5 cm, the blood perfusion is the most influential parameter. Therefore, thermal tissue properties under thermal stress (e.g. ErasmusMC, Hyperplan and Lang databases) should be used to calculate SAR$_{TDFL}$ when the exposure duration is longer than 10 min, and the thermoregulatory response of tissue is activated [24,25]. This excludes hotspots with a diameter less than 5 cm, since for small hotspots thermal conductivity is the determinant parameter that has similar values amongst the property databases. If the exposure duration is shorter than 10 min, we propose to use the databases for basal/resting conditions (e.g. McIntosh and IT"IS).

Discussion

The defined current limits for maximum human exposure to RF EMF are conservative and incorporate large safety factors. The limits are overly restrictive for some EMF based medical applications such as HT and MRI in which increasing the limits provides a better diagnosis or therapeutic effect. Increasing the limits requires quantification of the incorporated safety factors which were originally selected based on expert opinion rather than a rigorous quantitative process. The main objective of the current study was to quantify the incorporated safety factor in the current basic restrictions for various local exposure scenarios. This was achieved by calculating the SAR$_{TDFL}$ limits based on the lowest TDs that result in local acute tissue damage, derived from our previous study [9]. The calculated SAR$_{TDFL}$ was analysed for various target sizes, exposure durations and databases of thermal tissue properties. Our results uncover the large safety factors for muscle tissue between the SAR levels at which functional changes occur (SAR$_{TDFL}$) and the current basic SAR restrictions (SAR$_{BR}$) (Table 3). The magnitude of the safety factor ranged from 10.9 to 31.2 for psSAR$_{10g}$. The lower and upper bounds of the range were obtained for exposure durations of 60 and 10 min (10 min = typical delay of the thermoregulatory process) using thermoregulated perfusion from the Erasmus MC database, i.e. the reference database in this study. Table 3 also shows the ratio between SAR$_{TDFL}$ and MRI guidelines (as an important guideline for an EMF-based medical application).

The presented approach in the current study can be extended to any tissue for which thermal threshold data is available. Amongst the tissues studied (Table 1), we selected muscle for three reasons, the common occurrence of thermal hotspots upon medical applications of RF EMF, availability of a wealth of data, and calculated SAR$_{TDFL}$ limit being the lowest among studied tissues (Figure 2).

The quoted results can be regarded as conservative estimates since we employed the minimum value of the reported CEM43°C doses amongst all available data for humans and animal species. For instance, the lowest CEM43°C dose for thermal damage in muscle has been reported as 160 min in dogs and 60 min in pigs [36,37]. The safety factor in Table 3 is calculated using the lower of these values. In addition, functional changes in humans occur at higher TDs due to much more efficient thermoregulatory system in humans compared to animals [2]. Therefore, while additional research on TDs in humans will be invaluable, we believe that the incorporated safety factor for humans is larger than the values shown in Table 3.

We also assessed the sensitivity of the calculated SAR$_{TDFL}$ to exposure parameters, i.e. the size of hotspot, exposure duration and thermal tissue properties. Our results show that the size of heated volume has a major impact on the SAR$_{TDFL}$, i.e. the calculated SAR$_{TDFL}$ increases rapidly with
decreasing target diameter. The reason for this is the increasing surface-to-volume ratio with decreasing target diameter which leads to a stronger dissipation of the generated heat into surrounding tissue. In turn this leads to higher SAR for inducing heating inside the target. This finding is in line with the higher delivered SAR level of deep HT treatment in the head and neck region (ca. 75 W/kg) compared to pelvic region (ca. 16 W/kg) which is mainly due to smaller size of target in the head and neck region [38,39]. This finding also confirms the relationship between the SAR and tumour size in magnetic nanoparticle HT reported previously [40]. Regarding the sensitivity of results to exposure duration, we found that reduction of exposure duration results in higher thresholds of safe temperature-increase and consequently to a maximum of 10-fold increase in SAR_TDFL (Figure 4). Finally, to assess sensitivity of the results to the tissue properties, we used various available tissue property databases. The results show that the impact of (delayed) temperature-regulated perfusion on the SAR_TDFL is the most influential tissue parameter. Therefore, more research on local thermoregulatory and tissue damage processes is of high importance. In a target of 20 cm in muscle, thermoregulated perfusion increases SAR_TDFL by up to 12.5-fold, compared to basal perfusion at resting condition. In smaller heating volumes, where the surface-to-volume ratio is big, perfusive effects are almost non-existent, and thus thermal conductivity becomes the primary mechanism of heat transport (Figure 3).

To validate our results, we compared the SAR limits for two types of tissue (muscle and skin) as calculated in the current study (SAR_TDFL) vs. the equivalent simulated value (psSAR_{10g}) from numerical calculations and experimental investigations in HT and MRI applications. The comparison shows that the calculated results in the current study are consistent with the simulations and therefore, the SAR_TDFL limits are valid.

Lastly, we should mention that the current study had a number of assumptions. First, we assumed a constant temperature over 60 min of treatment. This is a conservative approach (worst case) as heating is not expected to be either spatially or temporally constant during the entire HT session. In case of shorter exposure duration, the temperature and also the calculated SAR will be higher according to the CEM43 °C definition (Equation (1)). Second, for comparison of SAR_TDFL among various tissues (Figure 2), we calculated SAR_TDFL assuming that the target is uniformly heated. By this simplification, the conduction term in PBE can be set to zero and hence the PBE can be solved analytically. Third, no other temperature related effects were considered, e.g. change of SAR distribution due to thermoregulation dependent dielectric parameter. Last, in this study, the perfusion is the microscopic perfusion and the effect of macroscopic perfusion is neglected, making our approach even more conservative near major vessels.

### Conclusions

The basis for the current basic restrictions, defined by ICNIRP and IEEE, is to keep local tissue temperature rise under 1 °C for 30 min of EMF exposure. However, thermal tissue damage occurs at much higher TDs. In the current study, we explored the actual safety margin that current guidelines provide in preventing thermal tissue damage in various localised exposure scenarios. Based on the available TD-effect data in literature, we calculated functional SAR limits (SAR_TDFL) and consequently quantified the safety factor between SAR_TDFL and the current basic restrictions (SAR_{BR}). We found that the safety factor for the most common hotspot location, i.e. muscle, is large: depending on the exposure duration 10.9–31.2. We concluded that the current basic restrictions appear to be conservative and that functional limits and application-specific modelling provide a valuable tool for tailoring the guidelines in specific applications.

The benefit of changing from generic to application-specific restrictions is that it facilitates a much better balance between the need for the exposure, e.g. diagnostic or therapeutic, and the risk from thermal damage. Such an approach might be beneficial for patients undergoing MRI to detect abnormalities in anatomy, where higher quality imaging that can yield better diagnoses would exceed current SAR safety limits. Also in HT treatments, the functional limits may have potential for balancing the probability of thermal toxicity against probability of tumour control.

### Disclosure statement

No potential conflict of interest was reported by the authors.

### Funding

This work was supported by ZonMw (85800002).
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