Healthy low energy redesigns for schools in Dehli

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Healthy Low Energy Redesigns for Schools in Delhi

Introduction

New Delhi, India’s capital city, is burdened with highly polluted outdoor air and an extreme climate. These conditions can adversely affect health and learning of children in classrooms without mechanical ventilation and cooling, see Figure 1.

Since children’s lungs are still developing, they are more vulnerable to air pollution than adults. Continuous exposure to polluted air can disrupt lung development [CPCB, 2008], leading to reduced lung function and even chronic respiratory diseases [Kumar, 2008]. Currently, as an extreme measure, the Indian government has to resort to shutting down schools on hazardous days, i.e., days when the particulate matter (PM$_{2.5}$) hits hazardous levels (>300 µg/m$^3$). This is no long-term solution for the over 600 000 Indian schools. Even a small step to improve indoor air quality in these schools can have a major impact on the lives of millions of children.
Sustainable improvement

India also has a growing energy problem due to the rapid population growth and being an emerging economy [Wang, 2016], with demand transcending supply. In order for India to reach its economic and social targets, the energy infrastructure is potentially India’s main challenge [Tripathi, 2016]. The energy usage (already 5% of the world’s energy) continues to grow in an unsustainable manner, being based primarily on fossil fuels. This, consequently, also contributes to outdoor air pollution [Wang, 2016].

These circumstances make it abundantly clear that it is important to sustainably improve the indoor climate (IC) in existing, naturally ventilated classrooms in places like Delhi in order to restrain the energy demand. Beyond environmental concerns, the extra energy and investment costs can also become a giant burden for such schools. Even so, sustainably improving the health in governmental schools is both socially and economically valuable in the long term. It can stimulate teachers to improve education and can provide better social opportunities for economically disadvantaged families [Garg, 2006]. Subsequently, the sustainable schools will set a socio-economic example for the energy reformation and the market-oriented economy [Swaminathan, 2016].

First step

This study was set to develop generic, sustainable, indoor climate improvement packages for a typical, naturally ventilated classroom in Delhi. Solutions easily available in local market, passive, and of “plug and play” type were preferred. However, entirely relying on passive solutions may not suitably address the risks of exposure to particulate matter. To design these packages an inventory of the current condition was necessary. Therefore, a field study was deemed necessary.

The final packages were designed and evaluated based on IAQ, thermal comfort, energy use, and (financial) feasibility. We presume that solutions developed for Delhi conditions could be extrapolated to other regions of India by taking into account climatic differences for indoor thermal conditions while IAQ concerns would remain similar – or even less demanding – in other regions.

School visits

Between 10 September and 11 October 2017, five schools in and around Delhi were visited. In total, 15 teachers were surveyed regarding their perception of the classrooms’ indoor environmental quality (IEQ), covering thermal comfort, air movement, humidity, air quality, noise, and lighting. Additionally, indoor air temperature ($T_{\text{in}}$), outdoor air temperature ($T_{\text{out}}$), relative humidity (RH), surface temperature, indoor air velocity, carbon dioxide ($\text{CO}_2$) concentration, and PM$_{2.5}$ concentration in the classrooms were measured (see Figure 2).

The survey showed that roughly 11/15 of the teachers were at least slightly warm at time of measuring, though still 12/15 were satisfied with the thermal conditions. Most teachers expressed some dissatisfaction regarding the thermal condition during peak summer and winter periods. Even though the study fell during monsoon, 8/15 were satisfied with humidity conditions. Additionally, 12/15 did not experience dissatisfaction with the IAQ. Seemingly, teachers had a hard time judging the IAQ, being only able to associate it with coarse dust and ‘fresh’ outdoor air.

Depending on the classroom and occupancy, the mean indoor $\text{CO}_2$ concentrations per classroom were between 50 and 300 ppm above outdoor $\text{CO}_2$ concentration, when doors and windows were opened, and fans were

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**Figure 1.** Example of naturally ventilated classroom.

**Figure 2.** Two hours measurement in reference classroom.
running. These conditions correspond to ACH rates of roughly 17 to 24 [1/h]. This fluctuation is related to the opening sizes, solar shading, fans, and cross ventilation. The high ACH rates result in high PM$_{2.5}$ infiltration rate and an indoor to outdoor ratio of roughly 1, as can be seen in Figure 3.

The thermal conditions in the classrooms differed with their orientation, exposure to outdoor conditions, and occupancy. $T_{in}$ was roughly deviating 1°C from $T_{out}$. The classrooms tend to be 0.5°C cooler compared to the hallway, at least during the morning session. The mean radiant surface temperature varied 0.5°C from the $T_{in}$, depending on the surface construction and orientation. This indicated that $T_{in}$ was little affected by the radiant temperatures.

**Development of numerical model**

The field measurement results, together with the corresponding inventory, led to the boundary settings for the base model. The model is executed in TRNSYS 18 using the building model Type 56. The numerical model is designed with a relatively low resolution as this already gives sufficient information to evaluate the necessary interventions to achieve a healthier and more comfortable IEQ (Djunaedy, 2012). For example, the model assumes a constant natural ventilation rate, while in reality, the wind pressures around the building and occupancy patterns were fluctuating, affecting the indoor climate. We compared the modelled CO$_2$ concentration towards a measurement and concluded that the model was able to spot the same peak.

The National Building Code of India (NBC, 2016), in combination with IEQ standard (ISHRAE, 2016), led to the IEQ performance goals for this research. Thermal comfort was evaluated based on the adaptive thermal comfort (ATC) model for mixed mode and naturally ventilated buildings. The IAQ was evaluated based on ISHRAE standards Class C (ISHRAE, 2016), which is in line with the air quality guidelines as given by the World Health Organisation (WHO, 2005). Thence, the threshold value for CO$_2$ is 750 ppm above the ambient concentration and the daily average PM$_{2.5}$ threshold is <25 µg/m$^3$.

**Indoor climate simulation results**

In winter, the outdoor temperatures drop towards 12°C. As the schools are unequipped with any heating, the windows are kept closed to keep warm. Still the uninsulated façade causes high heat losses and cold infiltrating air, leading to under heating hours with indoor temperatures towards 15°C. Additionally, the lack of fresh air results in CO$_2$ exceeding hours. Still, the outdoor hazardous pollutants keep infiltrating into the indoor environment (above 100 µg/m$^3$).

In mid-season, the windows are opened, and the fans are off. The natural ACH rate seems just sufficient to keep the CO$_2$ concentration within the limit. Though, the smallest decrease in ventilation leads to CO$_2$ exceedance hours.

Delhi has two hot seasons, the dry summer and the rainy monsoon. During both seasons, the windows are fully open and fans are running. Especially during summer, $T_{op}$ is frequently above the neutral temperature. Classrooms on the top floor are particularly hot due to the additional rooftop solar load. The indoor CO$_2$ concentration is always below the threshold due to high natural ventilation rates. Furthermore, the outdoor PM$_{2.5}$ concentration is usually below 100 µg/m$^3$.

**Table 1** shows the indoor climate and energy performance for one school year.

**Improvement packages**

These nested problems may not be resolved by any single intervention. For example, to reduce the CO$_2$ exceedance hours, ventilation rate should be increased in winter.

![Figure 3](https://example.com/figure3.png)

**Figure 3.** Example of measured indoor and outdoor concentrations of fine particulate matter PM$_{2.5}$ compared to WHO guidelines, at school 1 on 11/07/2017-12/07/2017.
However, this would also increase the under-heating hours. Instead of adding heating capacity, heat recovery ventilation can be a better option. Additionally, in winter the solar load contributes to heating up the space.

Also, while blocking pollutants from entering the classrooms, sufficient fresh air is required. As active filtering showed to be necessary it is of great interest to create overpressure in the rooms to reduce infiltration.

Besides the necessity of active filtering, it appeared that active cooling is needed to eliminate overheating hours. To this end, evaporative cooling would be more energy efficient than mechanical cooling.

The unilateral interventions were assembled into 4 packages, varying in level of technology, control, and sustainability. Each package may easily be scaled up. Package 1 (Figure 4) represents the most low-end decentralised solution by retrofitting window panes and the least amount of active technologies. Package 2 and 3, respectively Figure 5 and Figure 6, are more high-end solution due to the costly application of electrostatic precipitators. The biggest difference is the use of photovoltaics in package 3. Finally, package 4 (Figure 7) is the only centralised solution with 3 stage filtered air handling unit.

The package performances are tested concerning the indoor climate, energy, investment costs, and operating costs. All packages are designed such that there is always an ACH rate of at least 7 [1/h] to restrain the indoor CO$_2$ concentration. The PM$_{2.5}$ exposure (Figure 8) is reduced by installing more filtering capacities. Regarding the thermal comfort (Figure 9) it is clear that active cooling is necessary to eliminate the overheating hours. Though the increased ventilation capacity in winter causes extra under heating hours.

### Table 1. Simulation results of the base case, over one school year (1890 occupancy hours).

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Base case</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under heating hours [h]</td>
<td>75</td>
</tr>
<tr>
<td>Overheating hours [h]</td>
<td>330</td>
</tr>
<tr>
<td>CO$_2$ exceedance hours [h]</td>
<td>250</td>
</tr>
<tr>
<td>Annual average CO$_2$ concentration [ppm]</td>
<td>830</td>
</tr>
<tr>
<td>PM$_{2.5}$ exceedance hours [h]</td>
<td>225</td>
</tr>
<tr>
<td>Annual average PM$_{2.5}$ concentration [µg/m$^3$]</td>
<td>115</td>
</tr>
<tr>
<td>Electricity use [kWh]</td>
<td>685</td>
</tr>
</tbody>
</table>
Subsequently, the packages were ranked via a decision matrix (Table 2). The financially most feasible solution, package 1, has the least effect on the indoor climate. Additionally, package 4 scores the best on healthy indoor climate in respect to PM$_{2.5}$. Though financially, it is most infeasible and unsustainable on the longer term due to the high cooling demand. Package 2 scores points on all criteria and therefore scores best overall, whereas package 3 and 4 actually lose points due to an increase in under heating hours. However, on the longer term package 3 is more sustainable and financially feasible.

Table 2. Decision matrix to rate 4 different solution packages (more ★'s = better performance; more €'s = more expensive).

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Package 1</th>
<th>Package 2</th>
<th>Package 3</th>
<th>Package 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under heating hours</td>
<td>★</td>
<td>★★★</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Overheating hours</td>
<td>★</td>
<td>★★★</td>
<td>★★★★★</td>
<td>★★★★★</td>
</tr>
<tr>
<td>CO$_2$ exposure</td>
<td>★★</td>
<td>★★</td>
<td>★★</td>
<td>★★</td>
</tr>
<tr>
<td>PM$_{2.5}$ exposure</td>
<td>–</td>
<td>★</td>
<td>★★</td>
<td>★★★</td>
</tr>
<tr>
<td>Electricity use</td>
<td>★★</td>
<td>★★</td>
<td>★★★★★</td>
<td>–</td>
</tr>
<tr>
<td>Investment costs</td>
<td>€</td>
<td>€€</td>
<td>€€€</td>
<td>€€€</td>
</tr>
<tr>
<td>Total cost of ownership</td>
<td>€€€€</td>
<td>€€€</td>
<td>€€</td>
<td>€€</td>
</tr>
</tbody>
</table>

Figure 8. Annual mean indoor PM$_{2.5}$ exposure categorized in exceedance factor (EF) hours - Low (L), Moderate (M), High (H), and Critical (C) - and hours underneath daily WHO limit, over in total 1890 occupancy hours.

Figure 9. Thermal performance of the different packages in the classroom at the ground floor, expressed in under- and overheating hours outside the 80% satisfaction range of the ATC model with a total amount of occupancy hours = 1890 h.

Conclusion

Via fieldwork and simulations, it is concluded that naturally ventilated schools in Delhi mostly experience high PM$_{2.5}$ concentration, extreme overheating and slightly under heating. Both active air filtering and cooling seem required. As the occupants are used to an open façade (open doors and windows), sealing the classrooms is unacceptable. Instead the openness is decreased by applying partly operable windows and creating an overpressure during hazardous days.

The packages assessment showed that package 3 as decentralised solution potentially is the most effective, sustainably and financially, generic solution to create a healthier indoor climate in such schools. The high investment cost might be attenuated by implementing the package in phases. Though, in order to reach the target to reduce the PM$_{2.5}$ exposure towards the WHO limit, a multiple stage filtering seems necessary. Additionally, it is interesting to integrate a heat recovery into the ventilation system to eliminate the under-heating hours. It might even function as cold recovery to reduce the cooling demand.