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Analog Radio Over Fiber Fronthaul for High Bandwidth 5G Millimeter-Wave Carrier Aggregated OFDM

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ABSTRACT
The increasing demands for high capacity and ultra-low latency services require to introduce a 5G mobile communications infrastructure based on a centralized radio access network with space division multiplexed optical fronthaul using radio-over-fiber. The transmission of carrier aggregated 5G OFDM signals over an analog radio-over-fiber fronthaul link is experimentally demonstrated. Multi-Gbit/s data rates are achieved in limited bandwidth, with BER below the 25 % overhead FEC limit after millimeter-wave wireless transmission over 2.2 m.

Keywords: Radio-over-fiber, OFDM, 5G-NR, millimeter-wave communications, Ka-band wireless.

1. INTRODUCTION
The revolutionized infrastructure of the future 5G communication networks will provide massive advancements to the existing telecommunication services. These networks will offer broadband connectivity to a very large number of end users with a guaranteed quality of service (QoS) [1]. It is expected by the 5G key performance indicators (KPIs) that the capacity of 5G networks will increase by 1000 times, offering data rates varying between 0.25 to 1 Gbit/s with a peak of 10 Gbit/s to end users concentrated in hot-spot areas, e.g., malls, universities and football stadiums [2]. Very reliable networks will be constructed with low latency. That is due to the significant increase of 5G base stations (BS) per unit area operating in small cells. Thousands of base stations installed in cell towers, building rooftops or even on city light-poles will ensures continued connectivity of mobile connection when a user moves from one communication cell to another [3]. Therefore, the credibility of the impending wireless networks will be improved decisively.

5G will be capable of supporting groundbreaking applications such as ultra-high definition video streaming, holographic remote interaction and autonomous driving that currently cannot be established by the existing long-term evolution (LTE) networks. The key requirements for flexible 5G mobile communications in small cell deployments are low latency (<1 ms), high throughput and low power consumption [4]. In order to achieve this, new spectrum assignments in the lower millimeter wave (mm-wave) range are being considered, which together with a new radio interface and an adapted and flexible numerology for orthogonal frequency division multiplexing (OFDM) are envisioned to achieve peak user data rates in the Gbit/s range [5], [6]. Analog optical fronthaul has been suggested as the most efficient way to alleviate the large signal bandwidths required in a centralized radio access network (C-RAN) architecture [7].

Combining analog radio-over-fiber (ARoF) fronthaul with flexible carrier aggregation, signal generation and distribution—as shown in Fig. 1—allows optimum resource utilization and enables centralization of network complexity at the central office (CO). The 2nd phase 5G-PPP project blueSPACE augments the concept of ARoF fronthaul using spatial division multiplexing (SDM) in the optical domain with multi-core fibers (MCF), which can drastically increase system capacity and enable novel techniques such as integrated optical beamforming networks [7], [8]. Photonic integration allows miniaturization of optical signal generation as well as optical to RF conversion and is foreseen within the blueSPACE project. The concept of a highly centralized RAN with a pool of potentially virtualized baseband units (BBUs) in combination with ARoF fronthaul over MCF not only offers maximum flexibility for capacity assignment, but also seamlessly enables software controlled networking (SDN) and network function virtualization (NFV)—and hence network slicing.

In this work, the transmission of carrier aggregated OFDM signals following the 5G new radio (5G NR) numerology is demonstrated over a mm-wave analog RoF link. Signals with different modulation parameters are generated and evaluated in terms of bit error rate (BER) performance after optical and wireless transmission on a 28 GHz carrier. Multiband 5G OFDM signals are successfully transmitted with data rates of up to 4.8 Gbit/s and maximum bandwidth of 1.96 GHz. BER levels below the 25 % forward error corrections (FEC) limit are measured paving the way towards the support of a larger number of users as well as of high throughput required by 5G fronthaul networks. The proposed experimental system is an efficient key enabler for the generation and transmission of mm-wave signals for medium-range links capable of fulfilling the increasing demands of the new era of mobile communications.
2. ARoF Ka-BAND TRANSMISSION SETUP

The experimental setup for ARoF transmission of multiband OFDM (M-OFDM) signals is shown in Fig. 2, including the digital signal processing both at the transmitter and receiver side. At the transmitter, the M-OFDM signal is synthesized by mapping QAM symbols generated from a random bit stream onto the OFDM subcarriers spaced at $f_{sc} = 60$ kHz. The OFDM blocksize is either 2048 or 4096—with 1650 and 3300 active subcarriers, respectively. On every 12th subcarrier a pilot tone is inserted. After converting to the time domain using an inverse fast Fourier transform (IFFT), a cyclic prefix (CP) with a size of 6.25% of the blocksize is prepended to each OFDM symbol in order to prevent inter-symbol interference. OFDM frames are formed of 14 OFDM symbols each and attached to a preamble equal to twice the blocksize. The complex baseband signal is split into its real and imaginary components, upsampled and pulse shaped by a raised cosine filter, before being upconverted to the target band frequency using a local oscillator. The resulting signals for the different bands are summed in the time domain, generating the final M-OFDM signal. In the experiment, the modulation order of the QAM symbols $M_{QAM}$ is equal to 4 and 16 and the number of OFDM bands is varied between 1 and 8.

Figure 2 also shows the block diagram of the ARoF link where two optical tones are generated by modulating the signal from an external cavity laser (ECL) with a Mach-Zehnder modulator (MZM) biased at its minimum transmission point and driven with a sinusoid at $f_{IF}/2$ from a vector signal generator (VSG). Consequently, two tones are created with a suppressed carrier and a frequency distance of $f_{IF}$. An erbium doped fiber amplifier (EDFA) amplifies the two tones and a wavelength selective switch (WSS) separates them. One of the tones is modulated with the M-OFDM signal in a second MZM. A variable attenuator (VOA) regulates the power of the copropagating tone (CT) which is recombined with the optical signal (OS).
Both CT and OS are boosted by another EDFA before being launched into a span of 10 km standard single mode fiber (SMF). The optical spectrum at the input of the SMF as well as the signals at the output ports of the WSS are illustrated in Fig. 3. The total power at the output of the SMF is controlled by the second VOA. The RF signal is generated by the heterodyne beating between the OS and CT on a high-speed photodiode (PD) with a bandwidth of 40 GHz [9]. The generated RF wave at a carrier frequency of 28 GHz is amplified by a medium power amplifier (MPA) with 30 dB gain and transmitted over a 2.2 m wireless link using a pair of Ka-band standard gain horn antennas with a gain of 20 dBi each. A low noise amplifier (LNA) boosts the signal by 15 dB before it is downconverted by a mixer to an intermediate frequency (IF) $f_{IF} = f_{RF} - f_{LO}$. The electrical LO is provided by a VSG at $f_{LO} = 25.7$ GHz. The received waveform is captured for offline processing by a digital sampling oscilloscope (DSO). Figure 4 shows the optical and electronic components of the experimental setup.

The receiver DSP uses a Costas loop to perform carrier and phase recovery and convert the IF signal to baseband. Next, the signal is downsampled and a bank of bandpass filters isolates the bands. In each band, the preamble is used for time synchronization and discarded. From each of the 14 symbols, the CP is discarded and the FFT is performed. The received pilot tones, $P_{Rx}$, are compared to the transmitted ones, $P_{Tx}$, giving the channel response $H_{C} = P_{Rx}/P_{Tx}$ which is used to reverse the impact of the channel [10]. The received symbols are demodulated for BER evaluation.

![Figure 3. The optical spectrum at the input of the 10km single mode fiber (blue), the copropagating tone (green) and the optical signal (red).](image)

![Figure 4. The experimental setup: (a) optical signal generation and fiber transmission, (b) PD and MPA connected to the transmitting antenna, (c) receiving antenna connected to the LNA, (d) the VSG and the DSO capturing the received signal traces.](image)

### 3. EXPERIMENTAL RESULTS

The ARoF fronthaul link is evaluated by measuring the BER performance after wireless transmission of the M-OFDM signals. Figure 5 depicts two diagrams of BER with respect to optical power at the input of the PD for $M_{QAM} = 16$ and 4 (left) or 8 (right) bands. It also shows the received constellation diagrams for all bands captured at an optical power of 3.5 dBm for the 4-band and at 4 dBm for the 8-band OFDM. Furthermore, Table 1 summarizes the obtained results for different signal combinations as a function of the BER limits of $3.8 \times 10^{-3}$ and $1.32 \times 10^{-2}$ corresponding to forward error correction with 7 % and 25 % overhead (OH), respectively.

For configurations with few bands, lower BERs are observed, as signal power is spread over less bands and hence the received signal has a better signal to noise ratio (SNR). Similarly, as with larger blocksize the symbol duration is reduced, the received power per symbol—and thus the SNR—is lower. Both graphs show the system to be SNR limited and thus any increase in available transmitter power will result in an improved BER performance or allowing longer transmission distances. For the evaluated signal with 4 bands in Fig. 4, a BER below the limit for 25 % overhead FEC is achieved, allowing a data rate of 1.2 Gbit/s within a bandwidth of 491.5 MHz. Similarly, for the 8 band signal a bit rate of 2.4 Gbit/s is transmitted over bandwidth of less than 1 GHz while with an increased blocksize the data rate becomes 4.8 Gbit/s with a bandwidth of 1.96 GHz.

These results are promising in terms of capacity and data rate. It is also expected that they can be improved by the optimization of the trade-off between power, bandwidth and data rate. This goal can be accomplished by providing additional transmitted power and by optimizing the system with regards to the efficiency of the RF signal generation. Consequently, OFDM signals with a greater number of bands and higher order QAM modulated symbols will be supported.
Figure 5. BER against optical power for a 4 (left) and 8 (right) band M-OFDM signal using 16 QAM symbols versus the input power at the PD; the constellation diagrams are depicted for optical powers of 3.5 dBm and 4 dBm, respectively.

Table 1. Required FEC overhead for the different M-OFDM signal Configurations.

<table>
<thead>
<tr>
<th>Block size</th>
<th>2048</th>
<th>4096</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Bands</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Mod.</strong></td>
<td>4-QAM</td>
<td>16-QAM</td>
</tr>
<tr>
<td>1</td>
<td>7%</td>
<td>7%</td>
</tr>
<tr>
<td>2</td>
<td>7%</td>
<td>7%</td>
</tr>
<tr>
<td>4</td>
<td>7%</td>
<td>7/25%</td>
</tr>
<tr>
<td>8</td>
<td>7/25%</td>
<td>25%</td>
</tr>
</tbody>
</table>

4. CONCLUSIONS

The transmission of carrier aggregated OFDM signals following the 5G numerology over a 28 GHz ARoF fronthaul link is demonstrated, finding bit error rates below the limit for a 25% overhead FEC after wireless transmission over 2.2 m. Data rates between 1.2 Gbit/s and 4.8 Gbit/s over respective bandwidths of 491.5 MHz and 1.96 GHz are successfully demonstrated. The observed results showcase ARoF fronthaul as a prominent candidate for 5G, providing maximum flexibility in the fronthaul segment and achieving multi-Gbit/s performance over limited bandwidths. The system demonstrated fits the requirements for next generation mobile networks, enabling the use of mm-wave frequencies for medium-range links such as building to building communications, indoor wireless distribution or wireless access where obstacles prevent fiber deployment.

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