

# Plasma-Assisted ALD of Highly Conductive HfNx: On the Effect of Energetic Ions on Film Microstructure

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# Plasma-Assisted ALD of Highly Conductive $\text{HfN}_x$ : On the Effect of Energetic Ions on Film Microstructure

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## Abstract

In this work, we report on the atomic layer deposition (ALD) of  $\text{HfN}_x$  thin films by employing  $\text{CpHf}(\text{NMe}_2)_3$  as the Hf(IV) precursor and Ar– $\text{H}_2$  plasma in combination with external RF substrate biasing as the co-reactant. Following up on our previous results based on an  $\text{H}_2$  plasma and external RF substrate biasing, here we address the effect of ions with a larger mass and higher energy impinging on  $\text{HfN}_x$  film surface during growth. We show that an increase in the average ion energy up to 304 eV leads to a very low electrical resistivity of  $4.1 \times 10^{-4} \Omega\text{cm}$ . This resistivity value is achieved for films as thin as  $\sim 35$  nm, and it is an order of magnitude lower than the resistivity reported in literature for  $\text{HfN}_x$  films grown by either CVD or ALD, while being comparable to the resistivity of PVD-grown  $\text{HfN}_x$  films. From the extensive thin film characterization, we conclude that the impinging ions during the film growth lead to the very low electrical resistivity of  $\text{HfN}_x$  films by suppressing the oxygen incorporation and in-grain nano-porosity in the films.

**Keywords** Atomic layer deposition · Hafnium nitride · RF substrate bias · Electrical conductivity

## Introduction

Conductive transition metal nitride (TMN) films find many applications in nano-electronics. They are used as metal electrodes in metal oxide semiconductor field effect transistors (MOSFETs) [1–4], and as diffusion barriers in inter-connects [5–8]. In view of the continuous scaling of semiconductor devices, the application of TMN films at small dimensions requires ultra-thin films with low resistivity, besides forming stable interfaces, e.g. with the

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underlying high- $k$   $\text{HfO}_2$  [1, 3, 4, 8]. Specifically, thin films of titanium nitride and tantalum nitride tend to form undesirable oxy-nitrides at the interface with  $\text{HfO}_2$  [4, 8]. Low resistivity hafnium nitride ( $\text{HfN}_x$ ) can serve as an effective alternative because of its superior stability when used in combination with  $\text{HfO}_2$  [8].

$\text{HfN}_x$  predominantly exists in two crystal phases: highly resistive  $\text{Hf}_3\text{N}_4$  with Hf(IV) oxidation state [9, 10], and low resistivity  $\delta$ - $\text{HfN}$  with Hf(III) oxidation state [11, 12]. The control of the oxidation state of Hf is therefore essential to synthesize conductive  $\text{HfN}_x$  layers [13–15]. Physical vapor deposition (PVD) methods have been widely adopted for the synthesis of low resistivity  $\text{HfN}_x$  films [8, 11, 12, 16–19]. Seo et al. have reported the growth of stoichiometric and epitaxial 500 nm thick  $\text{HfN}_x$  layers with a resistivity of  $1.4 \times 10^{-5} \Omega\text{cm}$ , which is the lowest resistivity value reported thus far [18]. Typically, the polycrystalline  $\text{HfN}_x$  films prepared by PVD exhibit a resistivity of ca.  $(1\text{--}2) \cdot 10^{-4} \Omega\text{cm}$  for a typical film thickness of  $\sim 200$  nm [17, 19, 20]. On the other hand, the growth of low resistivity  $\text{HfN}_x$  films by techniques employing a metal–organic precursor, such as chemical vapor deposition (CVD) or atomic layer deposition (ALD), is very challenging [13, 14, 21–23]. A major challenge is the reduction of Hf(IV) oxidation state in the precursor to Hf(III) oxidation state in the deposited film, as highlighted in our previous work [13, 14]. Kim et al. reported the growth of  $\text{HfN}_x$  films with a resistivity of  $1 \times 10^{-3} \Omega\text{cm}$ , the lowest achieved by means of CVD [21].

The urgent requirement from the field of nano-electronics is the synthesis of ultra-thin films with precise control over film thickness, excellent uniformity and conformality on high aspect ratio 3D nanostructures [24–27]. These requirements motivate the synthesis of low resistivity  $\text{HfN}_x$  films by ALD. We have recently shown that the  $\delta$ - $\text{HfN}$  phase can be achieved by adopting  $\text{CpHf}(\text{NMe}_2)_3$  as Hf(IV) precursor and  $\text{H}_2$  plasma as reducing co-reactant in a plasma-assisted ALD process [13]. We demonstrated that the application of an external RF substrate bias during the  $\text{H}_2$  plasma exposure and an increase in the time-averaged substrate potential ( $|V_{\text{bias}}|$ ) from 0 to 130 V resulted in a major decrease in electrical resistivity ( $\rho_e$ ) from 0.9 to  $3.3 \times 10^{-3} \Omega\text{cm}$  [14]. The decrease in  $\rho_e$  was found to be correlated with a major increase in the fraction of Hf(III) oxidation state from  $0.65 \pm 0.02$  to  $0.82 \pm 0.02$  [13, 14]. These results demonstrated that the impingement of energetic ions during the film growth can significantly improve the chemical and associated electrical properties of  $\text{HfN}_x$  thin films prepared by ALD. In parallel, Villamayor et al. recently showed that an increase in the mass of impinging ions positively affects also the crystallinity of  $\text{HfN}_x$  films grown by PVD, which contributes to the decrease in electrical resistivity [20].

In the present work, we investigate the effect of Ar– $\text{H}_2$  plasma in combination with external RF substrate biasing during the plasma half cycle as the reducing co-reactant. The impact of impingement of ions with larger mass and higher energy on the chemical and microstructural properties of  $\text{HfN}_x$  films is addressed here. The motivation to use Ar– $\text{H}_2$  plasma derives from the work of Sode et al. [28].  $\text{ArH}^+$  is anticipated to be the most abundant ion in an Ar– $\text{H}_2$  plasma, in contrast to the lighter  $\text{H}_3^+$  ion in a  $\text{H}_2$  plasma as employed in the previous work [28]. Furthermore, the ion energy measurements carried out in the present work indicate that the growing  $\text{HfN}_x$  film is subjected to a higher average ion energy ( $\langle E_{\text{ion}} \rangle$ ) in the case of Ar– $\text{H}_2$  plasma with respect to the previously reported  $\text{H}_2$  plasma process [14]. The ions with a larger mass and higher  $\langle E_{\text{ion}} \rangle$  leads to a minimum in the electrical resistivity of  $4.1 \times 10^{-4} \Omega\text{cm}$ . To best of our knowledge, this value represents the lowest resistivity reported in the literature for  $\text{HfN}_x$  films grown by either CVD or ALD, and is comparable to the resistivity of PVD grown films [13, 14, 21–23]. This low resistivity is achieved for films as thin as  $\sim 35$  nm. As a result of impinging ions with a

larger mass and higher  $\langle E_{ion} \rangle$ , a high Hf(III) fraction of 0.86 is obtained and major suppression of in-grain nano-porosity is observed, in contrast to the  $H_2$  plasma process.

## Experimental Section

### Plasma-Assisted ALD of $HfN_x$

The ALD of  $HfN_x$  thin films was conducted in an Oxford Instruments FlexAL ALD reactor [14, 29, 30], equipped with an inductively coupled remote plasma (ICP) source with an alumina dielectric tube. The reactor chamber was pumped to a base pressure of  $10^{-6}$  Torr using a turbo-molecular pump before every deposition. A stage temperature of 450 °C was selected for  $HfN_x$  ALD. This corresponds to a substrate temperature of about 340 °C as verified by spectroscopic ellipsometry (SE) [13, 14]. The onset of precursor decomposition and the start of a CVD regime was observed above 450 °C as previously reported [14]. The reactor walls were kept at 145 °C during all the depositions.

The Hf precursor  $CpHf(NMe_2)_3$  (Air Liquide, > 99.99% purity) was contained in a stainless steel bubbler at 60 °C and bubbled by an Ar flow of 100 sccm. An Ar flow of 100 sccm was also injected into the ICP alumina tube during the precursor dosage in order to suppress deposition on the ICP tube walls (a gate valve present between the ICP source and reactor chamber was kept open during the full cycle). Following the precursor dose, the chamber was pumped down to a base pressure of  $10^{-6}$  Torr in order to remove the unreacted precursor and reaction byproducts for 4 s. For the plasma exposure half cycle, an Ar +  $H_2$  gas mixture (10 sccm Ar and 40 sccm  $H_2$ ) was introduced into the chamber from the ICP alumina tube. The valve to the pump was fully opened culminating in a reactor pressure of ~6 mTorr. After stabilization of the gas flows for 4 s, the plasma was ignited with 100 W radio frequency (rf) ICP power (13.56 MHz) for the desired time. The purge steps for both half cycles consisted of a flow of 200 sccm Ar through the bubbling lines in addition to the 100 sccm Ar flow from the ICP source and with the valve to the pump fully opened. A  $CpHf(NMe_2)_3$  pulse length of 4 s and plasma exposure of 10 s were used, while keeping the purge step of 2 s after every half cycle [13].

### External RF Substrate Biasing

Employing the special feature of our Oxford Instruments FlexAL systems, an external substrate bias is applied during the plasma half cycle for the last 5 s using an additional RF power source (13.56 MHz), attached to the substrate table [14]. As a result, a time-averaged negative substrate potential with respect to ground ( $V_{bias}$ ) develops during the plasma exposure [14]. The magnitude of  $V_{bias}$  is tuned by changing the applied RF power as previously reported in detail (supporting information, Figure AI) [14]. An oscilloscope was connected to the substrate table via a high voltage probe that was used to measure the RF bias voltage waveforms as a function of time [14]. Supporting information Figure AI also shows the  $|V_{bias}|$  values for the applied RF bias power values [14, 31].

The ions are accelerated towards the surface of the growing film as a consequence of the voltage drop over the plasma sheath [31]. The ion flux-energy distribution functions (IFEDFs) of incident ions are measured using an Impedans Semion retarding field energy analyzer (RFEA) [31–33], as described in detail in Ref. [34]. As reported by Profijt et al., a mono-modal IFEDF for the grounded electrode ( $|V_{bias}|=0$  V) condition, whereas the

application of external RF substrate bias resulted in a bi-modal IFEDF [31]. The shape of the IFEDF for a collisionless sheath is determined by the ratio of ion transit time to the period of RF cycle [32]. The mean ion energy is denoted by  $E_{ion}$ , while  $\Delta E_{ion}$  represents the peak-to-peak separation in a bimodal IFEDF in the text. Furthermore, the RFEA is also used to estimate the flux of incident ions using  $\Gamma_i = I_c / e \cdot A_e$ , where  $I_c$  is the collector current measured by the RFEA,  $e$  is the elementary charge and  $A_e$  is the effective collector area. Ref [34], describes a detailed procedure to deduce the value of factor  $A_e$ , equal to  $(3.9 \pm 1.0) \cdot 10^{-3} \text{ cm}^2$  for the RFEA probe used in this work. Moreover, a slightly lower value of  $|V_{bias}|$  was obtained during the IFEDF measurements using RFEA than during the growth of  $\text{HfN}_x$  films on a substrate, for a particular RF bias power application (supporting information, Figure A1c).

## Substrates and Material Characterization

The  $\text{HfN}_x$  films are deposited on planar Si(100) substrates with a diameter of 100 mm and with 450 nm  $\text{SiO}_2$  atop.

The growth per cycle (GPC) and the dielectric functions of the  $\text{HfN}_x$  films were examined using spectroscopic ellipsometry (SE, J.A. Woollam, Inc., M2000U). The dielectric functions ( $0.75 \leq h\nu \leq 5.0 \text{ eV}$ ) of  $\text{HfN}_x$  films could be modelled using one Drude and two Lorentz oscillators as demonstrated by Hu et al. (supporting information, Table AI for details and discussion on the selected optical model) [11]. Additionally, the optical film resistivity ( $\rho_{op}$ ) was deduced from the free-carrier Drude parameterization, given by  $\rho_{op} = (\Gamma_D / \epsilon_o) \cdot \omega_p^2$ , where  $\Gamma_D$  is the damping factor for the Drude oscillator,  $\epsilon_o$  is the permittivity of free space, and  $\omega_p$  is the screened plasma frequency. The electrical resistivity ( $\rho_e$ ) was obtained via the four-point probe measurements using a Keithley 2400 SourceMeter and a Signaton probe by multiplying the sheet resistance of the  $\text{HfN}_x$  films with the film thickness as derived from SE. All resistivities reported in this work are for room temperature.

X-ray photoelectron spectroscopy (XPS) measurements were performed using a ThermoScientific K-Alpha KA1066 system equipped with a monochromatic Al  $K\alpha$  ( $h\nu = 1486.6 \text{ eV}$ ) source in order to study the chemical bonding and the oxidation states of elements present in the film. The chemical composition and the mass density of the films was evaluated via Rutherford backscattering spectrometry (RBS) and elastic recoil detection (ERD) using  $1900 \text{ keV}^4\text{He}^+$  ions (Detect99). For the ERD measurements, the recoil angle was  $30^\circ$  and the angle of incidence with the sample surface was kept at  $15^\circ$  whereas for the RBS, two detectors were used with scattering angles of  $170^\circ$  and  $150^\circ$ .

The surface morphology and the lateral grain sizes of thick  $\text{HfN}_x$  films ( $t > 30 \text{ nm}$ ) were studied using a Zeiss Sigma field emission scanning electron microscope (FE-SEM) operated at an acceleration voltage of 2 kV.

The crystallinity of the  $\text{HfN}_x$  films was examined with a PANalytical X'pert PRO MRD X-ray diffractometer using a Cu  $K\alpha$  ( $\lambda = 1.542 \text{ \AA}$ ) X-ray source. The X-ray diffractograms were obtained in a  $\theta - 2\theta$  configuration and were compared with the powder  $\text{HfN}$  patterns [35, 36]. High-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) studies were conducted using a JEOL ARM 200F operated at 200 kV in order to analyze: (1) the lateral grain sizes of thin  $\text{HfN}_x$  films ( $t \leq 10 \text{ nm}$ ), defined by the low atomic density grain boundary regions in the top-view images and (2) the microstructure and the nano-porosity of thick  $\text{HfN}_x$  films ( $t > 30 \text{ nm}$ ), obtained from the cross-sectional samples. These cross-sectional samples were prepared using a Focused Ion Beam

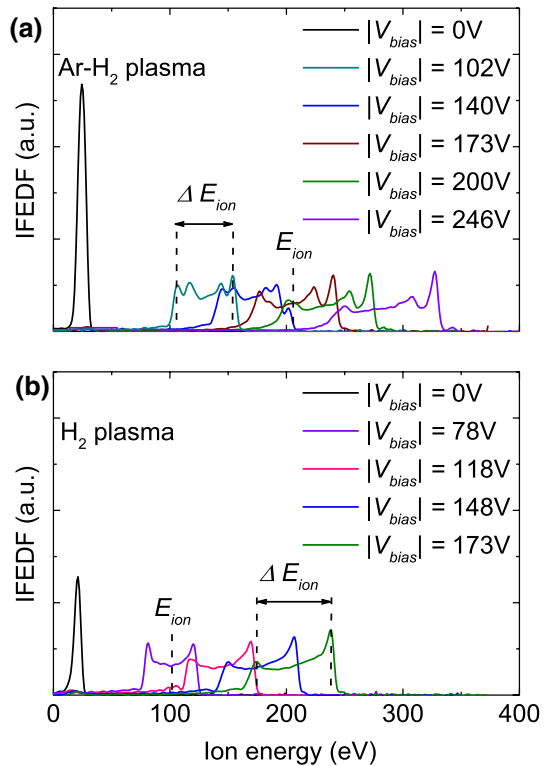
(FIB), following a standard lift-out sample preparation procedure. Prior to FIB milling, a protective layer was deposited on the  $\text{HfN}_x$  layers. In case of the  $\text{Ar-H}_2$  plasma sample, a stack of Electron Beam Induced Deposited (EBID)  $\text{SiO}_2$ , EBID Pt/C and Ion Beam Induced Deposited (IBID) Pt was used. In case of the  $\text{H}_2$  plasma sample, only EBID Pt/C and IBID Pt was used, as can be recognized from the TEM images below.

## Results and Discussion

### Ion Energy Characterization

The IFEDF measurements were carried out at various values of  $|V_{bias}|$  for  $\text{Ar-H}_2$  plasma and are presented in Fig. 1. The IFEDFs for the  $\text{H}_2$  plasma process were also measured and are shown for comparison. It is noted that the energy distribution of ions with a specific mass cannot be resolved and rather a cumulative distribution of all the ions impinging on the surface is obtained. However, from literature [37–39] and a recent report from Sode et al. [28], it can be expected that an  $\text{Ar-H}_2$  plasma contains  $\text{ArH}^+$ ,  $\text{Ar}^+$ ,  $\text{H}_3^+$ ,  $\text{H}_2^+$  and  $\text{H}^+$  ions, with  $\text{ArH}^+$  being the most abundant ion under a similar experimental conditions. In a pure  $\text{H}_2$  plasma discharge,  $\text{H}_3^+$  is the most abundant ion, in combination with  $\text{H}_2^+$  and  $\text{H}^+$  ions [28].

**Fig. 1** Ion flux-energy distribution functions (IFEDFs) for ions in a **a**  $\text{Ar-H}_2$  plasma operated at 6 mTorr and **b**  $\text{H}_2$  plasma operated at 30 mTorr for various values of  $|V_{bias}|$



A mono-modal IFEDF with an average ion energy ( $\langle E_{ion} \rangle$ ) of 24 eV was obtained for the grounded electrode ( $|V_{bias}|=0$  V) for the Ar–H<sub>2</sub> plasma as shown in Fig. 1a. The application of 10 W RF bias power resulted in an asymmetrical IFEDF with a  $|V_{bias}|=102$  V,  $\langle E_{ion} \rangle = 126$  eV and an outermost peak-to-peak width ( $\Delta E_{ion}$ ) of 47 eV. Upon increasing the RF bias power to 60 W, the value of  $|V_{bias}|$  increased to 246 V, the  $\langle E_{ion} \rangle$  increased to 308 eV and an  $\Delta E_{ion}$  of 77 eV was obtained. The increase in  $\Delta E_{ion}$  with  $|V_{bias}|$  is a consequence of an increase in the peak-to-peak sheath voltage [32]. Furthermore, when closely inspecting the IFEDFs, they appear to be a superposition of multiple bi-modal IFEDFs of individual cationic species with different masses (i.e. ArH<sup>+</sup>, Ar<sup>+</sup>, H<sub>3</sub><sup>+</sup>, H<sub>2</sub><sup>+</sup> and H<sup>+</sup>), that have different transit times through the plasma sheath as described by Manenschijn et al. [40]. This may be expected at low operating pressures used, where there are less ion-neutral collisions in the plasma sheath and ions are essentially unidirectional towards the surface of growing film.

Figure 1b shows the IFEDFs at various values of  $|V_{bias}|$  for the previously reported H<sub>2</sub> plasma process at 30 mTorr. Similar to the Ar–H<sub>2</sub> plasma, a mono-modal IFEDF was obtained for the grounded electrode ( $|V_{bias}|=0$  V), centered at  $\langle E_{ion} \rangle$  of 19 eV. Upon the application of external RF substrate bias, the IFEDF became bi-modal and an  $\langle E_{ion} \rangle$  of 98 eV with  $\Delta E_{ion}$  of 39 eV was obtained at  $|V_{bias}|=78$  V (rf bias power = 10 W). Furthermore, the  $\langle E_{ion} \rangle$  and  $\Delta E_{ion}$  increases with  $|V_{bias}|$  in a similar fashion as for Ar–H<sub>2</sub> plasma.

The flux of impinging ions ( $I_i$ ) was calculated from the total ion current ( $I_c$ ) recorded by the RFEA, since the flux of impinging ions is also expected to influence the film growth and related properties, as shown by Adibi et al. [41]. In our case, a constant  $I_i$  of  $(9.0 \pm 2.1) \cdot 10^{14}$  cm<sup>-2</sup> s<sup>-1</sup> was calculated independent of  $|V_{bias}|$  for the Ar–H<sub>2</sub> plasma, whereas a slight increase in  $I_i$  from  $(3.1 \pm 0.7) \cdot 10^{14}$  cm<sup>-2</sup> s<sup>-1</sup> at  $|V_{bias}|=0$  V to  $(9.5 \pm 2.2) \cdot 10^{14}$  cm<sup>-2</sup> s<sup>-1</sup> at  $|V_{bias}|=173$  V was observed for the H<sub>2</sub> plasma process. Based on these results, we can conclude that the values of  $I_i$  for both the processes are relatively similar in the entire range of  $|V_{bias}|$  investigated, whereas a significant increase in  $E_{ion}$  is observed in the case of the Ar–H<sub>2</sub> plasma.

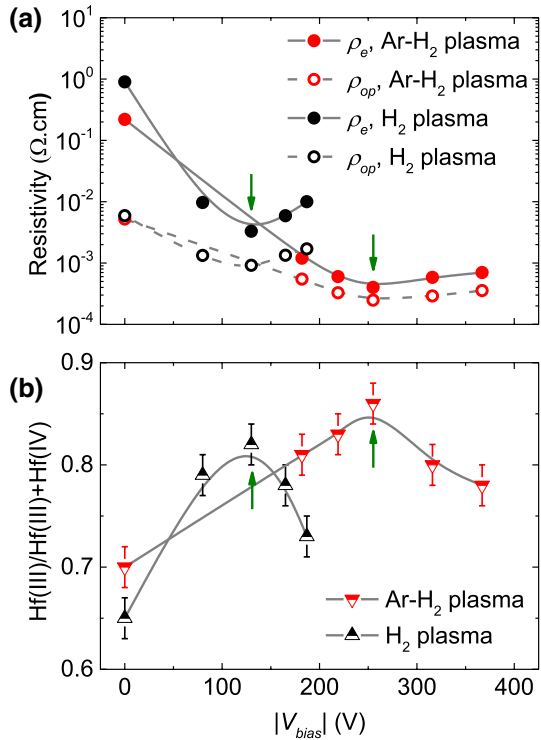
## Opto-Electrical Properties of HfN<sub>x</sub>

The ALD process was extensively characterized in terms of growth-per-cycle (GPC) and material properties. A detailed discussion can be found in the supporting information and here, only a few aspects are highlighted. The GPC was found to be constant at  $0.35 \pm 0.04$  Å/cycle (supporting information Figure AIII), independent of the increase in the  $|V_{bias}|$  from 0 to 255 V. Above the  $|V_{bias}|$  value of 255 V, a slight increase in the GPC was observed. The previously reported data for the H<sub>2</sub> plasma process is also included in supporting information Figure AIII for comparison. Furthermore, based on our previous studies on the ALD process employing H<sub>2</sub> plasma, it is anticipated that the application of external RF substrate bias does not affect the saturation behavior of the ALD process [14].

Figure 2a shows the  $\rho_e$  and the  $\rho_{op}$  resistivity values for HfN<sub>x</sub> films as a function of  $|V_{bias}|$ . The HfN<sub>x</sub> films grown at  $|V_{bias}|=0$  V using an Ar–H<sub>2</sub> plasma exhibit values of  $\rho_e$  of  $(2.0 \pm 0.1) \cdot 10^{-1}$  Ωcm and of  $\rho_{op} = (5.2 \pm 0.1) \cdot 10^{-3}$  Ωcm. When increasing the  $|V_{bias}|$  value up to 255 V, a substantial decrease in  $\rho_e$  to  $(4.1 \pm 0.1) \cdot 10^{-4}$  Ωcm and in  $\rho_{op}$  to  $(2.4 \pm 0.1) \cdot 10^{-4}$  Ωcm was observed. A subsequent increase in  $|V_{bias}|$  value to 367 V led to an increase in  $\rho_e$  to  $(6.5 \pm 0.1) \cdot 10^{-4}$  Ωcm and  $\rho_{op}$  to  $(3.5 \pm 0.1) \cdot 10^{-4}$  Ωcm. Figure 2a



**Fig. 2 a** Electrical ( $\rho_e$ ) and optical resistivity ( $\rho_{op}$ ) values for ~35 nm HfN<sub>x</sub> films grown using Ar–H<sub>2</sub> plasma compared with previously reported ~80 nm HfN<sub>x</sub> films grown using H<sub>2</sub> plasma and **b** the corresponding Hf(III) oxidation state fractions as a function of  $|V_{bias}|$ . Lines serve as a guide to the eye and the (green) arrows indicate the *optimum condition* in terms of minimum in resistivity achieved in both ALD processes (Color figure online)



also contains the previously reported  $\rho_e$  and  $\rho_{op}$  data for the H<sub>2</sub> plasma process for comparison [14].

It should be noted that the very low  $\rho_e$  achieved at  $|V_{bias}|=255$  V is for HfN<sub>x</sub> films as thin as ~35 nm. To best of our knowledge, this resistivity value is the lowest reported for HfN<sub>x</sub> films grown by either CVD or ALD, and is comparable to values reported for PVD-grown films [13, 14, 21–23]. The  $|V_{bias}|$  value that yields the minimum in  $\rho_e$  and  $\rho_{op}$  is here referred to as *optimum condition* for the corresponding ALD process and the rest of the manuscript will address the film characterization mainly at the optimum conditions.

By considering that the interaction distance of the incident light with the HfN<sub>x</sub> films is rather small, it can be expected that only the crystalline quality within 3–4 nm is probed by SE for determining the  $\rho_{op}$  (see Table AI and the discussion underneath). Therefore, the difference between the  $\rho_e$  and  $\rho_{op}$  ( $\Delta\rho$ ) provides insights into the amount of electronic scattering in the HfN<sub>x</sub> films, as we previously described [13, 14, 42]. A very low  $\Delta\rho$  is achieved at the optimum condition for Ar–H<sub>2</sub> plasma. It is relevant here to underline that  $\Delta\rho$  is an order of magnitude smaller than in the case of the previously reported H<sub>2</sub> plasma process. This suggests that the application of Ar–H<sub>2</sub> plasma has greatly contributed to reduce the amount of electronic scattering in the HfN<sub>x</sub> films. In order to comprehend the reason behind the very low scattering and the low value of  $\rho_e$  achieved at the optimum condition, the chemical composition and microstructure of the HfN<sub>x</sub> films was studied [14].



## Chemical Properties of HfN<sub>x</sub>

The chemical composition of the HfN<sub>x</sub> films was investigated by means of XPS and RBS. The binding energy values attributed to each spectral line for a specific chemical element in the XPS spectrum can be found in the supporting information (Table AII). Figure 2b shows the Hf(III) oxidation state fraction as a function of  $|V_{bias}|$ . For comparison, the previously reported Hf(III) fraction data for H<sub>2</sub> plasma is also shown. The Hf(III) fraction increased from  $0.70 \pm 0.02$  to  $0.86 \pm 0.02$  upon increasing the  $|V_{bias}|$  from 0 V up to the optimum condition (see the deconvolution of Hf 4f XPS spectrum in Figure AIVa-b). Interestingly, the high Hf(III) fraction achieved at the *optimum condition* for Ar–H<sub>2</sub> plasma is comparable to the previously reported H<sub>2</sub> plasma (i.e.  $0.86 \pm 0.02$  vs.  $0.82 \pm 0.02$ ).

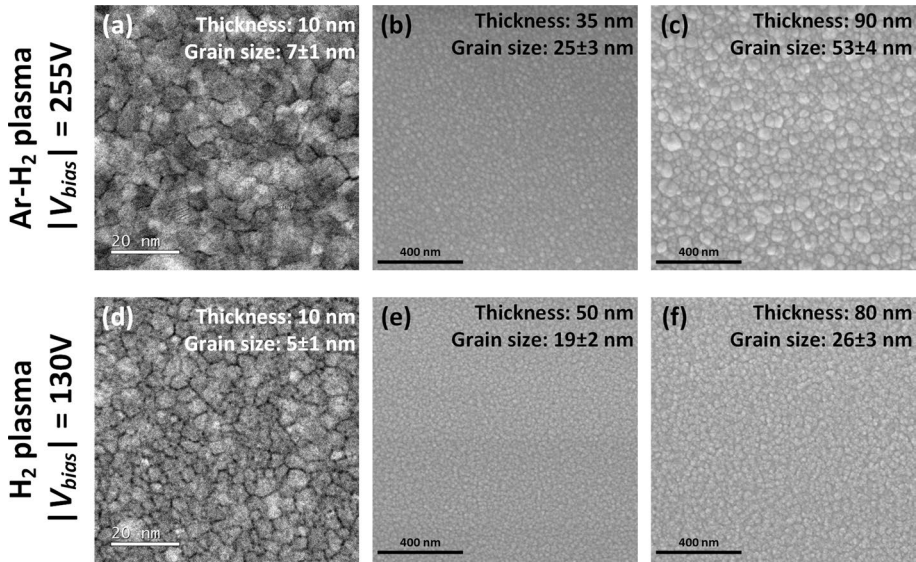
Table 1 gives an overview of the material properties of HfN<sub>x</sub> films grown at the *optimum condition* using Ar–H<sub>2</sub> plasma, whereas the film properties as a function of  $|V_{bias}|$  can be found in the supporting information (Table AIII). The aforementioned increase in Hf(III) fraction upon increasing the  $|V_{bias}|$  from 0 to 255 V is correlated with a major suppression in O content from  $19.9 \pm 0.9$  at.% to  $< 2$  at.%. In our previous report on H<sub>2</sub> plasma [14], we also concluded that this increase in Hf(III) fraction with  $|V_{bias}|$  is associated with a major decrease in O content in the HfN<sub>x</sub> films. Additionally, the H content (and presumably Hf–H bonds) at the optimum condition appears to limit the Hf(III) fraction from reaching unity [14]. The latter suggestion is further corroborated by the fact that an increase in  $|V_{bias}|$  beyond the *optimum condition* leads to an increase in H at.%, while a simultaneous decrease in Hf(III) fraction is observed (Table AIII). It should be noted that the O content stays below  $< 2$  at.% at  $|V_{bias}|$  of 255 V or higher. Furthermore, the C concentration was found to increase upon increasing the  $|V_{bias}|$  up to the optimum condition (see supporting information Figure AIVd). This increase in carbon is similar to previously reported H<sub>2</sub> plasma process and is thought to arise from enhanced cracking of ligands on the film surface by energetic ions, followed by their re-deposition [14, 30].

Based on these results, it can be concluded that a high Hf(III) fraction and a very low O content is achieved at the *optimum condition* for the HfN<sub>x</sub> films grown using Ar–H<sub>2</sub> plasma, which is comparable to the previously reported H<sub>2</sub> plasma process.

## Microstructural Properties of HfN<sub>x</sub>

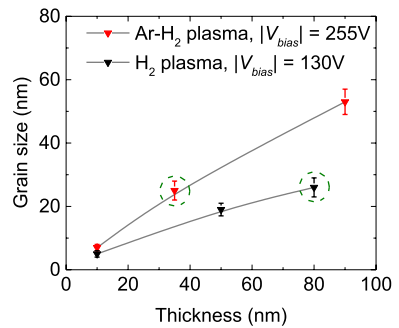
The crystallinity and microstructure of the HfN<sub>x</sub> films were also investigated. In fact, we have previously shown that the  $\rho_e$  is also related to the film microstructure [14]. The crystallinity of 35 nm thick film grown using Ar–H<sub>2</sub> plasma and 80 nm thick film grown using H<sub>2</sub> plasma was examined using XRD ( $\theta$ – $2\theta$  mode) (supporting information, Figure AV). Conducting  $\delta$ -HfN phase was observed for the *optimum condition* of the Ar–H<sub>2</sub> plasma, exhibiting HfN(111), HfN(200) and HfN(220) reflections in a similar peak intensity ratio as the powder  $\delta$ -HfN pattern [14], indicating no preferred growth direction.

The lateral grain sizes of the HfN<sub>x</sub> films were subsequently investigated. Figure 3 shows top-view high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) and scanning electron microscopy (SEM) images of HfN<sub>x</sub> layers grown at the *optimum condition* of Ar–H<sub>2</sub> plasma, allowing evaluation of the lateral grain size as a function of film thickness. The images for H<sub>2</sub> plasma process are also shown for comparison purposes. It can be concluded from the data in HAADF-STEM and SEM images that the rate of lateral grain growth is higher for Ar–H<sub>2</sub> plasma as compared to the H<sub>2</sub> plasma



**Fig. 3** Top-view high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) and scanning electron microscopy (SEM) images for  $\text{HfN}_x$  films prepared at the *optimum conditions* using Ar– $\text{H}_2$  plasma and  $\text{H}_2$  plasma with an approximate thickness of (a, d) 10 nm; (b, e) 35 nm and 50 nm; (c, f) 90 nm and 80 nm, respectively

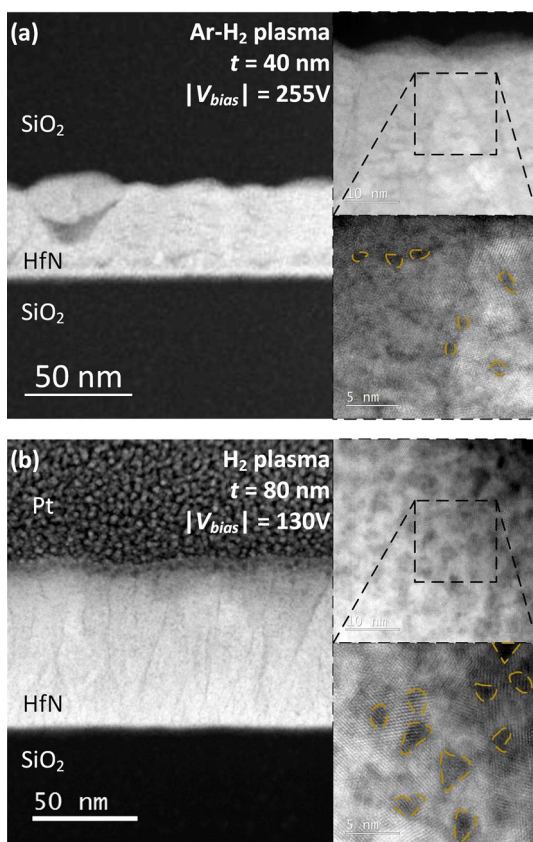
**Fig. 4** Lateral grain sizes for the  $\text{HfN}_x$  films prepared at the *optimum conditions* of the Ar– $\text{H}_2$  plasma and  $\text{H}_2$  plasma as a function of film thickness. The points with the (green) circles indicate the grain sizes of  $\text{HfN}_x$  films that are discussed in more detail in terms of resistivity for the corresponding ALD process (Color figure online)



(Fig. 4). Importantly, the lateral grain size for the relevant ~35 nm thick film grown using the Ar– $\text{H}_2$  plasma is similar to that of previously reported ~80 nm thick  $\text{HfN}_x$  film prepared with  $\text{H}_2$  plasma (green circles in Fig. 4).

The in-grain crystal quality of the  $\text{HfN}_x$  films grown at the optimum conditions was investigated by studying its micro-structure. Figure 5 shows the HAADF-STEM image of cross-sectional samples for the Ar– $\text{H}_2$  plasma (35 nm thick) and the  $\text{H}_2$  plasma (80 nm thick) cases. Dark regions in the films indicate the presence of lighter elements and/or porosity. The images with a 50 nm scale display the lateral development of crystal grains as a function of height, yielding similar grain size values on the top surfaces of the film as measured from the aforementioned top-view HAADF-STEM and SEM studies of Fig. 3. The higher magnification insets reveal that the  $\text{HfN}_x$  film grown using Ar– $\text{H}_2$  plasma are quite dense, i.e. displaying much less nano-porosity than the case of  $\text{H}_2$  plasma. Specifically, non-uniform contrast variations and dark patches can be observed within the crystal

**Fig. 5** Cross-sectional high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) images for **a** ~35 nm thick film grown at  $|V_{bias}| = 255$  V using Ar–H<sub>2</sub> plasma and **b** ~80 nm thick film prepared using H<sub>2</sub> plasma at  $|V_{bias}| = 130$  V. The insets show magnified views of the micro-structure displaying the nano-scale porosity in the film as indicated by the highlighted (yellow) areas (Color figure online)



grains of the HfN<sub>x</sub> film grown using H<sub>2</sub> plasma, suggesting the presence of lower density regions such as nano-pores. These results agree with the higher mass density obtained for HfN<sub>x</sub> films grown using Ar–H<sub>2</sub> plasma in comparison with the H<sub>2</sub> plasma (Table 1).

In addition, we observed the presence of V-shaped pyramidal voids for the Ar–H<sub>2</sub> plasma in the initial phase of film growth (Fig. 5a). The cause for this phenomenon is not known yet. Although the voids can be observed in several areas of the whole TEM cross-section of the Ar–H<sub>2</sub> sample (supporting information, Figure AVI), it appears that their presence does not affect the film electrical properties.

## Discussion and Conclusions

Plasma-assisted ALD of HfN<sub>x</sub> has been studied using CpHf(NMe<sub>2</sub>)<sub>3</sub> as the Hf(IV) precursor and Ar–H<sub>2</sub> plasma in combination with an external RF substrate bias application as the co-reactant. Ion energy characterization reveals that the average energy of the impinging ions ( $\langle E_{ion} \rangle$ ) on the HfN<sub>x</sub> surface at the *optimum condition* of the lowest film resistivity of  $4.1 \times 10^{-4} \Omega\text{cm}$  for Ar–H<sub>2</sub> plasma is 304 eV and the ion flux ( $\Gamma_i$ ) is  $(9.0 \pm 2.1) \cdot 10^{14} \text{ cm}^{-2} \text{ s}^{-1}$ . Such a low resistivity is achieved for films as thin as ~35 nm. From the extensive thin film characterization, we show that a very low O content (<2 at.%)

**Table 1** Properties of the HfN<sub>x</sub> films prepared at optimum conditions using an Ar-H<sub>2</sub> plasma compared with previously reported properties of HfN<sub>x</sub> films deposited using a H<sub>2</sub> plasma

Plasma $V_{bias}$ (V)	FPP		SE		XPS		RBS		ERD	
	Electrical resistivity ( $\Omega\text{cm}$ )	Optical resistivity ( $\Omega\text{cm}$ )	Optical resistivity ( $\Omega\text{cm}$ )	Thickness (nm)	$\frac{\text{Hf(III)}}{\text{Hf(III)+Hf(IV)}}$	N/Hf	C (at.%)	O (at.%)	Mass density ( $\text{g cm}^{-3}$ )	H (at.%)
Ar-H <sub>2</sub> 255 V	$4.1 \times 10^{-4}$	$2.4 \times 10^{-4}$	$2.4 \times 10^{-4}$	35	$0.86 \pm 0.02$	$0.84 \pm 0.08$	$8.4 \pm 0.4$	<2.0	$10.0 \pm 0.3$	$11.8 \pm 0.6$
H <sub>2</sub> 130 V	$3.3 \times 10^{-3}$	$9.0 \times 10^{-4}$	$9.0 \times 10^{-4}$	80	$0.82 \pm 0.02$	$1.00 \pm 0.07$	$11.0 \pm 1.2$	<2.0	$8.6 \pm 0.2$	$12.6 \pm 0.6$

The electrical resistivity was obtained by a combination of four-point probe (FPP) and spectroscopic ellipsometry (SE) whereas the optical resistivity and thickness were determined using SE. X-ray photoelectron spectroscopy (XPS) was used to obtain the Hf(III) oxidation state fraction whereas the chemical composition was determined via Rutherford backscattering (RBS). The H content was measured using elastic recoil detection (ERD). The mass density was calculated by dividing the total areal mass density (obtained via RBS and ERD) with the film thickness. The measurement errors for electrical and optical resistivities are less than 3% and 2% of their absolute values, respectively.

and a correlated high Hf(III) oxidation state fraction of  $0.86 \pm 0.02$  is obtained for the  $\text{HfN}_x$  films grown at the *optimum condition*. Furthermore, the  $\text{HfN}_x$  films exhibit a very low in-grain nano-porosity. The high in-grain crystalline quality and low in-grain nano-porosity is also in line with the aforementioned observation on the very low electronic scattering (i.e. small  $\Delta\rho$ ) in the  $\text{HfN}_x$  films (Fig. 2a). Altogether, these excellent material properties lead to the very low  $\rho_e$  of the  $\text{HfN}_x$  films grown using Ar– $\text{H}_2$  plasma with energetic ion bombardment.

Impinging ions during plasma processes are known to initiate several interactions with the growing films, for example, enhanced ad atom surface diffusion leading to decrease in the defect density; bulk lattice atom displacements resulting in a collision cascade; sputtering and ion-induced damage; ion implantation at higher ion energies [20, 43–51]. In regards to the material properties, Hultman et al. and Petrov et al. showed that energetic ions in a  $\text{N}_2$  and Ar– $\text{N}_2$  plasma discharges respectively with  $|V_{bias}|$  value in the range of 150 V–250 V can lead to annihilation of defects and reduction in nano-porosity in sputtered TiN films [52, 53], and attributed the decrease in defect density to the near-surface and sub-surface diffusion processes [44, 45].

Next to the ion energy, the mass of impinging ions is of importance. In our case, from the ion energy results and from literature reports [28], it is concluded that the  $\text{HfN}_x$  film surface at the *optimum conditions* is subjected to energetic ions with significantly higher ion energy and larger mass when using an Ar– $\text{H}_2$  plasma (mainly  $\text{ArH}^+$ ) instead of a  $\text{H}_2$  plasma ( $\langle E_{ion} \rangle = 159$  eV, mainly  $\text{H}_3^+$ ), while the  $\Gamma_i$  remains comparable ( $\Gamma_i = (8.2 \pm 1.9) \cdot 10^{14} \text{ cm}^{-2} \text{ s}^{-1}$  for  $\text{H}_2$  plasma). It is further noted that the  $\rho_e$  value for  $\text{HfN}_x$  films grown at the *optimum conditions* using Ar– $\text{H}_2$  plasma is an order of magnitude lower than the  $\text{H}_2$  plasma. This major decrease in the  $\rho_e$  value is primarily attributed to the improvement in the  $\text{HfN}_x$  film microstructure, enabled by the impingement of ions with a larger mass and higher energy. Relevantly, Villamayor et al. also recently showed that an increase in the mass of impinging ions by adopting Kr– $\text{N}_2$  plasma, instead of Ar– $\text{N}_2$  plasma, led to an improvement in the crystalline quality of the sputtered  $\text{HfN}_x$  films [20]. The above results can be understood from the fact that the nature of ion-surface interaction depends on the extent of energy and momentum transfer. As highlighted by Gago et al. [48], the system can be approximated by the simple case of an elastic binary atom/ion-atom collision. Using this model, the kinematic factor ( $k$ ) for energy transfer can be easily calculated using  $k = 4Mm/(M + m)^2 \cdot \cos^2\varphi$ , where  $M$  and  $m$  are the masses of incoming ion and target atom respectively and  $\varphi$  is the scattering angle [48]. It should be noted that the value of  $k$  is approximately an order of magnitude higher for the impinging heavy  $\text{ArH}^+$  ions in comparison to the light  $\text{H}_3^+$  ions, when Hf is considered as the target atom. Altogether, it is expected that the impinging ions with a higher energy (304 vs. 159 eV) and larger mass ( $\text{ArH}^+$  vs.  $\text{H}_3^+$ ) may lead to a greater extent of energy and momentum transfer to the  $\text{HfN}_x$  film surface [20, 43–51].

In addition to the higher energy and larger momentum transfer of  $\text{ArH}^+$  ions, it should also be noted that  $\text{ArH}^+$  ions are expected to have a smaller penetration depth than  $\text{H}_3^+$  ions. This signifies that the energy and momentum transfer per ALD cycle using  $\text{ArH}^+$  ions occurs primarily in a shallower region of the film. Therefore, the energy density available to promote the decrease in nanoporosity is higher when using  $\text{ArH}^+$  ions. So to conclude, the obtained results demonstrate how energy density and mass of impinging ions and the associated energy and momentum transfer during plasma ALD can contribute to the fine tuning of the chemical and microstructural properties of  $\text{HfN}_x$  thin films. The results may be applicable to wide range of ALD processes including for the growth of other transition metal nitrides [54].

## Supporting Information

Substrate potential via oscilloscope, GPC as a function of  $|V_{bias}|$ , SE modeling parameters for  $HfN_x$ ,  $HfN_x$  material property as a function of  $|V_{bias}|$ , XPS spectra for Hf 4f, O 1 s and C 1 s as a function of  $|V_{bias}|$ ,  $\theta$ -2 $\theta$  XRD scan and HAADF-STEM image for  $HfN_x$  film strip.

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## Compliance with ethical standards

**Conflict of interest** The authors declare no competing financial interest.

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