

Is there an effect of intranasal insulin on development and behaviour in Phelan-McDermid syndrome? : a randomized, double-blind, placebo-controlled trial

Citation for published version (APA):

Zwanenburg, R. J., Bocca, G., Ruiter, S. A. J., Dillingh, J. H., Flapper, B. C. T., Van Den Heuvel, E. R., & Van Ravenswaaij-Arts, C. M. A. (2016). Is there an effect of intranasal insulin on development and behaviour in Phelan-McDermid syndrome? : a randomized, double-blind, placebo-controlled trial. *European Journal of Human Genetics*, 24(12), 1696-1701. <https://doi.org/10.1038/ejhg.2016.109>

DOI:

[10.1038/ejhg.2016.109](https://doi.org/10.1038/ejhg.2016.109)

Document status and date:

Published: 01/12/2016

Document Version:

Publisher's PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:

- A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.
- The final author version and the galley proof are versions of the publication after peer review.
- The final published version features the final layout of the paper including the volume, issue and page numbers.

[Link to publication](#)

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license above, please follow below link for the End User Agreement:

www.tue.nl/taverne

Take down policy

If you believe that this document breaches copyright please contact us at:

openaccess@tue.nl

providing details and we will investigate your claim.

ARTICLE

Is there an effect of intranasal insulin on development and behaviour in Phelan-McDermid syndrome? A randomized, double-blind, placebo-controlled trial

Renée J Zwanenburg¹, Gianni Bocca², Selma AJ Ruiter³, Jan H Dillingh⁴, Boudien CT Flapper², Edwin R van den Heuvel⁵ and Conny MA van Ravenswaaij-Arts^{*,1}

Phelan-McDermid syndrome (PMS) or 22q13.3 deletion syndrome is a rare neurodevelopmental disorder with at least 60 children and 35 adults diagnosed in the Netherlands. Clinical features are moderate to severe intellectual disability and behavioural problems in the autism spectrum. Other researchers had observed a beneficial effect of intranasal insulin on development and behaviour in a pilot study in six children with PMS. To validate this effect, we conducted a randomized, double-blind, placebo-controlled clinical trial using a stepped-wedge design. From March 2013 to June 2015, 25 children aged 1–16 years with a molecularly confirmed 22q13.3 deletion including the *SHANK3* gene participated in the clinical trial for a period of 18 months. Starting 6 months before the trial, children were systematically assessed for cognitive, language and motor development and for adaptive, social and emotional behaviour every 6 months. The second, third and fourth assessments were followed by daily nose sprays containing either intranasal insulin or intranasal placebo for a 6-month period. A fifth assessment was done directly after the end of the trial. Intranasal insulin did not cause serious adverse events. It increased the level of developmental functioning by 0.4–1.4 months per 6-month period, but the effect was not statistically significant in this small group. We found a stronger effect of intranasal insulin, being significant for cognition and social skills, for children older than 3 years, who usually show a decrease of developmental growth. However, clinical trials in larger study populations are required to prove the therapeutic effect of intranasal insulin in PMS.

European Journal of Human Genetics (2016) 24, 1696–1701; doi:10.1038/ejhg.2016.109; published online 31 August 2016

INTRODUCTION

Phelan-McDermid syndrome (PMS, OMIM 606232) is caused by a deletion on chromosome 22q13.3 that results in a neurodevelopmental disorder. There are at least 60 children and 35 adults diagnosed with this syndrome in the Netherlands. The main clinical features of PMS are intellectual disability and behavioural problems in the autism spectrum.¹ The behavioural profile is characterised by a general delay in adaptive behaviour, which is seen as deficits in social communication.² In a recent study, we showed less favourable developmental growth in PMS patients after the age of 3 years, with a slow but steady increase in developmental abilities up to that age that is followed by decreased or stagnated developmental growth after.³

The neurodevelopmental features in PMS are mainly caused by a deletion of the *SHANK3* gene (OMIM 606230).⁴ Mutations in this gene have been reported in humans with intellectual disability and with autism (reviewed by Leblond *et al.*),⁵ and have been shown to cause deficits in social interaction and learning in animal models.^{6,7} *SHANK3* is a scaffold protein located at axon terminals and postsynaptic densities of neurons that has an important role in synaptic maturation and neuronal signalling.^{8,9} Neurons derived from induced pluripotent stem cells of PMS patients showed reduced *SHANK3* mRNA and protein expression, a decreased number of

excitatory neurotransmitter-receptors and fewer excitatory synapses.⁹ As the molecular mechanisms underlying the syndrome are uncovered, research is gradually focusing on developing therapeutic strategies at the biological level. One strategy is the administration of insulin-like growth factor 1 (IGF-1), which has been reported to reverse deficits in synaptic signalling in heterozygous *Shank3*-deficient mice.¹⁰ In a randomized placebo-controlled crossover pilot study, nine children with PMS were treated with daily intraperitoneal IGF-1 injections over a period of 12 weeks. The results showed an improvement of social impairment and restrictive behaviour.¹¹ A non-invasive therapeutic strategy is treatment with intranasally administered insulin. Intranasal application leads to uptake of insulin in the cerebral spinal fluid (CSF), bypassing the blood–brain barrier.¹² Insulin administered by this route has been shown to improve memory, general cognition, functional abilities and mood in healthy adults, adults with Alzheimer's disease and adults with minimal cognitive impairment without causing systemic effects on blood glucose levels or other serious adverse events (SAEs; reviewed by Shemesh *et al.*).^{13,14} Schmidt *et al.* hypothesised that intranasal insulin could compensate for the cognitive deficits in PMS and conducted an exploratory non-placebo-controlled study in six children with PMS.¹⁵ They reported an improvement of motor activity, cognitive function,

¹University of Groningen, University Medical Centre Groningen, Department of Genetics, Groningen, The Netherlands; ²University of Groningen, University Medical Centre Groningen, Beatrix Children's Hospital, Department of Paediatrics, Groningen, The Netherlands; ³De Kinderacademie Groningen, Centre of Expertise for Child Development Care and Research, Groningen, The Netherlands; ⁴University of Groningen, University Medical Centre Groningen, Department of Clinical Pharmacy and Pharmacology, Groningen, The Netherlands; ⁵Department of Mathematics and Computer Science, Eindhoven University of Technology, Eindhoven, The Netherlands

*Correspondence: Professor CMA van Ravenswaaij-Arts, Department of Genetics CB51, University Medical Centre Groningen, PO Box 30.001, Groningen 9700 RB, The Netherlands. Tel: +31-50-3617229; Fax: +31-50-3617231. E-mail: c.m.a.van.ravenswaaij@umcg.nl

Received 10 February 2016; revised 8 July 2016; accepted 19 July 2016; published online 31 August 2016

nonverbal communication and autonomy after treatment with intranasal insulin, without an effect on blood glucose levels or SAEs. However, replication of these effects in a clinical trial with a larger number of participants is needed.

The aim of our study was to evaluate the effect of intranasal insulin on development and behaviour in a larger group of children with PMS using a randomized, double-blind, placebo-controlled clinical trial.

SUBJECTS AND METHODS

Study design and subjects

The study is a monocenter, randomized, double-blind, placebo-controlled trial with a stepped wedge design including three study groups.¹⁶ Before the clinical trial, we statistically evaluated several different trial designs (parallel group design, matched-pairs design, wait-list design and stepped wedge design) and found the stepped wedge design showed the highest power for testing treatment effect.¹⁶ The stepped wedge design is especially appropriate for studies in which the intervention is expected to do more good than harm, a situation in which it is considered unethical to withhold treatment from a subgroup of participants (as in parallel group design) or to only provide treatment to all participants if the effect has been proven to be effective (as in wait-list design). With the stepped wedge design, the change from intranasal placebo to intranasal insulin occurs at different time points for each study group (Figure 1).

The study was performed between March 2013 and June 2015. Patients were recruited from a group of 38 Dutch children with PMS who had been diagnosed in the Clinical Genetics department of the University Medical Centre Groningen or referred to our centre from other hospitals in the Netherlands. Inclusion criteria were a molecularly confirmed 22q13.3 deletion including *SHANK3*, a calendar age between 12 months and 18 years, and having parents who understand and speak Dutch (necessary because of the nature of the assessments). Children with severe (perinatal) brain damage or with a metabolic or neuromuscular disease were excluded because development and behaviour could be significantly influenced by these factors and less dependent on their *SHANK3* deficiency, the hypothetical target of the treatment. Of the 38 children, two were lost to follow-up before the start of the trial, parents of 10 children decided not to participate in the trial and we excluded one child with severe brain damage. Ultimately, 25 children were included in the trial.

Basic characteristics of participants, treatment exposure and safety endpoints were collected for all participants. The primary objective was to investigate whether intranasal insulin leads to a greater increase in the level of general developmental functioning compared with the change in developmental functioning without insulin. The secondary objective was to investigate whether intranasal insulin leads to an improved functioning of adaptive and social-emotional behaviour.

Treatment allocation

The study period consisted of a pre-clinical trial phase and a clinical trial phase (Figure 1). During the pre-clinical trial phase, patients and their parents visited our expert clinic for Rare Chromosome Disorders. A patient history was taken and a physical examination was performed by a paediatrician (GB) to evaluate potential health issues. Patients were randomly allocated to one of the study groups. Randomisation was done by the Department of Clinical Pharmacy and Pharmacology of the University Medical Centre Groningen using a restricted

randomisation method with permuted blocks of three groups (A, B and C). During the clinical trial phase, following the pre-treatment assessment ($t=0$ months), participants started with either intranasal insulin (group A) or intranasal placebo (group B and C) according to schedule (Figure 1). Study investigators, parents and participants were blinded for treatment allocation.

Study medication and placebo

According to schedule, patients received a set of nose sprays with either insulin or placebo solution each 6-month period. The recombinant human insulin solution for this study consisted of the licensed parenteral drug Humuline Regular 100 IU/ml (Eli Lilly Nederland B.V., Houten, The Netherlands). The dosage was based on the pilot study of Schmidt *et al.* 2009.¹⁵ Our Department of Clinical Pharmacy and Pharmacology repackaged this product in multi-dose nasal spray bottles (Aeropump, Hochheim am Main, Germany). One spray was 0.1 ml, containing 10 IU of insulin. The composition and packaging of the placebo solution was identical to the insulin buffer solution. In the placebo solution, insulin was replaced by human albumin (Albuman 200 g/l, Sanquin, Amsterdam, The Netherlands) to a concentration of 3.47 mg/ml.

In previous studies, the maximum dose that had been safely administered to adults was 160 IU/day (~2.5 IU/kg/day)¹⁷ and to children 20–60 IU/day (0.5–1.5 IU/kg/day).^{15,18} The daily dose for our study was calculated so that the maximal safe dose from previous studies was maintained, but maximal levels in the CSF would be achieved. Because head circumference in children corresponds to their brain volume,¹⁹ we calculated the insulin dose based on both estimated body weight²⁰ and head circumference²¹ (a proxy for cranial volume) to find the optimal therapeutic dose (Table 1). The medication was administered twice a day: just before breakfast and dinner. When two sprays were scheduled, parents administered one spray to each nostril. The medication was accompanied by administration instructions for the parents.

Safety

Several independent studies have shown that intranasal insulin administration did not alter systemic insulin concentration or glucose levels in children and adults.^{13,15,18} Therefore, peripheral glucose or insulin levels were not measured in study participants. Safety and tolerability were evaluated based on both expected and unexpected adverse events and SAEs as reported by parents to the investigators by email, phone and through their participant diaries. Previously

Table 1 Biometric characteristics and dose of study medication

Age (years)	Body		Dose IU/day	Dose based on		Administration no of sprays ^c
	weight ^a (kg)	cranial volume ^b (l)		Weight IU/kg/day	Volume IU/l/day	
1–3	10–15	1.0–1.1	20	1.3–2.0	18.2–20.0	2 dd 1
3–9	15–30	1.1–1.25	30	1.0–2.0	24.0–27.3	1 dd 2 + 1 dd 1
9–18	30–65	1.25–1.5	40	0.6–1.3	26.7–32.0	2 dd 2

^aEstimated body weight (based on ref. 18).

^bEstimated cranial volume (ref. 19).

^cOne nasal spray is 0.1 ml and contains 10 IU.

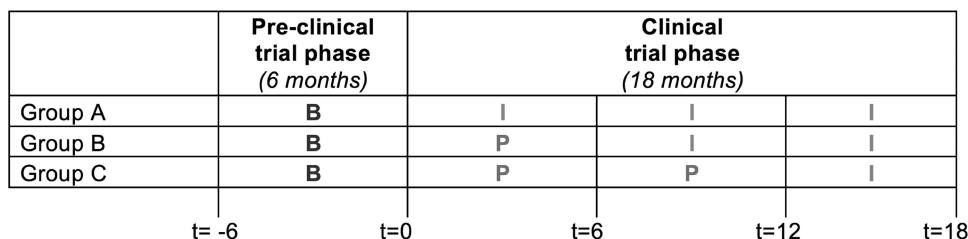


Figure 1 Study design. Trial phases, study groups and study periods. B, baseline; I, intranasal insulin; P, intranasal placebo. t = time point (in months) at which development and behaviour were assessed.

reported, and therefore expected, adverse events were transitory nasal irritation, rhinitis, spontaneous nose bleeds and headache.¹³ As the placebo buffer solution consisted of the same components as the insulin buffer solution, the potential adverse effects of the placebo solution were expected to be similar.

Outcome measures

To measure the effect of intranasal insulin, development and behavioural functioning were assessed at 6-month time intervals by different tests (see next section). The rates of improvement of development and behaviour in the treatment period were then compared to the rates of improvement in the pre-treatment period.

The results from the $t = -6$ and $t = 0$ month assessments of the pre-clinical trial phase were used to determine baseline changes in general development and behaviour.

In the clinical trial phase, each subsequent treatment period consisted of 6 months at the end of which time development and behaviour were assessed ($t = 6$, $t = 12$ and $t = 18$ months). Immediately after each assessment, participants switched to a new set of nose sprays (Figure 1). Once started on intranasal insulin, participants remained on insulin until the end of the trial.

Assessment of development and behaviour

Two licensed clinical child psychologists with professional experience, intensively trained in the administration of the instruments used in this study and experienced in working with children with special needs, assessed children at home or in their school environment. General development, our primary outcome parameter, was assessed by the Bayley Scales of Infant and Toddler Development,²² third edition, adapted and validated for the Dutch population (Bayley-III-NL),²³ or Wechsler Preschool and Primary Scale of Intelligence,²⁴ third edition, Dutch version (WPPSI-III-NL)²⁵ depending on the developmental age of the children. Bayley-III-NL and WPPSI-III-NL outcomes are expressed in raw scores that were converted to a developmental age equivalent (DAE) as previously described.³

Behaviour, our secondary outcome parameter, was assessed by two questionnaires applied in interview form: the Vineland Screener 0–6 and the *Experimentele Schaal voor de beoordeling van het Sociaal-Emotionele Ontwikkelings Niveau* (ESSEON). The Vineland screener 0–6, which is based on the American short version of the Vineland Adaptive Behavior Scales (VABS),²⁶ was used to cover four domains of adaptive behaviour portrayed in the original Expanded Version of the VABS: communication, social, daily and motor skills. The Vineland Screener has been validated for Dutch children aged 0–6 years and for children between 1 and 18 years with a developmental delay.²⁷ Raw scores of each subscale were converted to a DAE. The ESSEON is a Dutch instrument that determines the level of social and emotional development of children from 0 to 14 years of age.²⁸ It is a behaviour rating scale based on interviewing adults with knowledge of the child's behaviour, resulting in a DAE for each subscale. There is some overlap between the Vineland Screener and the ESSEON with respect to aspects of adaptive behaviour-like social and communicative abilities, but these questionnaires are complementary with respect to emotional development.

In addition to our primary and secondary objectives, we evaluated behavioural problems, which were assessed using two questionnaires: the BRIEF-P²⁹ and the Child Behavior Checklist (CBCL/1.5-5).³⁰ Methods and results are described in Supplementary File.

Statistics

The statistical analysis for treatment effect was conducted using a subject-specific random coefficients (linear mixed) model which has been described previously (SWD-S3).¹⁶ It is based on comparing the change in DAE (with respect to change in biological age) for each individual subject from the control period, for example, the period of baseline and/or placebo, with the period in which children received intranasal insulin. A P -value < 0.05 was considered to be statistically significant. The intention-to-treat analysis was performed with all participants and in all periods. The on-treatment analysis was performed based on all periods in which participants had a $> 90\%$ treatment adherence, which was evaluated by returned medication and participant diaries. A subgroup analysis was performed for children > 36 months who usually show a decrease

or stagnation of developmental growth³ to see whether this has implications for the treatment effect.

Ethical considerations and trial registration

The Institutional Medical Ethical Review Board of the University Medical Centre Groningen approved this study (protocol ID 2012/329) and all parents provided written informed consent. The study was conducted according to the principles of the Declaration of Helsinki (version 59th WMA General Assembly, Seoul, October 2008) and in accordance with the Medical Research Involving Human Subjects law (WMO, 26 February 1998, version 1 March 2006). The clinical trial (local protocol code number 2013.2015) was registered at the national competent authority (NL-41213-042-12), the Netherlands Trial Register (NTR 3758) and the EU Clinical Trial Register (2012-002873-77).

RESULTS

Subjects

Twenty-five children participated in the trial, 6 males and 19 females, of whom 23 fully completed the treatment period (Table 2 and next section). Clinical characteristics of these patients have been described previously (patients 1, 2, 4, 5, 8, 9, 11–14, 16, 17, 19, 20, 22, 23 and 26–34).³ Nine children were randomised in group A, 8 in group B and 8 in group C.

Treatment adherence and Safety

In general, treatment adherence was high in this study. One child did not receive sufficient medication during periods 2 and 3 due to social circumstances (inability to properly organise the spray application), one child received $\sim 2/3$ of the daily dose in period 3 on the parents' own initiative and one child received $\sim 80\%$ of the medication in period 3 because the parents forgot to administer the medication several times.

Two children did not finish the whole-treatment period of the study due to non-SAEs. One child suffered from abdominal pain at the end of period 2 (under placebo) and subsequent paediatric evaluation suggested mild pancreatitis with elevated amylase levels. A relation with the nasal spray was not likely as this child had been using this batch of spray for at least 5 months and none of the other children had similar complaints. Nonetheless, the parents decided to discontinue with the study. Another child used the nasal sprays until the fourth week of period 3, but had suffered from recurrent nosebleeds beginning in period 2 so that the parents decided to discontinue the medication for a while but then forgot to restart treatment.

No SAEs or suspected serious adverse reactions have been reported. Expected adverse events such as nosebleeds and irritation of the nasal area were frequently reported (12 of 25 children). These were limited to 1 or 2 days and usually occurred only once per period in both placebo and insulin groups. Most other adverse events reported, like upper airway infections and gastro-enteritis, are common in children and occurred in both placebo and insulin groups.

Table 2 Baseline characteristics of the study group at $t = 0$

n = 25	Mean (SD)	Median	Range
Age (months)	82.5 (47.8)	75.0	13–189
Deletion size (Mb)	3.9 (2.5)	3.4	0.18–7.8
Cognitive DAE at start (months)	18.0 (11.1)	14.0	5.1–53
Mean weight at start (kg)	25.3 (13.8)	24.0	8–60
Head circumference at start (cm)	50.8 (3.2)	50.5	44.7–56

Table 3 Results of the intention-to-treat analysis for general and behavioural development

	Control rate		Insulin effect		Total rate	Control rate		Insulin effect		
	All ages		All ages		All ages	Age > 36 months		Age > 36 months		
	C	P-value	C	P-value	C	95% CI	C	P-value	C	P-value
B: cognition	0.40	0.30	<u>0.57</u>	0.11	0.97	(0.36; 1.58)	0.19	0.64	<u>0.75</u>	0.046
B: receptive language	1.02	0.02	<u>0.63</u>	0.13	1.64	(1.04; 2.25)	0.87	0.05	<u>0.60</u>	0.14
B: expressive language	1.35	0.002	0.05	0.92	1.39	(0.85; 1.94)	1.09	0.02	<u>0.29</u>	0.54
B: fine motor function	0.47	0.18	<u>0.41</u>	0.26	0.87	(0.38; 1.37)	0.30	0.44	<u>0.56</u>	0.15
B: gross motor function ^a	1.82	0.01	-0.07	0.92	1.75	(1.12; 2.38)	1.82	0.004	-0.01	0.99
V: communication skills ^b	0.11	0.80	<u>0.57</u>	0.19	0.68	(0.004; 1.35)	0.14	0.76	<u>0.56</u>	0.32
V: social skills	-0.12	0.88	<u>1.41</u>	0.06	1.29	(0.11; 2.47)	-0.45	0.57	<u>1.54</u>	0.042
V: daily skills	0.14	0.78	<u>0.84</u>	0.14	0.97	(0.33; 1.61)	0.04	0.94	<u>1.10</u>	0.07
V: motor skills	0.30	0.64	<u>1.21</u>	0.09	1.51	(0.62; 2.40)	0.07	0.91	<u>1.28</u>	0.10
E: social development ^a	2.39	0.13	<u>0.56</u>	0.76	2.96	(1.08; 4.83)	2.31	0.18	<u>0.93</u>	0.64
E: emotional development ^a	0.50	0.61	0.07	0.95	0.57	(-0.59; 1.74)	0.47	0.66	0.05	0.97

Abbreviations: B, Bayley-III-NL; V, Vineland Screener 0-6 and E = ESSEON.

^aThe random coefficients model was reduced to a random intercept model since the variability in the slope was estimated to be zero for 'all ages' and 'age > 36 months' and ^bfor 'age > 36 months' only. All ages *n* = 25, Age > 36 months *n* = 21. The coefficient (C) is an estimate of the change in DAE in months per 6 months for the pre-treatment period (control rate) and treatment period (insulin effect). Observed insulin effects that show a clinical increase in DAE are underlined. The *P*-value (*P*) is calculated for the difference between the DAE at the beginning and the end of these respective periods. *P* < 0.05 is considered statistically significant. Significant *P*-values of insulin effects are bold. The confidence interval (CI) represents the distribution of the individual coefficients.

Primary and secondary endpoints

Table 3 shows the results of the intention-to-treat analyses on general and behavioural development, displaying the mean changes in DAE for the pre-treatment period (control rate), the additional increases in DAE in the treatment period (insulin effect) and the changes in DAE combined (total rate).

In the pre-treatment period, the mean change in DAE ranged from 0.4 to 1.8 months per 6 months for the five domains of general development (Bayley-III-NL). Intranasal insulin resulted in an additional increase of the DAE for cognition (0.6 months per 6 months), receptive language (0.6 months per 6 months) and fine motor skills (0.4 months per 6 months). Although this additional effect of intranasal insulin for these domains was not significant itself, the total change in DAE with intranasal insulin was statistically significant, as illustrated by the 95% confidence intervals (Table 3). The mean contribution of intranasal insulin to the total change in DAE was 59, 38 and 47% for these respective domains. The change in DAE of expressive language and fine motor skills of the pre-treatment period was already significant and the additional effect of intranasal insulin was approximately zero.

There was also a positive effect of intranasal insulin observed on all four domains of adaptive behaviour (Vineland Screener 0-6) and on social development (ESSEON). In the pre-treatment period, the change in DAE ranged from 0.1 to 2.4 months per 6 months, except for social skills of adaptive behaviour. Intranasal insulin resulted in an additional increase of the DAE for all domains except emotional development, including social skills of adaptive behaviour (Table 3). This additional increase in DAE ranged from 0.6 to 1.4 months per 6 months. Although this additional effect of intranasal insulin was also not statistically significant itself, the total change in DAE with intranasal insulin was significant in contrast to the change in DAE without insulin treatment. The mean contribution of intranasal insulin to the total change in DAE was > 80% for adaptive behaviour and 19% for social development. The on-treatment analysis did not result in different outcomes for development or behaviour (data not shown).

The subgroup analysis of children aged > 36 months is shown on the right in Table 3. Compared with the whole-study group, a lower change in DAE in the pre-treatment period was shown for 8 of 11

domains, and a higher additional increase in DAE with insulin for 6 of 11 domains (difference of 0.1–0.4 months per 6 months). Moreover, a statistically significant effect of insulin was seen in this subgroup for the domains cognition (increase in DAE 0.8 months per 6 months, *P* = 0.046) and social skills (1.5 months per 6 months, *P* = 0.042). The contribution of intranasal insulin to the total change in DAE was 80% for cognition and > 100% for social skills because the control rate was negative. Remarkably, the observed additional effect of intranasal insulin on DAE in this subgroup was positive for the domain of expressive language (increase in DAE 0.3 months per 6 months, 21% contribution to the total change of DAE), an effect that was not seen in the total study group (0.0 months per 6 months).

Supplementary material 1 shows the results of the intention-to-treat analyses for behavioural problems for the whole group as assessed by the BRIEF-P and the CBCL/1.5-5. None of these effects were statistically significant or clinically observable.

DISCUSSION

The aim of this study was to evaluate whether the previously reported positive effect of intranasal insulin on development and behaviour in children with PMS could be confirmed in a randomized, double-blind and placebo-controlled clinical trial. Our study shows a positive observed effect of intranasal insulin on psychomotor and behavioural development. However, this effect of intranasal insulin was not strong enough to reach statistical significance in our small group of patients, but some statistically significant positive effects were seen in the subgroup of children older than 3 years of age.

Obtaining significant results from clinical trials in a small cohort of patients is a challenge, especially in a clinically variable disorder like PMS, and there are a number of factors that need to be considered that may have impacted the size of the signals we measured.

First of all, our initial power analysis assumed that children with PMS would develop at a rate of 28% of typical development (1.7 months per 6 months) based on data from four children with PMS.¹⁶ However, as we recently showed in a study of 34 children, development in PMS is not a linear process and development stagnates after the age of 3 years.³ For most of the developmental domains that were analysed in the present study, the developmental rate under

normal circumstances was therefore slower than expected, making an additional 15–45% treatment effect of intranasal insulin based on the previously expected higher basal rate too optimistic, further meaning that smaller effects of intranasal insulin on DAE are hard to detect in this sample size. Nonetheless, we saw an effect of insulin: while the DAE without insulin did not significantly improve over time for most domains, the increase of DAE with intranasal insulin treatment (total rate, Table 3) did reach statistical significance on all domains except emotional development. Moreover, this additional effect of intranasal insulin contributed 19 to >80% of the total increase in DAE. This might suggest that intranasal insulin itself has a limited and non-significant effect on development, but when the effect of insulin is added to the baseline development, it may contribute to a positive increase in development.

Second, the children who participated in the clinical trial vary widely in calendar age, deletion size and in their level of developmental functioning. Theoretically, this could result in a different therapeutic effect for subgroups. We previously found that children with PMS younger than 3 years of age have a higher natural developmental rate than children older than 3 years of age, who increasingly deviate from the typical developmental rate.³ Interestingly, subgroup analyses in children older than 36 months suggested a stronger effect of intranasal insulin in this group and showed significant results for the effect of intranasal insulin for the domains of cognition and social skills (Table 3). Thus, younger children might benefit less from intranasal insulin to reach their maximal capacity for development, whereas older children who do not develop at their maximal capacity could benefit more. Further studies are needed to support this observation. Unfortunately, the study group was too small for a subgroup analysis on the effect of insulin in children with small (<250 kb) versus larger deletions and more research is required to identify those children who might benefit most from intranasal insulin.

Third, at least 7 out of the 25 children received a dose that was too low compared with that received by other children with the same head circumference. We had to compromise between the maximal safe dose from previous studies (based on total body weight) and the maximal levels we could obtain in the CSF (based on a proxy for brain volume) because a safety study of intranasal insulin based on brain volume was not available. If we had determined the daily dose based on the maximal safe CSF levels, seven children would have received a higher dose, especially the children in the youngest age group (10–15 kg). Another challenge with intranasal application of insulin is that we cannot determine the exact amount of fluid administered in the nasal cavity nor the actual uptake in the CSF or the distribution and localisation in the brain tissue itself.

Finally, even though the treatment adherence appeared to be very high, we relied on patient diaries and returned medication, which are less objective. Still, we think that our estimations of treatment adherence were reasonable since administration of the nose-spray had a relatively low burden, limited adverse reactions and parents were highly motivated to participate in the study.

Despite our modest results, we do think that intranasal insulin could be a therapeutic strategy in children with PMS, based on the function of insulin in the brain. Insulin and IGF-1 function as neurotransmitters in the brain and both bind cerebral insulin, IGF-1 and insulin/IGF-1 hybrid receptors with different affinity (reviewed by Fernandez and Torres-Alemán).³¹ The insulin/IGF-1 signalling pathway is involved in dendritic spine and synapse formation upon activation of the PI3K-Akt-mTOR signalling pathway.³² In PMS, dendritic spine formation and synaptic signalling are impaired because of SHANK3 haploinsufficiency as shown in Shank3-deficient animal

models.^{6,33} Excitingly, IGF-1 was shown to rescue synaptic transmission impairments of neuronal stem-cells of PMS patients by decreasing the number of synapses with SHANK3 and increasing the number of synapses with PSD-95.⁹ In addition, insulin has been shown to stimulate expression of the postsynaptic scaffolding protein PSD-95 in rat neurons.³⁴ These studies therefore suggest that the effects of SHANK3 haploinsufficiency can be compensated by insulin/IGF-1 signalling via up-regulation of PSD-95 and increasing the number of PSD-95 containing synapses. For future studies, it would also be interesting to investigate the (short-term) effect of intranasal insulin in the brain by measuring event-related potentials or using functional magnetic resonance imaging. Although these techniques have not yet been standardized or validated for testing the effect of medication on development and behaviour in children with developmental delay, they would be very interesting to use in follow-up experiments.

A pilot study with daily intraperitoneal IGF-1 injections in patients with PMS showed a beneficial effect on social impairment and restrictive behaviour.¹¹ A phase-2 study is currently ongoing in the USA to validate the effect of IGF-1 in patients with PMS (www.ClinicalTrials.gov ID: NCT01970345). Interestingly, intranasally applied IGF-1 can also be transported to the CSF/brain,³⁵ but no clinical trials have been conducted with intranasal IGF-1 in patients with PMS.

In conclusion, the application of intranasal insulin is a non-invasive, safe, easy and interesting therapeutic approach to improve intellectual and behavioural development in children with PMS. Our preliminary results show that it increased the level of developmental functioning, especially in children older than 3 years, who usually show a stagnation of development. Most results were not statistically significant in our small study group but may be considered clinically relevant. Clinical trials in larger study populations are required to prove the true effect of intranasal insulin on development and behaviour, and might be able to determine which individuals would benefit most from it. In addition, future studies should focus on improving the local side-effects by adapting the insulin formulation and by studying the effects of intranasal insulin in the brain by using Shank3-deficient animals, a model for PMS. Still, we think that treatment with medication in children with complex disorders like PMS should only be part of the total and individualised treatment plan. Therapeutic support (speech, occupational, physical and behavioural therapy) with attention to individual needs are as important, if not more important, to optimise health and wellbeing in individuals with PMS.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

ACKNOWLEDGEMENTS

We thank all parents and children for their contribution to this study. We also thank E. de Kievit MSc. and D. de Vries MSc. (Kinderacademie Groningen) for contributing to the developmental and behavioural data collection, E. Dulfer MSc and D. Allersma PhD for their help in the initial phase of preparing the study. We also thank Jackie L. Senior and Kate Mc Intyre, language editors, for revision of the manuscript. This project was supported by grants from the Netherlands Organization for Health Research and Development (ZonMw 113-20-2009 to RJZ and CMAvRA. and ZonMw 15701.3002 to SAJR).

1 Phelan K, McDermid HE: The 22q13.3 deletion syndrome (phelan-mcdermid syndrome). *Mol Syndromol* 2012; 2: 186–201.

- 2 Oberman LM, Boccuto L, Cascio L, Sarasua S, Kaufmann WE: Autism spectrum disorder in Phelan-McDermid syndrome: initial characterization and genotype-phenotype correlations. *Orphanet J Rare Dis* 2015; **10**: 105.
- 3 Zwanenburg RJ, Ruiter SAJ, Van den Heuvel ER, Flapper BCT, Van Ravenswaaij-Arts CMA: Developmental phenotype in Phelan-McDermid (22q13.3 deletion) syndrome: a systematic and prospective study in 34 children. *J Neurodev Disord* 2016; **8**: 16.
- 4 Wilson HL, Wong ACC, Shaw SR *et al*: Molecular characterisation of the 22q13 deletion syndrome supports the role of haploinsufficiency of SHANK3/PROSAP2 in the major neurological symptoms. *J Med Genet* 2003; **40**: 575–584.
- 5 Leblond CS, Nava C, Polge A *et al*: Meta-analysis of SHANK mutations in autism spectrum disorders: a gradient of severity in cognitive impairments. *PLoS Genet* 2014; **10**: e1004580.
- 6 Bozdagi O, Sakurai T, Papapetrou D *et al*: Haploinsufficiency of the autism-associated Shank3 gene leads to deficits in synaptic function, social interaction, and social communication. *Mol Autism* 2010; **1**: 15.
- 7 Yang M, Bozdagi O, Scattoni ML *et al*: Reduced excitatory neurotransmission and mild autism-relevant phenotypes in adolescent Shank3 null mutant mice. *J Neurosci* 2012; **32**: 6525–6541.
- 8 Halbedl S, Schoen M, Feiler MS, Boeckers TM, Schmeisser MJ: Shank3 is localized in axons and presynaptic specializations of developing hippocampal neurons and involved in the modulation of NMDA receptor levels at axon terminals. *J Neurochem* 2016; **137**: 26–32.
- 9 Shcheglovitov A, Shcheglovitova O, Yazawa M *et al*: SHANK3 and IGF1 restore synaptic deficits in neurons from 22q13 deletion syndrome patients. *Nature* 2013; **503**: 267–271.
- 10 Bozdagi O, Tavassoli T, Buxbaum JD: Insulin-like growth factor-1 rescues synaptic and motor deficits in a mouse model of autism and developmental delay. *Mol Autism* 2013; **4**: 9.
- 11 Kolevzon A, Bush L, Wang AT *et al*: A pilot controlled trial of insulin-like growth factor-1 in children with Phelan-McDermid syndrome. *Mol Autism* 2014; **5**: 54.
- 12 Born J, Lange T, Kern W, McGregor GP, Bickel U, Fehm HL: Sniffing neuropeptides: a transnasal approach to the human brain. *Nat Neurosci* 2002; **5**: 514–516.
- 13 Shemesh E, Rudich A, Harman-Boehm I, Cukierman-Yaffe T: Effect of intranasal insulin on cognitive function: a systematic review. *J Clin Endocrinol Metab* 2012; **97**: 366–376.
- 14 Craft S, Baker LD, Montine TJ *et al*: Intranasal insulin therapy for Alzheimer disease and amnesic mild cognitive impairment: a pilot clinical trial. *Arch Neurol* 2012; **69**: 29–38.
- 15 Schmidt H, Kern W, Giese R, Hallschmid M, Enders A: Intranasal insulin to improve developmental delay in children with 22q13 deletion syndrome: an exploratory clinical trial. *J Med Genet* 2009; **46**: 217–222.
- 16 Van den Heuvel ER, Zwanenburg RJ, Van Ravenswaaij-Arts CM: A stepped wedge design for testing an effect of intranasal insulin on cognitive development of children with Phelan-McDermid syndrome: a comparison of different designs. *Stat Methods Med Res*; e-pub ahead of print 19 November 2014; pii: 0962280214558864.
- 17 Benedict C, Hallschmid M, Schmitz K *et al*: Intranasal insulin improves memory in humans: superiority of insulin aspart. *Neuropsychopharmacology* 2007; **32**: 239–243.
- 18 Näntö-Salonen K, Kupila A, Simell S *et al*: Nasal insulin to prevent type 1 diabetes in children with HLA genotypes and autoantibodies conferring increased risk of disease: a double-blind, randomised controlled trial. *Lancet* 2008; **372**: 1746–1755.
- 19 Bartholomeusz HH, Courchesne E, Karns CM: Relationship between head circumference and brain volume in healthy normal toddlers, children, and adults. *Neuropediatrics* 2002; **33**: 239–241.
- 20 Rushton JP, Ankney CD: Size matters: a review and new analyses of racial differences in cranial capacity and intelligence that refute Kamin and Omari. *Personal Individ Differ* 2000; **29**: 591–620.
- 21 Fredriks AM, van Buuren S, Burgmeijer RJ *et al*: Continuing positive secular growth change in The Netherlands 1955–1997. *Pediatr Res* 2000; **47**: 316–323.
- 22 Bayley N: *Bayley Scales of Infant and Toddler Development*. Harcourt Assessment: San Antonio, TX, USA, 2006.
- 23 Van Baar AL, Steenis LJP, Verhoeven M, Hessen DJ, Bayley-III-NL: *Technische handleiding*. Pearson Assessment and Information B.V.: Amsterdam, 2014.
- 24 Wechsler D: *The Wechsler Preschool and Primary Scale of Intelligence*. Pearson Assessment and Information: Amsterdam, 2009.
- 25 Hendriksen J, Hurks P, WPPSI-III NL: *Wechsler Preschool and Primary Scale of Intelligence-Third Edition*. Pearson Assessment and Information B.V.: Amsterdam, 1993.
- 26 Sparrow SS, Carter AS, Cicchetti DV: *Vineland Screener: Overview, Reliability, Validity, Administration and Scoring*. Yale University Child Study Center: New Haven, CT, USA, 1993.
- 27 Scholte EM, Van Duijn G, Dijkxhoorn YM, Noens ILJ, Van Berckelaer-Onnes IA: *De Vineland screener 0-6*. Pits: Leiden, Netherlands, 2008.
- 28 Hoekman J, Miedema A, Otten B, Gielen J: *Experimentele Schaal voor de beoordeling van het Sociaal-Emotionele Ontwikkelingsniveau*. Pits: Leiden, Netherlands, 2007.
- 29 Gioia GA, Espy KA, Isquith PK: *Behavior Rating Inventory of Executive Function*. Psychological Assessment Resources: Odessa, FL, USA, 2002.
- 30 ASEBA Achenbach System of Eperically Based Assessment www.aseba.nl.
- 31 Fernandez AM, Torres-Alemán I: The many faces of insulin-like peptidesignalling in the brain. *Nat Rev Neurosci* 2012; **13**: 225–239.
- 32 Lee C-C, Huang C-C, Hsu K-S: Insulin promotes dendritic spine and synapse formation by the PI3K/Akt/mTOR and Rac1 signaling pathways. *Neuropharmacology* 2011; **61**: 867–879.
- 33 Verpelli C, Dvoretzskova E, Vicidomini C *et al*: Importance of Shank3 protein in regulating metabotropic glutamate receptor 5 (mGluR5) expression and signaling at synapses. *J Biol Chem* 2011; **286**: 34839–34850.
- 34 Lee C-C, Huang C-C, Wu M-Y, Hsu K-S: Insulin stimulates postsynaptic density-95 protein translation via the phosphoinositide 3-kinase-Akt-mammalian target of rapamycin signaling pathway. *J Biol Chem* 2005; **280**: 18543–18550.
- 35 Thorne RG, Pronk GJ, Padmanabhan V, Frey WH: Delivery of insulin-like growth factor-I to the rat brain and spinal cord along olfactory and trigeminal pathways following intranasal administration. *Neuroscience* 2004; **127**: 481–496.



This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>

© The Author(s) 2016

Supplementary Information accompanies this paper on *European Journal of Human Genetics* website (<http://www.nature.com/ejhg>)