Evolution of the central safety factor during stabilized sawtooth instabilities at KSTAR

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1. Introduction

One of the outstanding challenges in tokamak research is the control of the current density profile for plasma control and its optimisation for high performance discharges. To resolve the internal magnetic field structure in a tokamak and reconstruct the current density profile, optical spectroscopy measuring the light emitted by fast neutral particles injected into the plasma is used. The technique proposed by Levinton [1] exploits the Stark effect and is dubbed the motional Stark effect (MSE) diagnostic. It enables a direct, local measurement of the magnetic pitch angle \( \gamma_m(r) \equiv \tan^{-1}(B_\varphi(r)/B_\theta(r)) \), where \( B_\theta \) and \( B_\varphi \) denote the poloidal and toroidal components of the magnetic field, respectively. From \( \gamma_m \) in combination with a Grad–Shafranov equation solvers, such as EFIT [2], the current density profile can be reconstructed. KSTAR recently showcased its capabilities by setting a new record for long pulse H-mode operation [3], however to support the ITER project in exploring advanced scenarios, current profile measurements are of essence. For this reason, a 25 chord MSE polarimeter has been installed in 2015 [4] and commissioned during the 2015 plasma campaign. Owing to the digital data acquisition system combined with digital lock-in analysis, the full Stokes vector is reconstructed on a millisecond timescale. From this the magnetic pitch angle can be calculated with a statistical uncertainty below 0.2° on 10 ms time averages, resulting in an accuracy of the safety factor at the magnetic axis of \( \Delta q_0 \approx 0.1 \) [5]. In this report, after giving an overview of the experimental setup at KSTAR, a generic two-step calibration and verification method is presented: First the bandpass filters used to single out the red-shifted Stark peak are calibrated such, that the measured pitch angle displays a physically reasonable slope. In the second step it is investigated whether systematic offsets are present by comparing the reconstructed q-profile with various discharges where the locations of rational q surfaces have been obtained from MHD markers. The calibration is applied to analyse the evolution of \( q_0 \) in a shot where the sawteeth are stabilized by neutral beam injection. Within the analysed sawtooth periods \( q_0 \) drops below unity during the quiescent phase and relaxes close to or slightly above unity at the sawtooth crash. This finding is in line with the classical Kadomtsev model of full magnetic reconnection and earlier findings at JET.

Keywords: MSE, sawtooth, central safety factor, motional Stark effect, \( q_0 \), calibration
2. Experimental setup

The MSE system at KSTAR has been installed prior to the 2015 plasma campaign during which it was commissioned. It measures the emission of high velocity neutral deuterium particles injected by one of KSTAR’s neutral heating beams. A detailed report of the setup, including a description of the in-vessel calibration and correction of the Faraday rotation, can be found at [4, 6, 7]. A brief overview of the setup will be given for completeness. Figure 1 shows an equatorial cut of the tokamak torus and the three available heating beams. Due to the different injection angles and the induced Doppler shift, the Balmer-α emission of NBI-1 can be separated from the other beams and the background emission. The emitted light is captured by collection optics located in the M-port of the vessel. It is guided through two photoelastic modulators (PEM) oscillating at 23 kHz and 20 kHz and after passing through a linear polariser projected onto 25 fibre bundles. The resulting 25 radial channels span across the magnetic axis to the plasma edge, from $R = 1.74$ to $2.28$ m with a spacing of 2 cm.

Through the fibres, the light is transmitted to the optics laboratory for signal processing. It propagates through wavelength-tuneable 2 cavity Lorentizian shaped, 3 Å FWHM bandpass filter and is recorded by APD’s with 2 MHz sampling rate. For the reconstruction of the Stokes vector and calculation of the magnetic pitch angle, the polarisation of the red-shifted π-peak is calculated. This line is chosen as it provides the lowest overlap with emission from the other neutral beams. The filters are initially rotated such that their central wavelength (CWL) matches the wavelength were we expect the highest emission intensity in the π spectrum, shown in figure 2. This position is forth on denoted as $\lambda_0$ or 0° offset.

3. Calibration of the MSE system

3.1 Alignment of the bandpass filters

Of crucial importance for the performance of the system is the correct alignment of the bandpass filters. From figure 2 one expects better signal-to-noise ratio by blue-shifting the filter function, but at the cost of signal quality as the recorded light will be contaminated by emission from the σ-peaks. To find the optimum filter position, four calibration shots with equal plasma equilibrium at a magnetic field strength $B_0 = 2.9$ T and NBI acceleration voltage $U_{\text{NBI}} = 100$ keV were performed. In these L-mode discharges only NBI-1 beam was active and the CWL of the filter was incrementally red shifted between the shots. To increase the number of calibration points, the filter’s CWL was additionally changed in the middle of each shot. Figure 3 shows the time evolution of the polarisation angle of shot #13691, where the filter position was shifted by $\Delta \lambda = 1$ Å at $t \approx 4.5$ s. Although the plasma equilibrium did not change during the measurement, a clear shift in the recorded polarisation angle during the time of the filter rotation can be observed.

This behaviour has been observed for all channels throughout the calibration discharges. The effect on the radial profile is shown in figure 4, which displays the measured polarisation angle profile for shot #13691 shortly before and after the filter was rotated. Aside the described shift in polarisation angle, the profiles show an oscillating pattern in the central and outer channels, whereas one would expect a smooth gradient from the nature of the plasma equilibrium.
To calibrate the system, we make use of the digital data acquisition system, with which the full Stokes vector can be reconstructed. From this, the linear polarisation fraction (LPF) \( \sqrt{S_1^2 + S_2^2} / S_0 \), where the \( S_i \) are the \( i \)th component of the Stokes vector \( S = (S_1, S_2, S_3, S_4) \), can be calculated. The LPF is evaluated for each offset \( \Delta \lambda_{\text{Off}} \) and each channel. Figure 5 shows the LPF and total intensity over the filter offsets for channel 6.

As expected, the total intensity decreases by red-shifting the CWL of the filter. The LPF displays a quadratic dependence on the filter rotation (as it is expected from simulations \([8]\)) and has its maximum, for channel 6, at \( \Delta \lambda_{\text{Off}} \approx 0.3 \) nm. A second order approximation is used to determine the optimum offset, defined as the position where the LPF has its maximum, for each channel. The obtained filter calibration was tested on two consecutive shots with identical plasma equilibrium. Here, the optimal filter settings were applied only to the later shot to see the effect of the calibration in comparison to the original filter settings, used at the first shot. Figure 6 compares the polarisation angle profile of the two at similar times of the discharge.

By optimising the filter rotation we almost completely eliminated the oscillations in the pitch angle profile. The measured radial profile has a continuous gradient and reduced uncertainties compared to the non-optimised discharge, giving confidence in the calibration method.

3.1.1 Post-shot calibration algorithm. The calibration of the filters was completed mid-campaign, which meant that roughly half of the campaign shots had been recorded using misaligned bandpass filters. To enable an analysis of these shots an algorithm has been developed to correct for the filter misalignment. The algorithm is illustrated in figure 7. The graph shows an analysis of the calibration shots similar to figure 5, but instead of plotting the dependency of the LPF on the filter rotation, the polarisation angles (averaged over the intervals of constant filter rotation) are plotted over the filter rotation. The graph shows a linear dependence of the polarisation angle over the offset, assuming that all shots have a similar plasma equilibrium over time. From physical intuition a flattening of the curve for higher values of \( \Delta \lambda_{\text{Off}} \) is expected, once none of the light emitted by the \( \sigma \)-peaks overlaps with the envelope of the bandpass filters. However, this relationship can not be deduced from the recorded data due to the low signal strength and increasing uncertainties at big filter offsets.

To re-calibrate the polarisation angles of an incorrectly calibrated shot, the polarisation angle matching the determined ideal offset and the one matching the set offset of the shot are interpolated from the calibration curve in figure 7, marked...
exemplary by the dotted and dashed line. The recorded polarisation angles of the discharge are then corrected by $\Delta \gamma$.

The correction algorithm is demonstrated on one of the four calibration shots in figure 8. The top figure shows the effect of the correction algorithm on the time evolution of $\gamma$. Here, the polarisation angle is now at a constant value before and after the change in filter rotation, lasting from $t \approx 4.4$ s – $5.5$ s, and lowered by approximately one degree. Note that the jump of the corrected polarisation angle at $t = 5$ s is due to the change in the correction factor $\Delta \gamma$. Because of the change in filter rotation, the set offset must be adapted in the algorithm, which has been done at an arbitrary time point during the filter rotation. The re-calibrated $\gamma$-profile is shown in figure 8(b). In contrast to the profile shown in figure 6, the uncertainty in the measurement is not reduced due to the interpolation mechanism.

### 3.2. Determination of systematic offset

After calibrating the bandpass filters to achieve physically sensitive measurements, the last step in the calibration procedure is to ensure that no systematic offsets are present in the recorded data. These could arise from an inaccurate determination of the measurement location in the vessel or from changes in the refractive index of the port window due to stress on the material induced from evacuating the vessel. To validate our measurements we compare the plasma equilibrium against tearing modes (TM) of known mode number and location. The plasma equilibrium is reconstructed with the Grad–Shafranov solver EFIT [2], which can be constrained by magnetic pitch angle measurements to reconstruct the current density profile. Initial attempts to reconstruct the MSE-constrained plasma equilibrium did not result in a converging solutions, which lead to the hypothesis of a systematic offset in the measurement. To determine the offset we repeatedly analysed the same shot with varying, channel independent offsets and compared the mode number and location of rational q-surfaces (determined by Mirnov Coils and ECE analysis) against the reconstructed equilibria. With this method a systematic offset of $-1.95^\circ$ was found. A detailed description of the analysis is presented in appendix. This finding has been verified by evaluating the position of the magnetic axis (which can be determined directly from the pitch angles) against the axis position determined by magnetics only EFIT. The result,
shown in figure 9, shows good agreement between the magnetic axis location determined by EFIT (*** ) and the axis position reconstructed from the polarisation angle measurements after the filter rotation correction and systematic offset correction have been applied (—). The full description of the analysis is included in appendix.

4. Evaluation of $q_0$ during sawtooth instabilities

After having successfully calibrated and verified the results obtained by the MSE diagnostic, the evolution of $q_0$ during sawtooth instabilities [9] is evaluated as a first application of the commissioned system. Despite tremendous effort, the underlying physics of the sawtooth instability have still not been fully understood and in the past 40+ years since its discovery various models have been proposed. Kadomtsev [10] explained the phenomena with full magnetic reconnection, however his model falls short of explaining the fast timescales of the sawtooth crash. Wesson [11] later suggested that a destabilising potential builds up during the ramp phase, which is released by a magnetic trigger and reconnection does not take place during the crash, but rather during the current ramp phase. Both models assume $q_0$ to rise to or above unity, however initial polarimetry measurements by Soltwisch [12] resulted in $q_0$ remaining well below unity on Textor. Measurements at TFTR [13] and JET [14] (and again Textor [15]) confirmed Soltwisch’s findings. DIII-D initially reported similar results [16], but later claimed $q_0$ rising to unity after the sawtooth collapse [17].

Various other models have been proposed, however none was able to fully explain the measured observations. The presented analysis is focused on the investigation of the principal question, whether the evolution of the central safety factor evolves to or around unity during the sawtooth cycle. The short sawtooth period at KSTAR of typically 5 ms complicates spectroscopic analysis of the safety factor evolution, however a suitable discharge with a sawtooth period of $\tau_s \approx 150$ ms–300 ms (see figures 10 and 11) has been identified. The characteristics of the increased sawtooth period are similar to the monster sawtooth reported by Campbell [18], where a stabilisation of the sawteeth by NBI injection was observed. In the analysed discharge the fast particle pressure $p_{last}$ was estimated from the injected NBI power, acceleration voltage and slowing down time. With a back-of-an-envelope calculation $p_{last}$ is estimated to account for up to 10% of the total pressure. This non-negligible fast particle pressure may be the reason for the relatively long sawtooth period in this particular discharge. However, the presented method of deducing the
mined to approximately 0.9–1.0 during the quiescent time. This can be compared to the reconstructed evolution of safety factor at KSTAR, shown in figure 11, where \(q_0\) has been obtained by reconstructing the plasma equilibrium using EFIT only constrained by magnetic measurements, which is believed to give the most accurate solution for the plasma boundary. For the analytical analysis a three point moving mean filter has been applied to the MSE data and for all three analysis methods the polarimetric data is averaged over 10 ms.

The three solutions follow the evolution of the electron temperature closely, a sharp increase of \(q_0\) can be observed at the time of the sawtooth crash followed by a steady decline until the next expulsion of the plasma core. The polynomial and analytical solutions match well in absolute value, whereas \(q_0\) relaxed to values above unity after the sawtooth crash. However, since the error on \(q_0\) is estimated to be on the order of \(\Delta q_0 = 0.1\) no definite conclusion can be drawn whether \(q_0\) stays below unity during the entire sawtooth cycle. It is important to point out that this result appears to be in contradiction with results published earlier at KSTAR [5], where \(q_0\) has been determined to stay above or close to unity by using the analytical solution. Possible explanations for this difference include: (a) a difference in the discharge regime. (b) in reference [5], \(\kappa\) was calculated by KSTAR’s real-time version of EFIT, which is considered to be less accurate than the post-shot analysis version. (c) possible the treatment of the radial electric field, which was assumed to be negligible in [5].

As the last analysis step, the location of the sawtooth inversion radius is compared between the reconstructed \(q\)-profile and ECE measurements. Figure 12(a) shows the time evolution of the normalised temperature profile using KSTAR’s ECE system from which the inversion radius is determined to \(r_{\text{ECE}} = 1.92\) m. Figure 12(b) shows the \(q\)-profile before and after a sawtooth crash (polynomial basis functions), which is in good agreement with the inversion radius determined by ECE. The analysis shows a broadened safety factor profile, similar to observations by Mc Cormick et al at the ASDEX tokamak [20], where sawteeth were stabilised with lower hybrid current drive (LHCD). However, in Mc Cormick’s analysis \(q_0\) relaxed to values above unity after the sawtooth crash, whereas our analysis is in agreement with the \(q_0\) evolution at JET’s stabilized sawteeth [18]. An important difference between the experiments at KSTAR and JET compared to ASDEX is the amount of non-inductive driven current which was reported to be almost fully non-inductive at ASDEX, but negligible at KSTAR and JET. Dedicated experiments are required to check whether a change in sawtooth behaviour can be observed at KSTAR during non-inductive operation.

5. Conclusion

In conclusion we have shown that with a two-step calibration procedure the MSE diagnostic at KSTAR provides physically sensible magnetic pitch angle measurements with a resolution...
of 10 ms and an accuracy of 0.1° – 0.5°. The diagnostic is now ready for routine operation and has been used to measure the evolution of the central safety factor of a discharge with sawtooth instability with exceptionally long sawtooth periods. The MSE measurements show an increase in \( q_0 \) from 0.9 to 1 at the time of the sawtooth crash, where the uncertainty of \( q_0 \) is estimated to be \( \Delta q_0 \approx 0.1 \). This is inline with the reconnection model proposed by Kadomtsev, however it was shown that the analysis is very sensitive to choice of basis functions used to describe the current density. For the future a more in depth analysis of the sawtooth behaviour at KSTAR is required to gain further insight on the evolution of the current density profile. The focus should clearly be on the analysis of multiple shots to get a higher statistical confidence in the result, the analysis of non-stabilized sawteeth as well as the sawteeth behaviour during non-inductive operation to see if a raised \( q \)-profile, similar to the results measured at ASDEX is obtained.

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**Appendix. Detailed description of the determination of the systematic offset**

Section 3.2 briefly described the determination of a systematic offset in the measured polarisation angles. The detailed procedure is described here. As afore mentioned, a reconstruction of the current density profile using the equilibrium solver EFIT constrained by polarisation angle measurements was initially unsuccessful due to non-convergence of EFIT. This has been attributed to an unaccounted systematic offset in the measurement. A channel independent, constant offset is assumed due to the shape of the polarisation angle profiles obtained after the bandpass filter calibration in section 3, which imply physically sensible measurements.

To verify the hypothesis of the systematic offset, the plasma equilibrium of a shot is reconstructed with varying systematic offsets applied to the MSE data. The resulting \( q \)-profiles are compared to tearing modes of known mode number and location. The ideal offset is found where the best match is made.

**A.1. Tearing mode analysis**

Reference values for the by EFIT reconstructed, expected \( q \)-profile are obtained by an independent tearing mode (TM) analysis. The MHD mode numbers are determined by analysis of Mirnov Coil (MC) signals and the location of the instability is obtained by cross-correlating electron cyclotron emission (ECE) measurements with the MC data. The resulting \( q \)-profiles are compared to tearing modes of known mode number and location. The ideal offset is found where the best match is made.

**Figure A1.** Spectrogram of one of the toroidal MC of shot #13728: From Mirnov Coil and ECE analysis a 2/1 mode between 1.5 s–3 s and a 3/2 mode between 7 s–9 s have been determined. The MHD activity was suppressed from 3 s–7 s by ECRH.

**Figure A2.** Calibration analysis for shot #13728: Difference in boundary \( \Delta r_q \), \( \chi^2 \) and the number of time steps for which EFIT was able to converge are plotted over the systematic correction factor \( \Delta_{corr} \). The uncertainties are above what one would expect by purely looking at the spread of the data, however they do represent the statistical spread of the data and no systematic error could be determined.

To determine the systematic offset in the polarisation angle measurements, a stepwise increasing, channel independent correction factor \( \Delta_{corr} \in [-3.8°, +2.4°] \) is added to the measured polarisation angles. For each step in \( \Delta_{corr} \) the plasma equilibrium is reconstructed and the following quantities are evaluated:

1. The difference \( \Delta r_q \) between the MHD mode location obtained from MC+ECE analysis and the location of the \( q \)-surface from the EFIT reconstructed \( q \)-profile.
2. The convergence of EFIT, reflected by the fit value $\chi^2$ (lower is better).
3. The number of time steps for which EFIT converged.
4. The value of safety factor at the plasma edge $q_{95}$, more precisely the difference $\Delta q_{95} = |q_{95,\text{mag}} - q_{95,\text{MSE}}|$. Here, $q_{95,\text{MSE}}$ is the value of $q_{95}$ determined by MSE constrained EFIT and $q_{95,\text{mag}}$ the edge safety factor determined by magnetics only EFIT. This is an important benchmark quantity as magnetics only EFIT is expected to give accurate results for the plasma edge.

For the analysis a combined total of 61 time points for the 2/1 and 3/2 TM are evaluated, where for each time the measurement signals are averaged over 50ms. For the final evaluation of the four criteria listed above, the 61 individual time steps are averaged for each correction factor.

The analysis has been performed for correction factors ranging from $\Delta_{\text{corr}} \in [+2.4^\circ, -3.8^\circ]$, however only the results of the analysis from $-2.4^\circ$ to $-1.6^\circ$ are discussed as it has been found to be the relevant interval. Figure A2 shows $\Delta r_q$ as a minimum at $\Delta_{\text{corr}} \approx -2.3^\circ$, showing good agreement between MSE EFIT and the TM location determined via MC+ECE diagnostic.

![Figure A3](image)

Figure A3. Calibration analysis for shot #13728: Difference in $\Delta q_{95}$ over the systematic correction factor $\Delta_{\text{corr}}$. $\Delta q_{95}$ has a minimum at $\Delta_{\text{corr}} \approx -2.3^\circ$.

![Figure A4](image)

Figure A4. Comparison of reconstructed q-profile for shot #13728 at $t = 1.9$ s with and without MSE constraint. The indicated location of the 2/1 mode (magenta) is the position determined by MS + ECE diagnostic.

![Figure A5](image)

Figure A5. Determination of the magnetic axis. The 10 innermost channels are interpolated by a third order polynomial fit from which the magnetic axis can be derived by calculating the intersection of the fit with the x-axis, indicated by the dashed line.

![Figure A6](image)

Figure A6. Comparison of the magnetic axis for shot #13691 between MSE analysis and the axis location reconstructed from magnetics only EFIT. The MSE measurements are corrected to account for the incorrectly set CWL of the bandpass filters and the systematic offset.

as well as $\chi^2$ and the number of time steps for which EFIT converged over $\Delta_{\text{corr}}$; $\Delta q_{95}$ over $\Delta_{\text{corr}}$ is plotted in figure A3.

The difference in the tearing mode location $\Delta r_q$ has a minimum of $\Delta r_{q,\text{min}} \approx 2.7$ cm at $\Delta_{\text{corr}} = -1.85^\circ$, showing good agreement between MSE EFIT and the TM location determined via MC+ECE. However, $\Delta q_{95}$ has a minimum at $\Delta_{\text{corr}} = -2.3^\circ$, which is inline with the observation that $\chi^2$ decreases for smaller values of $\Delta_{\text{corr}}$. For offsets greater than $-1.6^\circ$, $\chi^2$ rises rapidly and EFIT is unable to find a converging solution.

From the analysis no conclusive ideal offset can be determined and thus a compromise solution was made and $\Delta_{\text{corr,opt}} = -1.95^\circ$ has been selected as the ideal correction factor. With this, $\Delta r_q$ is close to its minimum, the difference in $\Delta q_{95}$ is acceptable low and EFIT shows good convergence.

Applying the determined optimal correction factor to the recorded polarisation angles, figure A4 shows the comparison of the MSE constraint and magnetics only reconstructed...
q-profile for \( t = 1.9 \) s of the calibration shot. The location of the 2/1 TM is in good agreement with the MSE EFIT reconstructed q-profile.

To further verify that the chosen offset does indeed provide sensible results, the now fully calibrated system is tested by comparing the location of the magnetic axis calculated from the measured polarisation angles against the axis location determined from magnetic probes.

A.3. Independent verification of \( \Delta_{\text{Off}} \) and \( \Delta_{\text{corr}} \)

For an independent test of the filter rotation calibration and the determined systematic offset in the polarisation angles, the position of the magnetic axis is verified by comparing the location calculated from the polarisation angle profiles against the magnetic axis as shown in figure A5. A third order fit was chosen as it resembles the shape of the measured profile, although a second order fit provides similar results.

Figure A6 compares of the time evolution of the magnetic axis with the two corrections applied to the MSE data: marks the time evolution obtained from the uncorrected pitch angles. The measured data was first corrected to account for the incorrect filter rotation (described in section 3.1), resulting in the graph. Secondly, the pitch angles are corrected to account for the systematic \(-1.95^\circ\) offset, resulting in the graph. This is in excellent agreement with the EFIT result ***, giving confidence in both filter calibration and the determined systematic offset.

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