ECFI: Asynchronous Control Flow Integrity for Programmable Logic Controllers

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ABSTRACT

Programmable Logic Controllers (PLCs) are a family of embedded devices that are being used to control physical processes in critical infrastructures. Similar to other embedded devices, PLCs are vulnerable to memory corruption and control-flow hijacking attacks. Because PLCs are being used for critical control applications, compromised PLCs constitute a significant security and safety risk.

In this paper, we introduce a novel, PLC-compatible control-flow integrity (CFI) mechanism named ECFI to protect such devices from control-flow hijacking attacks. Our CFI approach is the first system for real-time PLCs and considers the runtime operation of the PLC as the highest priority. We implemented a prototype of ECFI and tested it in a real-world industrial PLC against different kinds of attacks. Our performance evaluation demonstrates that ECFI is an efficient, non-intrusive CFI solution that does not impose notable performance overhead and maintains the timeliness of PLC runtime operations, a critical property for this kind of embedded systems.

KEYWORDS

PLC, Real-Time, Industrial Control System (ICS), Embedded System

1 INTRODUCTION

Control-flow hijacking represents one of the major attack vectors against computer systems in the last two decades. Given the impact that control-flow attacks had on general-purpose computers [18], most operating systems adopted the Executable Space Protection (ESP, also known as NX, W⊕X, or DEP) mechanism [10, 24] together with Address Space Layout Randomization (ASLR). To overcome these exploit mitigation approaches, a new exploitation technique named return-oriented programming (ROP) [46] was proposed, which allows an attacker to circumvent ESP.

To address this problem, Abadi et al. suggested the concept of control-flow integrity (CFI) as a general defense against ROP attacks [1]. CFI ensures that program execution only passes through approved execution paths taken from the software’s control-flow graph (CFG). To achieve this, at each indirect jump/call and return instruction, the destination address is checked to determine whether it follows a valid path in the CFG [7]. Following Abadi et al. [1] various researchers suggested different CFI policies and implementations for general-purpose computers [9, 49, 50, 54].

Similar to general-purpose computers, an attacker can use ROP and ROP-like techniques such as Ret2ZP [21] to overcome exploit mitigation mechanisms in embedded systems. However, embedded systems are a lot more diverse in terms of resources, availability, and thus CFI system requirements and specifications are different with respect to general-purpose computers. Because of this diversity, only few CFI approaches were proposed for embedded platforms such as mobile devices [12, 38].

Among embedded systems, a family of industrial devices named Programmable Logic Controllers (PLCs) plays a major role in critical infrastructures. PLCs are real-time embedded systems which control physical industrial processes via their I/O interfaces. Due to their sensitive role in controlling industrial processes, successful exploitation of a PLC can affect the physical world and, as a result, can have severe consequences. Despite their importance regarding the safety and security of industrial processes, PLCs are as vulnerable to control-flow attacks as (most) other systems [22]. Similar to general-purpose computers, control-flow hijacking attacks such as ROP are one of the key techniques that an attacker can use to obtain system level access to a given PLC. Due to lack of protection mechanisms inside a PLC, getting system level access to it can pave the way for attackers to silently manipulate industrial processes.
without being detected using techniques such as the Pin Control Attack [2]. Despite such attack vectors, to the best of our knowledge, no CFI solution for PLCs has been devised. Existing CFI approaches for other non-critical embedded systems or general-purpose computers cannot work for a PLC: As described in the NIST (National Institute of Standards and Technology) 800-82 guideline, any security measures for Industrial Control Systems (ICS), including PLCs, must also consider availability and real-time requirements of the system [48]. To the best of our knowledge, no CFI system to date considers availability and timeliness (real-time) requirements of the PLC.

In this paper, we present the design and implementation of ECFI, the first control-flow verification system for environments where availability and hard real-time systems are crucial. To address its environmental requirements, ECFI considers the availability and timeliness of the PLC more important than the security of it. ECFI is a fine-grained CFI approach that protects both forward edges and backward edges of the control-flow graph. ECFI consists of a non-conditional simple instrumentation code and a lock-free asynchronous shadow stack which is implemented as a ring buffer, combined with a checking routine. This architecture allows the PLC OS to schedule runtime CFI checks according to the PLC’s real-time constraints. Our prototype implementation of this concept supports the ARM architecture. We especially focus on ARM-based devices since a large share of PLCs use ARM processors [44].

To test ECFI, we implemented it in a real-world industrial PLC, running a Real-Time Operating System (RTOS). We use ECFI to protect a PLC runtime application which reads I/O inputs, executes PLC control logic, updates the outputs, and provides a Modbus TCP server for the SCADA server. Besides respecting real-time constraints, our prototype implementation induces a moderate 1.5% CPU overhead.

Maintaining the availability and timeliness requirement of the PLC comes at a cost. In our case, to preserve the real-time properties of the PLC, we devoted the CFI-checker to a separate process that has lower priority. We also had to make our shadow stack overwrite-able to avoid priority inversion, which is a common issue for real-time systems [42]. Using these features of ECFI, an attacker can force a process to “starve” by keeping the CPU busy (e.g., by using a DoS attack) and then overwrite the shadow stack with normal values that do not raise any alert. However, we use inherited features of the real-time systems to design a strategy that mitigates this attack possibility. In a real-time system, the range of consumed CPU cycles of the tasks is both small and predictable. Thus ECFI monitors the CPU cycles consumed per PLC scan cycle to detect an attack against the shadow stack. To evaluate this strategy, we construct a ROP chain to attack our shadow stack and overwrite the control-flow meta-data with fake but acceptable values. In the same time, we executed a DoS attack against the PLC which consumed all CPU resources of the device. However, our prototype implementation was still able to detect the shadow stack overwrite and raise an alert for this attack.

In summary, the contributions of this paper are as follows:

- First CFI approach for PLCs: ECFI is the first CFI enforcement system that was designed for real-world industrial PLCs.
- Our CFI approach has limited CPU overhead and no I/O performance impact.
- Hard real-time compatibility: the main objective of a PLC is to control physical processes with real-time constraints. The priority of applications running inside a PLC is thus subject to the PLC’s ability to run its primary tasks: updating I/Os and running the control logic on time. ECFI, to the best of our knowledge, is the first CFI approach that considers the real-time requirements of the PLC runtime.

2 BACKGROUND

Before diving into the technical details of our approach, we briefly discuss the necessary background information needed to understand the rest of the paper.

2.1 Programmable Logic Controllers

Programmable Logic Controllers (PLCs) are a family of embedded devices that are used in critical industrial environments. Usually, these environments mandate real-time control over an industrial process. Failing to execute one or multiple I/O operations in a timely manner may result in the failure of an industrial process, which leads to unacceptable consequences. To overcome this problem, the majority of PLCs are equipped with RTOS to execute their tasks in a predictable manner.

For example, a PLC which operates in a sewer network must be able to react to the changes in the water level due to rain in real-time. In a power plant, a PLC-like device must react to an out-of-phase generator by controlling a generator breaker on a millisecond scale.

Generally speaking, a PLC runs a software called the runtime that controls its primary task, I/O operations. The runtime software interprets or executes another code known as the control logic. The control logic is a compiled form of the PLC’s programming language, such as Structured Text, Function Block Diagram (FBD), or ladder logic. FBDs and ladder logic are graphical programming languages that describe the control logic of a given industrial process. The PLC runtime usually prepares the control logic execution by scanning the inputs and storing it in the variable table and then updating the outputs. A sequence consisting of reading the inputs, executing the control logic code, and updating the outputs is called the program scan cycle. The PLC program scan is an infinite loop and runs indefinitely. The variable table is a virtual table that contains all the variables needed by the control logic: setpoints, counters, timers, inputs, and outputs. During the program scan cycle, every change in the I/O of the PLC is ignored until the next program scan cycle.

Figure 1 depicts the PLC runtime operation, the running of the logic, and its interaction with the I/O.

One of the most common architectures used for PLCs is the ARM architecture [44]. For example, various models of PLCs manufactured by vendors such as Allen-Bradley, Schneider Electric, Honeywell, and WAGO PLCs are using the ARM architecture [44].

2.2 PLC Logic

There are two types of PLC logic: bytecode based and binary based. In bytecode-based logic, the bytecode will get executed by the PLC runtime with a Just-in-Time (JIT) compiler. An example of PLC
runtime which executes bytecode is the Siemens S7 series PLC runtime. In binary-based logic, the logic program gets converted to a binary first and then gets uploaded to the PLC. The PLC runtime then executes the binary inside the PLC. An example of PLC runtime that executes executable is the 3S Codesys runtime, which is currently used by more than 261 PLC vendors [14] including ABB, Schneider-Electric, Beckhoff, Wago, Mitsubishi, and Bosch. In this paper, we only focus on PLCs which are using binary logic in their runtime. However, a similar approach employed in RockJIT [34] can also be used to protect bytecode based PLC logic.

2.3 Existing Attacks and Defenses against PLCs
For an attacker, the ultimate objective when attacking an industrial control network is to manipulate the physical process without being detected by advanced intrusion detection systems (IDS) or plant operators [3]. As described by Abbasi et. al. [2] there are three family of attacks against PLCs named as Firmware modification attacks (FMA) [6, 37], Configuration manipulation attacks (CMA) [29, 30] and Control-flow attacks (CFA) [22, 22]. For FMA [5, 16] and CMA [6, 28] attacks in the PLC, at least one tailored defensive solution exists. Although several techniques have been proposed to detect or prevent control-flow attacks on general IT systems or generic embedded systems [4], currently, no research suggests a control-flow detection mechanism specifically designed for real-time PLCs.

2.4 Attacker Model
Since a PLC is a computer device which is mostly being used in Operational Technology (OT) domain of an Industrial Control System (ICS), we can not just describe our attacker model in the traditional IT domain which is usual in other CFI papers. Instead, we will divide our attacker model to two parts. We first describe our attacker model for the OT and then IT domain.

2.4.1 OT Attacker Model: In this paper, we do not consider adversaries that do not understand the behavior of the target process and do not want to manipulate the physical process carefully. An adversary who wants to cause a naïve attack can simply achieve her objective by overwriting the return address of a memory corruption vulnerability to a non-valid memory address and thus terminate the PLC runtime (DoS attack), causing the PLC to lose its control of the physical process. No CFI system can cope with such attack. Instead, in our attacker model, we assume an adversary whose objective is to exploit the PLC to manipulate an industrial process carefully. We believe that in the majority of attacks which manipulate the physical process there will be a delay between infection of the PLC and manipulation of the physical process. This delay is due to two reasons:

- **Delay caused by infecting multiple types of equipment:** once an attacker gains access to an industrial network, depending on the complexity of the physical process, she might need to infect more than one industrial equipment such as PLC to be able to manipulate the process. Therefore, the attacker in this step will infect multiple devices before executing the attack. Indeed, looking at the German steel mill cyber attack reported by BSI (Bundesamt fur Sicherheit in der Informationstechnik) [19, 25] and the Stuxnet [17] attackers had to infect multiple devices (e.g., infecting both operation PLC and fail-safe PLCs) before executing the attack.
- **Delay due to process and I/O mapping:** as described by McLaughlin et al. [29] even if an attacker completely takes control of a PLC, she still faces two challenges. Firstly, the attacker needs to gain knowledge about the control system behavior, and secondly, the attacker needs to recover semantics of PLC memory locations that are mapped to physical I/O to execute process manipulation. SABOT [29] can generate such payload and retrieve the mapping of the system automatically assuming that attacker is fully aware of the control system behavior. However, it needs time to process and model the PLC behavior to be able to recover the mapping of the I/O interfaces and the PLC memory. Looking into the Stuxnet case [17] again, the attackers were recording the process control data for weeks after infection before starting the actual process manipulation. A similar technique (infect, wait, then manipulate) was used in Ukrainian power grid blackout [8, 51].

2.4.2 IT Attacker Model: In this paper, we assume an attacker who tries to hijack the control flow of a vulnerable hard real-time PLC runtime using a ROP attack. We also assume that the PLC has a modern RTOS with MMU support and is equipped with exploit mitigation techniques such as ASLR, PIE, NX, and stack cookies, but the attacker can bypass such defenses using an information-leak primitive within the PLC runtime. Our CFI approach must be able to detect any attempts of arbitrary code execution in a protected application/service inside the PLC according to the defined scope of the attack.
3 ECFI DESIGN

In the following, we present ECFI, our CFI enforcement system that was designed and tested for PLCs.

3.1 Design Considerations for CFI in a PLC

Generally speaking, we can divide any protection mechanism into active and passive forms. In the case of active protection mechanism, the system will prevent the attack upon detection, while in the case of a passive protection, the system raises an alert notifying about the attack. In a CFI context for a PLC, active protection means that the PLC runtime gets terminated upon a control-flow violation. Passive detection in a PLC means that the CFI system raises an alert upon a control-flow violation without any intervention. In the following, we describe the parameters for designing a CFI solution for PLCs:

Availability: to the best of our knowledge, all existing CFI implementations for embedded systems act as an active protection system and if deployed in a PLC, terminating the PLC runtime process upon control-flow hijacking would violate the availability requirement of the PLC. In case of a false positive, an active protection system could hence cause a dumb disruption in a critical infrastructure. However, one can rightfully argue that this an engineering issue and existing CFI systems can get fixed to act as a passive protection system. To maintain the availability of the PLC, ECFI serves as a passive protection system. While one can use ECFI as an active protection system (by activating a related flag in the checker), we do not enable it by default except the process requires such an intrusive approach in an industrial environment.

Timeliness: real-time properties of a PLC is measured by predicting its execution time. Any CFI implementation that uses conditional branches, exception handlers, or loops can significantly complicate the predictability of the execution time [41] in the PLC program. To address this issue, a CFI approach must then perform a complex Worst-Case Execution Time analysis. Otherwise, the entire PLC software must be considered as unpredictable and thus non-real-time, which is unacceptable. Unfortunately, no CFI system provides such an analysis. Looking at the two existing well-known CFI system for embedded systems named as MoCFI [12] and CFR [38], shows that they use conditional branches [12, 38], exception handler [12] and loops [38], which makes them unsuitable for a real-time PLC. ECFI does not use such instructions in its instrumentation code.

Additionally, in existing CFI systems, the CFG verification mechanism is always part of the application and is not running in a separate process. Due to the usage of conditional branches, exception handlers and conditional loops in the CFI, the PLC process might also face the priority inversion problem [27] which is not acceptable in real-time systems. The priority inversion is a problem where a lower priority task in the software locks the resources or execution of a higher priority task. As a result, the higher priority task can experience an additional delay since the lower priority has locked the resource up to the end of its execution. As a result, a higher priority task will fail to execute its tasks in a predictable manner. In real-time systems, a priority inversion should not happen. For a hard real-time PLC, the highest priority task is the actual control program which executes the process (so-called program scan cycle), while the lower priority task is the CFG verification. With the traditional approaches, the PLC functions call/return must wait until CFG verification system decide whether a control-flow violation occurred or not. ECFI separates the CFG verification process from the protected runtime to avoid the complex execution time analysis and prevent the priority inversion problem.

As mentioned before, ECFI considers the availability and timeliness requirement of the real-time PLC more important than the security of it and thus makes compromises to address them.

3.2 General Principle of Operation

ECFI is a compiler-level CFI approach which injects instrumentation instructions into the existing assembly code of the application during the compilation phase. Figure 2 depicts a high-level overview of the architecture of ECFI. The system consists of five modules:

(1) Instrumentation instructions to copy the real control-flow data to the ring buffer.
(2) Code Parser-Injector (CPI), which parses the application’s assembly code and adds instrumentation instructions to the code.
(3) Ring buffer shadow stack that stores the runtime control-flow data.
(4) Control-flow graph that contains the correct execution path of the application.
(5) Checker that verifies the control-flow information with the CFG.

3.3 Instrumentation Instructions

The instrumentation instructions are a set of instructions which copy the control-flow values to the ring buffer shadow stack. Our code does not contain any conditional branch, loop, function pointer, direct and indirect recursion, or exception handler. Since a PLC runtime must be real-time, it is essential to have instrumentation instructions that have predictable worst execution time. Therefore, we made the computation of Worst-Case Execution Time (WCET) in our instrumentation instructions feasible by following the recommendations made by Puschner et al. [41] for real-time applications using CPU cycles.
To ensure the PLC runtime remains real-time, we need to design a protection system that does not disrupt the real-time execution of the PLC runtime. We designed our ring buffer shadow stack which is a shared memory in a way which is lock-free and asynchronous. This design makes it possible for the RTOS to halt the ECFI system whenever another important PLC task such as I/O operations needs resources, while the ECFI checker process runs on a lower priority. The ring buffer shadow stack is a fixed-size shared memory region that is accessible to the real-time application and control-flow checker subsystem. Whenever the CPU executes an instrumented BB, the BB writes the destination or return address, combined with their respective identification number, to the ring buffer. In this paper, we call the identification number HostsiteID. Finally, the ring buffer acts as a shared memory which is accessible to both checker application and the protected PLC runtime. The ring buffer is created with group permission (via S_IWGRP and S_IRGRP).

Checker Application. The checker application is a non-real-time program running as a separate process with a lower priority (compared to the protected PLC runtime application). Instead of invoking the instrumentation instruction every time it writes to the shadow stack, the checker will wait for the operating system to allocate system resources. The checker then reads the data from the shadow stack, and copies the data to its dynamic memory, and evaluates the control-flow data using the CFG. By default, the checker application will not terminate the PLC runtime upon the detection of a control-flow hijacking attack (while we have a flag in ECFI to kill the process). Instead, it generates a log regarding the attack, which a plant operator can read. To securely store the log files, the checker application runs under a different user (while being in the same user group as the PLC runtime) and the log files are only write/readable by that user and therefore, not accessible by the PLC runtime user.

Lock-Free Design. There are two features in our shadow stack which make our approach real-time friendly. First, during write or read operations, no locking is enforced on the shadow stack. Consequently, while the shadow stack is being written, the checker application can read it at the same time. By not locking the shadow stack, we avoid the common priority inversion problem in real-time systems caused by resource/memory locking.

Second, our shadow stack allows memory overwrite. This means that if there are no resources available for the checker to execute and the shadow stack gets full, the real-time application is allowed to overwrite previously written control-flow data. Therefore, at the end of the ring buffer, there is no forced call of the checker to free the shadow stack (by reading its values). However, this feature can be used by the attacker to overwrite the ring buffer.

Ring Buffer Protection. An attacker may want to take advantage of the ring buffer lock-free mechanism for attack concealment. For that she can, for example, perform a Denial of Service (DoS) attack against the PLC to increase its CPU usage. Once the CPU usage increases, the checker application less frequently verifies the control flow of the PLC runtime (or halts until resources are available again). In the meantime, the attacker can exploit the ring buffer by overwriting it with fake values. However, since PLC is a hard-real-time machine, the attacker’s capabilities can be limited by monitoring the number of CPU cycles performed in every PLC program scan cycle. Also for an attacker to remain stealthy, the DoS attack should not be prolonged, since DoS attacks would diminish the PLC’s ability to update I/O values on time. Anomalous behavior of PLC I/O might be detected by the PLC operator. Therefore, the
attacker can only execute its DoS attack during a short period, e.g., for few seconds.

As described in Section 2, PLCs executes control logic program in a program scan cycle. Due to real-time nature of PLC runtime, the number of CPU cycles it uses in every program scan cycle is limited and predictable. Therefore, with the help of a learning mode, it is possible to establish a pattern of normal CPU cycle usage in a PLC program scan. The learning mode captures worse CPU cycle usage of the PLC scan cycle. We can then compare the number of CPU cycles consumed by the PLC runtime during program scan with the values extracted in the learning mode. In the case of significant contradiction (more than 10 percent) between the worst CPU cycle usage in a PLC program scan cycle at the learning mode and at runtime, ECFI raises an alert. To calculate the number of CPU scan cycles consumed in a PLC program scan we use the Performance Monitoring Unit (PMU) of the ARM architecture. Note that ECFI does not utilize PMU to detect control-flow violations, but to detect possible malicious overwrite in the ring buffer.

Adaptive Scheduling in RTOS. Adaptive scheduling is a concept in RTOS in which the OS scheduler guarantees a certain amount of resources (e.g., CPU cycles) to an application when a resource constraint occurs. Adaptive scheduling is nothing new in RTOS domain [15]. Since adaptive scheduling can guarantee a certain amount of CPU cycles by setting a threshold (e.g., 20%) for the checker application, we can reduce (but not eliminate) the chance of ring buffer overwrite and increase the number of checking operation at the time of high CPU load in a PLC. We will discuss using adaptive scheduling to eliminate the possibility of deceiving ECFI by overwriting the ring buffer in Section 5.4.

4 ECFI IMPLEMENTATION DETAILS
Based on the design described in the previous section, we now present several technical details of our implementation

4.1 Target Platform
As a basis for our prototype implementation, we choose a WAGO PFC200 750-8202 PLC. It is a modular PLC with a 600MHz single-core 64bit ARM Cortex A8 CPU and 256 megabytes of RAM and a WAGO 750-1506 8-Channel Digital I/O module attached to it. WAGO PLC runs on Pengutronix Real-Time Linux with a PREEMPT RT Kernel 3.18.13.

For the PLC runtime, we could not use the Codesys runtime (standard WAGO PLC runtime) since the vendor informed us that they could only provide the source code to the OEMs (Original Equipment Manufacturers). Therefore, we choose OpenPLC [35] runtime. OpenPLC is the first fully functional standardized open source PLC runtime with real-time responses.

OpenPLC has several components including a graphical Integrated Development Environment (IDE) called PLCopen. PLCopen is used for writing Structured Text (ST) control logic on a PC and transferring the resultant binary logic generated by MatIEC compiler [47] to the PLC. Additionally, OpenPLC runs a NodeJS web interface for SCADA servers to retrieve and visualize data from the PLC runtime. Finally, OpenPLC includes a PLC runtime engine executing uploaded control logic within the PLC. Similarly to the Codesys runtime, OpenPLC converts the ST language control logic to a binary file on a programming (engineering) station before uploading it to a PLC.

Concerning real-time settings, in our experiments, we set the OpenPLC priority to 99 (highest possible value) while the ECFI checker priority was running on a non-real-time default process priority.

4.2 CFG Generation and HotsiteID
4.2.1 CFG Generation. ECFI uses the compiler to generate accurate CFG of the PLC runtime and the control logic. The result gives us a complete CFG which can cover the entire control-flow of the PLC runtime and control logic.

4.2.2 ECFI CFG Metadata Injection. To cope with ASLR, during the CFG generation, ECFI also analyzes the instrumented OpenPLC runtime and extracts BB offsets and function addresses and the distance between each BB via the symbol15 table of the application binary. The extracted information is added to the CFG. We use this information later to calculate all valid function relative addresses for CFG checking. The updated graph is reprocessed, and an identification number named as HotsiteID added to it. The HotsiteID is a unique ID of instrumented basic blocks. Each BB has a unique HotsiteID which will be used by the Code-Parser Injector (CPI) module of ECFI to determine instrumentation location. Also, the HotsiteID is being used by our checker application to immediately identify the location of the application execution flow in the CFG.

4.3 Instrumenting OpenPLC
In our approach, we perform instrumentation of the PLC runtime at compile time. This task does not require the high-level code of the application (e.g., C code); instead, we use the assembly code of the OpenPLC. It is worth mentioning that since ECFI does not require high-level source code of the application, it makes it feasible to use ECFI as a binary-based CFI solution in the future. In ECFI, we instrument every indirect function call and function return address in the OpenPLC assembly code (see also Figure 4).

Instrumentation Instructions. Instrumentation instructions are a set of light ARM assembly instructions which pass the control flow information to our ring buffer. We developed the following three types of instrumentation instructions:

- The setup code is an assembly code injected immediately after the function prologue in main(). This code makes the ring buffer shadow stack (created by the checker) accessible in the application memory. Once the ring buffer becomes accessible, the setup code passes the main() address to the ring buffer. Using the main() address combined with the metadata of our CFG, ECFI can calculate all other functions addresses. The calculation of all other function addresses is an essential task when the PLC OS has an implementation for ASLR.
- Function epilogue instrumentation instruction (backward edge monitor): the function epilogue instrumentation instruction passes values of the Frame Pointer(FP) or $LR registers (depending on the function epilogue generated by the compiler) and the HotSiteID to the ring buffer shadow stack.
The CPI locates the function epilogues for backward-edge the assembly code. In particular, within the application assembly relocation data by inserting a new label for program variables into

```
Instruction 18
Instruction 11
Instrumentation Code
Part instru() and return Address Value
LabelWord

Instruction 38
Instrumentation Code
Part instru() and return Address Value
BX LR
POP (R11, PC)

Instruction 39
Instrumentation Code
Part instru() and return Address Value
BX LR
POP (R11, PC)

Instruction 10
Instruction 11
Instruction 12
Instruction 13
Instruction 14
Instruction 15
Instruction 16
Instruction 17
Instruction 18
Instruction 19
Instruction 20
Instruction 21
```

Finally, the CPI module searches for the beginning of the main function and inserts the setup code right after the function prologue.

### 4.4 Ring Buffer and CFG Check

The ring buffer consists of three parts: The read offset, the write offset, and the actual data buffer. The read offset contains information about the location that the checker has to read the data from the ring buffer. The read offset is being maintained by the checker application which also holds a copy of the last read buffer in its internal memory. The write buffer is being maintained by the instrumentation instruction. The performance counter offset contains the number of CPU cycles in every PLC program scan cycle and is managed by the instrumentation instruction inside the OpenPLC runtime. The buffer size is 1024 bytes. This lets the instrumentation instruction write 128 times to the buffer before the checker needs to run and read it. There is no enforcement for the checker to run even after 128 times (due to real-time requirements). It is worth mentioning that we set the ring buffer size to 1024 bytes based on several experiments on OpenPLC and available resources in the Wago PLC. One can change the size of the ring buffer based on the resources available within the PLC. This value is small enough for the checker to quickly read the buffer and large enough to let the real-time application to run without concern for overwriting the ring buffer data.

**Initialization of the Ring Buffer**: Once the CFG checker executes, it creates the ring buffer shadow stack. After that, the checker loads the CFG that contains destination targets and control flow data. Note that the CFG gets loaded at the beginning of the execution of the checker and is loaded into checker memory. Subsequently, the checker replaces the source addresses with previously generated HotSiteID numbers inside its internal memory. In the next step, the checker application reads the main function address from the shadow stack and calculates all functions base addresses via previously calculated offsets from the application binary (using CFG metadata). The function base addresses are utilized by the checker to calculate all valid return addresses, and indirect function calls destination address from determined HotSiteID. This initial process makes our CFG verification faster.

**CFG Checks**: At this stage, the checker is ready to read any data forwarded to the ring buffer and to verify it with the target addresses listed in the checker. Every time the OS runs the checker, the checker will read all written values in the ring buffer and verify the destination or return addresses.

The entire process of write and read operations is illustrated in Figure 5. In the first step, the instrumentation instruction will write the control-flow data (the HotSiteID and destination or return address) to the shadow stack, but the operating system does not have resources yet to initiate the checker application (see Figure 5.A). While the checker waits for the resource, the PLC runtime continues its tasks (executing control logic) and the instrumentation instruction will write the control-flow data to the ring buffer and update the write offset (Figure 5.B). Once the resources become available, the checker application runs and reads every data in the
ring buffer, up to the location where the write offset points (Figure 5.C). At this stage, the checker verifies the control-flow data with the CFG or waits until the resources become available again.

Since ECFI does not block overwrites to the ring buffer and does not forcefully run the checker once the ring buffer becomes full, this creates an attack surface. To detect malicious overwrites to the ring buffer (e.g., during a DoS attack), ECFI uses the ARM PMU capabilities. We pre-calculate the range of CPU cycle in every program scan cycle of the PLC runtime. Each time the checker runs, it reads all the written values of the CPU cycles of the PLC and divides it by the number of PLC program scan cycles carried out by the PLC. To determine how many scan cycles was performed by the PLC runtime while checker process was waiting for resources we use the system time. Everytime ECFI checker checks the CFG, it stores the system time in its internal buffer. In the next round of check, the checker first gets the current time and then read the previously stored time from its internal buffer. The checker then divides the elapsed time to the duration of program scan cycle in the PLC which is a fixed value (e.g., the program scan cycle is happening every five milliseconds). The checker at this point knows how many PLC program scan cycle was executed during since the last check. The checker then reads the PMU values for CPU cycles consumed since the previous check and divide it by the number of CPU cycles executed in the PLC (since the last check). The checker then compares this value with our acceptable CPU cycle count per program scan cycle. If it is more than our acceptable range, ECFI raises an alert for ring buffer overwrite.

Calculating the accurate range of CPU cycle is achievable in the PLC environment where the PLC OS is a Real-Time system compared to other operating systems. To calculate acceptable PLC program scan cycle, we store the CPU cycles of one thousand iterations of our logic. It is important to note that we do not use the PMU counters to detect control-flow attacks against the PLC runtime. Instead, we use it to detect malicious overwrites to the ring buffer shadow stack. Additionally, it is worth mentioning that we do not set up any interrupt handlers for the CPU cycle event.

5 EVALUATION

Unlike general-purpose computers and other embedded systems CFI, we do not represent our evaluation based on average performance overhead. Instead, we use worst observed overhead in multiple runs for our evaluation. The general average performance overhead does not necessarily mean the satisfaction of system timeliness. For example, SPEC is an industry standard benchmarking tool which is extensively employed in the majority of CFI systems to evaluate their performance overhead. However, SPEC provides average performance penalty and no worst-case performance of the application. Additionally, in the case of SPEC, we cannot even use it for our target PLC, due to its hardware limitation. Our WAGO PFC PLC contains 256MB of RAM and a 64bit ARM CPU, while the minimum RAM requirements of SPEC in a 64bit CPU is two gigabytes. Therefore, in our work, it is impossible to use SPEC for benchmarking the WAGO PLC.

5.1 Performance Overhead

We follow two paths to evaluate performance overhead in ECFI. Firstly, we use CPU cycles used by our application which is a common practice in real-time systems [11, 26]. Secondly, we use the SciMark2 [40] scientific computing benchmarking suite designed by NIST, as another benchmarking suite, since its functionality was integrated as part of SPEC but did (unlike the full SPEC suite) meet the requirements of our platform.

To evaluate our performance overhead with CPU cycles, we used perf [13] which was the only available benchmarking tool in our PLC firmware. Using perf, we calculated the CPU cycles of OpenPLC in each PLC scan cycle using the control logic illustrated in Algorithm 1. This control logic involves all analog and digital I/O interfaces of the PLC and performs basic arithmetic operations. We then instrumented the OpenPLC runtime and our simple control logic code and used the perf tool for calculating the CPU cycles. Table 1 shows the worst CPU cycle and average CPU cycles for protected (instrumented) and unprotected OpenPLC runtime running our logic. The table indicates that ECFI using simple logic imposes at worst 1.5% overhead.

```
Algorithm 1: Simple Control Logic
```

However, the basic control logic only represents the simple operation of the PLC. To demonstrate the worst scenario of performance overhead in ECFI, instead of executing a control logic which at most involves several IF conditions, we created a logic binary which follows a complex path of SHA-2 hash calculation. We break our new logic (SHA-2 hash calculator) to smaller functions to increase the number of instrumentation points (the locations where the CIP module injects instrumentation code). Breaking the SHA-2 hash generator into smaller functions caused a significant code overhead as illustrated in Table 1.
We then compared the CPU cycles of our application with and without instrumentation code as shown in Table 1. While it is unrealistic to assume that the PLC logic calculates expensive hash functions (especially when we have broken it into multiple smaller functions), we followed this approach to evaluate the worst possible overhead in our ECFI implementation. As illustrated in Table 1, ECFI had 8.3% worst case overhead in a SHA-2 hash function calculation. Table 2 illustrates generic worst CPU overhead of ECFI in 50 individual runs using SciMark2. The results show that ECFI overall (Composite Score) induces around 1.5% performance overhead.

Finally, we used the baseline of worst CPU cycle (98,046) as a basis for detecting ring buffer overwrites in the SHA-2 control logic (note that we use a different baseline for each logic). As mentioned earlier, any attempt by the attacker to overwrite the ring buffer which must involve a Denial of Service attack will raise the number of CPU cycles. This is discussed in Section 5.3.

5.2 Checker Performance Overhead

We evaluated the checker performance overhead for nearly 1000 iterations and computed its CPU cycles. We could identify that our checker imposed around 5.2% CPU cycles overhead. The performance of the checker does not include the initial target calculation after receiving the main function address (to cope with ASLR) since it will only happen one time at the beginning of the application execution.

5.3 Vulnerable Application and Detection Capabilities

To evaluate our detection capabilities, we intentionally put two vulnerable functions inside the OpenPLC runtime. First, the OpenPLC will contain a simple function which never gets called in the OpenPLC call graph. The attacker will try to hijack the control flow toward this function. We use the first function only to determine the OpenPLC call graph. The attacker will try to hijack the control flow and perform a ROP attack against the OpenPLC. The objective in the second example was to execute a system-level command using the `system()` function in libc. To write our ROP payload,
Table 1: ECFI Performance Overhead including worst and average CPU cycles overhead for protected and unprotected OpenPLC Runtime.

<table>
<thead>
<tr>
<th>Target Logic</th>
<th>worst unprotected</th>
<th>worst protected</th>
<th>average unprotected</th>
<th>average protected</th>
<th>average protected %</th>
<th>worst protected %</th>
<th>code overhead %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Simple Logic</td>
<td>67836</td>
<td>68906</td>
<td>53912</td>
<td>54184</td>
<td>0.5%</td>
<td>1.57%</td>
<td>8.6%</td>
</tr>
<tr>
<td>SHA-2 Logic</td>
<td>90521</td>
<td>98046</td>
<td>81383</td>
<td>86913</td>
<td>6.8%</td>
<td>8.3%</td>
<td>20.8%</td>
</tr>
</tbody>
</table>

Table 2: ECFI worst performance overhead in 50 individual runs using NIST SciMark2 benchmark.

<table>
<thead>
<tr>
<th>SciMark2</th>
<th>FFT</th>
<th>SOR</th>
<th>Sparse matmult</th>
<th>Monte Carlo</th>
<th>LU</th>
<th>Composite Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Protection</td>
<td>8.69</td>
<td>33.19</td>
<td>6.24</td>
<td>11.18</td>
<td>19.71</td>
<td>15.81</td>
</tr>
<tr>
<td>Protected</td>
<td>8.98</td>
<td>33.32</td>
<td>6.41</td>
<td>11.51</td>
<td>19.99</td>
<td>16.05</td>
</tr>
<tr>
<td>Overhead %</td>
<td>3.34%</td>
<td>0.4%</td>
<td>2.7%</td>
<td>2.9%</td>
<td>1.4%</td>
<td>1.52%</td>
</tr>
</tbody>
</table>

we need to use ROP gadgets. In our example, we use two instructions in the seed48() function as ARM gadgets to prepare function argument for the system() function. In both examples, ECFI could detect the control-flow violation, and identify the function which diverted the control flow (via HotSiteID).

5.4 Attacking The Ring Buffer

As described earlier, there is a possibility that the attacker tries to overwrite the ring buffer after performing a ROP attack by somehow flooding the system with the performance-intensive tasks. We evaluate our PMU measurements to see how ECFI reacts to an attacker who tries to overwrite our ring buffer with his desired value in the PLC program scan cycle. We wrote a payload to overwrite 32 bytes of the ring buffer (the last four entries in the buffer) after control-flow hijacking with valid control-flow data while the PLC was under heavy requests and its CPU was fully used.

It is important to mention that our ROP payload only contained two single instructions. One to copy the write values and destination address (ring buffer address) to the registers and another one to use a STR gadget to overwrite the last four entries of the buffer (each entry contains destination address and HotSiteID).

However, we could not fool the checker application. The checker raised an alert for both control flow hijacking and ring buffer overwrites attack. The reason for the detection of control-flow hijacking is that even though the PLC runtime was under heavy load, the RTOS was randomly allocating some resource to the checker. This situation caused our ring buffer overwrite to be unsuccessful since we could not fully overwrite the buffer before checker verifies the integrity of control-flow data. Besides that, the checker also raised another alert for the extra CPU cycles consumed by attack payload (the DoS attack and two gadgets) which was overwriting the ring buffer. The average CPU cycles consumed by PLC (caused by the combination of DoS attack and overwriting the ring buffer with two gadgets) was 28,565 CPU cycles (worst scenario 32,026 and minimum 24,927 in 1,000 iterations). We set the baseline for the CPU cycle counts in our checker to the worst observed protected runtime CPU cycles of our logic which were 68,906 (as illustrated in Table 1). The extra 29,000 CPU cycle was clearly suggesting that there was an overwrite to the ring buffer shadow stack. As a result, ECFI raised an alert for ring buffer overwrite. Using PMU to detect ring buffer overwrite makes ECFI unaffected to attacks based on flushing buffers against CFI approaches [43].

Having adaptive scheduler in RTOSes makes the attack against ring buffer even more complicated since the checker application will always have guaranteed percentage of CPU cycles within the RTOS. Assuming that a PLC runtime which runs the RTOS with adaptive scheduler, the uncertainty for the attacker to overwrite the shadow stack before checker reads the data will be even higher. It is safe to assume that once the RTOS has an adaptive scheduler, ECFI does not need extra protection for ring buffer overwrites.

6 LIMITATIONS

False Positive. There is a possibility for ECFI to generate a false positive on an attack targeting a shadow stack overwrite. We can imagine this can occur when we have a unpredicted I/O input, something that was not expected in the PLC logic variable set points. If during sampling of CPU cycles in a PLC scan cycle, we do not spot this specific I/O input, there is the possibility that the PLC logic follows a different path which was never modeled during our learning mode. As a result of this unexpected I/O input, the CPU cycles count will significantly change, and ECFI will raise an alert for ring buffer overwrite attack, which is not true. However, this will not be a significant problem in the industrial control domain. Significant fluctuation in the I/O input readings is always being monitored by the SCADA servers as well. Therefore, the plant operator can correlate between abnormal I/O inputs and alert for an attack against the ring buffer raised by ECFI.

Delay on Control-flow Violation Detection. ECFI does not have any major delay in detecting control-flow violations. However, if there are no resources available at the moment, ECFI raise the alert with delay. This delay based on our evaluation is only in millisecond/nanosecond scale. Considering our attacker model, the delay between infection of the PLC and exploitation of the physical process in an industrial network will be far greater than nano/milliseconds scale. The alert generated by ECFI will give the operator an opportunity to start manual safety override of the physical process before attacker initiates the exploitation stage.

ECFI Fine-grained Approach Limitations. The OpenPLC runtime used in our evaluation did not have any code-pointer call, and thus we did not face code pointer call issues, which is a common problem in fine-grained CFI systems for general-purpose applications. We believe that unresolvable code-pointer calls are not common in real-time systems. This is the case because the PLC runtime must be
predictable in its execution path. Therefore, the code pointer calls in the PLCs and other real-time applications will be deterministic and thus resolvable by the compiler. In case the PLC runtime does have a non-deterministic code-pointer call, one has to either use the coarse-grained approach for ECFI forward edge or use the common techniques for fine-grained CFG generation as mention in the literature [20, 33, 49].

7 RELATED WORK

Some previous research introduced tailored CFI for high-end embedded devices such as mobile phones namely MoCFI [12] and CFR [38]. MoCFI [12] is a CFI for embedded systems which enforce CFI on the ARM architecture. MoCFI analyzes the binary of an iOS app to extract its CFG. At load time, MoCFI inserts trampolines into a runtime component before each jump. This runtime component then checks each jump targets against the CFG. Finally, MoCFI uses exception handlers and loops in its CFG verification, making it unsuitable for a real-time PLC. In contrast, CFR [38] is a fine-grained CFI instrumentation technique for ARM-based iOS devices. CFR injects its monitoring code to the iOS apps during compilation time by using an LLVM addon. Such approach eliminates the need for disassembly and construction of a CFG. Similar to MoCFI, CFR widely uses extensive loops for CFG verification and as a result, cannot be utilized in a PLC with real-time constraints.

A FreeRTOS fork named as TrackOS suggested a CFI system to Micro-controllers(MCU) without any MMU/MPU support [39]. However, TrackOS does not actively monitor the application control flow. Instead, it reads the application control flow data (e.g., register values, stack values) from the kernel-space and performs checks in a fixed, periodic manner. This approach makes it possible to bypass the TrackOS due to deterministic checking periods. Furthermore, TrackOS does not provide any overhead measurements at all.

Some other CFI solutions focused more generically on embedded systems with a specific family of CPUs [45, 52] but none tackled the challenge of developing an enforcement approach for PLCs. CFI-Mon [52] leverages the pervasively available hardware support for performance monitoring unit (PMU) in commercial processors, to detect the control-flow deviation of a running application. CFI-Mon was never considered as a CFI for embedded systems, but since similar hardware functionalities (PMU) exist for most of the embedded processors, we recognize it as a CFI for embedded systems. However, the CFI-Mon approach was found unreliable due to its significant false negatives and false positives rates [53].

Finally, a relevant stream of work suggested verifying control-flow integrity in an asynchronous way for non-real-time systems. For example, kBouncer [36] verifies the control-flow of the application using Intel LBR (Last Branch Record). The verification mechanism is invoked whenever there is a call to suspicious APIs and by checking. The asynchronous verification then reads the last 16 entries of the LBR to check whether a control flow violation occurred. Unlike kBouncer, ECFI works in a real-time environment, and there is no condition for checking the ring buffer except having resources for it. Additionally, CPU cycle monitoring in ECFI checks whether an attacker tries to exploit the system resources to manipulate the ring buffer. ShadowReplica [23] is another implementation which uses the concept of asynchronous verification but in the concept of Data Flow Tracking (DFT) for dynamic taint analysis and shadow memory-based analysis [31]. ShadowReplica decouples the DFT from application execution by using spare CPU cores to accelerate the task. Speck [32] is a system that makes it feasible to execute expensive security checks from an application by decoupling the checks from the application runtime. For doing this, Speck (similar to ShadowReplica) uses the other CPU cores for executing the security checks. Additionally, Speck holds the output buffer of the application (e.g., output to screen or the network) and will not release it until the security checks are finished. Obviously, Speck mechanism in real-time systems causes priority inversion and other predictability issues.

8 CONCLUSION

From the practical viewpoint, we believe that the most interesting attack techniques against PLCs are control-flow hijacking attacks. Indeed when we consider existing attacks and defenses for PLCs, we can find control-flow hijacking attacks more relevant since no defense has been devised for this family of attacks against PLCs. Therefore, in this paper, we introduced the first PLC-compatible CFI approach, which is a non-blocking CFI design that respects real-time requirements of PLC. Our evaluation shows that it is feasible to deploy traditional control-flow protection mechanisms in a PLC with real-time constraints and limited hardware. We believe that in any attack against PLCs, control-flow integrity verification measures will pose a notable hindrance to attackers, significantly reducing their success rate and add a barrier for attackers to execute their post-exploitation techniques such as the Pin Control Attack [2].

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