High-stakes conflicts and the link between theory and practice

Citation for published version (APA):

DOI:
10.1111/ncmr.12123

Document status and date:
Published: 01/05/2018

Document Version:
Publisher’s PDF, also known as Version of Record (includes final page, issue and volume numbers)

Please check the document version of this publication:

• A submitted manuscript is the author's version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher’s website.
• The final author version and the galley proof are versions of the publication after peer review.
• The final published version features the final layout of the paper including the volume, issue and page numbers.

Link to publication

General rights
Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
• You may not further distribute the material or use it for any profit-making activity or commercial gain
• You may freely distribute the URL identifying the publication in the public portal.

Take down policy
If you believe that this document breaches copyright please contact us:
openaccess@tue.nl
providing details. We will immediately remove access to the work pending the investigation of your claim.
High-Stakes Conflicts and the Link between Theory and Practice: Celebrating the Work of Ellen Giebels

Miriam S. D. Oostinga,1 Sonja Rispens,2 Paul J. Taylor1,3 and Elze G. Ufkes1

1 University of Twente, Enschede, The Netherlands
2 Eindhoven University of Technology, Eindhoven, The Netherlands
3 Lancaster University, Lancaster, UK

Keywords
high-stakes conflict, crisis negotiations, applied research, multimethod.

Abstract
In this tribute to the 2012 recipient of the IACM’s Jeffrey Rubin’s Theory-to-Practice Award, we celebrate the work of Ellen Giebels. We highlight her groundbreaking research on influence tactics in crisis negotiations and other high-stakes conflict situations, showing how her focus on theoretical foundations and careful design has delivered contributions of practical relevance. We then hear from two early career researchers who share how Ellen’s research and mentorship fostered their own desire to deliver impactful research. We conclude by inviting Ellen to reflect on future research questions and to underscore her vision on the use of technology in conflict and negotiations research.

Ellen Giebels was awarded the Jeffrey Z. Rubin Award in 2012 because of her tireless efforts to conduct field-relevant, high-quality research, which she then applies to complex, life-saving crisis situations and the management of vulnerable victims and witnesses. She is a leading researcher in the field of crisis negotiations and interventions in high-stakes, high-risk conflicts whose success stems from iterating between high-quality research and translating the findings into European policing (Figure 1).

High-stakes conflicts are characterized by a high degree of uncertainty in both development and outcome of the conflict, what is at stake is of high value above and beyond the scope of those involved, and the conflict is considered very intense, troublesome, or urgent by at least one of the involved parties (Giebels, Ufkes, & Erp, 2014). Take, for example, a crisis negotiation. Crisis negotiations are communicative interactions in which human lives are at stake. In these situations, which include hostage sieges, kidnappings, piracy, and extortions, people often use a threat to life (their own or another) as a bargaining chip to negotiate for what they want (cf., Giebels & Noelanders, 2004). These situations are stressful, unpredictable, tense, and emotionally driven not only for the perpetrators and the victims but also for those who engage in negotiations with the perpetrators (see also Nieboer-Martini, Dolnik, & Giebels, 2012). Ellen’s research helps these negotiators to become better prepared, and she helps them to gain influence in those high-stakes situations (Giebels, 2002; Giebels & Taylor, 2009; Oostinga, Giebels, & Taylor, 2018a,b). For example, one area where Ellen has made important contributions is in helping negotiators

We thank Michael Gross for inviting this article and two anonymous reviewers for their constructive feedback that helped craft this manuscript to celebrate the work of Ellen Giebels. Authorship is alphabetical.
and police interrogators deal with the increasing cultural diversity of the perpetrators they encounter (Giebels, 1999a; Taylor & Donohue, 2006). Combining experimental and field studies of police interviews with suspects of high- and low-context cultural backgrounds (Beune, Giebels, & Sanders, 2009; Beune, Giebels, & Taylor, 2010), Ellen and colleagues have shown that high-context suspects (who are accustomed to indirect, contextual communication) are more responsive to being kind tactics, such as engaging in active listening or rewarding cooperative behavior with offering a drink. By contrast, low-context suspects (who are accustomed to more direct and content-oriented communication) tend to respond more positively to rational persuasion, offering up more confessions than their high-context counterparts (Beune et al., 2009).

The relevance of Ellen’s work is underscored by the fact that it has been incorporated in specialized police trainings. In 1996, Ellen was invited to contribute to the Dutch national hostage negotiation course at the Police Academy of the Netherlands. At first, she focused on the actual translation of research findings of negotiations in a training program for professional Dutch hostage negotiators. Throughout the years, she has established her success as an adviser, and now, she regularly lectures and advises on negotiation strategies in complex investigative situations all over the world. For example, professionals in Germany have been so inspired by her work that they decided to translate her crisis negotiation articles into the German language, thereby making them accessible to all German crisis negotiators and their commanders. Similarly, Ellen received numerous grants, including large-scale funding for the investigation of crisis conflict situations from The Dutch national police, the Belgian Federal Police, The Dutch legal aid board, and the US High-Value Detainee Interrogation Group. Her research on the effective management of witnesses received funding from the Dutch Ministry of Safety and Justice, the national Public Prosecution Service, and the National Police Force. Furthermore, Ellen was the first academic invited by the Danish army to conduct the training on negotiations in hostage and siege situation. She also contributed to several high-profile public investigation committees on safety issues, such as the evaluation of the Dutch government’s crisis response to the MH17 disaster in 2014.

Although crisis negotiations are Ellen’s main research focus, we would like to argue that her work is broader than that. In fact, we would like to characterize Ellen as a construct researcher. A construct
researcher is someone who studies a certain phenomenon (in Ellen’s case high-stakes conflict) in different settings through multiple means (K. A. Jehn, personal communication, April 20, 2004). In fact, as a PhD student she studied negotiator behaviors in a business setting under the supervision of Professors Evert van de Vliert and Carsten de Dreu. In her early work, she investigated the interactive effects of alternatives and social motive in dyadic negotiation settings. In a series of experimental studies, she found that having exit options directs the negotiating parties away from problem-solving behaviors and more toward forcing tactics (Giebels, 1999a,b; De Dreu, Giebels, & Van de Vliert, 1998; Giebels, De Dreu, & Van de Vliert, 1998; 2000; 2003). But that was not the last time Ellen studied conflict in an organizational setting. To illustrate: Ellen studied the preferences of Chinese and Dutch employees for third-party help (i.e., relational, procedural, and emotional) in workplace conflicts (Giebels & Yang, 2009), and recently, she published on the role of conflict among employees and how it relates to innovative behavior (Giebels, de Reuver, Rispens, & Ufkes, 2016). Conflict is not only something we experience in places where we work, we also tend to have arguments with those close to us, for example, with our families or partner. Under Ellen’s supervision, Kim van Erp studied the role of conflict in expatriate relationships (e.g., Van Erp, Giebels, Van der Zee, & Van Duijn, 2011). And what about the places in which we live? Because social conflicts can be a major problem in deteriorated neighborhoods, Elze Ufkes studied social categorization, negative emotions, behavioral intentions of residents, and mediation in neighbor-to-neighbor conflict (Ufkes, Giebels, Otten, & Van Der Zee, 2012; Ufkes, Otten, Van der Zee, & Giebels, 2012). Together with PhD student Marian van Dijk, Ellen studies conflicts in a legal setting, and how conflict and dependence asymmetry affect the preferred type of support people prefer in legal conflicts (Van Dijk, Giebels, & Zebel, 2016). These examples make clear that Ellen studies high-stakes conflicts across different settings. But a reading of these papers also makes clear that Ellen uses different methodologies to pursue her research questions. Ellen’s use of field and laboratory research, survey research, observational methods, and qualitative methods has delivered a deep understanding of how people react, and how social interactions develop in sensitive conflict contexts.

Ellen’s work has been published in over 20 books and over 50 articles in journals such as *Journal of Applied Psychology, Law and Human Behavior, Frontiers in Psychology, Journal of Organizational Behavior,* and, of course, *Negotiation and Conflict Management Research.* Together with Paul Taylor, Ellen edited a special issue of *Negotiations and Conflict Management Research* on terrorism and political violence (see Giebels & Taylor, 2012). We believe her research output is impressive, because working as a researcher on police and security issues requires discretion and sacrifice. It requires discretion because one cannot always parade one’s findings in the media or at conferences. It requires sacrifice because innovative applied research (as Ellen’s is) takes years to organize, does not fit well with institutional pressure for quick wins, and, on some occasions, may never appear in the public domain due to confidentiality.

In addition to her contributions to research and practice, another impact of Ellen on the field can be seen through the work of her students. In the following sections of this article, two of her PhD students—Miriam S. D. Oostinga who is about to finish her PhD and dr. Elze G. Ufkes who graduated 5 years ago—give an account of what they have learned from working closely with Ellen.

**Working with Ellen: The Interaction between Theory and Practice, the Victim, and Technological Gadgets—Miriam S. D. Oostinga**

In the summer of 2013, I had the privilege to meet Ellen when I applied for a position as a research assistant in the Psychology of Conflict, Risk and Safety department at the University of Twente (Figure 2). I applied there because the focus of the group very much aligned with my strong belief of what science should look like: providing hands-on solutions to societal problems. My first impressions of Ellen were that she is very sympathetic, someone who makes you feel at ease right away, but also someone who enforces a nonimposed respect by her well-considered opinions on matters. We are now four years later and having switched from research assistant to PhD student under her supervision, my impression has
not changed a bit and I can say it is genuinely a pleasure to work with her. When asked to describe Ellen, three topics directly come to mind: practice, the victim, and technical gadgets. In the following three paragraphs, I will elaborate on why and how these topics relate to Ellen.

Theory to Practice or Practice to Theory?

In applied research, the usual cycle is theory to practice, as the name of the Jeffrey Z. Rubin Theory-to-Practice Award already suggests. One studies the literature, one performs empirical studies, and one explains to practitioners what the findings were. In 2013, I had the opportunity to observe this skill of Ellen from a first-hand perspective, as she had asked me to help her with a one-day training for crisis negotiators in the Netherlands. The topics of that day were the Table of Ten and culture. The Table of Ten (Giebels, 2002) is an empirically driven framework, well grounded in theory and research on influence (e.g., Cialdini, 2001) and in organizational contexts (e.g., Kipnis, Schmidt, & Wilkinson, 1980). Working with practitioners and hearing their reflections on how influence transpires in real settings, Ellen distilled ten influence strategies that classify different tactics used in interactions, and in crisis encounters in particular. Three tactics have a relational character (e.g., being kind, being equal), while the other seven focus on the content of the message (e.g., direct pressure, legitimizing; Giebels, 2002). The framework helps negotiators to become aware of the strategy they enact, and it enables them to move to other strategies when they experience a negotiation does not unfold as expected (e.g., switching between relational and rational strategies). Negotiators can also specify their strategy beforehand when they know the cultural background of the perpetrator. Ellen’s work shows that the cultural background of the perpetrator determines to a great extent whether or not the use of certain tactics is effective (Beune, Giebels, Adair, Fennis, & Van der Zee, 2011; Giebels, Oostinga, Taylor, & Curtis, 2017; Giebels & Taylor, 2009).

During the training day, we focused on the cultural dimension of uncertainty avoidance, that is, the extent to which people are tolerant for unknown or uncertain situations (Hofstede, 2001). Recent findings show that formal language and messages that emphasize law and regulations (i.e., the legitimizing tactic of the Table of Ten) appear to be more effective when addressing a perpetrator from a high-
uncertainty-avoidant country, probably because procedures provide pseudo-structure and therefore make people from an uncertainty-avoidant country feel more at ease (Giebels et al., 2017). When addressing perpetrators from a low-uncertainty-avoidant country, this approach is not very effective. This was a highly relevant topic to the attending negotiators because they often engaged in cross-border negotiations between Germany (uncertainty-avoidant country) and the Netherlands (uncertainty-tolerant country). To unravel what influencing strategies the negotiators were inclined to use, Ellen first let the crisis negotiators practice with the Table of Ten in a simple role-playing exercise. She then asked them to determine which strategies they used most frequently and asked fellow negotiators whether they agreed with this self-reflection or not. She later compared these self-reflections to an overview of the strategies they had enacted in two negotiation exercises in which they had participated before this training day, how this related to the use of the strategies among the group, and how this differed across cultures.

Using these simple steps, Ellen demonstrated to the negotiators how general theories that explain international effects of the use of influence strategies can be translated to personal use in practice. However, Ellen does not always stick to the order of theory to practice. She argues that you can learn from practitioners as much as they can learn from you. This was very evident at the start of my PhD project in 2014. A crisis negotiator from the United Kingdom, Simon Wells, brought up the topic of my PhD research. He really enjoyed the interesting studies raised during different training sessions he participated in, but they all focused on how a negotiator should act to convince the perpetrator to stop. He started to wonder about the other side of the coin: What should one say if, for example, you use the wrong name, or approach someone in the wrong manner? Should you say sorry, shift the blame to someone else, or deny that you made a mistake? A simple question we thought, so we explored the literature to find the solution but there was no clear answer. Thus, I started my research by interviewing crisis negotiators and learned that there was a division of different type of errors and response strategies that people used.

This laid the groundwork for two subsequent studies of my PhD project by the US High-Value Detainee Interrogation Group, in which we focus on communication error management in suspect interviews and crisis negotiations. We focused on the error receiver and explored the impact of receiving different types of communication errors and the response strategies raised by the interviewed crisis negotiators. Specifically, we made a distinction between factual and judgment errors and tested what the subsequent effect was of contradicting, apologizing, or accepting the error (for the classification, see Oostinga et al., 2018a,b). We found that the consequences of these errors can be both positive and negative; that is, making an (judgment) error is detrimental for affective trust and rapport in the suspect interview, while no such effect was found in the crisis negotiation setting. Unexpectedly, we found the making errors did lead to more information provision in both settings. The ultimate response to these errors, however, determines its overall effect. In both interactions, accepting was effective for establishing a positive and trusting relationship, while contradicting lowers it. Accepting seems more effective for the willingness to provide information in a suspect interview, while apologizing seems more effective for affective trust and rapport in a crisis negotiation (Oostinga et al., 2018a,b).

All things considered, looking at the extent to which these studies are intertwined with practice, the question that may emerge is: theory to practice or practice to theory? When assessing Ellen’s work and consequently the work of her PhD students, there is no sharp division between the two.

The Aftermath of Conflict: But What about the Victim?

Most crisis negotiation research has paid attention to the relationship between the negotiator and the hostage taker. But what about the hostage? In Giebels, Noelanders, and Vervaeke (2005), Ellen interviewed the hostages themselves. She concluded that the psychological impact of being taken hostage should not be underestimated. Feelings of sadness, excessive stress, and hopelessness are common after extensive captivity. She argued that negotiators have a social responsibility to focus on the hostage.
Victims have their fears for the hostage taker, the uncertainty of what is going to happen next, and the possibility of an intervention. Simply by communicating with the victim or asking how the victim is doing, the negotiator can already improve their condition as the victim experiences that they are important and not forgotten. Ellen dedicates two entire days of the crisis negotiation course during which she focuses on the victim. During my visits to the police academy, I had the chance to speak to two negotiators who had taken this course. They were astonished by how easy it is to forget about the victim, just because the victim is usually not the person who can stop the (hostage) situation. Ellen’s work is not only a wake-up call to Dutch practitioners. On the 4th of July 2013, the United Nations referred to her work in their General Assembly Report on human rights and issues related to terrorist hostage-taking stressing that “a typical hostage-taking incident not only threatens the physical but also the psychological well-being and integrity of the hostage both throughout and after the duration of the incident, and therefore it generates multiple infringements of their human rights” (p.10) United Nations, General Assembly (2012).

Her knowledge on the victim’s perspective is not only visible in the crisis negotiation domain. In 2015, Ellen was invited to evaluate the family-of-the-victim’s perspective of the communication and aftercare received in the aftermath of the MH17 disaster on the 17th of July 2014. A Kuala Lumpur-bound airplane from Malaysia Airlines that departed from Amsterdam, the Netherlands, was shot down while flying over Ukraine. In total, 283 passengers (of which 193 Dutch) and 15 crew members did not survive the crash. Her conclusions and recommendations based on questionnaires and in-depth interviews with next of kin and the professionals guiding them led to a nationally influential report (e.g., it received so much media attention that it received the 2016 UT in the media award (Torenvlied et al., 2015). It also served as one of the pillars of the new Governmental Handbook for crisis management. All in all, her academic work on the victim inspires individuals across domains not only at a national, but also at an international level. So how does she achieve this impact?

Technology as a Solution to Problems in Traditional Psychological Research

Ellen advocates for fieldwork over more traditional psychological research methods. Survey studies, controlled laboratory experiments, and interviews with students are interesting, but she argues that these are less suitable for the safety and security field; that is, self-reports are often distorted (people not willing or able to accurately evaluate), and it is difficult to integrate low-trust, high-stakes circumstances in a laboratory situation, and the behavioral patterns under study are usually complex. Consequently, she works in close collaboration with many organizations to stay connected to practice as much as possible, such as the police (Beune et al., 2009), the military (De Graaff, Giebels, Meijer, & Verweij, 2016), and the council of legal aid (Van Dijk et al., 2016). Assessing these studies reveals that Ellen found solutions to integrate experimental set-ups in practice, which underlines her argument that fieldwork and experimental rigor are not antithetical. We also used that set up in two of my studies. Specifically, we examined the effect of making a factual or judgment error on law enforcement officers’ cognition, affect, and behavior. We designed a prototypical role-playing exercise in which we let professional law enforcement officers unwittingly make an error. Police and prison negotiators, as well as police interrogators, participated in this exercise helping us to understand how they experienced the making of errors. Moreover, we were able to classify their different responses used after making the error. Preliminary results suggest that in the crisis negotiation domain negotiators used different responses such as apology, exploration, deflect, and no alignment. Internal processes experienced by the law enforcement officer, such as stress, distraction, self-oriented anger, and guilt, seem to explain which response is used (Oostinga, Giebels, & Taylor, in preparation). In this same study, we used the Empatica E4, which is a wristband that unobtrusively tracks electrodermal activity and can be used to measure stress. Using these wristbands allowed us to measure their direct stress response when they had made an error and received a cooperative or noncooperative response from the suspect (Oostinga et al., in preparation). This strengthens the validity of our
measures, but it is also attractive for practice as you can easily provide personal feedback. With that statement, I would like to conclude my reflections on Ellen and how she has inspired me and many others, both nationally and internationally. I have highlighted some important parts of her outstanding research of the past and unraveled some inspirations for her current work. I am curiously looking forward to what Ellen will bring to science and practice next, as I am sure many of you do too.

**Innovation by Collaboration: The Marriage between Academia and Practice in Conflict Research—Elze G. Ufkes**

I vividly remember following Ellen’s Group Dynamics class as a master student in 2004/2005 at the University of Groningen, the Netherlands. The engaging way in which she managed to explain theory using her first account practical examples inspired many students, including me. How could we not? Using knowledge from hostage negotiation research in a role-playing exercise wherein students had to convince a toddler to go to bed and sleep did the trick. Although the stakes between the two situations obviously differ, the exercise demonstrated in an engaging and humorous way how—even when negotiating with a toddler—people already use, and alternate between, many of the strategies that Ellen formulated in her Table of Ten (Giebels, 2002)—for instance, when do you decide to switch from being kind to using intimidation?

A year later, in 2006, I started my PhD research at that same university on the topic of neighbor disputes in multicultural neighborhoods. Ellen was one of my advisors (together with Sabine Otten and Karen van der Zee; see, e.g., Ufkes, Giebels, et al., 2012). I was honestly a bit disappointed when Ellen moved from Groningen to the University of Twente just before I started. Lucky for me, Ellen always remained involved. Especially, because—in hindsight—I knew little about the gap between academia and “the real world out there.” For my PhD project, we collaborated with local agencies (such as the city government, the housing agencies, and the local police) involved in managing and reducing social problems in multicultural neighborhoods. Notwithstanding the fact that the (intergroup) conflict literature could provide a lot of new insights for these professionals, “translating” knowledge to practical knowledge proved to be quite a challenge. Ellen’s experience and contributions on how to bridge this gap were absolutely vital for finishing my PhD successfully.

About another year later, during my post doc at Yale university, I received an email of Ellen informing whether I would know anybody who would be interested in applying for a staff position in a recently created research group, which she would lead. I did not have to look far and—fortunately enough also successfully—applied myself.

**Science may learn from practice**

Over recent years in the Netherlands, there has been a heated debate about the necessity of valorization in (social) sciences. The concept of “valorization” (which literally means enhancing or adding value) is used to refer to the challenge of generating economic or societal value out of research. The fact that research valorization is seen as a challenge highlights the gap between science and practice. I believe that Ellen is one of the front runners in bridging this gap. Part of the reason for her efforts here may lay in the fact that Ellen is well grounded in the field of her research on high-stakes negotiations herself. When she did a postdoc on crisis negotiations at the Behavioral Science Unit of the Federal Police, Brussels, Belgium (1998–2000), she had first-hand experience with hostage negotiations. Based on that work she developed new theoretical models, such as the already mentioned Table of Ten describing the main influencing behaviors used by police negotiators (Giebels, 2002; Giebels & Noelanders, 2004). By grouping behaviors used by negotiators in the field (such as being kind, emotional appeal, or rational persuasion) and connecting them to more fundamental theoretical principles (such as sympathy, self-image preservation, or cognitive consistency), Ellen explicitly bridged practice and science (Giebels & Taylor, 2009). For
professionals, this approach aids choosing those negotiation strategies that are most likely to succeed based on the underlying theory. For science, this approach helps in “translating” abstract knowledge in such a way that it is actually useful in the real world. The approach of starting with observations in the field and then generating new theory from those observations is illustrative of Ellen’s work and the need for science to learn from practice.

**Importance of research in (realistic) contexts**

One of the characteristics of Ellen’s work is its foundation in realistic field contexts. Much research on conflict processes and conflict management is largely based on laboratory experiments or survey studies (see Giebels et al., 2014 for an overview). A central principle in Ellen’s work is that to truly appreciate and understand reactions in conflict settings, it is necessary to study these processes as they naturally occur—or at a minimum closely mimic a realistic setting—while maintaining scientific rigor.

An example of how important realistic settings are for discovering new theoretical insights is our field study on neighborhood conflict mediation (Ufkes, Giebels, et al., 2012, Ufkes, Otten, et al. 2012). Much conflict research assumes that both parties are equally invested when in conflict with each other. However, in reality, this is often not the case: One party often experiences more conflict than the other (see also Jehn, Rispens, & Thatcher, 2010). In a study on mediation in neighborhood disputes (Ufkes, Giebels, et al., 2012, Ufkes, Otten, et al. 2012), we tested how asymmetry in conflict perceptions affected the process and outcomes of neighborhood mediation interventions. For this study, we content coded the files of 261 neighborhood conflict cases handled by a neighborhood mediation project in one year. As such, we were able to study the process of conflict mediation in its actual context. The results showed that these cases were more often about asymmetrical than symmetrical conflicts. The level of conflict asymmetry in turn proved to be an important predictor of neighborhood mediation outcomes: Parties were less likely to participate in a joint mediation session when conflicts were asymmetric. At the same time, however, we found that, in asymmetric conflicts, the intake session with the mediator was sufficient to improve the relation between the conflict parties three months later. We discussed these findings in line with the idea that, especially in asymmetric conflicts, third parties can help by providing the opportunity to vent, and acknowledging the seriousness of the situation. Studying these processes in naturalistic settings is the only way to discover such new insights. This paper was awarded with the best empirical paper award of the International Association of Conflict Management in 2012 and inspired other academics and practitioners working on mediation in different countries (e.g., https://adrresearch.net/2016/05/13/researcher-profile-meet-frances-richards/ and http://www.sherpamediation.com/2012/09/mediation-comment-negocier-quand-une.html).

**Research methods should serve the research question**

Another noteworthy aspect of Ellen’s work is the use of a wide range of research and data collection methods. They range from content analyzing audio recordings of hostage negotiations (Giebels & Taylor, 2009), realistic simulations of police negotiations (Giebels et al., 2017), semantic analyses of extremist and counter-extremist violence messages (Prentice, Taylor, Rayson, & Giebels, 2012), content analyses of paper files of neighborhood conflict mediators (Ufkes, Giebels, et al., 2012, Ufkes, Otten, et al. 2012), and physiological electrophysical activity measures (EDA: a measure of skin conductance as an indicator for arousal) during deceptive attempts when being interview by a computer avatar (Ströfer, Ufkes, Bruinjes, Giebels, & Noordzij, 2016). The breadth of methodologies used by Ellen instantiates the belief that the research question should dictate which method is used for a particular problem and not the other way around. Ellen’s choices of methodology also illustrate her desire to study real situations in a nonobtrusive way. A potential problem of studying high-stakes settings, such as conflict mediation or hostage negotiation, is that as the researcher can dilute or interfere in the core process. Getting the chance to
analyze recordings, files, or other data being collected as part of the core process often forms the perfect solution.

Innovate behavioral research

Being the driving force behind innovation does not occur without struggle. Indeed, increased conflict experiences may in fact be one of the mechanisms through which proactivity may “translate” to innovation (Giebels et al., 2016). As discussed before, much of Ellen’s research is innovative. But also, as a department head and lately as vice-dean, Ellen is a main driving force behind new innovations such as our new Tech4people laboratory at the school for behavioral management and social sciences (see: https://bmslab.utwente.nl) (Figure 3). In her roles as department head and vice-dean, stumbling upon struggles in the organization is inevitable, but with her knowledge and experience in conflict handling and effective negotiations, Ellen often succeeds in finding integrative resolutions. For instance, being based at a traditionally technical university provides our Social Science department with a unique opportunity to use the
expertise and knowledge present in the STEM sciences. Ellen played a key role in developing a new laboratory wherein this idea was successfully implemented, providing social scientists new opportunities to use various types of sensors to collect data in high-stakes settings also within the field.

An example project from this laboratory is our work using GPS data as behavioral measures (De Vries, Ziepert, & Ufkes, 2016). For this project, we set up a field experiment wherein students participated in a “smugglers game”. Most participants played the role of smugglers, and six other students assumed the role of customs officials. The goal of the smugglers was to “smuggle” as much “cocaine” (a small bag of baking flower) over an imaginary border within a given time limit. Smugglers could choose to attempt to cross the border with or without cocaine, but they were only able to score points for bringing cocaine across the border. When a smuggler was caught by a customs official (i.e., when they were tagged by an official), the smuggler would lose and customs officer would win points. Conversely, customs officers would lose points for tagging a smuggler who did not possess cocaine. Thus, for customs officers it was critical to assess accurately whether someone crossing the border was in possession. By tracking smugglers movements with GPS sensors, we could analyze their trajectories. Our expectation was that smugglers with malicious intent (carrying cocaine) would move differently, for instance, they would deviate more often in speed or course direction than persons who did not smuggle. Overall, we could clearly see differences in trajectories depending on the distance to the border: For instance, when smugglers got closer to the border we observed more variation in directions. Unfortunately, as it sometimes goes with pioneering projects, we did not find reliable differences in trajectories between smugglers with or without cocaine.

Another illustrative example is Ellen and colleagues’ involvement in a Dutch reality TV show called “Hunted,” broadcasted in the fall of 2016 on Dutch national television (and in many other countries around the world; https://www.utwente.nl/en/news/!/2016/10/250711/hunted-the-psychology-of-fugitives). In this show, participants had to go off the grid and stay out of the hands of a team of expert hunters (detectives) for 21 days. Mobile skin conductance sensors (in the form of a bracelet) and GPS trackers enabled Ellen and her colleagues to closely investigate the behavioral and physiological responses of the candidates. Data from the skin conductance sensors for instance revealed a difference in participants’ stress-levels. Whereas some participants continuously experienced high levels of stress, others experienced much less stress.

Practice what you preach

Finally, Ellen’s link between theory and practice becomes apparent in how she uses theory in her work herself. Whether it is in acquiring new research grants, in designing and organizing new ambitious research project, or in her administrative work for the department and research group, Ellen’s talent for thinking of and realizing integrative outcomes is impressive. Practice what you preach is something that often comes back in these situations. I remember multiple times Ellen quoting strategies from her own Table of Ten (e.g., using rational persuasion addressing the cognitive consistency) in these situations. Or, an explanation of cultural differences in communication in terms of high- and low-context communication styles after interviewing a job candidate with a non-Western cultural background. These are all examples of Ellen’s enthusiasm and belief in how theory can be used for a better understanding of and approach in practice.

Finally, also in my own work, I am very much inspired by Ellen’s touch on research. Research in the field of intergroup relations and conflict traditionally is based on experimental laboratory research. In my own work, I try to further develop these theories outside of the laboratory. As with the sensitive topics Ellen is working on, this sometimes is a challenge: people generally do not like to think about whether they are prejudiced or whether they discriminate others based on group membership. Ellen’s ideas and strategies on how to involve practitioners to learn from practice, to design realistic yet rigorous studies, and to use new, innovative research methods to achieve these goals therefore are and will be a continuous source of inspiration.
A Lesson for Us All

Arguably, the theme that dominates these personal accounts, above all other themes, is that Ellen insists on a synergy between practice and theory. When designing a coding scheme to examine negotiators use of influence, Ellen did not simply rely on a grounded theory examination of behavior, but worked with practitioners to derive something that is “of their language” (Giebels, 1999a,b). When seeking to test the effects of a cultural dimension on negotiation outcomes, Ellen did not run a 20-minute laboratory experiment, she enlists actors, trained them for a day, and then had real professional negotiators undertake a task with far more realism (Giebels et al., 2017). When investigating the effects of negotiation on a third-party, Ellen relied not on self-responses to a questionnaire but on rich, in-depth interviews that explored the true consequences of the event (Giebels et al., 2005). When wishing to capture participants experience within a field study, Ellen relied not on post hoc measures but on modern technology to provide real-time data capture through virtual reality and measures such as EEG (Ströfer, Ufkes, Noordzij, & Giebels, 2016; Ströfer, Ufkes, Bruijnes, et al., 2016).

An easy conclusion would be that Ellen’s contributions suggest the field may benefit from moving away from the experimental paradigms that have served it so well. But this conclusion would be a mistake. At the foundation of all of the examples, we describe in this article are traditional experimental designs. Ellen’s ethos is not to throw out the rigor of the experimental method for the fidelity of the field. Rather, it is that, with careful planning, one can bring ecological fidelity to an experiment, through selecting relevant participants, paradigms, and methods. The result is true bridging of theory to practice. As the pressures to publish and obtain tenure grow, so does the attractiveness of running standard studies devoid of the innovation and extra effort that characterizes Ellen’s work. Such a direction of travel will leave the field poorer. In the next section, we solicit Ellen’s thoughts about how the field of negotiation and conflict management research may fruitfully develop.

The Final Words go to Ellen

We asked Ellen two questions to give her vision on future research directions and the role of technology in negotiations and conflict management research.

1 What future directions do you see for your research?

Many! I feel very fortunate to work in academia where we are permitted a great deal of freedom to pursue our curiosity. Yet, for long, our research has been valued with an emphasis on output quantity and rewarded us for doing the same thing over and over again, making many researchers risk averse. Both my PhD mentors, Evert van de Vliert and Carsten de Dreu, have been a great source of inspiration of how to follow your own path and not to be too afraid to let go of certainties. The same applies to many of my PhD students, such as Miriam, who cherish their curiosity and who have not yet been (entirely) socialized into our traditional academic models. My experience is that if you let go and open up, new opportunities keep coming up for doing research that matters, often through interaction with practice. That is why I firmly believe in close collaboration with practitioners and working together with like-minded researchers such as Sonja and Paul. Such collaboration goes far beyond the two dominant approaches: translating research outcomes to practice (research->practice) or doing contract research (question from practice->research). Yet, it implies codesigning by research and practice, as well as a more central position for the process of doing research (instead of a sole focus on the outcomes). I liked what Elze said about the advantage of analyzing recordings of conflict interactions, as you do not interfere. On the other hand, I also believe that research sometimes serves as a valuable intervention, often unwittingly and even with minimal intrusions such as merely by asking questions. For example, when I did the interviews with former hostages I soon found out that my questions helped them rethink the situation and their own reactions and as such were beneficial. On a higher level, it helped them to recover as they felt...
that sharing their experiences would help future victims. What I have personally learned from these interactions is that we may want to rethink the label “victims.” Many indicated that it implied a weakness or vulnerability that didn’t help their recovery. All in all, I realize this answer is more about the context of doing research than the content of research I would like to pursue, but I feel the importance of the context of doing research cannot be overestimated. Content wise, I would like to continue my work on cooperation in high-stakes conflict interactions, where a special challenge would lie in how to measure true dyadic process constructs, such as escalation or rapport on a dyad or group level instead of asking individual parties about their perception of it (as we mostly do now).

2 What (future) role do you think technology can play in conflict and negotiations research?

Of course, new technology changes the way in which we communicate and interact (i.e., social media, email) and thus affects conflict dynamics. Yet, I think the biggest advances in conflict and negotiations research in particular and social sciences research in general can be made using technology as a means of doing research. With technology, we are increasingly able to register to what extent they are aroused, how they move, how they interact, where people look, without interfering with their naturalistic contexts, and record it for many people at the same time. As such, I think technology allows for much richer research, both in terms of capturing interaction dynamics and moving from two-party constellations to large group research, such as Elze conducts.

When doing research with technology, there is one consistent finding that intrigues me; that is, we find no, or only weak, correlations between self-report measures and more unobtrusive ones. For example, in our research on deceptive intentions (Ströfer, Ufkes, Noordzij, et al., 2016), we only find weak associations between self-reported stress and the stress we measure with tech tools. Other research points at this phenomenon too, for example, when studying self-control or cognitive load. This evokes an array of questions: first and given that we have relied heavily on self-reports in the social sciences: what does this discrepancy mean? Does it undermine the validity of the previous findings? Or are they really different constructs (if so—what—then—does each exactly capture)? Which one has a stronger effect on (which) outcome measures? Or, would it be more important to look at the magnitude of the discrepancy between subjective and objective measures? Thus, the inclusion of technology might not only be able to answer questions and contribute to theory development but it also raises new ones. Finally, and as already pointed out by Miriam, technology could serve as a valuable basis for an intervention. What if we provide people with feedback about their physiological reaction and thus make a possible discrepancy with how they experience it themselves salient? Would that change (one of) the two measures or possibly even their ‘self-image’? Alternatively, what if smart sensors would signal early signs of conflict escalation and perform an appropriate intervention? Taken together, I feel we have only seen a glimpse of the future yet.

References


Miriam S. D. Oostinga, is a PhD student in Social Psychology at the University of Twente, The Netherlands. Her PhD research focuses on communication error management in suspect interviews and crisis negotiations.

Sonja Rispens, is an assistant professor in Work and Organizational Psychology at the Eindhoven University of Technology, The Netherlands. Her research interests are collaboration, conflict, and diversity.

Paul J. Taylor, is Professor of Psychology at Lancaster University, UK, Professor of Human Interaction at Twente University, NL, and Director of the UK Centre for Research and Evidence on Security Threats (CREST). Using experimental, archival and field methods, Paul’s research examines how human interaction works and, more practically, identifies what verbal and nonverbal behaviors bring about cooperation.

Elze G. Ufkes, worked as an assistant professor at the University of Twente, the Netherlands focusing on how interventions affect intergroup processes—such as collective action, stereotyping and intergroup emotions—in real-life situations. Elze recently started as a senior researcher at the Netherlands Court of Audit.